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# Fish Species Introductions Provide Novel Insights into the Patterns and Drivers of Phylogenetic Structure in Freshwaters

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RH: Freshwater fish community phylogenetics  $\mathbf 1$ 

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18 Despite longstanding interest from terrestrial ecologists, freshwater ecosystems are a fertile, yet unappreciated, testing ground for applying community phylogenetics to uncover mechanisms of 19 species assembly. We quantify phylogenetic clustering and overdispersion for native and non-20 21 native fishes of a large river basin in the American Southwest to test for the mechanisms (environmental filtering vs. competitive exclusion) and spatial scales influencing community 22 structure. Contrary to expectations, non-native species were phylogenetically clustered and 23 related to natural environmental conditions, whereas native species were not phylogenetically 24 structured, likely reflecting human-related changes to the basin. The species that are most 25 26 invasive (in terms of ecological impacts) tended to be most phylogenetically divergent from natives across watersheds, but not within watersheds, supporting the hypothesis that Darwin's 27 naturalization conundrum is driven by spatial scale. Phylogenetic distinctiveness may facilitate 28 29 non-native establishment at regional scales, but environmental filtering restricts local membership to closely-related species with physiological tolerances for current environments. 30 By contrast, native species may have been phylogenetically clustered in historical times, but 31 species loss from contemporary populations by anthropogenic activities has likely shaped the 32 phylogenetic signal. Our study implies that fundamental mechanisms of community assembly 33 34 have changed, with fundamental consequences for the biogeography of both native and nonnative species. 35

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37 *Keywords:* community phylogenetics, desert ecology, Lower Colorado River Basin, biogeography 38

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#### 40 **Introduction**

Understanding patterns of community assembly and the factors that determine the 41 biogeography of species remain central themes in ecology. Although empirical tests and 42 43 derivation of assembly rules have yielded great insight [1,2], landscape scale studies are hindered by poor understanding of the historical factors that influence biogeography and ultimately, 44 community structure. Introductions of non-native species present novel opportunities to uncover 45 the mechanisms that structure communities [3], enabling broad scale experimental study of the 46 ecological and evolutionary processes that determine community assembly. 47

48 Community phylogenetics has recently emerged as a promising tool in the field [4,5]. It has been hypothesized that competitive exclusion is the primary mechanism driving assembly 49 when communities are composed of distantly related members [4-6], but that this so-called 50 phylogenetic overdispersion may also result from environmental filtering on convergent traits 51 [4,7]. By contrast, communities composed of closely related members (i.e., phylogenetic 52 clustering) are hypothesized to be structured by environmental filtering on shared physiological 53 tolerances when traits are conserved [4,5]. Competition could also lead to character 54 displacement, however, where close relatives diverge ecologically [8], generating a clustering 55 pattern [9]. Adding to this complexity is the influence of spatial scale, which can alter the signal 56 of phylogenetic relatedness [10]. Thus, interpretations of phylogenetic community structure are 57 likely complicated by incomplete knowledge of the mechanisms and spatial scales that influence 58 59 particular communities.

### More recently, the use of phylogenetic beta diversity has been proposed to elucidate 60 patterns of change in phylogenetic community structure across space. Phylogenetic beta diversity 61 62 measures divergence across pairs of communities in different locations and is a complementary

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approach to local community phylogenetic analyses by implicitly considering issues of spatial 63 scaling through incorporation of environmental filters and barriers to dispersal [11]. This 64 combined approach demonstrated that phylogenetic beta diversity for humming birds was greater 65 66 along steep environmental gradients in the Andes Mountains, resulting in phylogenetic clustering in the harsher high elevation sites, but a tendency to overdispersion in less harsh lower elevations 67 [11]. The presence of strong environmental gradients can thereby generate distinct patterns of 68 phylogenetic structure with unique mechanistic explanations. 69

Although there is mounting evidence of both phylogenetic clustering and overdispersion 70 71 in plant, animal, and bacterial communities from a range of ecozones  $[6,12]$ , the majority of past 72 studies were conducted on primary producers in terrestrial ecosystems [7], limiting geographic and taxonomic generality. By contrast, freshwater ecosystems, and in particular, freshwater 73 fishes, present a fertile testing ground for community phylogenetic hypotheses, stemming from 74 the unique physiographic and biogeographical constraints imposed by the aquatic landscape [13]. 75 76 These constraints have led to a vast diversity of fishes in freshwater habitats worldwide. A prime 77 example of this diversification occurred in the arid American Southwest, where fish communities were shaped by a long geologic history (e.g., volcanism, isolation, marine intrusions) [14], and 78 harsh environmental conditions, including droughts, floods, and extreme temperatures, leading to 79 the evolution of a highly endemic fauna [15,16]. Dam construction, water diversions, and flow 80 regulation have significantly altered the environmental conditions in the region, creating 81 82 conditions that have enabled non-native species that are not adapted to harsh conditions to survive and thrive, displacing native species in many regions [17,18]. The Lower Colorado River 83 Basin has been a flashpoint for the predicament of native species, where the highly endemic 84 ichthyofauna has precipitously declined over the  $20<sup>th</sup>$  century [19,20], while over one hundred 85

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86 non-native fish species from both neighbouring and distant waters have been introduced (with greater than half established), often to create recreational fishing opportunities in newly 87 developed reservoir habitats [20,21]. Thus, the unique combination of species from diverse 88 89 geographic locations and broad environmental gradients that range from highly altered to more extreme natural conditions will enhance our scientific understanding of community assembly for 90 freshwater fishes. 91

