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## Dissertation Proposal Rubric: 5-chapter Dissertation

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**Dissertation Proposal Rubric: 5-chapter dissertation (with edits by Dannelle D. Stevens, Coordinator and Gayle Thieman, Doctoral Program Committee Member)**

Student \_\_\_\_\_ Faculty Reviewer \_\_\_\_\_ Date of oral presentation: \_\_\_\_\_

Score every dimension: Unsatisfactory = 1; Emerging = 2; Proficient = 3; Exemplary = 4. No partial scores. \*\* means dimension copied from Core Paper Rubric.

**PART I: Prefatory materials**

Dimension	Unsatisfactory	Emerging	Proficient	Exemplary
Provides a title page, abstract, table of contents and a list of figures and tables	Abstract is not provided or is outside the range of 150-250 words; table of contents is omitted; lists of tables and figures are omitted or inaccurate.	Abstract provides minimal and sometimes confusing information about the research proposed and is outside 150-250 word range; inaccurate or incomplete table of contents; inaccurate or incomplete lists of figures and tables.	Abstract adequately addresses the research proposed within 150-250 words; accurate table of contents; accurate list of tables and figures provided.	Abstract provides a crisp, complete 150-250 word summary of the research proposed; the table of contents, and titles and subheadings are complete and accurate; lists of tables and figures (if used) are complete and accurate.

**Chapter 1: Problem Statement**

Dimension	Unsatisfactory	Emerging	Proficient	Exemplary
1.1 Introduction: Introduces the topic and provides a brief rationale for selection of topic	Topic is ill-defined; little or no rationale for selection of the problem	Topic is barely introduced; provides a rationale for selection but is not persuasive.	Topic is introduced; provides a brief rationale for selection of the problem that is somewhat persuasive.	Topic is concisely introduced; provides a brief and persuasive rationale for selection of the problem
<b>1.2 Background of the problem</b>				
1.2a**Identifies a problem of practice and recognizes its boundaries.	Alludes to a situation where a problem might exist.	Describes an educational situation and alludes to a problem	Identifies the problem and alludes to its boundaries	Clearly identifies the problem and its boundaries
1.2b**Describes the context of the problem of practice	Provides vague descriptions of context of problem and does not situate it in larger context.	Vaguely situates the problem in its historical, social, and/or cultural context(s)	Generally situates the problem in at least one of its relevant historical, social, and/or cultural context(s)	Clearly situates the problem through an analysis of its historical, social, and/or cultural context(s)
1.2c**Validates problem exists	Provides no evidence that the problem exists	Provides minimal evidence that the problem exists	Generally describes the existence of the problem	Draws upon multiple sources of information to substantiate the existence of the problem
<b>1.3 Statement of the research problem</b>				
States the purpose and rationale (argument) for the study, based on the argument made above	Statement of the research purpose and the overall reasons for the study are not given.	Statement of the research purpose and the overall reasons for the study are vague and marginally related to the background of the problem.	Statement of the research purpose and the overall reasons for the study are clear and related to the background of the problem.	Statement of the research purpose and the overall reasons for the study are compelling, apt and precise, and closely and clearly related to the background of the problem.
<b>1.4 Significance of the research problem</b>				
1.4 **Analyzes educational significance of addressing this problem of practice	Unable to make a case that the problem has any educational significance or ignores educational significance	Minimally describes the educational significance of the problem	Generally explains the educational significance of the problem	Clearly delineates the educational significance of the problem, including issues of equity
<b>1.5 Presentation of methods and research question</b>				
1.5 Introduces methods and presents research questions. Makes a clear connection between research questions, purpose and problem	Fails to introduce methods. Includes no researchable questions. Lacks connection between research questions, purpose and problem.	Introduces methods. Lists a few researchable questions. Makes weak connection between research questions, purpose and problem.	Introduces methods briefly . Lists researchable question(s). Makes a connection between research questions, purpose and problem.	Introduces methods briefly but clearly describes methods. Lists researchable question(s). Makes a clear and compelling connection between research questions, purpose and problem.
<b>1.6 Definitions of key concepts</b>				
1.6 **Defines key concepts and terms relevant to the problem of practice	No evidence that key terms are identified or defined.	Attempts to define the relevant concepts and terms	Defines key concepts and terms and begins to explain their relevance to the problem	Clearly defines and explains the key concepts and terms and their relevance to the problem

