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A Social Systems Approach to Sustainable Waste Management: Leverage Points for Plastic Reduction in Colombo, Sri Lanka

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International Journal of
Sustainable Development
& World Ecology



A social systems approach to sustainable waste management: leverage points for plastic reduction in Colombo, Sri Lanka

Journal:	<i>International Journal of Sustainable Development & World Ecology</i>
Manuscript ID	TSDW-2020-0598
Manuscript Type:	Research Article
Keywords:	plastic pollution, plastic waste, sustainability, waste management, Sri Lanka, social systems theory, SDG11 Sustainable Cities and Communities < UN Sustainable Development Goals

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Manuscripts

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3 **A social systems approach to sustainable waste management:**
4 **leverage points for plastic reduction in Colombo, Sri Lanka**
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10 **Abstract**

11
12 Global plastic production continues to increase at an
13 exponential pace, and global waste projections show waste
14 generation rising by 70% by 2050. Plastic waste connects to all
15 social processes, especially within the context of urbanization
16 and development; urban planning and land management; GHG
17 emissions; labor; social equity; public health; rural-to-urban
18 migration; increasing population; increasing consumption;
19 climate change; etc. The focus of this research is an analysis
20 of plastic waste management practices in Sri Lanka applying
21 systems thinking, with a goal to better understand the social
22 and ecological impacts of plastic waste in Sri Lanka. This
23 research fills a gap in understanding the complex social
24 dynamics that factor into plastic management, beyond the
25 engineering of waste systems. The researcher works from the
26 assumptions that waste is a social issue, that requires social
27 responses that move beyond engineering and linear waste
28 management; that designing a better or more efficient linear
29 solid waste management system for the current realities of waste
30 generation will only result in a continued, unsustainable waste
31 system; and that plastics are truly a global challenge, relevant
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3 for global south contexts, and these challenges require local-
4 appropriate solutions. The findings illuminate the network of
5 local waste stakeholders, and highlight paths forward in waste
6 reduction through patterns of behavior, structure, and mental
7 modes that can lead towards a sustainable future for Colombo.
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16 Keywords: plastic pollution; plastic waste; waste management;
17 sustainability; systems thinking; Sri Lanka
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22 **I. Introduction**

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24 Sorting out a more sustainable solution for the rising amounts
25 of plastic waste and plastic pollution is one of the great
26 challenges of our times. Global plastic production continues to
27 increase at an exponential pace (Geyer et al., 2017), and global
28 waste projections show waste generation rising by 70% by 2050
29 (Kaza et al., 2018). Waste generation and subsequent management
30 are not stand-alone issues; waste issues connect to all social
31 processes, especially within the context of urbanization and
32 development (Hoornweg and Bhada-Tata, 2012). Most global south
33 countries lack the means for managing plastics once thrown away
34 (Hoornweg and Bhada-Tata, 2012), and the majority of plastics
35 are thrown away after one use (Parker, 2017). Plastic such as
36 PET bottles, food packaging, and shopping bags, cannot
37 biodegrade, they leach harmful chemicals (Groh et al., 2019),
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3 and are dangerous for human and ecosystem vitality (Thompson et
4 al., 2009).
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8 World Bank analysts suggest that municipal solid waste
9
10 management is the most important service that a city can
11
12 provide, in both low and high-income countries (Hoornweg &
13
14 Bhada-Tata, 2012). Increasing urbanization is mirrored by
15
16 increasing waste generation, as global waste is projected to
17
18 rise 70% by 2050 (Kaza et al., 2018). Currently 55% of the
19
20 world's population lives within an urban area, and this number
21
22 is projected to rise to 68% by 2050, with up to 90% of this
23
24 growth being in Asia (UN DES, 2018). Waste management is still a
25
26 challenge for most municipalities (Kaza et al., 2018; Wilson,
27
28 2015a), yet the cost of inaction to society on waste is
29
30 estimated at 5-10 times the cost of management, and these losses
31
32 include damages to health, productivity, increased flood risks,
33
34 and damages to businesses, especially those within the tourism
35
36 economy (Wilson et al., 2015a, b).
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46 These considerations in mind, the focus of this research is
47
48 an analysis of plastic waste management practices in Sri Lanka
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50 and the network of stakeholders engaged with these processes.
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52 The aim is to uncover leverage points for plastic waste
53
54 reduction, and alternative strategies to the standard, linear,
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3 'take-make-waste,' waste generation to disposal model. The
4
5 researcher uses a grounded theory based methodology - employing
6
7 systems thinking, first-person interviews, and site visits -
8
9 with a goal to understand the complex social dynamics that
10
11 factor into plastic management. The researcher works from three
12
13 premises in this research: 1.) That waste is a social issue
14
15 which requires social responses that move beyond engineering and
16
17 linear waste management (Zero Waste Academy, 2017). 2.) That
18
19 designing a better or more efficient linear solid waste
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21 management system for the current realities of waste generation
22
23 will only result in a continued, unsustainable waste system
24
25 (ibid; Connett, 2013; Zero Waste Cities, 2019; Zero Waste
26
27 Europe, 2019). And, 3.) That plastics are truly a global
28
29 challenge, relevant for global south contexts, and these
30
31 challenges require local-appropriate solutions (GAIA, 2019,
32
33 2012). The researcher is curious to know about alternative, non-
34
35 linear pathways for waste management in the face of global waste
36
37 challenges; and especially if local stakeholders are
38
39 acknowledging these current waste realities - such as increasing
40
41 use and disposal of plastic and lack of sustainable management
42
43 options - and trying to find new ways to address the challenges
44
45 of these waste streams. Although this case study is focused on
46
47 the case of Colombo, this research incorporates a macro lens
48
49 from the global plastic pollution/plastic waste narratives as
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3 these issues factor in and intersect (in the case of imported
4 wastes) with the Colombo case (Azoulay et al., 2019; Connett,
5 2013; GAIA, 2019, 2018, 2012; Hamilton et al., 2019; Greenpeace,
6 2019; UNEP, 2018).

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12 Ultimately, less plastic waste benefits human and
13 environmental health through reductions in: environmental
14 toxicity (Azoulay et al., 2019; European Commission, 2011);
15 natural resource demands and carbon emissions (Azoulay et al.,
16 2019; Hamilton et al., 2019); climate impacts (Azoulay et al.,
17 2019); habitat impacts (Barnes et al., 2009; UNEP, 2014));
18 marine impacts (Gregory, 2009; IUCN, 2020; Rochman, 2015; UNEP,
19 2014); and human health impacts (European Commission, 2011;
20 Halden, 2010; Knobauch, 2009; Maffini et al., 2006; Prata et
21 al., 2020). Taking this perspective requires a departure from
22 linear waste management models and a broadening of the field of
23 'why waste matters,' to a rationale of care and responsibility
24 for social and ecological well-being, and ultimately the planet.
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45 **Background on plastics**

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47 Scientists call this the age of the Anthropocene (Crutzen,
48 2006; Steffen et al., 2007), whereby mankind, due to the
49 "variety and longevity of human-induced change, including land
50 surface transformation and changing the composition of the
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3 atmosphere" (Lewis & Maslin, 2015: 171) has the greatest
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5 influence on the planet. Other scientists take the situation a
6
7 step further and say that collectively we are beyond the
8
9 Anthropocene and are within the era of the *Plasticene*, where
10
11 plastic is humanity's most prominent legacy and what will remain
12
13 for future generations to discover hundreds of years from now
14
15 (Eriksen, 2015; Reed, 2015). The severity of plastics impact is
16
17 critical, "The only way to permanently eliminate plastic waste
18
19 is by destructive thermal treatment, such as combustion or
20
21 pyrolysis. Thus, near-permanent contamination of the natural
22
23 environment with plastic waste is a growing concern" (Geyer et
24
25 al., 2017).

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30 Wherever scientists turn, the impacts of plastic are made
31
32 evident. Significant amounts of plastic waste ends up in
33
34 waterways (Lebreton et al., 2017; Schmidt et al., 2017) and in
35
36 the marine environment (Hermabessiere et al., 2017; Jambeck et
37
38 al., 2015; Kershaw, 2015).¹ Microplastics have now been found in
39
40 once-pristine environments, such as the Arctic (Katz, 2019); in
41
42 rain (Gregory et al., 2019); atmospheric deposits (Gasperi et
43
44 al., 2018); in remote mountain lakes (Allen et al., 2019); at
45
46 the bottom of the Mariana Trench (Gibbens, 2019); in tap water
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53 ¹Marine debris pollution costs the Asian region \$1.26bn per year in 2008
54 (McIlgorm et al., 2011), and the amount of plastic waste entering the oceans
55 annually has been increasing, with current estimates at 8million tons a year
56 (IUCN, 2020).
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3 (Tyree & Morrison, 2017a); as well as in bottled water (Tyree &
4 Morrison, 2017b); in seafood (Smith et al., 2018); and even in
5 fruits and vegetables (Conti et al., 2020; Li et al., 2020) as
6 plastic particles bioaccumulate and assimilate within food
7 systems and ecosystems. Moreover, many assume that plastic is an
8 inert material, yet, as it degrades it releases chemicals,
9 ethylene and the greenhouse gas methane (Royer et al., 2018), as
10 well as leeches harmful additives, endocrine disruptors and
11 carcinogenic substances (Azoulay et al., 2019; European
12 Commission, 2011). Socially, waste is seen predominantly as a
13 problem of the poor - linked class and sometimes ethnicity - and
14 is displaced to marginalized communities (Bullard et al., 2008;
15 Pellow, 2004). Due to the widespread impact of plastic waste,
16 calls have been made for classifying plastics as a hazardous
17 material (Rochman et al., 2013). In 2018, the Basel Convention
18 which regulates the flow of hazardous waste worldwide, initiated
19 a first step and amended the convention specifically for
20 plastics, to put more regulations on global plastic waste trade
21 flows in order to keep plastics out of the environment (Basel
22 Convention, 2019).

