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Robust visible light photoswitching with *ortho*-thiol substituted azobenzenes†

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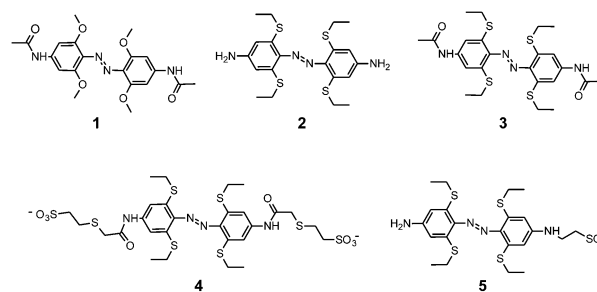
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Introduction of 5-ethyl groups in all four *ortho* positions of azobenzene prevents reduction of the azo group by intracellular glutathione, while enhancing the absorptivity to $\sim 10\,000\text{ M}^{-1}\text{ cm}^{-1}$ in the blue and green regions of the visible spectrum. *cis*-to-*trans* isomerization occurs thermally on the minutes timescale. Further, this substitution pattern permits switching with red light, a color that is more penetrating through biological tissues than other parts of the visible spectrum.

The majority of applications of azobenzenes as molecular switches use UV light to trigger *trans*-to-*cis* isomerization.¹ In biological systems UV irradiation is highly scattered and can be toxic.^{2,3} In nanomaterials applications, UV light can be scattered by small structures and is thereby poorly penetrating.^{4–6} As a consequence there has been considerable interest in developing photoswitches that operate with visible light.^{4,7–10}

Azobenzenes with *para* donor substituents and push–pull derivatives can show strongly red-shifted π - π^* bands but often at the cost of very rapid thermal relaxation (milliseconds) making production of large fractions of the *cis* isomer difficult.^{8,11,12} *ortho* Substitution has been found to slow the thermal relaxation process.^{10,11} Substitution of all four *ortho* positions of azobenzene with methoxy groups (compound **1**, Scheme 1), or Cl or Br atoms^{13,14} markedly slows thermal *cis* to *trans* relaxation facilitating production of large fractions of the *cis* isomer.^{11,14} This substitution pattern also causes separation of the n - π^* transitions of *cis* and *trans* isomers leading to the possibility of bi-directional visible light photoswitching.^{13,14} Hecht and colleagues found that tetra-*ortho*-fluoro substitution also leads to separation of the n - π^* transitions of *cis* and *trans* isomers without concomitant twisting of the *trans* geometry, a useful feature in some applications.¹⁵ In each of these cases, as well as with C2 bridged azobenzenes,^{16–18} however, the visible



Scheme 1 *ortho*-Substituted azobenzenes studied here.

region n - π^* transitions are of low intensity. Aprahamian and colleagues recently reported that coordination of the azo group with a BF_2 moiety produced promising improvements in the molar absorptivity at visible wavelengths⁴ ($\epsilon_{512} = 8026\text{ M}^{-1}\text{ cm}^{-1}$). However, this compound is structurally more complex and shows unusual oxygen dependence to its thermal relaxation rate.

Several of the slow-relaxing visible-light switching azobenzenes that have been studied (the methoxy-substituted and the C2 bridged compounds) are sensitive to reduction by glutathione at typical intracellular concentrations.^{13,17,18} This feature limits their use *in vivo*. The tetra-*ortho*-chloro compound is stable to glutathione but has low absorptivity.¹⁴ Stability to reduction of the tetra-*ortho*-fluoro compound and the BF_2 -coordinated azo compound have not been reported.

