Wait, You Don’t Have an Open Access Policy?: Passing an OA Policy in 2021

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Wait, You Don’t Have an Open Access Policy?: Passing an OA Policy in 2021

Miriam Rigby, Catherine Flynn-Purvis, David Condon, & Franny Gaede
Introductions

Miriam Rigby
Social Sciences Librarian

Catherine Flynn-Purvis
Institutional Repository Manager

David Condon
Assistant Professor, Psychology

Franny Gaede
Director, Digital Scholarship Services
3 Faculty Senate resolutions over 20 years, but momentum had slowed

2009: UO Libraries passes [Open Access Mandate for Library Faculty](#)

2015: UO Romance Languages Department passes [Open Access Mandate](#)
Building a Team

Assembly of an internal library team

Finding campus advocates

Diverse team was organized around a “charge” that was broadly endorsed
Executive Summary

The state of global scholarly communications has evolved rapidly over the last two decades, as authors and some publishers have sought to hasten the speed of the dissemination of results in scholarly research worldwide. Informally known as Open Access (OA), defined as "the free access to, and distribution of, scholarly articles combined with the rights to use these articles."

The aim of this report — the Open Access White Paper on Open Access at the University of Oregon — is to review these recent changes and to explain their relevance to the Oregon community.

History and Trends

OA movement has gained momentum as academic institutions are negotiating and signing creative, new agreements with publishers and as innovations to business models for disseminating research are more widely adopted. These innovations — made possible by implementing OA and acquiring content — have moved academic library collections, subscriptions to print journals were the norm. Beginning in the 1990s, OA evolved into licenses for e-journals and e-books, largely college libraries, and publisher journal bundles. The Big Deal offered vast access to OA journals, in large portions of library budgets being locked away in OA subscriptions. Libraries and the academic community more broadly have pushed OA movement. Dissatisfied with the lack of flexibility inherent in the practice, an increasingly smaller number of commercial publishers have begun exploring new ways to make the fruits of scholarly research available.

If the coronavirus pandemic, the motivation for change is stronger than ever. The rapid and open access to scientific findings has increased awareness of the OA movement among both the general public — many of whom are being introduced to the concept of OA for the first time — and policy makers.
Assessing OA Policies

A possible Open Access Policy for UO

The Faculty of the University of Oregon is committed to OA policies as widely as possible. In keeping with that commitment, Faculty member grants to the University of Oregon permission to exercise the copyright in those articles. More specifically, each Faculty member grants to the University of Oregon permission to exercise such rights in each of his or her scholarly articles, in any medium, provided that articles are not sold for a profit, and to authorize others

Policy on Open Access

Rights

Faculty member grants to the University non-exclusively, irrevocable, worldwide license to exercise each of his or her scholarly articles in any medium, provided for all scholarly works, any and all rights under copyright relating to the preparation or augment published works under the policy described below.

Scope

This policy applies to all scholarly works authored or co-authored by faculty member granted the rights in this document.

Deposit of Articles

Each Faculty member who does not obtain a waiver to deposit in the institutional repository will endeavor to provide an electronic copy of the final accepted version (post-print peer review manuscripts of the final accepted version) of the final accepted version of the article to the University for deposit in Scholar's Bank.

This may also include a discipline open access repository like arXiv, PsycINFO, or OGP Preprints, or another listed in the Directory of Open Access Repositories and/or publication of an article in a reputable open access journal.
Preamble

WHEREAS the University Senate has endorsed the development of an Open Access Scholarship Policy in Resolution US19/20-16 by the Senate Subcommittee on Open Access following its report on the role of open access in scholarly work at the University; and

WHEREAS the University of Oregon is a public university dedicated to excellence in research and scholarship; and

WHEREAS some commercial and society publishers employ restrictions that can limit distribution and access to scholarly works; and

WHEREAS the University of Oregon is an advocate in the global movement for open scholarly communication through its many manifestations, including publications, data, educational resources, cultural heritage materials, and other content; and

WHEREAS the Faculty of the University of Oregon has previously affirmed its support by passing Senate Resolutions on Open Access US00/01-5, US07/08-17, and US07/08-20; and

WHEREAS it is a stated purpose of the University of Oregon to generate, disseminate, preserve, and apply knowledge, it is a priority to make research products widely available for the public benefit.
UO Faculty shall henceforth make a journal-allowed version of their article openly accessible after publication following the guidelines of each scholarly journal. This may include submission to Scholars' Bank, a discipline specific open access repository, or publication in an open access journal. Library staff will work with faculty to support these efforts.

All works by UO faculty, staff, and students are welcomed for submission to Scholars' Bank in accordance with guidelines provided by publishers in order to improve access and dissemination.

This could be a preprint or submitted version (before or after peer review, copy editing) or a published version, depending on the publisher.

Compliance with publisher requirements is fundamental to the success of this policy.
UO Faculty shall henceforth make a journal-allowed version of their article openly accessible after publication following the guidelines of each scholarly journal. This may include submission to Scholars’ Bank, a discipline specific open access repository, or publication in an open access journal. Library staff will work with faculty to support these efforts.

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These guidelines may be found documented within the Sherpa Romeo open access policy database or directly from the journal. If the journal requires an embargo, the embargo will be set within Scholars’ Bank at the time of submission and released at the time of expiration.
UO Faculty shall henceforth make a journal-allowed version of their article openly accessible after publication following the guidelines of each scholarly journal. This may include submission to Scholars’ Bank, a discipline specific open access repository, or publication in an open access journal. Library staff will work with faculty to support these efforts.

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An open access digital repository hosted by the University of Oregon Libraries on the DSpace platform

Deposit in a preprint server or disciplinary repository is perfectly in line with this policy.

If you’re depositing in arXiv, PsyArXiv, SocArXiv, SSRN, BioArXiv, RePEc, etc., you’re already participating!
UO Faculty shall henceforth make a journal-allowed version of their article openly accessible after publication following the guidelines of each scholarly journal. This may include submission to Scholars' Bank, a discipline specific open access repository, or publication in an open access journal. Library staff will work with faculty to support these efforts.

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This is not a mandate. No waivers are necessary and this policy does not impact tenure or promotion in any way.
Modes of Communication & Advocacy

**Town Hall**
zoom meetings

**Visits to the Senate**
check in with decision-makers & divide work into phases

**University Library Committee**
stakeholders & focus group

**Website**
openaccess.uoregon.edu
Open Access White Paper
University of Oregon
SENATE SUB-COMMITTEE ON OPEN ACCESS

I. Executive Summary

II. Introduction
   a. Definition and History of the Open Access Movement
   b. History of Open Access at the University of Oregon
   c. The Senate Subcommittee on Open Access at the University of Oregon

III. Overview of Current Open Access Trends and Practices
   a. Open Access Formats
   b. Advantages and Challenges of the Open Access Approach

IV. OA in the Process of Research & Dissemination of Scholarly Works at UO
   a. A Summary of Current Circumstances
   b. Moving Towards Transformative Agreements
   c. Open Access Publishing at UO

V. Advancing Open Access at the University of Oregon and Beyond
   a. Barriers to Moving Forward with OA
   b. Suggestions for Local Action at UO
Impact
Thank you!

Questions & Discussion