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49 One thing that all growing urban areas have in common is an
50 excess of plastic waste. Every year, globally, plastic
51 producers make over 400m tons of plastic, and collectively 300m
52 tons of plastic is disposed of each year (Geyer et al., 2017;
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3 Laville & Taylor, 2017). The amount of annual production of
4 plastic is so large, it is almost incomprehensible. For
5
6 instance, every hour nearly 55 million bottles are discarded
7
8 globally, enough to create a pile larger than the Cristo statue
9
10 in Brazil; in the past 10 years, 4 Trillion bottles have been
11
12 thrown away; when manifested visually this amount dwarfs
13
14 Manhattan (Ghosh, 2019). This works to the benefit of producers,
15
16 for as long as they can mask the impacts of this production,
17
18 they are given social license to continue to produce and
19
20 perpetuate the petrochemical markets (McKay, 2019).
21
22 Specifically, as the world shifts away from fossil fuels,
23
24 petroleum companies are now shifting to cover their losses and
25
26 are producing more and not less petrochemicals - in some cases
27
28 over 40% of production is going towards petrochemicals - which
29
30 are the feedstocks for plastic (McKay, 2019; Tullo, 2019).
31
32 According to current projections of the increase of plastics, by
33
34 2050 plastic production could account for 20% of global oil
35
36 production (Giacovelli, 2018) and plastic waste could increase
37
38 four times what we currently dispose of globally (Geyer et al.,
39
40 2017). Most of this plastic burden falls on Asia (Brooks et al.,
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42 2018; Jambeck et al., 2015).
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51 "Solid waste is the most visible and pernicious by-product
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53 of a resource-intensive, consumer-based economic lifestyle"
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55 (Hornweg & Bhandal-Tata, 2012: 3). In a survey of waste management
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3 in the global south literature, every case sites environmental
4 contamination as a result of lack of management, including air
5 contamination, ground and surface water contamination, and
6 disease vectors (Ferronato & Torretta, 2019). Despite much
7 publicity around the idea of recycling and recovery of plastics,
8 to date this practice has not proved successful for the recovery
9 and reuse of this material. Annually, 40% of plastics are sent
10 to landfills; 32% is leaked directly into the environment; 14%
11 incinerated and/or are used for energy recovery; and 14% are
12 collected for recycling, but of this only 2% is truly recycled
13 (through a one-for-one recycling), 8% downcycled and 4% lost in
14 the production process (World Economic Forum et al., 2016)
15 (Geyer, 2017). Moreover, increasing waste generation compounds
16 with existing urban growth challenges (Diaz, 2011) and impacts:
17 air, water, and land pollution; GHG emissions; poverty and
18 slums; and livelihood and equity.

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Waste challenges appear in global south contexts where:
waste management systems are insufficient (Aleluia & Ferrão,
2016; Diaz, 2011; Ferronato & Torretta, 2019; Guerrero et al.,
2013; Gourmelon, 2015; Hoornweg & Bhada-Tata, 2012; Vidal,
2014); plastic packaging replaces organic (traditional)
materials (Clapp & Swanston, 2009); waste from the global north
is shipped to the global south to dump or reprocess (Clapp,
2002; Kojima, 2009; Tue et al, 2013); toxic materials and

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3 environmental regulations are not in place and/or ignored for
4 the sake of economic gain (Tian et al, 2011; Wang, 2017); civic
5 society has limited input into the methods of handling waste
6 materials and/or is not aware of the full impacts of waste
7 (Knobaugh, 2009; Maffini et al., 2006); and historic legacies of
8 environmental and social degradation (Medina, 2010, 2008). This
9 research also contributes to broader dialogues on waste
10 management in the global south, and joins the conversation with
11 narratives on growth, consumption patterns, and unsustainable
12 resource use (Klein, 2014; Hawken, 2017; Moore 2011; Norberg-
13 Hodge, 2014). Ultimately, plastic waste generation imbalances
14 and inequalities will continue to increase with growing
15 consumption patterns and urbanization, if linear extraction-
16 production-use-disposal-repeat models persist.
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34 35 36 37 **Systems Theory**

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39 Systems theory says an unsustainable system is, "a system
40 undermining its own means of support" (Meadows, 1999). In order
41 to shift from an unsustainable to a sustainable system, the
42 first step is to understand the systems *processes* that drive the
43 systems. Systems are networks that consist of elements,
44 interconnections, and overlapping functions/purpose (Meadows,
45 2008). Systems theory views a problem or challenge as part of a
46 process or system, and not as an isolated event, and is a
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3 holistic and integrative way to look at problem-solving that is
4 not reductionist (Meadows, 1999). Systems thinking helps to
5 overcome the micro-macro divide and acknowledges how seemingly
6 disparate parts and actors fit within the whole (Scharmer,
7 2013). Instead of focusing on the individual or individual
8 events, "a system improves by strengthening the relationships
9 among its parts" (Stroh, 2015; 120). Systems thinking draws
10 network maps and feedback loops between what once seemed like
11 disparate parts, and shows how it is all connected (Stroh,
12 2015). Analyzing actors and relationships that make up a systems
13 network allows the researcher to understand linkages; gaps;
14 blockages; collective intelligence and resources within the
15 network; problem-solving pathways; and potential and leverage
16 points for change (Freeman, 2004; Senge, 2006, 2014; Wiek et
17 al., 2011).

18
19 Systems function as *"the external manifestations of*
20 *cultural thinking patterns and of profound human needs,*
21 *emotions, strengths, and weaknesses"* (Meadows, 2008: 167). The
22 systems theory worldview includes traits such as focus on
23 creating opportunities; people and knowledge based; long-term
24 focus; dynamic and intuitive; and collective growth (Banathy
25 1996). If the system is broken and not functioning to bring
26 about well-being, inquiring into the network of social patterns
27 and interactions reveals blockages, deconstructs habitual

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3 patterns, and uncovers leverage points so that the system can
4 transform in a positive way (Argyris & Schon, 1978; Bausch,
5 2001; Capra & Luisi, 2014; Checkland and Poulter, 2010; Meadows,
6 1997, 1999, 2008; Ricigliano, 2012, 2017; Scharmer, 2009, 2013;
7 Scharmer & Senge, 2009; Senge, 2006, 2013, 2014; Senge et al.,
8 2005; Stroh, 2015).

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17 Systems thinking values the vertical and horizontal
18 integration of knowledge, and acknowledges that solutions can
19 come from various places within the system (Meadows, 2008; Wiek
20 et al., 2011). Applying systems thinking guides the researcher
21 to understand waste systems patterns; provides the ability to
22 reflect on positive and negative feedback loops; acknowledges
23 interconnections and overlapping responsibilities and interests;
24 and this framing avoids the habitual patterns of siloed problem-
25 solving that recreates imbalances (ibid.). This research uses
26 systems thinking to map the social network of waste stakeholders
27 in Colombo, as well as consider the deeper levels of social
28 change for shifting plastic waste practices. In doing so, the
29 research works with systems thinking to connects the dots
30 between interactions; listens to strategies for change; and
31 inquires deeply within the network of stakeholders to create a
32 dynamic picture of the current situation, and provide a point of
33 departure for future waste decision-making (Checkland & Poulter,
34 2010; Scharmer, 2015).

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3 Scharmer (2013) reflects on how trajectories in complex
4 problem-solving scenarios often recreate problems they are
5 trying to solve: "We collectively create results that nobody
6 wants because decision-makers are increasingly disconnected from
7 the people [and the environment] affected by their decisions"
8 (46). This concept of 'trajectories' is important for
9 understanding waste solutions, as for instance certain built
10 mechanisms lock municipalities into long-term waste trajectories
11 (i.e. building a new incineration plant that necessitates
12 waste). Unsustainable waste processes are those that are not
13 regenerative or supportive of circular life processes, and
14 "interfere with nature's inherent ability to sustain life"
15 (Capra & Luisi, 2014: 353). Understanding ways to minimize and
16 redirect unsustainable waste flows is fundamental for
17 establishing a trajectory of waste minimization, with minimal
18 social and ecological footprints in global north and global
19 south countries alike. Systems thinking fosters the "change
20 agents" and "transitions managers" for complex sustainability
21 problems, and integrates, "use-inspired knowledge to
22 transformational action in participatory, deliberative and
23 adaptive settings" (Stroh, 2015: 203-04).