To address these limitations, we explored replacing *ortho* oxygen atoms with sulphur atoms. We synthesized compounds **2** and **3** as well as the water soluble versions **4**, and **5** (see ESI†). Effects of replacing oxygen with sulphur or higher elements of the chalcogen group on the optical properties of materials have been found to vary from minor¹⁹ to dramatic,²⁰ depending on the detailed bonding arrangements and the transitions involved. Thiol substituents in *para* positions have been reported to induce useful red shifts in azo modified biomolecules by Asanuma and colleagues¹⁰ and Kaihatsu and colleagues.⁹

Fig. 1 shows experimental UV-Vis spectra obtained for **1**, **2** and **3**. Spectra were also calculated using time-dependent DFT methods implemented in *Gaussian 09* at the B3LYP level of

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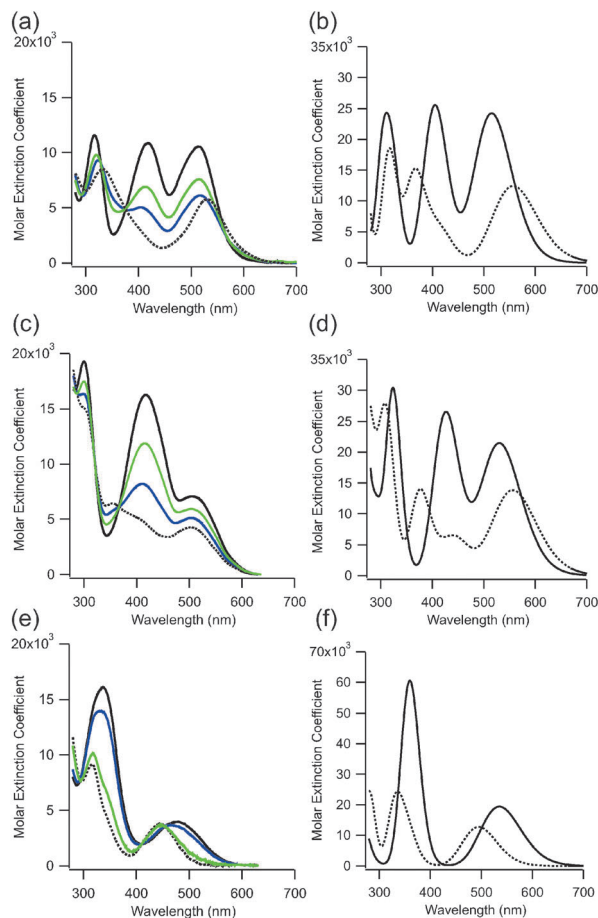


Fig. 1 Experimental UV-Vis spectra (panels a, c, e) of **2**, **3**, and **1**, (respectively) in the dark-adapted (*E* form) in DMSO (solid black line) and with green (530 nm) or blue (450 nm) irradiation. The extrapolated spectrum of the *cis* isomer is shown as a dotted line (see ESI†). Calculated UV-Vis spectra (panels b, d, f) of **2'**, **3'**, and **1**, (respectively) in the *trans* (*E*) form (solid line) and in the *cis* (*Z*) form (dotted line) in DMSO. Note the vertical scale in (f) is different.

theory with the 6-311++g(d,p) basis set and a DMSO solvent model. This treatment has been successful in predicting optical properties of similar azobenzene systems.^{13,15} Calculated spectra for **1** and for the *S*-methyl versions of **2** (**2'**) and **3** (**3'**) are also shown in Fig. 1. Computations were performed with methyl rather than ethyl versions of the compounds to isolate effects of O-to-S substitution on the spectra.

The experimental data (Fig. 1a, c, and e) show that replacement of oxygen atoms with sulphur at the *ortho* positions of azobenzenes leads to a >20 nm red shift as well as a significant increase in the intensity of the longest wavelength absorption bands of the *trans* isomers. Compound **2**, in particular, shows ϵ_{512} of $10\,600\text{ M}^{-1}\text{ cm}^{-1}$ in DMSO and compound **3** shows ϵ_{505} of $7100\text{ M}^{-1}\text{ cm}^{-1}$ whereas the value for the corresponding oxygen derivative (**1**) is $\epsilon_{480} = 4030\text{ M}^{-1}\text{ cm}^{-1}$.¹³