In our study, we embrace the highly variable phylogenetic contrast between native and 92 non-native fish species in the Lower Colorado River Basin (draining  $>360,000$  km<sup>2</sup> of the 93 94 American Southwest), and their accompanying adaptive histories (or lack thereof), to test the following three hypotheses. 95

*Hypothesis 1*: Native species in fish assemblages are phylogenetically clustered, 96 reflecting the strong influence of natural environmental conditions in structuring the evolution of 97 these species; non-native species in fish assemblages are overdispersed, reflecting the 98 competitive influences generated by anthropogenic alterations to systems. Non-native fishes in 99 100 the Southwest often outcompete native fishes under more stable, human-altered flow regimes [15]. Additionally, diet studies suggest that non-natives compete intensely with each other [22], 101 thus it is reasonable to expect that competition is the dominant structuring force in non-native 102 communities. Correspondingly, the phylogenetic structure of native fishes will be highly 103 influenced by environmental drivers representing natural conditions, with functional traits that 104 105 represent adaptations to these environmental conditions; conversely, phylogenetic structure of non-native fishes will be weakly related to variables representing contemporary human-related 106 conditions (and unrelated to natural conditions), as competition is the primary mechanism 107 108 determining community structure. This hypothesis is supported by the recent evolutionary

109 history of fish in the Lower Colorado River Basin, which has been generally constrained to relatively few families (Electronic Supplementary Material S1) [23]. By contrast, non-native 110 fishes in the basin come from a much larger array of families (Electronic Supplementary 111 112 Material S1) [24]. Conversely, it is possible that native species will be overdispersed, reflecting competitive interactions, whereas non-native species will be underdispersed as a result of the 113 shared biological attributes that allow them in establish in new habitats. This may reflect the long 114 history of sport fish stocking within the basin, including many closely related species from 115 eastern North America [20]. 116

117 *Hypothesis 2*: Phylogenetic beta diversity of native taxa is highly correlated with environmental differences between sites representing natural drivers; non-natives are less 118 structured by natural environmental variation. Conversely, non-native phylogenetic beta 119 120 diversity will be highly correlated with spatial variables and variables that reflect the anthropogenic component of species introduction and spread [25]; native fishes will be less 121 spatially structured as a result of their long evolutionary history in the basin. 122

*Hypothesis 3*: Non-native species that are the most 'invasive' (in terms of ecological 123 impacts) will show greater phylogenetic divergence from native species compared to non-native 124 species that are not 'invasive' at both regional basin and local watershed scales. This provides 125 direct insight into the so-called Darwin's naturalization conundrum: phylogenetic relatedness of 126 non-native species to native communities is predicted to promote establishment because they 127 128 share similar pre-adaptations to local environmental conditions with allied species, but at the same time may hamper establishment because of niche overlap with native species [26-28]. The 129 latter is known as Darwin's naturalization hypothesis [29,30]. As the spatial scale of 130

131 consideration for Darwin's hypothesis influences observed patterns [27], we contrasted phylogenetic divergence across the entire region, as well as within localized watersheds. 132

133

#### **Methods** 134

#### Data collection 135

We test the preceding three interconnected hypotheses on a unique large database of fish 136 species occurrences from the Lower Colorado River Basin [31]. The database contains  $>1.8$ 137 million records from museum, university, and government collections dating from 1840 to 2009 138 [24,31,32]. Our study focuses on fish species records collected after 1980 ( $>1.66$  million 139 records), as this is considered representative of contemporary assemblages [20,33]. Further, this 140 time-frame broadly corresponds with the collection period of contemporary molecular sequence 141 142 data. Geographic data were reviewed for accuracy, as were regional species lists [31]. Fish were collected using a variety of gears and techniques by different entities, and different studies had 143 different objectives (e.g., population- vs. community-level study). Thus, in order to control for 144 these biases, species presence was determined at the local reach scale (*i.e.*, section of river 145 between two confluences), and only records that indicated community-level sampling were 146 retained [24]. Fish species records were then summarized at the aquatic ecological system (AES) 147 scale, which delineates regions by changes in landform, gradient, and stream size, and then 148 further divided into 387 AES, which we henceforth refer to as watersheds. Watersheds ranged 149 from  $200 - 1600 \text{ km}^2$ , and are a useful intermediate scale for our analyses. As a result of 150 geographical biases in sample collections, we excluded all watersheds with little or no sampling 151 effort, such that our final dataset was comprised of  $n = 159$  total watersheds. There were  $n = 134$ 152 153 and  $n = 147$  watersheds for native and non-native species only, respectively, with  $n = 122$  total

 $\overline{z}$ 

154 watersheds for paired native – non-native comparisons (Hypothesis 1: differences in

phylogenetic structure of natives and non-natives within same watershed). 155

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157 Phylogenetic data

Despite the recent explosion of molecular data available to infer phylogenetic 158 relationships among taxa, the diversity of freshwater fishes in North America represents a unique 159 challenge to scientists. This is particularly true of native fishes of the American Southwest, 160 which continue to be taxonomically revised [23], despite the species pool being relatively 161 162 depauperate. For example, in a large sequence database on freshwater fishes of North America  $(n)$  $= 685$  species) [34], native species from the Lower Colorado River Basin were largely under-163 represented, with <50% of the species pool present in the database, whereas 88% of the non-164 165 native species in our study were represented (A. Strecker, *unpublished*). Though studies examining evolutionary history of southwestern endemics have yielded great insight [14,16,35], 166 we are aware of no phylogeny that encompasses all of the fish in this region, which may in part 167 168 reflect the absence of common molecular markers used across taxa in previous studies. Utilizing sequence divergence data has been recommended for phylogenetic analysis of understudied taxa 169 [36], thus we have chosen the conservative approach of assessing sequence divergence for the 170 mitochondrial cytochrome b, which was the most represented DNA sequence for freshwater 171 fishes in the region (Electronic Supplementary Material S1). 172 173 We downloaded sequence data from PhyloTA [37], which searches GenBank for similar

regions, called phylogenetically informative clusters. Sequence data were obtained for 54 of 66 174 species  $(82\%)$ ; of the 12 species for which there was no sequence data, 11 were native fish 175 176 species (Electronic Supplementary Material S1). Therefore, we used mitochondrial DNA