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51 Highly complex problems require a framework for problem-
52 solving different from the type of thinking that created the
53 blockages in the first place (a shift from the general
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3 assumptions of waste management to materials awareness and waste
4 reduction, for instance). Systems theory marks a paradigm shift
5 from modes of mechanistic thinking and mechanistic worldviews,
6 to ecological, holistic, and integrative thinking (Capra &
7 Luisi, 2014). Systems approach applies to various constructs:
8 limits to growth (Goldsmith, 1972; Meadows et al., 1972); socio-
9 ecological sustainability (Atkisson, 2012; Senge, 2013; Senge et
10 al., 2013; Stroh, 2015); ecological and spiritual divide
11 (Scharmer 2009, 2013); peace processes and complex social
12 problems (Ricigliano, 2012); and climate change and the state of
13 the world (Capra & Luisi, 2014). The researcher has yet to see
14 systems theory applied to waste issues, thus making this
15 research an exciting new departure for the theory.
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32 The **Iceberg Model** is a reference for the depth of systems
33 thinking (Meadows, 2017; Senge, 2006). In the iceberg, the
34 single event (tip of the iceberg), links to deeper patterns of
35 behavior, structure, and mental models (the iceberg hiding below
36 the surface). This model emphasizes both the capacity for change
37 and learning that a system can undergo to reach this change.
38 Systems questions for the Colombo waste context include: How
39 might it be possible for actors within the system to work
40 together in new ways and to share information and resources for
41 plastic waste awareness and reduction? How can new solutions
42 emerge when more stakeholders are involved? What are plastic
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3 pollution solutions and plastic alternatives discussed by
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5 stakeholders? What are blockages and challenges that the waste
6
7 management system repeatedly faces? With the systems thinking
8
9 approach, the researcher can step outside the siloed approach of
10
11 a single discipline, and focus on integrated problem-solving and
12
13 knowledge that emerges from various points within the system
14
15 (Freeman, 2004).
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21 **Context: Colombo, Sri Lanka**

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23 Located in the Western Province of Sri Lanka, Colombo, the
24
25 capital and the island nation's most populous city, is a
26
27 pertinent site for researching plastic waste in the global
28
29 south. The Western Province generates approximately 60% of the
30
31 nation's waste - Sri Lanka has a population of approximately 21
32
33 million inhabitants (UN, 2012), and around a fourth of this
34
35 population live in the greater Colombo area - and is the focal
36
37 point of collection and distribution of goods as well as the
38
39 recovery of materials for recycling and export. Urbanization and
40
41 increasing consumption patterns in Colombo result in
42
43 accumulating waste outputs (APO, 2007), coupled with waste
44
45 management challenges (Fernando 2017; JAICA, 2016) that, when
46
47 left unaddressed, link to an aggregation of problems including:
48
49 the spread of disease; water contamination; respiratory illness;
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51 habitat destruction; species harm; aesthetic blight; social
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3 injustices; and 'zones of sacrifice' for polluting
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5 infrastructure.
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8 Like many economically developing countries, Sri Lanka is
9
10 challenged with balancing pressures of development and
11
12 sustaining the social and environmental richness at the heart of
13
14 the Sri Lankan identity. Within this development discourse,
15
16 waste currently grabs the attention of Sri Lankans due to rising
17
18 awareness of waste impacts (Abhayagunawardena, 2017;
19
20 Bulathsinghala, 2017; Berenger & Fazlulhaq, 2009; Dias, 2017;
21
22 Kariyawasam, 2017; Nafeel, 2017; Weeraratne, 2017a, b). Waste in
23
24 open landfills creates numerous social and ecological dilemmas,
25
26 including the proclivity of waste piles to provide homes for
27
28 mosquitos which creates corridors for diseases such as dengue
29
30 (Ayomoh et al., 2008). Unstable trash heaps can cause flooding
31
32 or landslides, as seen in the April 2017 Meethotamulla collapse
33
34 that killed dozens and buried over 100 homes. Open waste pits
35
36 also cause health impacts to wildlife, as many species including
37
38 elephants scavenge these piles and regularly eat plastics
39
40 (Rodrigo, 2017). Waste dumping and waste accumulation in the
41
42 ocean disrupts marine life and creates hazards for fishing
43
44 livelihoods and coastal health, on which Sri Lankans depend
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46 (Ministry of Fisheries and Aquatic Resources, 2017; World Bank,
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48 2017); and studies now show the presence of microplastics in Sri
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3 Lankan coastal areas (Dharmadasa et al., 2017; Koongolla et al., 2018;
4
5 Rathnayaka et al., 2019; Viraj et al., 2019; Weerakoon et al., 2019).
6

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8 Yet, previous Sri Lanka waste research overlooks increasing
9
10 plastic use and plastic waste generation, and focuses primarily
11
12 on best practices for solid waste management (Eheliyagoda and
13
14 Prematilake, 2016; Fernando, 2019; Liyanage et al., 2015;
15
16 Menikpura et al., 2011);² or examining best practices for the
17
18 compost waste stream, which constitutes about half of the waste
19
20 generated in Sri Lanka (Gunaruwan and Gunasekara, 2016;
21
22 Madusanka et al., 2017).
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27 **Methods**

28
29 The researcher hypothesizes that social structures and
30
31 political and cultural dynamics play a formative role in the
32
33 dominant practices to manage plastic waste. In general, waste
34
35 management is conventionally framed from a linear, engineering
36
37 perspective, to solve the waste problem with a technical
38
39 solution (for instance, to design a more efficient machine)
40
41 (Caruso et al., 1993; Hokkanen & Salminen, 1997; Yadav et al.,
42
43 2017). Delving into plastic waste issues from a *socially based*
44
45 perspective contributes insights for understanding interactions,
46
47 relationships, power, ethics, and social practices that
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54 ² The irony is that designing a better linear solid waste management system
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56 for the current realities of waste generation results in a system that self-
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58 perpetuates by generating more and more waste.
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3 construct the management of plastic in Sri Lanka. Moreover, this
4
5 approach does not presuppose the dominant narrative of
6
7 management.
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10 The research methodology includes: background document
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12 analysis on waste in the global south and Colombo; an extended
13
14 stay in Colombo for field observations, site visits, and 49 in-
15
16 depth, key consultant interviews; stakeholder social network
17
18 mapping; and thematic analysis from the interviews. The data
19
20 collected from the interviews was used to construct a social
21
22 network map, which provides a visual tool to understand the role
23
24 social interactions play in upstream and downstream plastic
25
26 management.
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30 This research is decidedly a pragmatic approach to understand
31
32 plastic waste practices, for the goal of improving waste
33
34 minimization in the real world context. Using a case study
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36 method of Colombo is an in-depth method for collecting data in
37
38 qualitative research and examining contemporary phenomena in the
39
40 real world (Yin, 2014). Case studies allow the researcher to
41
42 "examine social action in its most complete form," in all its
43
44 complexity (Feagin et al., 1991: 9). This method shows a
45
46 commitment to understanding social processes and patterns in
47
48 order to promote social betterment (ibid). This method is
49
50 appropriate for this research because "qualitative work enhances
51
52 communication with the society and the world" (Gergen et al.,
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3 2015: 1) and case studies are useful for understanding complex
4 scenarios; doing exploratory research; descriptive research;
5
6 analyzing the implementation and effects of policy on the
7
8 ground; and analyzing processes of social change and social
9
10 dynamics (Outhwaite & Turner, 2007). The case of Sri Lankan
11
12 materials flows could be called a 'crucial case' because of the
13
14 current urgency and unresolved nature of the plastics problem
15
16 (Given, 2008).
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21 The 'how' and 'why' approach to case studies also lends itself
22
23 to a critical, pragmatic lens. Taking a critical approach to
24
25 social situations means deconstructing social norms to bring out
26
27 alternative voices and alternative descriptions of the world
28
29 (Hochstetler & Laituri, 2014). In this process of describing the
30
31 case, the researcher "makes us look again, in a fresh way, at
32
33 that which we assume about the world because it has become
34
35 overly familiar...in this way, new spaces are opened for thinking
36
37 about the past and the present and, therefore, how we construct
38
39 the world" (Fierke, 2001: 122). Describing the case, describing
40
41 the actors involved, allows systems patterns to emerge that were
42
43 previously hidden or obscured (Hochstetler & Laituri, 2014).
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48 The field research in Colombo was on-and-off over a 2.5
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50 year period. During the first four month period in Colombo, the
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52 researcher had dozens of informal & semi-formal interviews with
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54 stakeholders such as waste industry directors; upcyclers;
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3 academics in the waste/environmental field; local nonprofits;
4 local civic groups; etc. . These interviews were also used to
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6
7 'snowball sample' for further people to include in the study.
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9
10 The researcher also used this time to collect reports.
11
12 Collecting data in the field was important because there is no
13
14 central repository or database for information on Colombo's
15
16 waste situation (which means that even if reports are made, the
17
18 historical memory can be very short).
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21 The core of this research focused on in-depth key consultant
22
23 interviews, that were conducted in the second 6 month stay in
24
25 Colombo. Key ideas the researcher was looking for in each
26
27 interview included: 1.) Stakeholder awareness of plastic waste
28
29 management and challenges and interaction with other
30
31 stakeholders; 2.) Strategies and solutions for the
32
33 aforementioned; 3.) Blocks, gaps, and challenges; and, 4.)
34
35 Leverage points for change, alternative materials use, and
36
37 points of interconnection between stakeholders (see interview
38
39 questions in Appendix). Stakeholder interviews included the
40
41 following actor groups: national and local government officials,
42
43 environmental lawyers, Sri Lankan and international NGOs,
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45 business owners, academics, recycling companies, plastic
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47 production companies, waste management directors, social
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49 enterprise, and concerned citizens groups. A diverse range of
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51 stakeholder interviews allows for voices from various points
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3 within the system to emerge, which clarifies why problems
4 persist and highlights opportunities for collaboration, as well
5 as highlights opportunities for shifting the waste system
6 towards more socially and ecologically sound practices.
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12 The interviews provided the bulk of the data, along with
13 field visits, and relevant document review (academic articles,
14 newspapers, government policy, and NGO reports). The interview
15 notes were analyzed into two core themes of 'solutions' and
16 'blocks,' and from these subthemes were compiled, which reflects
17 the diversity of voices within the waste stakeholder network. In
18 total, the researcher completed 49 formal interviews, all of
19 which were over one hour, some had a duration of over two hours;
20 and some key consultants also partook in repeat interview
21 discussions (as they were part of semi-formal interviews in the
22 first phase and helped hone the interview questions). One
23 interviewee afterwards decided they did not want their
24 information used in the research, so officially the data from 48
25 interviews is used in this report.
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44 Unforeseen issues in the research process included several
45 disruptions. The researcher contracted severe dengue (ironically
46 one of the impacts of plastic pollution) at the end of April
47 2018. From October 2018 through the first week in January 2019,
48 Sri Lanka had a governmental crisis, where both Wickremesinghe
49 and Rajapaksa were acting as prime minister, and effectively the
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3 government was not functioning (this meant no official
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5 government interviews, and most other offices were closed). And
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7 then at the end of April 2019, Sri Lanka was hit with a
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9 coordinated bombing, that destabilized the situation in Colombo
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11 for several months. Other obstacles in the research process
12
13 included the difficulty of contacting stakeholders and key
14
15 consultants for interviews, often it took multiple phone calls,
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17 emails, even showing up at the office. In some cases it took
18
19 over one month to coordinate a time to meet. This uncertain time
20
21 duration highlighted the need to be in Sri Lanka, otherwise, one
22
23 would not be able to complete the research. Also, the researcher
24
25 discovered that email is often an ineffective mode of
26
27 communication in Sri Lanka, especially with the government. An
28
29 online survey, for instance, would have been the wrong method
30
31 for gathering data. The researcher also would have liked to make
32
33 sound recordings of all the interviews (to make it easier in the
34
35 note-taking and transcription process); however, in the initial
36
37 visit to Sri Lanka she observed an uneasiness by people to be
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39 interviewed. In order to have more fluid interview discussions,
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41 the researcher opted to do without the voice recording and take
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43 copious notes.
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53 **Results**

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Table 1: Interview Themes: Solutions proposed by stakeholders, and main blocks identified by the 48 key stakeholders interviewed.