**Chapter 2: Literature Review**

Dimension	Unsatisfactory	Emerging	Proficient	Exemplary
2.1 introduction	Does not introduce the chapter. Starts with the review with no context setting	Reiterates topic and purpose of study or orients reader to literature review.	Reiterates topic and purpose of study and orients reader to literature review.	Clearly reiterates topic and purpose of study and orients reader to literature review.
<b>2.2 Theoretical Framework: Describes and cites major sources for theoretical framework</b>				
2.2a **Identifies theoretical framework(s) relevant to the problem	Selects inappropriate theoretical framework and makes no connection to the problem	Identifies framework(s) with incomplete connection to the problem	Identifies relevant theoretical framework(s)	Clearly identifies relevant theoretical framework(s); provides a rationale.

2.2b **Uses theoretical framework(s) to analyze the problem	Inaccurate definition and description of theoretical framework with no connection to the problem.	Summarizes theoretical framework(s) without clear connection to the problem	Connects theoretical framework(s) to the problem and uses it to analyze the problem	Insightfully connects theoretical framework(s) to the problem and uses framework(s) to analyze the problem
2.2c**Critiques the theoretical frameworks as they relate to the problem	Does not examine any assumptions of theoretical framework.	Begins to question assumptions of theoretical framework(s) in relationship to the problem	Generally questions assumptions of theoretical framework(s) without examining how these assumptions may hinder understanding the problem.	Specifically questions the assumptions of the theoretical frameworks and how these assumptions may hinder understanding the problem.
<b>2.3 Review of the research literature: Presents an up-to-date, research-based, systematic, thorough review of literature.</b>				
2.3a Presents research literature relevant to problem; follows an organizing principle that is evident to reader; sections support one another to make persuasive argument that research is appropriate.	Does not discuss criteria for inclusion and exclusion from review. No organizing principle is mentioned. Poorly organized, haphazard.	Mentions inclusion and exclusion but does not elaborate. Mentions organizing principle but does not elaborate. Some coherent structure.	Discusses the literature included and excluded. Presents organizing principle. Discussion is coherent but could be further developed to indicate relevance of articles to addressing the problem.	Justifies inclusion and exclusion of articles. Presents high quality sources. Presents organizing principle and applies it to the literature discussed. Well-developed, coherent discussion of the literature and its relevance.
<b>2.3b Synthesis:</b> Synthesizes research literature findings; identifies larger themes, inconsistencies and/or relevant patterns; themes evident in headings.	Does not distinguish what has been done from what needs to be done.	Some attempt to synthesize literature but incomplete with no mention of larger themes.	Discussed what has been done and what has not been done, but sparse discussion of larger themes.	Critically examines the state of the field, identifies larger themes. Mentions inconsistencies and relevant patterns.
<b>2.3c Critique</b> of previous research; brings in confirming and opposing viewpoints	No critique of previous research.	Identifies previous research with weak connections to significance.	Practical significance is discussed with mention of opposing views.	Critiques practical and scholarly significance of previous research.
<b>2.4 Review of the methodological literature</b>				
Reviews methodological literature relevant to study; justifies selection of research methods based on review.	No critique of methodological literature.	Discusses methodological literature with incomplete connection to chosen method.	Discusses existing methodological literature, makes connection to chosen method.	Critiques methodological literature and justifies selection of research methods.
<b>2.5 Summary of the research literature and application to the study</b>				
Summarizes conclusions from literature reviews; includes transition to methods chapter.	No summary and no connection to methods chapter.	Brief summary of literature.	Complete summary of literature, with tentative conclusions and brief transition to methods chapter.	Excellent and thorough summary from literature review with robust transition to methods chapter.