177 (mtDNA) sequences from a congener (10 species) or the closest relative in the dataset (2 species) for unrepresented species. An analysis of the sensitivity of our results to this taxon substitution 178 was performed (Electronic Supplementary Material S2). For species that had multiple sequenced 179 180 individuals, a consensus sequence was constructed [38]. Sequences were aligned [39] and the amount of sequence divergence between all native and non-native species was determined using 181 182 a Kimura 2-parameter model [40].

183

#### Phylogenetic analyses 184

185 To test our first hypothesis, we calculated mean phylogenetic distance (MPD) and mean nearest neighbour phylogenetic distance (MNND) using sequence divergence data. MPD is an 186 intra-community or local measure that takes the average distance between all pairs of species 187 188 present in a watershed, whereas MNND is the average distance between each taxon and its most closely related neighbour [7,41]. As these metrics are biased by species richness, we calculated 189 the standardized effect size (SES) by comparing the observed pattern to a null model using an 190 191 independent swap algorithm [42], which performs well (i.e., has low Type I error rates) for MPD and MNND [43]. The algorithm holds the number of species per watershed constant, as well as 192 the frequency of occurrence of species across samples, and randomizes the occurrence matrix 193 [42]. There were 2000 matrix iterations and 5000 runs of the null model for each watershed. A 194 positive SES value indicates that species are overdispersed or evenly distributed throughout the 195 196 phylogeny, whereas negative SES indicates phylogenetic clustering. Only watersheds with  $\geq 2$ species were included, a constraint of the phylogenetic analyses. Analyses were performed 197 jointly, as well as separately on native and non-native sub-communities in watersheds; hereafter 198 199 we refer to these as native and non-native communities.

200 To test the relationship between phylogenetic divergence and functional divergence, we 201 used five continuous biological traits for native fishes of the Colorado River Basin [17]: shape factor (the ratio of total body length to maximum body depth), swim factor (ratio of minimum 202 203 depth of the caudal peduncle to the maximum depth of the caudal fin), maximum body length (mm), length at maturation (mm), and fecundity (total number of eggs or offspring per breeding 204 season). These continuous traits describe some of the key dimensions of morphological and life 205 history strategies exhibited by native fishes in this region [17]. As this test requires continuous 206 variables, categorical traits could not be analyzed (e.g., trophic guilds). 207 208 To test our second hypothesis, we calculated phylogenetic beta diversity, which is an inter-community metric that assesses the MPD across watersheds considering the species that are 209 present across all pairs of watersheds [7,41]. Larger values of phylogenetic beta diversity 210 211 represent greater phylogenetic dissimilarity and smaller values represent less phylogenetic dissimilarity (i.e., greater similarity). A null model that shuffled the names of the taxa across the 212 divergence matrix was used to evaluate results ( $n = 999$  permutations), comparing the 213 214 randomized results to observed results using the SES metric [44]. This null model is useful in that it holds constant species alpha and beta diversity, species occupancy, and spatial patterns, 215 allowing for dispersal limitation of species to be controlled for [44] (see Electronic 216 Supplementary Material S3). As with MPD, analyses were done on native and non-native 217 communities in watersheds with  $\geq$ 2 species. 218 219 To test our third hypothesis, we conducted a survey of 20 professional biologists with knowledge of regional fish communities to identify the non-native species that are considered 220 most harmful to native fish species [45]. Following established methodology [46], we asked each 221

222 survey respondent to classify non-native species as either being invasive (i.e., associated

223 ecological impact in their introduced range) or not. Non-native fishes selected by  $\geq 75\%$  of experts as invasive were included in the analysis (Electronic Supplementary Material S1). These 224 invasive species also have spread at the greatest rate since introduction [20]. Phylogenetic 225 226 divergence was calculated across the entire region and in each watershed between: i) all pairs of invasive and native species, ii) all pairs of remaining non-native (i.e., non-invasive) and native 227 species, and iii) all pairs of native species [30]. At the basin-scale, all recorded species were 228 compared. However, in order to test our hypothesis at the local watershed scale, we could only 229 include catchments that contained  $\geq$ 2 species from each category (invasive, non-invasive, native) 230  $(n = 85)$ . We used an ANOVA followed by a Tukey HSD test to distinguish differences between 231 multiple comparisons. These pairwise comparisons are not independent of each other, therefore, 232 we used permutation tests ( $n = 199$ ) to evaluate the significance of phylogenetic divergence 233 234 across species groups. This analysis was also repeated at the basin scale for non-native species that were failed introductions [47], comparing all pairs of: i) successfully introduced non-natives 235 and natives, ii) all pairs of unsuccessfully introduced non-natives and natives, and iii) all pairs of 236 237 native species.