Solutions & Strategies	Blockages, Gaps
1. Segregation of materials (segregation is primarily wet and dry in Colombo)	1. Systems blocks (i.e. lack of communication, interaction, coordination; some efforts undermine others)
2. Banning single-use (policy to enact change in plastic practices)	2. Data & historical memory (i.e. lack of current waste categorization by gov., last official study was +10yrs ago and gov. continues to cite 6% plastic, although KOICA (2017) shows plastic almost triple)
3. Moving waste 'away' (landfilling; incineration; export recycling)	3. Materials awareness and capability gaps (human dimension)
4. Creative collaborations for waste (multi-stakeholder, across domains such as Navy, MAS and BEIRA)	4. Local capacity (structural, lack of recycling capabilities on island, rely on export)
5. Boost existing efforts (from across domains such as existing recycling; existing collection; existing education efforts)	5. Policy and Governance (lack of access, lack of transparency, time lags, non-responsiveness)
6. Spreading awareness (various forms of education)	6. Transparency and Accountability (unclear pathways of responsibility)
7. Alternative materials and creative reuse (i.e. areca nut and banana leaf packaging; upcycling)	7. Responsibility & Burden of Knowledge (i.e. who is supposed to take action, citizens, government, business, or all?)
8. Neighborhood monitoring and education champions (decentralized waste action)	8. Attitude Blocks (caste, NIMBY, poverty)
9. Debunk the myth of "plastic as hygienic" to reveal health harms (i.e. endocrine impacts)	9. Agency and Access (i.e. who has access to waste streams, as well as who has access for making policy)
	10. Urbanization, gentrification and busying lives in Colombo (waste concerns overlooked)

Table 2: Further elaboration of themes generated by stakeholder interviews. Examples of quotations from the key consultant interviews as they relate to each of the main themes in Table 1; stakeholder code is in parenthesis.

Theme	Example Quotation from Key Stakeholder Interviews
Attitude	<ul style="list-style-type: none"> -“Waste is seen as a poor man's business” (Ac) -“People like to use easiest method so of course there are problems with compliance” (B) -“Rural areas are easier to have conversations about waste; suburban new rich are harder to have conversations” (INGO) - “People will change if it fits within their business model, and do not have to let go of staff. Need to be practical with solutions so can survive. However good intended, must be sustainable” (i.e. must sustain business) (B)
Awareness	<ul style="list-style-type: none"> - “In villages [women] use plastic & yoghurt cups as a Firestarter...“polythene baked rice”...especially practiced during rainy season when its harder to get wood” (NGOV) - “Right now the extent of EPR is just ‘putting up bins’ .. wanted to put 200 bins in schools” (B) - “Need to teach kids, shop owners, give responsibility back to producer; encourage fines” (i.e. Give police the authority to fine) (LGOV) - “99% people are aware of the [waste] issue” (SNGO) - (Awareness challenge): “Different communities, different ethnicities, different messaging” (LGOV)
Blockages/challenges	<ul style="list-style-type: none"> - “Moment industrial waste leaves industry becomes MSW in Sri Lanka!” (B) - “Delaying the inevitable if making [recycled plastic] brushes” (B) - “Didn’t stay long enough to make it work , [they] forget and move onPolitics prevented a really good deal from taking place” (INGO) - “Local authority say garbage the Property of Municipal Council so people [recyclers] not supported to do anything” (R)
Historical Patterns/Context	<ul style="list-style-type: none"> - “Start of open economy in Sri Lanka in 1977...increased consumerism but people didn’t realize the consequences” (Ac) - “Pyrolysis plant opened in 2008 in BOI Horana. Only ran for six years” (B) - “Colombo established in the British era, the city can’t expand...totally urbanized, rushed, no plan here” (PGOV) - “the aquifer is just 3-4 meters deep” (NGOV)
Gap	<ul style="list-style-type: none"> - “It’s scary talking to ministers, they don’t want to hear from kids” (Civ) - “New solid waste policy doesn’t consider liquid or gas waste, covers priority area waste” (i.e. hospital, industry) (NGOV) - “Local authorities don’t have a mechanism to use sorted garbage, but still ask to sort” (SNGO) - “Have not done categorization yet of waste collected” (LGOV)
Leverage	<ul style="list-style-type: none"> - [On Diapers]: “have enough water to do a washing service here, but now the culture will need to be shifted back” (NGOV) - “If a local authority can produce project plan, with payback potential, they can get loan with low interest with a 2-3 year grace period...they don’t have to wait for provincial government to allocate money” (NGOV) - “Awareness programs for temples, government officials, schools” (NGOV) - “social media is very successful to target kids and housewives , whose free time is on social media” (Civ)

Materials/resources	<ul style="list-style-type: none"> -[On alternative material] “biggest problem there is no market... not easily marketable” (i.e. coconut, areca, banana) (SNGO) -[on LDPE]: “very usable, no ban, and competition for recycling” [On HDPE] “only bag ban, other materials not banned” [On PP] “pellets can be used for any kind of injection mold” [On PET]: “sort and sell to companies under the radar” (R) - “Free trade zone prohibits access to excess materials and waste but could be a huge potential there” (Ac) -[on challenges for collection]: “PVC contamination and lack of systems for PET bottle collections” (R)
Responsibility	<ul style="list-style-type: none"> -[On business] “realize have a social license” (B) - “Everyone talks about wanting to do the right thing, but it doesn’t happen” (P) -[responsibility gap]: “Every local authority is mandated to make a composition analysis one time a year” (LGOV) - “No policy consistency, when government changes, policy changes” (PGOV)
Solutions	<ul style="list-style-type: none"> - “Composting not successful because people put [their compost] in plastic bags” - “Conscious consumerism.. try to make a habit for kids” - “Need 40-50 collection centers island wide.... scale up, baling, weighing, pay for PET” (R) - “The 10 R’s: Reject, refuse, reduce, reuse, repair, replace, recycle, rethink, remember, repeat.” (SNGO) - “Community waste/resource banks at council level” (SNGO)
Strategy/TOC	<ul style="list-style-type: none"> -[On greenwashing]: “CSR mismatch such as plastic [business] and planting [trees]” (Ac) - “Create community awareness by targeting different sectors of population...school kids, women’s groups, community orgs, credit groups, youth groups, hotel associations, low income communities” (SNGO) -[Strategy to commit]: “Sign agreement with local homes” (SNGO) -[strategy fail]: “plastic policy is the perfect example of ‘wrong decision-making’” (NGOV)
Systems	<ul style="list-style-type: none"> - “Households completely confused about recycling. Even educated people don’t know! Consumers are confused, so many kinds of plastic, what to do?” (INGO) - “paper bottle man” [takes] glass bottles, newspapers, cardboard, but not plastic” (Ac) - “Rich politicians take waste contracts” (Ac) - “Very complicated at bottom levels” (i.e. informal collectors) (Ac) - “Recyclers are not keen on changing what they’re are doing, they don’t see the opportunity to change their existing business model; [they] also don’t have the capital for machines” (B)
Waste statistics	<ul style="list-style-type: none"> -“49 municipal councils in western province with 7 zones (each zone manager coordinate with local authority and plan and communicate)..haven’t done a composition [analysis] in over 10 years.” (PGOV) -[there are] “over 100 collectors/‘recyclers’ but only one formal recycler BEIRA, [which makes] polyester for Adidas and Nike...[operate] at below 50% but get over 205mt a month [PET]... approx. 1400 mt in the Sri Lankan economy – 400 collected, 200 exported – [which leaves] about 800mt left behind and hard to capture” (R) -“22% of CMC budget is management and disposal, every 4years is a 5% increase” (LGOV) -“White Bottle/clear glass: 1kg = 4.5r; colored glass: 1kg = 1r; PET: 1kg = 25r; Paper: 1kg = 6r; cardboard: 1kg = 10r; coconut 1kg = 10r” (LGOV)

Table 3: Coding of stakeholders. These labels were used to code information from the interviews, to provide supporting evidence of the themes and discussion.

Stakeholder Classification	Code
Business	B
Plastic Manufacturer	P
Recycler/Recovery/Upcycler	R
Sri Lankan NGO	SNGO
International NGO	INGO
National Gov (ministry)	NGOV
Local Gov	LGOV
Provincial Gov	PGOV
Academics	Ac

Association (business)	Assoc
Civic Organization	Civ
Field Visits/time in field	FV

The interviews, site visits and document analysis show that the dominant waste discourse in Colombo is one of a linear trajectory to the landfill; but the emerging discourse is one of minimization and resource recovery. The interview themes and subthemes were highlighted through thematic analysis of the interview discourse, and key ideas relating to plastic waste awareness reduction were highlighted [Table 1 & 2]. A social network map was created using the data from the interviews [Figure 1] to show interactions amongst waste stakeholders, in order to visualize the system fully, and consider how the network both helps and harms plastic reduction efforts. Gaps in interaction in the network highlight lack of access, and blocks to collaboration. Connections also signify ideas sharing, such as ideas on zero waste practices, or alternatives to plastics. The network map is a live mapping application that can be accessed online (via the link in the Figure 1 caption), designed to be a tool for stakeholders to use to work on blockages as well as seek new modes of collaboration.