**Chapter 3: Methods: Selects, defines and describes appropriate research methods, including data collection procedures and data analysis**

	<b>Unsatisfactory</b>	<b>Emerging</b>	<b>Proficient</b>	<b>Exemplary</b>
<b>3.1 Introduction</b>				
Re-introduce purpose of the study including research problem and question; transition to methods included	No re-introduction to purpose of the study including research problem and/or question; transition to methods not included.	Re-introduces purpose of the study including research problem and/or question; transition to methods vague, if included.	Re-introduces purpose of the study including research problem and question; transition to methods included.	Clearly and succinctly re-introduces purpose of the study including research problem and question; smooth transition to methods included.
<b>3.2 Research Methods</b>				
Type: Describes if research is qualitative or quantitative or mixed-methods.	No reference to type of method used.	Vague reference to type of research being conducted. Non-persuasive justification for the type of research used.	Describes if research is qualitative or quantitative or mixed methods and provides adequate justification for selection of type in relation to research problem and research questions.	Describes if research is qualitative or quantitative or mixed methods and defines type. Provides clear justification for selection of type in relation to research problem and research questions.
<b>3.3 Participants:</b> Identifies participants in the study and provides rationale for their selection; describes sampling methods.	Unable to identify exact participants nor any reason for their selection to participate in the study.	Vague identification of participants in the study and provides non-persuasive rationale for their selection; no sampling methods included.	Identifies participants in the study and provides rationale for their selection; describes sampling methods.	Clearly identifies participants in the study and provides compelling rationale for their selection; describes sampling methods concisely and clearly.
<b>3.4 Procedures:</b> Describes the procedures used to conduct the study for sample recruitment, informed consent, maintaining data. Describes the steps taken during data collection and any interventions initiated (professional development activities). Provides rationale for any intervention.	Describes no procedures used to conduct the study for sample recruitment, informed consent, maintaining data. Describes no details of the protocols and steps taken during data collection. Describes no protocols for any interventions initiated (e.g., professional development activities). Provides no rationale for any intervention. Many	Describes a few of the procedures used to conduct the study for sample recruitment, informed consent, maintaining data. Describes only a few of the details of the protocols and steps taken during data collection. Describes vague protocols for any interventions initiated (e.g., professional development activities). Provides weak, if any, rationale for any	Describes most of the procedures used to conduct the study for sample recruitment, informed consent, maintaining data. Describes most of the details of the protocols and steps taken during data collection. Describes protocols for any interventions initiated (e.g., professional development activities). Provides rationale for any intervention.	Clearly describes the procedures used to conduct the study for sample recruitment, informed consent, maintaining data. Describes the step-by-step details of the protocols and steps taken during data collection. Clearly describes protocols for any interventions initiated (e.g., professional development activities). Provides compelling rationale for any

	questions remain about the procedures and protocols and the rationales for any actions.	intervention. A few questions remain about the procedures and protocols.		intervention.
<b>3.5 Instruments and measures:</b> Describes the data collection instruments. Includes rationale for these instruments. Includes copies of actual instruments to be used.	Vague reference to the data collection instruments. Includes no rationale for the selection and format of these instruments in reference to other choices. Does not include copies of actual instruments to be used in the Appendix.	Lists the data collection instruments. Includes weak rationale for the selection and format of these instruments in reference to other choices. Does not include copies of actual instruments to be used in the Appendix.	Describes the data collection instruments. Includes rationale for the selection and format of these instruments in reference to other choices. Includes copies of actual instruments to be used in the Appendix.	Fully describes the data collection instruments. Includes persuasive rationale for the selection and format of these instruments in reference to other choices. Includes copies of actual instruments to be used in the Appendix.
<b>3.6 Role of the researcher (qualitative or mixed methods):</b> Identifies previous knowledge and any biases. Explains procedures used to suspend bias.	Does not identify previous knowledge or any biases. No information on procedures used to suspend bias.	Identifies previous knowledge or any biases. No information on procedures used to suspend bias.	Identifies previous knowledge and any biases. Explains procedures used to suspend bias.	Identifies previous knowledge and experience that can lead to biases. Provides persuasive explanation about procedures used to suspend bias.
<b>3.7 Data collection and analysis:</b> Describes data analysis procedures, including coding methods and statistical analysis, if appropriate. Tie these closely to research questions.	Vaguely describes data analysis procedures. Does not tie procedures closely to research questions.	Describes data analysis procedures, including coding methods and statistical analysis, if appropriate. Tie procedures to research questions.	Describes data analysis procedures, including detailed coding methods and statistical analysis, if appropriate. Tie these procedures closely to research questions.	Clearly describes steps of data analysis procedures, including details of coding methods and statistical analysis, if appropriate. Tie these closely to research questions.