In addition to the enhanced long wavelength absorption, the sulphur containing compounds show strong transitions in the blue region of the spectrum ($\sim 425\text{ nm}$) (Fig. 1). Calculations indicate these transitions involve HOMO – 1 and HOMO – 2 molecular orbitals and extensive participation of the S atoms (see ESI†). Although differences in the wavelengths of long

wavelength (HOMO to LUMO) transitions between *trans* and *cis* isomers of **2** and **3** are predicted (Fig. S1, ESI†), experimentally these are not found to be large and instead it is mainly the intensity of the transition that decreases upon *trans*-to-*cis* isomerization (Fig. 1). Overall the result of O-to-S substitution is that the sulphur containing photoswitches **2** and **3** undergo *trans* to *cis* isomerization with both blue and green light, whereas **1** undergoes *trans* to *cis* isomerization with green light and *cis* to *trans* isomerization with blue light.¹³ The photostationary states observed for **2** and **3** depend on the precise irradiation wavelength employed but is limited to $\sim 75\%$ *cis* by overlap of the *cis* and *trans* spectra (see ESI†) (Fig. 1). This value compares favourably with other visible light switching azobenzenes including 2,2'-*ortho* substituted and push-pull derivatives.^{8–10,12,21}

In marked contrast to **1**, where thermal relaxation of the *cis* isomer to the thermodynamically more stable *trans* isomer occurs with a half-life of ~ 14 days at $25\text{ }^\circ\text{C}$ in DMSO,¹³ the thermal relaxation of **2** and **3** occurs on a time frame of minutes under the same conditions. From computations, the free energy of the *trans* forms of **2** and **3** are 0.75 eV and 0.73 eV lower than the *cis* form, however for **1** the *trans* form is only 0.33 eV lower in energy than the *cis* (see ESI†). The greater relative stability of the *trans* isomer of the S-containing compound presumably results in a lower lying transition state for the thermal *cis*-to-*trans* isomerization process. While complete bidirectional switching with no thermal reversion is the ideal case,^{16,17} a consequence of thermal relaxation on the minutes timescale is that significant fractions of the *cis* isomer can be produced using moderate light intensities while the *trans* isomer can be completely repopulated on biologically useful timeframes in the dark. The result is that the extent of photoswitching (*i.e.* the % change in *cis* isomer) is large despite overlap of the *trans* and *cis* spectra. In addition, the large energy difference between *trans* and *cis* isomers can be used to drive conformational changes in attached target molecules.^{22,23} A relaxation time frame of minutes is well suited for photo-control of transcription factors *in vivo*, for example.²⁴

The enhanced absorption of these compounds in the visible region of the spectrum and their thermal relaxation on the time frame of minutes provides a significant improvement in terms of biological photoswitching. Essential to their use in intracellular environments in biological settings however, is their stability to reduction by glutathione.

Our analysis of the mechanism of reduction of **1** by glutathione, indicated that the thiol attacks at one nitrogen atom of the azo unit facilitated by prior, or concerted protonation of the other nitrogen atom.¹⁴ The azonium ion of **1** is stabilized by resonance delocalization of the methoxy groups as well as H-bonding of the azonium proton to a methoxy oxygen atom.¹⁴ Replacement of methoxy groups with *S*-ethyl groups is expected to decrease stabilization of the azonium ion *via* resonance delocalization; the Hammett σ_p constants for $-\text{OCH}_3$ and $-\text{SCH}_3$ are -0.27 and 0.00 respectively.²⁵ In addition H-bonding to sulphur is expected to be weaker and to have different geometrical preferences, than H-bonding to oxygen.^{26–28} As a consequence the thiol containing photoswitch should be less sensitive to reduction by glutathione than the oxygen containing counterpart.