Table 1 highlights the main points of discussion that came out of the interviews on plastic waste challenges, as well as strategies and solutions to address these challenges. These

1
2
3 themes all derive from the key stakeholder interview process.³
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5 The researcher began coding while transcribing the notes, and
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7 started broadly in order to be able to capture all of the
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9 concepts discussed by the key consultants and then at the end
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11 narrowed into key themes. At the end of the transcription
12
13 process, certain themes were beginning to stand out. The broader
14
15 list of thematic areas included these subheadings: single-use;
16
17 lunch sheets; segregation; clean-ups; enforcement; leverage;
18
19 'government getting in the way'; hazardous waste; E-waste;
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21 health aspects; attitude; symbolic gestures; 'ease of change';
22
23 greenwashing; corruption; the system; theory of change/strategy;
24
25 gaps; context; awareness; policy; partners; foreign influence;
26
27 and responsibility. By the time coding was finished, the
28
29 researcher surmised that issues could be best addressed by
30
31 focusing on the main themes, as addressed in the solutions and
32
33 blockages sections, as well as highlighting key actions for
34
35 reducing plastic waste. The themes were organized in this way to
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37 be 'actionable' by stakeholders (pragmatic applied research).
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44 Themes evolved as the researcher reread the interviews and
45
46 transcribed all the notes (working with interview data). Many
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48 aspects of the interview discussions fall between categories.
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50 For instance, the quote, "waste is seen as poor man's business
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56 ³Each theme is described in greater detail in Conlon (2020).
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3 but still rich people control at the top" (Ac) can fit in the
4 category of system, as well as attitude, and awareness (of the
5 system). In another example, this quote similarly addresses a
6 number of overlapping issues of attitude, (points of) leverage,
7 responsibility, and government blocks: "99% of people are aware
8 of the [waste] issue...even rural people are willing to make
9 changes...but the system does not allow...[and citizens] blame the
10 government" (SNGO). Certain categories stood out as clear cut,
11 such as single-use bans, so whenever a stakeholder discussed
12 single-use that sentence was highlighted, such as, "We encourage
13 minimization of single-use plastics across the company" (B).
14 Similarly, on other specific topics like e-waste, the themes
15 were able to be pulled out directly, as relayed in the quote,
16 "Sri Lanka signed and stamped all treaties but still has no e-
17 waste processing" (R). Or as one interviewee describes several
18 blocks within one statement, "Government policy is not strong
19 enough to manage the waste we hand over to them. Media is not
20 supportive for change making, [and the] school education system
21 should be changed for such positive changes" (SNGO). This
22 statement touches upon the blockages themes of government
23 blocks; awareness (blocks); and responsibility of various
24 stakeholders. In one statement, one government agency
25 specifically said that they, "Do not work with NGOs because our
26 agendas don't match" (NGOV). These types of decisive statements
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3 show both system and collaboration blockages. Additionally, time
4 spent in the field helped the researcher decipher multiple
5 meanings within what was said, for instance the remark, "waste
6 is [the] environment" (SNGO), references the impact that waste
7 has on the environment, but also that waste in the Sri Lankan
8 context is managed through the Ministry of the Environment.
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12 In order to make the stakeholder map, the researcher
13 triangulated several data sources for the lines of connection:
14 what was said by stakeholders, what the researcher learned in
15 field visits, as well as connections revealed in articles,
16 newspaper, and NGO reports [Figure 1]. In the map, the lines of
17 connection represent a connection (not degrees of connection).
18 This map is interactive online, and one can zoom in and out to
19 see how all the different stakeholders are connected to each
20 other.⁴ The degree to which all of the stakeholders are connected
21 shows that CEA has the most connections (27); followed by Good
22 Market (23); other local authorities (12); Ecospindles (12); CMC
23 (10); EFL (10); and informal waste pickers (9). This information
24 can be referenced when considering decision-making and solutions
25 trajectories. In the online system, one can also highlight
26 specific characteristics of the stakeholder network to see how
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54 ⁴ The map was constructed to only reveal the publicly available information,
55 and does not identify any of the stakeholders by what was said in the
56 interviews.
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3 certain aspects unite or divide the network. The nodes are
4 colored accordingly to highlight (in different selection
5 windows) stakeholders working towards: education and awareness;
6 clean-ups; youth advocacy and inclusion; alternative materials;
7 and whether stakeholders receive foreign support for their
8 programs. For instance, highlighting the 'education and
9 awareness' characteristic and one can see that most stakeholders
10 have an aspect of this within their programming. If so much
11 energy is spent on education and awareness, and the system
12 operates at the current status quo, this leads to the question
13 of what does all of this 'awareness' actually accomplish and
14 what is it aimed for? Highlighting the tab for 'foreign
15 support,' one can see the stakeholders that depend on foreign
16 money for their waste agenda. Foreign dependency for waste
17 programs can influence what kind of actors and what kinds of
18 waste efforts get funded.

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21 By highlighting individual stakeholders within the network,
22 one can also see gaps in communication and interactions that can
23 provide critical information on network blockages - as well as
24 opportunities for change. To give a few different examples,
25 first look at CEA, the most 'networked' stakeholder on the map,
26 with 27 connections across the network (*Figure 11*). CEA is the
27 National policy making body for waste, and therefore has many
28 high-level, official connections. However, as evidenced in the

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3 map, CEA is not connected to informal waste pickers, and only
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5 has one connection with civic organizations. This signifies a
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7 gap between the policy making level and the on-the-ground
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9 reality. Essentially those on the ground dealing with waste
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11 issues on a daily basis, and also civic groups with emotional
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13 strong-ties to the waste issue, are not included within the
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15 formal waste management system.
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19 Similarly, examining the connections of CMC (Colombo
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21 Municipal Council), they are not connected with any of the
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23 recyclers. When considering alternative ways of recovering and
24
25 processing material, if the official waste management entity of
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27 Colombo is not connected with those offering materials
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29 solutions, this does not bode well for materials recovery
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31 (*Figure 12*). In another example, highlighting Coke, one can also
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33 see how the network operates and that Coke has several high
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35 level connections (at the Ministry, with the Chamber of
36
37 Commerce, etc.), which gives them agency and access and allows
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39 them to lobby for suitable policy for their operations (for
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41 instance, taking a stance against single-use plastic bottle
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43 bans). Examining the network from the perspective of those who
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45 are advocating for plastic reduction, Good Market stands out as
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47 a leader as a hub (with 23 connections) for spreading awareness
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49 about zero waste and plastic free packaging options. Although
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51 Good Market is highly networked with local enterprise, they lack
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3 the higher-level, lobbying connections when compared to Coke.
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5 Similarly, local NGOs and civic organizations that work on waste
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7 awareness and reduction are networked predominantly horizontally
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9 (amongst each other) rather than vertically.
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12 In the elements function on Kumu, one can also select
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14 'promotes alternatives' [to plastic] and visually see which
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16 stakeholders are actively promoting alternatives, as well as the
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18 connectivity within the network between those that promote and
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20 for instance, those who make policy and have agency to enact
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22 macro changes to the system (*Figure 2*). The visual shows those
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24 who promote in blue, and illustrates a significant divide within
25
26 the system. Zooming closer, one can see that the alternatives
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28 come predominantly from the local social enterprise, and those
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30 that do not promote alternatives are some of the key government
31
32 stakeholders. With this knowledge about the network, one can
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34 identify that alternatives that are emerging within the network
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36 of stakeholders do not have the same opportunities to access and
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38 influence policy; and also that policymakers are not aware of
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40 everything beneficial that is happening on the ground for waste
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42 reduction.
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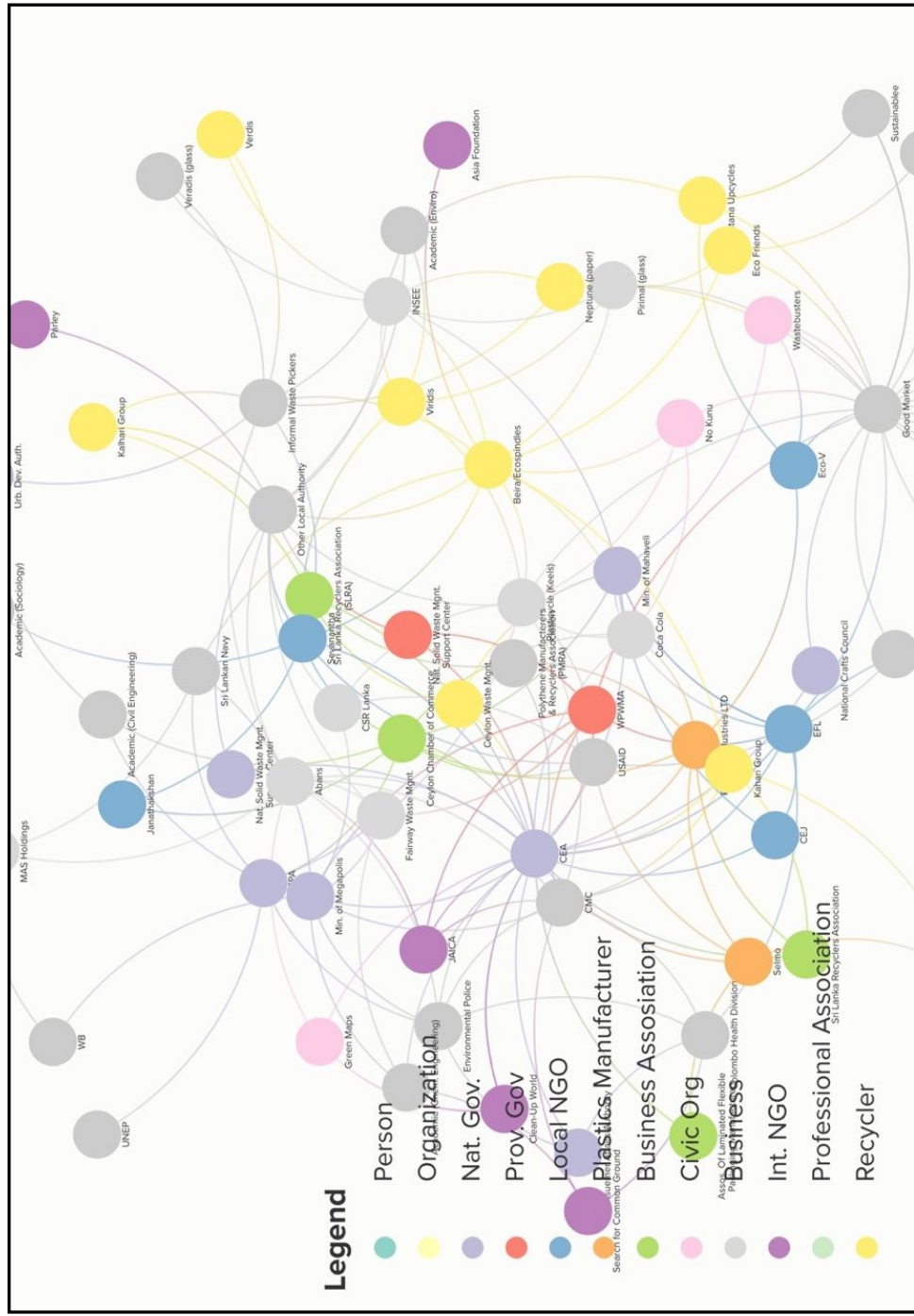
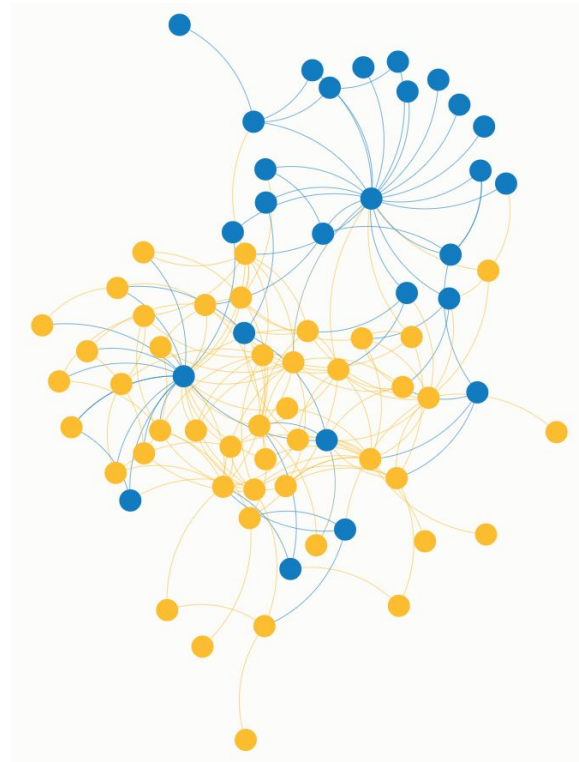