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#### 239 Statistical analysis

We assessed the influence of environmental and spatial factors on our intra- and inter-240 community phylogenetic metrics by compiling data for 14 environmental variables known to be 241 242 important in structuring fish communities in this region [32]. These variables reflected both natural features (e.g., seasonal precipitation, temperature, watershed area) and anthropogenic 243 influences (e.g., agriculture, canals, dams) (Electronic Supplementary Material S4). 244

245 At the local watershed scale, we assessed the effects of environmental variation on phylogenetic structure using linear models. Preliminary tests indicated that errors were normally 246 distributed and that there was no significant spatial autocorrelation in the residuals, thus, general 247 248 linear models were sufficient for our purposes. We used a comparative model selection approach to test our hypothesis that native and non-native community phylogenetic structure (i.e., SES) 249 would be better predicted by natural and anthropogenic descriptors of the environment, 250 respectively. Models of the full set of environmental variables were tested against subsets of 251 natural and anthropogenic environmental variables, and compared with Akaike's Information 252 253 Criterion (AIC), which penalizes models with larger numbers of variables [48]. We measured the phylogenetic signal in functional traits of native species by constructing a phylogeny from 254 mtDNA and estimating Blomberg's  $K$ , which assumes a Brownian motion model of trait 255 256 evolution [49]. The phylogeny was constructed using maximum likelihood on a Tamura-Nei model [40]. The phylogenetic tree is available in TreeBASE 257 (http://purl.org/phylo/treebase/phylows/study/TB2:S14973). Observed values of K were 258 259 compared to a null model that was generated by shuffling taxa labels across the phylogeny tips. 260 Lower values of K correspond to random or convergent evolutionary patterns, while higher 261 values indicate increasing trait conservatism. We used multiple regression on distance matrices (MRM;  $n = 4999$  permutations) to test 262 if environmental or spatial dissimilarity was related to phylogenetic patterns across watersheds 263 264 [50]. We used variation partitioning to examine the independent and joint effects of anthropogenic environmental variables, natural environmental variables, and space on 265 phylogenetic beta diversity SES. We created separate Euclidean distance matrices for natural and 266 267 anthropogenic variables. All environmental variables were standardized to z-scores prior to

268 analysis. Spatial dissimilarity was calculated as the Euclidean distance between the centroids of all watersheds. While this approach has been criticized for underestimating explained variance 269 [51], it is useful as a comparative tool for our purposes. A *t*-test with randomization was used to 270 271 test for differences between native and non-native community phylogenetic beta diversity ( $n =$ 4999 permutations). All analyses were performed in R  $v2.12.1$  [52]; phylogenetic metrics were 272 calculated using the library *picante* [53] and MRMs using the library *ecodist* [54]. 273

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#### **Results** 275

#### 276 Hypothesis 1: Local phylogenetic structure

On average, there were almost twice as many non-native fish species in watersheds 277 (mean =  $8.0 \pm 4.1$  SD, range = 2-22) as there were native fish species (mean =  $4.5 \pm 1.6$  SD, range 278 279  $= 2-10$ ). Pairwise sequence divergence between species ranged from  $0.003 - 0.262$  (mean  $=$  $0.163 \pm 0.033$ SD), with 87% of values falling within the range of values considered optimal for 280 mtDNA to uncover relationships [55]. Sensitivity analyses indicated that mean phylogenetic 281 distance (MPD) results were relatively robust to taxon substitutions, but mean nearest neighbor 282 phylogenetic distance (MNND) results were sensitive to taxon substitutions (Electronic 283 Supplementary Material S2). This is not a surprising result given that MNND is evaluating the 284 nearest neighbor and is therefore more focused on the terminal phylogenetic structure of the 285 assemblage. Thus, MNND results will not be considered further. MPD was higher in native 286 287 communities compared to non-native communities; however, native communities were not significantly phylogenetically structured  $(t_{133} = 1.29, p = 0.20)$  compared to the non-native 288 communities, which exhibited significant phylogenetic clustering (i.e., negative MPD;  $t_{146}$  = -289 290 3.32,  $p < 0.01$ ). When all species in a watershed were considered, the entire basin and most sub-

291 basins were significantly phylogenetically clustered  $(t_{158} = -5.71, p < 0.01)$ . At the level of the individual watershed, 15% of non-native communities exhibited lower MPD than the null model 292 expectation (95% confidence interval). 293

294 There was some evidence for geographic structure to the phylogenetic patterns, particularly for native fishes (Figure 1). When watersheds were grouped by historical 295 biogeographic sub-basins, native fishes were significantly phylogenetically clustered in the 296 Colorado sub-basin, but were significantly overdispersed in the Lower Gila, whereas non-native 297 fishes were significantly overdispersed in the Colorado, but clustered in the Lower Colorado and 298 299 Lower Gila (Electronic Supplementary Material S5). There were significant differences between native and non-native assemblages in some of the basins that had large contributing watersheds 300 (Colorado and Lower Gila sub-basins) compared to the basins with relatively smaller watersheds 301 (Electronic Supplementary Material S5). 302

Contrary to our hypothesis, the model with anthropogenic environmental variables was 303 the most parsimonious for native fish phylogenetic structure, whereas the natural model received 304 the most support for non-native fish community phylogenetic structure (Electronic 305 Supplementary Material S4). Variability in summer precipitation was significant in models for 306 both native and non-native fishes (full model:  $\beta_{\text{native}} = 0.33$ ,  $p_{\text{native}} = 0.01$ ;  $\beta_{\text{non-native}} = -0.24$ ,  $p_{\text{non-native}}$ 307  $_{\text{native}}$  = 0.04), as was proximity to the nearest dam (anthropogenic model:  $\beta_{\text{native}}$  = -0.23,  $p_{\text{native}}$  = 308 0.01;  $\beta$  non-native = 0.24,  $p_{\text{non-native}}$  = 0.01). Dam density (full model:  $\beta_{\text{native}}$  = 0.29,  $p_{\text{native}}$  = 0.02), 309 310 watershed area (natural model:  $\beta_{\text{native}} = -0.268$ ,  $p_{\text{native}} = 0.017$ ), and reservoir surface area (full model:  $\beta_{\text{native}} = -0.38$ ,  $p_{\text{native}} = 0.04$ ) were also significant descriptors of native fish community 311 312 phylogenetic structure. Overall model fit was poor, however, with the most parsimonious model

313 for native and non-native species explaining just 14 and 16% of variation, respectively ( $p <$ 314 0.001 for both models).