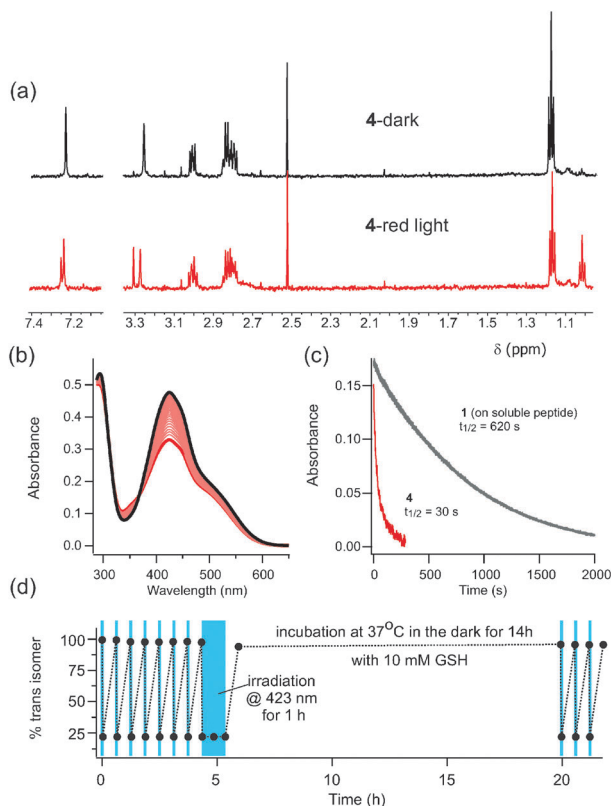


Fig. 2 (a) NMR spectra of **4** in D_2O after dark adaptation and after exposure to red light (635 nm). (b) UV-Vis spectrum of **4** after dark adaptation (black line) and after irradiation with red light (thick red line). Intermediate scans show thermal relaxation back to the dark state ($\tau_{1/2} = 25$ min at $20^\circ C$). (c) Time course for *trans* to *cis* isomerization under red light is ~ 20 fold faster for **4** than for **1**. (**1** is attached to FK-11 polyG¹⁴ to enhance its water solubility.) (d) Absorbance measured at points indicated over multiple rounds of switching using blue light (423 nm, indicated with blue boxes) with intervening periods of dark adaptation. There is no evidence of photobleaching or reduction by 10 mM reduced glutathione.

To test stability of the thiol-containing photoswitch to reduction by glutathione in a biologically relevant environment, we prepared the water soluble versions **4** and **5**. Spectra of these compounds are qualitatively similar in water and in DMSO and similar to those of **2** and **3** (see ESI[†]). Fig. 2 shows the diacetamido derivative **4** in aqueous phosphate buffer at $20^\circ C$. The diacetamido functional group provides a means for attaching both ends of this photoswitch to a target biomolecule as has been done for a range of related photoswitches.^{22,23}

Interestingly, the long wavelength absorption tail of the *trans* isomer of **4** (but not of **5**, see ESI[†]) makes it possible to use red light (635 nm) (Fig. 2a) as well as other visible wavelengths (Fig. 2d) for photoisomerization. The use of red light for isomerization is particularly appealing for biological systems since red wavelengths are much more penetrating through biological tissue than other colors of the visible spectrum.² We have observed red-light driven *trans*-to-*cis* isomerization for tetra-*ortho*-methoxy and tetra-*ortho*-chloro species,¹⁴ but the enhanced red absorption of **4** leads to significantly faster production of the *cis* isomer (Fig. 2c). Thermal relaxation of **4** occurs with a half-life of 25 min at $20^\circ C$ in aqueous solution (Fig. 2b). The alkylamino derivative **5**, shows even faster thermal relaxation

(seconds) (see ESI[†]) and it (or a di-alkylated version) may be useful where faster thermal resetting is required.

Critically, compounds **4** and **5** are not reduced by 10 mM reduced glutathione, conditions designed to mimic intracellular *in vivo* conditions. This feature is in marked contrast to the tetra-*ortho*-methoxy compound **1** which is reduced on the time frame of ~ 1 h under these conditions.¹³ Fig. 2d shows that photo-switching is preserved after 14 h at $37^\circ C$ with 10 mM glutathione. The alkyl substituted compound **5** is also stable to reduction (see ESI[†]). In addition, there is no evidence of photobleaching of these compounds (Fig. S3c, ESI[†]). The stability of these *ortho*-*S*-alkyl substituted compounds means that a variety of intracellular applications may now be envisaged.

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