Figure1: Social Network Mapping of Key Consultants on waste in Colombo. This map illustrates the complexity of the waste network in Colombo, and is a tool to visualize the network of actors. This map shows network pathways for waste action between actors in the Sri Lanka system. Nodes show types of actors involved within the system, as well as their domain (i.e. Local NGOs, Recycling Companies, National Government, etc). Some connections illustrate current waste challenges as well as block change; while

1
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3 others contribute towards shifting waste practices. Note: all stakeholder network map
4 images are screenshots from the online portal. The formatting is not optimal for these
5 images because it is fluid for the online viewing and interacting. View here:
6 <https://kumu.io/kconlon7/colombo-waste-key-stakeholders>



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32 *Figure2: Promoting and not promoting alternatives.* This perspective breaks up the
33 stakeholder map (Figure 1) between those who promote plastic alternatives,
34 and those who do not. Blue represents those who promote, yellow is those who
35 do not. Visible is a cluster of blue 'ideas sharing' amongst the Good Market
36 network in the top right. In the online Kumu portal, one can zoom into the
37 nodes to understand more the network dynamics.

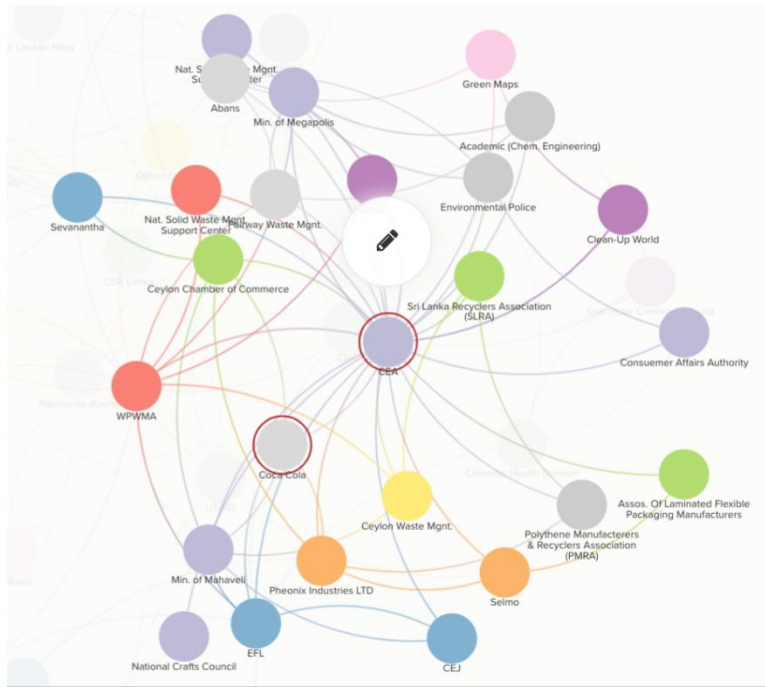


Figure 3: The 27 connections of the Central Environmental Authority (CEA). A visual example of their network capacity as well as connection blockages, for instance, to civic organizations.

Analysis

The system of linear waste management in Colombo ignores solutions existing within the network of stakeholders such as further segregation of materials (Civ, INGO, SNGO, R, NGov, Ac); banning single-use (SNGO, Civ, Ac); creative collaborations for waste (B, Civ, Ac, R, SNGO); boosting existing efforts from outside the municipal channels (B, R, INGO, Civ, SNGO, Ac); focusing on alternative materials (to plastics) (B, Civ, NGov); neighborhood monitoring and local champions (Civ, LGov); and taking a more critical look at the health impacts of plastics (LGov, SNGO, Civ). Furthermore, the linear approach allows for the systems blocks (all); the gaps in data and historical memory