The phylogenetic signal of native fishes was significant for the functional traits shape 315 316 factor ( $K = 1.10$ ,  $p < 0.01$ ), maximum length ( $K = 0.96$ ,  $p < 0.01$ ), and length at maturation ( $K =$ 1.09,  $p < 0.01$ ), indicating moderate trait conservatism. However, phylogenetic signal was not 317 significant for swim factor ( $K = 0.21$ ,  $p = 0.41$ ) or fecundity ( $K = 0.33$ ,  $p = 0.20$ ), indicating 318 convergence of traits. 319

320

#### Hypothesis 2: Phylogenetic beta diversity 321

In general, phylogenetic beta diversity was significantly greater for native communities 322 (mean =  $0.44 \pm 0.01$ SE) compared to non-native communities (mean =  $0.08 \pm 0.01$ SE) 323 324 (randomization  $p < 0.001$ ). Contrary to our hypothesis, native phylogenetic beta diversity was more strongly correlated with anthropogenic environmental variables ( $\beta = 0.24$ ,  $p < 0.01$ ) 325 compared to natural environmental variables ( $\beta$  = 0.09, p = 0.02) using MRM ( $R^2$  = 0.16, p < 326 327 0.01); however, phylogenetic beta diversity of non-native communities was more correlated with natural ( $\beta$  = 0.25, p < 0.01) compared to anthropogenic descriptors of the environment ( $\beta$  = 0.14, 328  $p \le 0.01$ ) ( $R^2 = 0.13$ ,  $p \le 0.01$ ). Phylogenetic beta diversity in non-native communities was 329 weakly correlated with spatial distance ( $\beta$  = 0.06,  $p$  = 0.05), but phylogenetic beta diversity in 330 native communities was strongly correlated with distance ( $\beta$  = 0.20,  $p$  < 0.01). These results were 331 supported by variation partitioning analyses (Figure 2): space had minimal independent effects 332 on non-native communities, but was more influential for native communities. Additionally, there 333 was evidence for spatially-structured environmental gradients playing a substantial role in 334

335 structuring phylogenetic beta diversity for both native and non-native fishes (shared variation between natural environmental variables and space; Figure 2). 336

337

Hypothesis 3: Biotic interactions 338

To test Darwin's naturalization hypothesis, we compared pairwise phylogenetic 339 divergence between native, invasive, and non-invasive fish species across the entire basin and 340 within each watershed. At the basin scale, both invasive and non-invasive fishes were, on 341 average, significantly more phylogenetically divergent from native species, compared to the 342 amount of divergence between all pairs of native fishes  $(F_{2,1223} = 28.85, p \le 0.01$ ; permutation 343 Tukey HSD  $p = 0.01$ ) (Figure 3a). Additionally, invasive fish species were also significantly 344 more divergent from native taxa compared to non-invasive fishes (permutation Tukey HSD  $p =$ 345 0.02). However, at the local watershed scale, patterns were less resolved: in 28% of watersheds 346 invasive species were significantly divergent from native species, whereas non-invasive species 347 were significantly diverged from native species in 18% of watersheds (Figure 3b). Invasive 348 species were significantly divergent from non-invasive species in 1% of watersheds. Non-native 349 species that were successfully introduced were significantly more phylogenetically divergent 350 from native species (mean =  $0.16 \pm 0.001$  SE;  $F_{2,764} = 17.84$ ,  $p < 0.01$ ; permutation Tukey HSD p 351  $= 0.02$ ) compared to unsuccessfully introduced non-natives at the basin scale (mean  $= 0.15$ ) 352  $\pm 0.003$ SE; permutation Tukey HSD  $p = 0.03$ ). 353

354

#### **Discussion** 355

Phylogenetic structure provides a powerful template for understanding the mechanisms of 356 357 community assembly and biogeography. The fishes of the American Southwest are a particularly

358 valuable faunal assemblage with which to test general hypotheses about phylogenetic patterns and processes in aquatic environments as a result of the unique geological and evolutionary 359 history of the region. Using a comprehensive fish database for the Lower Colorado River Basin, 360 361 we were able to test hypotheses about: 1) *within* watershed patterns and drivers of phylogenetic structure, 2) between watershed patterns and drivers of phylogenetic structure, and 3) 362 phylogenetic determinants of invasiveness. 363

We observed differences between native and non-native community phylogenetic 364 structure; however, the pattern did not match our expectation that native communities would be 365 366 significantly more phylogenetically clustered compared to non-native communities. Rather, nonnative assemblages (and entire assemblages) were phylogenetically clustered, whereas native 367 communities showed no significant phylogenetic structure. Our results concur with those for 368 369 exotic plant communities in California [56]; however the authors suggested that environmental filters were not controlling the distribution of introduced plants due to the broad range size of 370 non-native species, combined with low phylogenetic beta diversity. On the contrary, we propose 371 372 that phylogenetic clustering of non-native fishes is the result of environmental filtering on shared physiological tolerances (i.e., trait conservatism [5]). This conjecture is supported by the strong 373 374 responses of non-native phylogenetic structure to natural environmental variables compared to native assemblages (Electronic Supplementary Material S4). Additionally, the significantly 375 higher correlation of phylogenetic beta diversity of the non-native fishes with environmental 376 377 variables compared to spatial distance suggests that the distribution of non-native fishes may be more limited by environmental filters than by dispersal; the latter is likely to be unconstrained 378 due to human-mediated vectors of introduction. Patterns of significant phylogenetic clustering of 379 380 non-natives in the watersheds with the greatest upstream contributing area (e.g., Lower