#### Appendices

	Unsatisfactory	Emerging	Proficient	Exemplary
Appendices. Includes supplemental material including copies of instruments as used in the study.	No Appendices when it is appropriate that they included.	Appendices include undeveloped or draft form of instruments used in study. Some errors in APA formatting.	Appendices include instruments used in the study with appropriate headings and centered on the page title. Uses APA formatting correctly	Appendices include instruments as used in the study with appropriate headings on the page title. Uses APA formatting correctly.

#### PART II: Overall paper: Mechanics

4. Mechanics	Unsatisfactory	Emerging	Proficient	Exemplary
4.1 **Coherent and convincing argument with substantive support for claims	Unable to discern the argument for the study	Begins to make a coherent argument and build support for claims	Makes a coherent and convincing argument and builds support for claims with evidence	Makes a coherent and convincing argument by consistently supporting claims with credible, relevant, and substantive evidence
4.2 **Organization	Attempts to use organizational structures but inconsistent use of headings, transitions between chapters leads to disorganized paper. Difficult for reader to follow.	Begins to use organizational structures (introduction, headings for each core area with clear transitions, sequenced material within the body, and conclusion) within the paper	Consistently uses organizational structures (introduction, headings for each core area with clear transitions, sequenced material within the body, and conclusion) within the paper	Skillfully uses organizational structures (introduction, headings for each core area with clear transitions, sequenced material within the body, and conclusion) within the paper
4.3 **Mechanics	Makes frequent errors in sentence structure, grammar, punctuation and/or spelling that interferes with comprehension	Makes errors in sentence structure, grammar, punctuation, and/or spelling that impede understanding	Makes minor errors in sentence structure, grammar, punctuation, and/or spelling that do not impede understanding	Demonstrates detailed attention to mechanics including sentence structure, grammar, punctuation, and spelling
4.4 **Citations	Does not use APA style and lack of citations interferes with comprehension.	Inconsistently uses APA style in text citations and references	Generally uses correct APA style in text citations and references	Consistently uses correct APA style in text citations and references
4.5 **Bias in language usage	Does not use anti-bias language.	Inconsistently uses APA style conventions to reduce bias in language	Generally adheres to APA style conventions to reduce bias in language	Consistently adheres to APA style conventions to reduce bias in language

#### PART III: Oral presentation of the Proposal

	Unsatisfactory	Emerging	Proficient	Exemplary
5.0 Presentation of research topic, literature and methods proposed in a clear, persuasive and organized manner.	Candidate unprepared to present the study to others. Presentation does not persuade audience that the study needs to be done	Presentation includes most significant elements, conveyed in a easy-to-follow format. Responded to most questions.	Presentation included most significant elements, conveyed in a logical, easy-to-follow format. Responded to questions.	Presentation included all significant elements, conveyed clearly in a logical, persuasive and easy-to-follow format. Responded to questions professionally.

\_\_\_\_\_ Pass

\_\_\_\_\_ No Pass

\_\_\_\_\_ Not Yet Satisfactory\*

\*Recommendations for additional work