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3 (INGO, Ac); infrastructure and training capabilities gaps (PGov,
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5 NGov, LGov, R, B, Assoc); knowledge gaps (LGov, Ac, B, Civ);
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7 transparency and accountability (Civ, SNGO, INGO);
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9 responsibility lapses (Civ, SNGO); policy gaps (LNGO, Civ, INGO,
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11 LGov); attitude blocks (all); and overlooking increasing
12
13 pressures of urbanization (INGO, SNGO, Ac). The system map
14
15 [Figure 1] shows a diversity of actors engage on waste issues,
16
17 yet those who are working towards solutions for waste reduction
18
19 are predominantly not those who are making the policy and
20
21 managing the existing waste systems. For instance, the local
22
23 government body responsible for waste management, Colombo Municipal
24
25 Council (CMC), is not connected to any civic organizations, recyclers, or informal materials
26
27 recoverers. The Central Environmental Authority (CEA), responsible for making national level
28
29 waste policy, is not connected to civic organizations or informal recoverers either, which results
30
31 in practical level understanding about the waste system to be overlooked.
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37 The system of linear waste management in Colombo ignores
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39 solutions existing within the network of stakeholders such as
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41 further segregation of materials (Civ, INGO, LNGO, R; banning
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43 single-use; creative collaborations for waste; boosting existing
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45 efforts from outside the municipal channels; focusing on
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47 alternative materials (to plastics); neighborhood monitoring and
48
49 local champions; and taking a more critical look at the health
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51 impacts of plastics. Furthermore, the linear approach allows
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53 for the systems blocks; the gaps in data and historical memory;
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3 capabilities gaps; local capacity gaps; transparency and
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5 accountability; responsibility lapses; policy gaps; attitude
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7 blocks; issues of access; and overlooking increasing pressures
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9 of urbanization to continue. Essentially, within the network are
10
11 solutions that could help minimize the social and ecological
12
13 impacts of waste, as well as work towards waste reduction;
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15 however, the management system is not currently designed to
16
17 collaborate with all stakeholders.
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21 When considering pathways for change, systems thinking can
22
23 differentiate between solutions that only solve for one problem,
24
25 and solutions that consider the interdependence of complex
26
27 issues (Berry, 2005). Looking only at one aspect of the system,
28
29 such as increasing waste infrastructure, can lead to a false
30
31 notion of problem-solving (O'Brien & Sygna, 2013). Incomplete
32
33 solutions include when destructive patterns are not
34
35 fundamentally resolved and impacts are displaced to other parts
36
37 of the network. Incomplete solutions are rife in existing waste
38
39 management models, for instance: filling up one waste dump and
40
41 then building another, creating more pollution locations (i.e.
42
43 Meethotamulla to Mutharajawela landfill) (SNGO, INGO, Civ);
44
45 incinerating materials which destroy resources, and perpetuating
46
47 the cycle of virgin material extraction (two incineration
48
49 projects are in construction) (SNGO); moving waste from one
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51 location to another through cleanups (Civ, SNGO); limiting
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3 segregation of materials to only two categories (wet and dry)
4
5 which results in limited recovery and most material ending up in
6
7 landfill (R, Ac, B, Civ, SNGO); etc. Systems waste management,
8
9 however, follows the premises of systems theory which emphasizes
10
11 social responsibility and ecological responsibility, and that an
12
13 injustice or imbalance anywhere, is a threat to systems balance
14
15 as a whole (Bausch, 2001).
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21 The current Colombo waste management emphasis on downstream
22
23 management affects how and where one can physically 'see' waste;
24
25 however, it does not address the output of waste, and ultimate
26
27 social and ecological impacts. For a true shift in discourse,
28
29 waste management needs to shift from the idea of better-managed
30
31 wastes to ultimately less waste (through alternative materials
32
33 and alternative practices). The government emphasis on
34
35 collection, and not minimization (Civ); segregation and not
36
37 recovery (R); and recycling pledges without the capacity (INGO,
38
39 R), which shows a disconnect between the practices of waste
40
41 management and the realities on the ground. The emphasis for
42
43 management has entered into the civic psyche, too, as well-
44
45 meaning citizens participate in beach cleanups, and at the end
46
47 of the cleanup, throw the waste collected into the landfill or
48
49 burn it on site (Civ). Households also have an increased
50
51 awareness in segregation post-Meethotamulla collapse, however,
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3 there is limited awareness about what happens to waste once it
4 leaves their doorstep (SNGO, Civ, Ac). Essentially, moving waste
5 (or matter-out-of-place resources) from one environmental
6 context to the next might accomplish peace of mind for the
7 municipality or for citizens; but ultimately waste in either of
8 these contexts is disruptive to the environment and adjacent
9 neighbors.
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19 Meadows (1997) describes leverage points as places to
20 intervene in a system. These are points where, when the system
21 is blocked, working from these points can nudge the system to
22 shift in a different direction. In the case of waste in Colombo,
23 leverage points represent bright spots in the narrative, and
24 highlight opportunities for stakeholders to delve into areas
25 such as: alternative materials to use other than plastics (B,
26 Civ, SNGO, NGOV, Ac); waste reduction strategies (SNGO, Civ,
27 Ac); collaborations for waste reduction (B, R, INGO, SNGO, Civ,
28 Ac). Such leverage points can be emphasized in designing and
29 strategizing new waste systems, such as piloting community-level
30 zero waste plans. The leverage points identified, moreover,
31 highlight the diversity of waste actors and the implicit
32 knowledge, experience, agency, and scope that goes beyond mere
33 management of waste.
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53 Leverage points in the Sri Lankan context are areas where
54 the system can shift from primarily 'downstream' waste
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3 management to an 'upstream' cultural shift approach. Systems
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5 thinkers often use the analogy of an overflowing bathtub. When
6
7 the bathtub is overflowing what do you do, grab a mop and start
8
9 cleaning up, or turn off the tap? It seems obvious to turn off
10
11 the tap, however, in the case of waste management in Colombo -
12
13 and similarly in other linear, waste management systems - what
14
15 really happens is that stakeholders allow waste generation to
16
17 continue, and grab the mop: more beach cleanups; focus on
18
19 collection; build bigger landfills; build incinerators; add more
20
21 technical infrastructure for managing waste; etc. This is the
22
23 current status quo waste management emphasis in Colombo. *Figure*
24
25 *4* shows this process of linear management; and *Figure 5*
26
27 highlights the deeper layers of patterns of behavior, structure
28
29 and mental models that can integrate more dimensions and
30
31 stakeholders to address the plastic waste challenges of Colombo.
32
33 *Table 3* shows that shifting from linear management to a systems
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35 management approach opens up the waste space for an increase of
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37 stakeholder involvement (optimizing the network) and increase in
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39 materials valuation, recovery, and plastic reduction.
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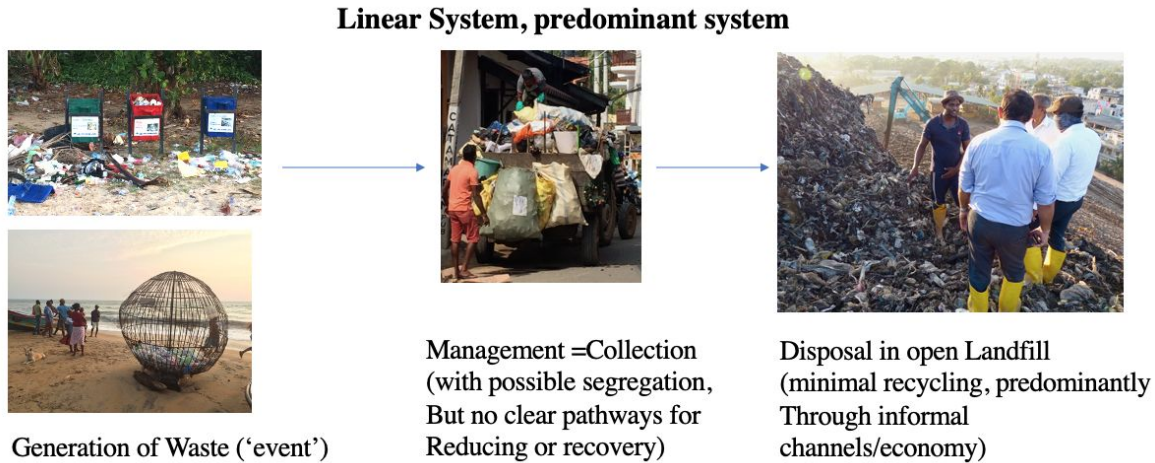
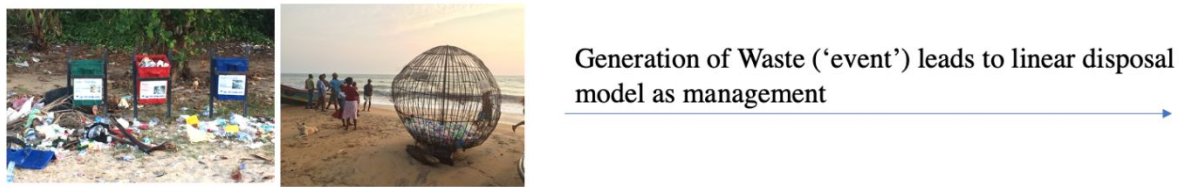


Figure 4: Current linear waste-to-landfill trajectory. With current management frameworks, this system perpetuates in Colombo. The fraction of waste that is collected is taken to one of the open landfills such as Mutharajawela or Karadiyana.



Shift from linear waste management to new systems approach

PATTERN OF BEHAVIOR

STRUCTURE

MENTAL MODELS

New strategy/policy filter, will result in new kinds of transactions, new politics of waste

Addressing how the parts are related & connect

Addressing values, beliefs, culture related to 'waste' to see materials as resources; minimize waste; awareness of broader environmental & social impacts etc.

Banning single-use or zero waste incorporated into policy, which alters the way waste is seen & requires community cooperation and collaboration; supporting waste champions

Collaboration amongst a diverse set of stakeholders (i.e. circular pathways to use wastes as resources); support existing network of waste workers (wastepickers, recyclers)

Changed through supporting narratives that advocate for upstream awareness and action on waste; creating a plastic-free culture and/or a waste-as-resource culture

Figure5: From linear waste management to a deeper systems approach. The linear approach does not allow for inclusion of all of the actors within the network; nor is it adequately incorporating ideas for overall waste reduction such as zero waste. The current management approach does not allow for more socially and ecologically responsible management options to emerge.

Table 3: *Shifting from linear management to systems management.* Systems thinking includes patterns, structure, and mental models that significantly increases the amount of waste stakeholders involved from the ground up, and allows for plastic reduction strategies to emerge. In parentheses shows the stakeholder that is primarily concerned with these waste practices.

	Waste Practices and Associated Stakeholders
LINEAR SYSTEM	Waste Haulers (B); Local, Provincial, National Gov. (NGov, PGov, LGov); Waste to energy (B); landfill owners (B)
PATTERN OF BEHAVIOR	Single-use ban advocates (Civ, I/SNGOs); plastic bag ban advocates (Civ, I/SNGOs); zero waste advocates (citizens, I/SNGOs, B); environmental awareness advocates (citizens, SNGOs, R); cleanups (Civ); waste edu programs (Civ, B, SNGO, R, Ac)
STRUCTURE	Upcycling & Fix-it centers (Civ &B); Reuse (Civ); Recyclers (R, INGO, Civ); ZW businesses (B, R, Civ); EPR structures (Civ); alternatives to plastics businesses and craftspeople (B, Civ, SNGO); Community collection & compost (decentralized waste) (Civ, B, R)
MENTAL MODELS	Professors teaching/researching about waste impacts, microplastics, upcycling, zero waste strategy etc. (Ac, Civ, B, Lgov, SNGO); Zero Waste champions (Civ, SNGOs);

A shift away from a linear waste model to a systems model would address patterns of behavior, structure, and mental models (Meadows, 2017; Senge, 2006) that could create an entirely new waste paradigm for Colombo. Considering the environmental and social blights of increasing waste streams, if the current linear system continues it will wreak increasing harm on the environment and vulnerable communities. Systems waste management shows a way forward that includes a multiplicity of solutions as well as a multiplicity of stakeholders within the existing