381 Colorado, Lower Gila) suggest that relatively harsher environmental conditions in parts of the basin, such as variability in stream flow driven by summer precipitation, may influence 382 phylogenetic structure. Indeed, variability in summer precipitation was significantly greater in 383 the Lower Colorado and Lower Gila sub-basins compared to the Colorado (*t*-test:  $t_{35}$  = -8.58, *p* < 384 0.01;  $t_{73}$  = -7.86,  $p$  < 0.01; respectively). Differential effects of flow conditions on native and 385 non-native fishes have previously been observed [18]. 386

An intriguing alternative possibility is that non-native fish phylogenetic clustering 387 represents a history of introduction within the basin, whereby closely related species from 388 389 eastern North America were widely introduced as sport fish into western waterways (e.g., 390 centrarchids, such as *Micropterus* spp. and *Lepomis* spp.)[20]. This may also apply to aquarium trade species introduced into the wild from relatively few families (e.g., Cichlidae). Thus, the 391 pattern of clustering may represent the history of introduction rather than the establishment 392 success of non-native fishes. The relatively brief evolutionary history of introduced fish species 393 in the basin likely precludes ecological divergence of closely related species as a mechanistic 394 explanation for phylogenetic clustering of non-native fishes. 395

Native fish communities were not significantly phylogenetically structured in most of the 396 studied watersheds; several factors may have influenced these results. First, many native species 397 have been locally extirpated from watersheds, including species from highly diverged groups. 398 For example, there were 16 cyprinid species in our study; cyprinids show great evolutionary 399 400 diversification in the Lower Colorado River Basin [57]. Seven cyprinids in our study are endangered, four are threatened, one is of special concern, and two are candidates for listing by 401 the Endangered Species Act. On average, the range size of cyprinids has declined by  $>30\%$  since 402 403 the 1950s [range:  $-14$  to 100%; 20], such that average occupancy for cyprinid species is just 15.4

 $km<sup>2</sup>$  in the basin [58]. Second, environmental conditions were already dramatically changed prior 404 to the contemporary time period (post-1980) used to characterize the fish communities, such that 405 closely related species that may once have been locally adapted are no longer at an advantage. 406 407 Despite our hypothesis that native fishes would be more influenced by the environmental variables that they have evolved in response to historically, native phylogenetic structure both 408 within and among watersheds was more strongly related to anthropogenic variables (Figure 2; 409 Electronic Supplementary Material S4). It is striking that the only region where we observed 410 significant phylogenetic clustering in native fishes is the Colorado sub-basin, which contains the 411 412 Grand Canyon, and is therefore one of the most protected (i.e., a national park) and least degraded regions of the entire basin [21], with the notable exception of downstream mainstem 413 impacts from Glen Canyon Dam. For some species, such as Gila cypha and Catostomus 414 *discobolus*, the Grand Canyon is the last remaining fraction of their historical range in the lower 415 basin [59]. Conversely, the only region where native species were significantly overdispersed 416 was in the Lower Gila; this sub-basin has some of the highest levels of anthropogenic threats 417  $\lceil 21 \rceil$  and invasive species  $\lceil 32 \rceil$  in the basin. This suggests that in this region, human activities 418 may result in non-random extinctions [45] that can shift native communities along a 419 phylogenetic gradient from clustering to overdispersion. We found evidence for trait 420 conservatism in native fishes for some morphological characters (shape factor, maximum length) 421 422 and life history traits (length at maturation), but not for other traits (swim factor, fecundity). This 423 suggests that closely related native fishes had similar adaptations for the local environmental conditions. Others have demonstrated the tendency of closely related native species to adopt 424 intermediate life history strategies (i.e., evolutionary "bet-hedging") [17], which is considered 425 426 adaptive in highly unpredictable environments. Thus, although native fishes may have been

427 closely related historically, with morphological and life history adaptations to local conditions, contemporary assemblages no longer reflect this pattern. 428

Phylogenetic beta diversity demonstrates how phylogenetic structure changes across 429 space, adding a necessary landscape element to studies of community assembly [11]. Here, we 430 431 observed similar patterns as in the local watershed phylogenetic structure: native communities were influenced by anthropogenic environmental factors and space, whereas non-native 432 communities were structured by natural environmental factors describing patterns of successful 433 establishment. These results are indicative that dispersal limitation was historically a significant 434 435 factor for fish communities; the lack of an independent spatial signal in the beta diversity of nonnatives suggests that these fishes are not dispersal limited, likely reflecting the role of human-436 mediated spread. Further, the greater beta diversity of native communities compared to non-437 native communities reinforces previous research that introductions of closely related fish taxa are 438 homogenizing fish community composition across the landscape [60] at different levels of 439 organization (*i.e.*, taxonomic, functional, phylogenetic). 440

Darwin's naturalization conundrum has long been of interest to ecologists; it is only 441 recently that advances in molecular biology have enabled tests of the hypothesis using 442 phylogenetic distances, without the artificial constraints of taxonomy [30]. We found evidence to 443 support Darwin's naturalization hypothesis at the basin scale, where the most invasive species 444 were more phylogenetically divergent from native species compared to non-invasive species 445 446 (Figure 3). However, at the watershed scale, support for the hypothesis was weaker: invasive and non-invasive fish communities in the majority of watersheds were not phylogenetically divergent 447 from native fishes. These results concur with those from Hypothesis 1, where the mean 448 phylogenetic divergence of native and non-native communities at the watershed scale was 449