1
2
3 system. In essence, Colombo does not need to reinvent the wheel
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5 for a more accountable waste management system, authorities just
6
7 need to listen and incorporate those within the system. Shifts
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9 in policy such as single-use bans address patterns of behavior
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11 (proposed by SNGOs and Civ); creating new means for materials to
12
13 flow, such as extended producer responsibility (EPR) (proposed
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15 by B as well as NGOV) address structure; and aspects like new
16
17 learning models at schools and universities, and public
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19 awareness campaigns, target a shift in mental models (proposed
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21 by NGOV, Civ, B, SNGO, INGO, R, Ac). Combining these efforts can
22
23 create a plastic waste reduction trajectory.
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28 Waste is political, social, ecological, economic and
29
30 cultural; what is 'thrown away' essentially lies at a confluence
31
32 of forces. There is not a silver bullet, single path for waste
33
34 solutions, but a multiplicity of options as illustrated through
35
36 the systems management analysis. Leverage points can be used to
37
38 move beyond the highly politicized context of Sri Lankan waste
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40 politics, and towards solutions that emphasize reduction rather
41
42 than distancing. Waste should be seen as a unifying topic beyond
43
44 normal politics, as everyone suffers the effects of a non-
45
46 functioning waste system. As waste management and waste streams
47
48 become more complex - new forms of plastics, e-waste, new
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50 systems of recycling - the challenge is to integrate a plurality
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3 of voices into the decision-making processes so that
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5 environmental and social concerns are heeded.
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10 **Implications for the Global Waste Dialogues**

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12 The microcosm of Sri Lanka shows us that the dialogues on
13
14 waste reduction are predominantly happening outside of politics:
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16 by local businesses, civic organizations, and NGOs. Thus,
17
18 broadening the dialogue on waste is important for identifying
19
20 alternatives to plastic and current waste practices. In the Sri
21
22 Lankan case, international businesses and NGOs working on waste
23
24 issues - and the money they bring - do not prioritize a lens of
25
26 plastics and waste reduction, but emphasize sharing of
27
28 technology within the framework of the linear waste status quo
29
30 (i.e. through engineered landfills; partnering on incineration;
31
32 financing collection programs). In the context of other
33
34 developing nations battling their own waste crisis one can ask:
35
36 What trajectory of waste management is being funded by foreign
37
38 investment, linear waste perpetuation or waste reduction?
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44 The stakeholder network map for waste stakeholders in
45
46 Colombo shows that plastic waste is an issue that extends beyond
47
48 the normal, linear confines of waste management experts - to
49
50 students, lawyers, professors, manufacturers, recyclers, local
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52 and international businesses, local and international
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54 organizations, etc. When deconstructing and addressing the
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3 plastic waste issue in other contexts, including the diverse
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5 local network of stakeholders will help to construct policy and
6
7 implementation that is locally-appropriate, locally-feasible,
8
9 and inclusive of environmental and social concerns. Islands
10
11 especially do not always have the infrastructure capacity to
12
13 recycle all materials (for instance, the Philippines with over
14
15 7000 islands, and their plight with TETRA). In this case, what
16
17 is an ecologically acceptable distance to ship waste and/or
18
19 recycling, if at all? How does waste management intersect with
20
21 the carbon footprint? Can Local stakeholders be encouraged to
22
23 find out solutions for excess materials (such as the *Bottle-Up*
24
25 project to reuse glass on Zanzibar Island, which has an excess
26
27 of glass with no recycler (Bottle-Up, 2020). How can local
28
29 artisans and manufacturers be supported to create alternatives
30
31 to plastics to support local businesses as well as livelihoods
32
33 (such as seaweed to replace plastics, grown in Indonesia
34
35 (Augustin, 2020)? As waste is a cultural artifact of what is
36
37 externalized locally, every community, town and city has the
38
39 opportunity to examine what is 'wasted' and figure out what can
40
41 be refused, minimized, redesigned and reused within the local
42
43 context. For cities ready to take this step, the Global Alliance
44
45 for Incineration Alternatives recently created a Zero Waste
46
47 Masterplan and website that acts as both rough guide and
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3 inspiration for these local actions happening at a global scale
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5 (GAIA, 2020).
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8 9 **Conclusion**

10
11 Sri Lanka currently faces a complex web of social and
12
13 ecological challenges in managing an increasing plastic waste
14
15 stream, and this research shows that although the network of
16
17 waste stakeholders is robust and experienced, current waste
18
19 trajectories continue to recreate pathways of harm, as well as
20
21 ignore the diverse voices within the system. Collaboration that
22
23 could be used to overcome hurdles is instead being thwarted by
24
25 siloed thinking on waste issues; and experts have not tapped
26
27 into the potential synergies of working with passionate civic
28
29 leaders, NGOs and academics. Waste management is still seen as a
30
31 linear trajectory, where downstream solutions for landfilling
32
33 and incineration dominate the narratives and upstream approaches
34
35 for waste reduction are overlooked. As a result of high-level
36
37 oversight, the considerations of waste as a social issue and
38
39 waste as an environmental burden are neglected. Although,
40
41 alternatives to plastics and strategies for nonlinear waste
42
43 management are emerging from the network, yet still in nascent
44
45 stages and not officially recognized. With more official
46
47 support, ideas like zero waste, circular economies of materials,
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49 and local plastics alternatives could make a broader impact -
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3 even serve as regional and global examples. If those within the
4
5 Colombo waste network acknowledge the shortfalls of the current
6
7 waste system, then these alternatives pose ready solutions for
8
9 practices to work beyond the current waste management practices.
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12 Ultimately, this research concludes that not only does
13
14 Colombo's linear model of waste management perpetuate the
15
16 wasteful resource-to-landfill model (and soon to follow the
17
18 resources-to-incineration model), and these trajectories limit
19
20 the amount of collaboration between stakeholders within the
21
22 local context. Sri Lanka has a diverse network of waste
23
24 stakeholders, and if more attention is paid to the system's
25
26 actors as a whole, deeper level systems change can emerge from
27
28 the existing knowledge and expertise within the network. The
29
30 analysis outlines contextually-appropriate ways for waste
31
32 reduction change to occur through patterns of behavior,
33
34 structure, and mental modes (Meadows, 2008). Shifting from
35
36 linear waste management to a systems management plan will
37
38 significantly increase the amount of waste stakeholders
39
40 involved, address social and ecological concerns, and allow for
41
42 new and existing plastic reduction strategies to emerge.
43
44 Learning from the waste context in Sri Lanka, this research
45
46 contributes to emerging dialogues on waste imbalances and
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48 injustices in the global south, as well as broader dialogues on
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3 consumption; critiques of the growth paradigm; and strategies
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5 for environmentally and socially sound waste practices.
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Appendix

Interview Questions

Interview Guide	
Introduction	--Brief explanation of research project & IRB (researcher)--
Warm Up: (open dialogue)	<ul style="list-style-type: none"> • Name of organization/position/livelihood, geographical location, and people involved (structural) • Please briefly describe your organization/position/livelihood and your organization's role in materials flows/waste management? (structural attributes + agency) • Budget (agency) • Organization/actor range of action (rural or urban emphasis) (structural)
Questions:	
1.)	What is your biggest concern about materials flows/waste in Sri Lanka? (i.e. waste on streets, pollution/environmental effects, plastic buildup, education, lack of political action, consumption increases and resource depletion). (attitudinal/worldview + articulation of problem)

2.)	What are the biggest social challenges to overcoming the above issue(s) and what materials pose the biggest challenges (i.e. specific plastics)? (attitudinal/worldview + awareness + articulation of problem + path dependency)
2.)	[If not answered above] Are there specific sites of concern (zones of sacrifice and inequities causing systems imbalance)? Have any sites been restored? (attitudinal/worldview + upstream & downstream impacts extraction/production/disposal + path dependency)
3.)	How do you see the (above) waste and materials flows issues overlapping with environmental issues? With social issues? (attitudinal/worldview + upstream & downstream impacts)
4.)	How do consumption norms/levels play into the above, and what are some of the most noticeable shifts in consumption in recent years (i.e. a shift from traditional bags to plastic or visa-versa)? (attitudinal + awareness of system + upstream production/use) (Is it more socially acceptable to continue the status quo for sake of normalcy or seek for change?)
5.)	How does waste effect your personal relationship with the environment?

	(attitudinal + awareness of the system + leverage point)
6.)	Who is responsible for changing the (above) situation? (individual/community/city/nation/international/specific agencies) (attitudinal/worldview + responsibility)
7.)	Do you feel you have access to decision-makers and others working on this issue? (structural +access (blockages?))
8.)	What are your agency/organization's priority areas for materials flows? (articulation of problem/specific material) and what is your main strategy for action (TOC and methodologies for achieving (i.e. policy, community organizing, LCA, zero waste, new technology)? (transactional + knowledge within system + path dependency on experts/technical solutions or emerging alternatives)
9.)	Are waste/materials flows your main focus area or do you work simultaneously on other issues? (i.e. waste and health) And/or do you see your work overlapping with other social and ecological/environmental issues? (structural + attitudinal +transactional +systems overlap + systems awareness/blockages)
10.)	What organizations/agencies do you currently work with? (provide example list)

	Are you open to more collaboration/could there be more? (structural + transactional + systems awareness/blockages)
11.)	Do you see any emerging trends or alternative programs of action? (i.e. zero waste) How does this inspire you? (attitudes + emerging strategies + systems consciousness + leverage for change)
12.)	What are some of your biggest successes in materials and waste management or others you are aware of? (transactional + solutions sets + leverage points for systems change)
13.)	What are you not seeing happen that you wish would become a common practice/awareness? (attitude/worldview +leverage for change)
14.)	Where/who do you go to for continued education on the impacts of waste and material flows? (transactional + learning system)
15.)	Who else do you recommend me speaking to on these topics? Are there certain sites I should visit to better understand the material flows/waste issues in Colombo? (structural) Is there anything else I should have asked? (overall systems awareness)
Closing:	Thank you very much for your time and insights on these matters. I will be in contact as the research progresses. Would you like to take part in a forum on the

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	findings once I have finished compiling this research?
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