450 largely insignificant (Table 1). This suggests that at local scales phylogenetic relatedness of nonnative (both invasive and non-invasive) species to native communities reflects higher 451 establishment potential because closely related species share similar pre-adaptations to local 452 453 environmental conditions. Thus, both facets of Darwin's naturalization conundrum may be valid, but ultimately determined by spatial scale [27]. These results run counter to the hypothesis that 454 environmental filters determine community composition at larger spatial scales and biotic 455 interactions are more important at smaller spatial scales [2]. It may be that environmental 456 filtering can only happen at small spatial scales in these desert ecosystems, where high 457 458 variability and extreme conditions are the norm. Thus, at large spatial scales the ability of an introduced species to survive in this basin is predicated on its uniqueness compared to the 459 species pool. Prior to human intervention, there was only one piscivorous fishes in the Lower 460 461 Colorado Basin [33]. The introduction of vast numbers of non-native species into a relatively depauperate species pool guarantees that most introductions are of phylogenetically divergent 462 species. This is supported by our finding that non-natives species that did not successfully 463 establish were less phylogenetically divergent compared to non-natives that did successfully 464 establish populations at the basin scale. 465

A caveat of our study was that native fish species were comparatively underrepresented 466 in surveys of molecular sequence data. While we were able to use sequences from close relatives 467 for all unrepresented species, this constitutes a potential bias in our data. Sensitivity analyses 468 469 indicated that these substitutions had minimal effects on MPD, but increased the likelihood of detecting clustering with the MNND metric. Future studies should use caution in interpreting 470 results of MNND analyses when taxon substitutions are used. Substitution of close relatives is 471 472 common practice in phylogenetic studies [56], as not all taxonomic groups have adequate

473 representation, highlighting the importance of broad classification databases [34]. This study represents the first attempt at bringing together phylogenetic and biogeographic characters of the 474 entire native fish fauna of the Lower Colorado River Basin into a single synthesis. Additional 475 476 investigations are needed when more resolved data becomes available.

Introductions of non-native species provide unique opportunities to resolve mechanisms 477 of community assembly by creating natural experiments across different spatial scales. Our study 478 provides evidence that native and non-native fishes of the Lower Colorado River Basin have 479 distinct phylogenetic structure, which is being driven by a combination of harsh natural 480 481 environmental conditions such as flooding, but also by human-influenced variables, such as flow regulation by dams and reservoir creation in the basin. By utilizing the distinctive geological and 482 physiographical limitations that structure freshwater fishes, our study demonstrates that while 483 484 some patterns of phylogenetic structure may be generalizable across taxa *(i.e., phylogenetic* clustering of non-natives)[56], others may be less universal, underscoring the importance of 485 testing mechanisms of community assembly more broadly across taxonomic groups. 486

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fish communities by watershed (filled). Watersheds for which there was insufficient data are in 644

- white. Positive values indicate phylogenetic overdispersion, negative values indicate 645
- phylogenetic clustering. State and country boundaries are indicated with gray lines and italicized 646
- font, and zoogeographical boundaries (see Electronic Supplementary Material S1) are the 647

thickened black lines and plain font. (Online version in colour) 648

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out of the total ( $n = 85$ ) that were significantly different with Tukey HSD comparisons. 659







# Electronic Supplementary Material S1. Molecular sequence data, sample location, and range coverage for freshwater fishes.

Sequence data were obtained for 54 of 66 species (82%); of the 12 species for which there was no sequence data, 11 were native fish species (Table S1-1). Therefore, we used mitochondrial DNA (mtDNA) sequences from a congener (10 species) or the closest relative in the dataset (2 species) for unrepresented species, mtDNA has been used extensively in studies of phylogenetics and phylogeography. Although mtDNA can offer insight into the influence of historical patterns on populations [1], it has been criticized as biasing overall lineage history as a result of being maternally inherited and having relatively rapid substitution rates, reaching saturation and reducing phylogenetic signal [2]. A recommended solution is to compare phylogenetic patterns of mtDNA to nuclear DNA [3]; however, as this data is unavailable for our system, we have instead compared mtDNA sequence divergence to a qualitative phylogeny [4]. As the branch lengths of this phylogeny are not quantitative, we counted the number of nodes that separate each pair of species as a coarse estimate of divergence. This method has been used previously to represent phylogenetic diversity in the basin [5,6]. There was a highly significant correlation ( $r = 0.73$ ,  $p < 0.001$ ) between mtDNA divergence and the qualitative phylogeny (A. Strecker, unpublished), thereby validating the use of mtDNA sequence divergence for our analyses. Geographical localities were obtained from GenBank (when available; Figure S1-1). As expected, most sequences for non-native species are from outside the basin.

Table S1-1. Freshwater fish species used in the analysis, including information on taxonomic affiliation, number of cytochrome b mtDNA sequences in GenBank, and proportion of native species range in the Lower Colorado River Basin (defined as ecological drainage units: Electronic Supplementary Material S4) encompassed by molecular sequence data. Species used as a substitute indicated for species with no cytochrome b molecular data available.



\* = GenBank record indicates sample taken from outside the Lower Colorado River Basin

 $-$  = molecular data from alternative species used

 $\dagger$  = no location information available

l,

 $\ddagger$  = classified as invasive in our analysis

# Table S1-1, cont'd



\* = GenBank record indicates sample taken from outside the Lower Colorado River Basin<br>-- = molecular data from alternative species used<br> $\dagger$  = no location information available<br> $\ddagger$  = classified as invasive in our analys

Figure S1-1. Approximate location of sampling for molecular sequence data of native species (or their closest relative; GenBank). Ecological drainage units are clusters of 8-digit hydrologic unit codes (HUCs) developed by the US Geological Survey that represent zoogeographic regions [7]. The Lower Colorado River Basin is the outer border.



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### Electronic Supplementary Material S2. Sensitivity analysis of taxon substitutions.

Given that mtDNA sequences were missing for several species, a number of species pairs had a default value of 0.0 sequence divergence as a result of substituting the nearest relative. To test how sensitive our phylogenetic analyses were to this assumption, we averaged the divergence values of all species within a genus as a proxy for the closest relative. This average value represents an estimate of divergence for the species in which we used a taxon substitute. Mean phylogenetic distance (MPD) and mean nearest neighbor phylogenetic distance (MNND) were re-analyzed with this proxy divergence value in the mtDNA divergence matrix (Table S2-1).

Table S2-1. Sensitivity analysis for A) native communities and B) non-native communities when divergence values for all species pairs in which we used a taxon substitute were substituted with average values (replacing the 0.0 pairwise divergence). The top values in each table are the statistics for standardized effect sizes (SES) of MPD and MNND, whereas the bottom panel of each table is the number of watersheds that were significant at  $p < 0.05$  for clustering and overdispersion.





Electronic Supplementary Material S3. Null model of phylogenetic beta diversity.

Figure S3-1. Plot of phylogenetic beta diversity mean phylogenetic distance (MPD) of a) native and b) invasive communities along gradients of Euclidean distance (m), natural environmental distance (as Euclidean distance), and anthropogenic environmental distance (as Euclidean distance). Black symbols represent observed values, whereas gray lines represent the mean and standard deviation of null model randomizations. Lines indicate Lowess fit of observed (dashed blue) and null model (solid red) data.





Figure S3-1 b) Invasive Communities

# Electronic Supplementary Material S4. General linear models and variables relating environmental factors to mean phylogenetic distance.

Table S4-1. (A) Environmental variables used in model construction. Transformations were applied to variables that were not normally distributed. For some variables, a constant equal to the smallest value in the dataset was applied, as adding a constant of 1 can swamp the signal when there are very small values (i.e.,  $\leq$ 1). Although there are many other variables that may influence fish species, a number were excluded as a result of collinearity  $(r > 0.8)$ . (B) General linear model comparisons for native and non-native fish community mean phylogenetic distance (MPD) in full (all environmental variables), natural (i.e., subset of natural environmental variables), and anthropogenic (i.e., subset of anthropogenic environmental variables) models. Significant variables in models and standardized coefficients  $(\beta)$  are indicated beside each model. Italics indicate most parsimonious model.





AIC = Akaike's Information Criterion,  $\Delta_i$  = deviation from model with lowest AIC,  $w_i$  = Akaike weight,  $anthro = anthropogenic variable subset$ 

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Electronic Supplementary Material S5. Comparisons of mean phylogenetic distance geographically and by sub-community.

Table S5-1. Statistical comparisons of standardized effect size (SES) of mean phylogenetic distance (MPD) across the entire Lower Colorado River Basin, and six historical biogeographic sub-basins using two-tailed *t*-tests. Basin and sub-basins ordered from largest (entire basin) to smallest (Virgin) upstream drainage area. In (a), (b), and (c), negative values indicate phylogenetic clustering, positive values indicate overdispersion. Four null hypotheses were tested: a) all fish MPD = 0; b) native fish MPD = 0; c) non-native fish MPD = 0; d) native MPD = non-native MPD within watersheds.

scale		a) all species	b) native	c) non-native	d) comparison
entire	$t$ (df)	$-5.71(158)$	1.29(133)	$-3.32(146)$ <sup><math>\ddagger</math></sup>	$-1.54(121)$
basin	avg(SE)	$-0.44(0.08)$	0.09(0.07)	$-0.32(0.10)$	
Lower	$t$ (df)	$-1.85(16)$	1.16(11)	$-2.23(13)^*$	$-1.52(8)$
Colorado	avg(SE)	$-0.54(0.29)$	0.15(0.13)	$-0.82(0.37)$	
Colorado	$t$ (df)	1.09(19)	$-4.39(19)$ $\ddagger$	$2.42(19)^*$	$3.82(19)$ †
	avg(SE)	0.20(0.18)	$-0.49(0.11)$	0.63(0.26)	
Lower Gila	$t$ (df)	$-4.60(54)$	$3.23(42)$ †	$-4.29(51)$ $\ddagger$	$-3.50(39)$ †
	avg(SE)	$-0.58(0.12)$	0.35(0.11)	$-0.69(0.16)$	
Upper Gila – $t$ (df)		$-2.65(41)^*$	0.65(37)	$-1.90(40)$	$-1.48(36)$
San Pedro	avg(SE)	$-0.32(0.12)$	0.07(0.13)	$-0.23(0.12)$	
Little	$t$ (df)	$-1.02(13)$	0.07(9)	$-0.61(13)$	$-0.01(9)$
Colorado	avg(SE)	$-0.26(0.26)$	0.02(0.36)	$-0.20(0.44)$	
Virgin	$t$ (df)	$-5.13(10)$ $\ddagger$	0.45(10)	$-0.02(5)$	$-0.61(5)$
	avg(SE)	$-1.50(0.29)$	0.09(0.20)	$-0.01(0.44)$	

\*  $p < 0.05$ , †  $p < 0.01$ , ‡  $p < 0.001$