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## The $\alpha$ -crystallin chaperones undergo a quasi-ordered co-aggregation process in response to saturating client interaction

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## ABSTRACT

Small heat shock proteins (sHSPs) are ATP-independent chaperones vital to cellular proteostasis, preventing protein aggregation events linked to various human diseases including cataract. The  $\alpha$ -crystallins,  $\alpha$ A-crystallin ( $\alpha$ Ac) and  $\alpha$ B-crystallin ( $\alpha$ Bc), represent archetypal sHSPs that exhibit complex polydispersed oligomeric assemblies and rapid subunit exchange dynamics. Yet, our understanding of how this plasticity contributes to chaperone function remains poorly understood. This study investigates structural changes in  $\alpha$ Ac and  $\alpha$ Bc during client sequestration under varying degree of chaperone saturation. Using biochemical and biophysical analyses combined with single-particle electron microscopy (EM), we examined  $\alpha$ Ac and  $\alpha$ Bc in their apo-states and at various stages of client-induced co-aggregation, using lysozyme as a model client. Quantitative single-particle analysis unveiled a continuous spectrum of oligomeric states formed during the co-aggregation process, marked by significant client-triggered expansion and quasi-ordered elongation of the sHSP scaffold. These structural modifications culminated in an apparent amorphous collapse of chaperone-client complexes, resulting in the creation of co-aggregates capable of scattering visible light. Intriguingly, these co-aggregates maintain internal morphological features of highly elongated sHSP scaffolding with striking resemblance to polymeric  $\alpha$ -crystallin species isolated from aged lens tissue. This mechanism appears consistent across both  $\alpha$ Ac and  $\alpha$ Bc, albeit with varying degrees of susceptibility to client-induced co-aggregation. Importantly, our findings suggest that client-induced co-aggregation follows a distinctive mechanistic and quasi-ordered trajectory, distinct from a purely amorphous process. These insights reshape our understanding of the physiological and pathophysiological co-aggregation processes of sHSPs, carrying potential implications for a pathway toward cataract formation.

## INTRODUCTION

The  $\alpha$ -crystallins ( $\alpha$ A- and  $\alpha$ B-isoforms) are prototypical members of the small heat shock protein (sHSP) family of ATP-independent chaperones, with key roles in cellular proteostasis[1, 2]. sHSPs counteract detrimental protein aggregation events implicated in various human diseases, including cataract formation[3]. Humans express ten sHSP isoforms (HSPB1-10) that are differentially expressed throughout the body, functioning as holdases and serving as initial responders to diverse forms of cellular stress[4].  $\alpha$ A-crystallin ( $\alpha$ Ac; aka HSPB4, 19.8 kDa) and  $\alpha$ B-crystallin ( $\alpha$ Bc; aka HSPB5, 20.2 kDa) are abundantly expressed in the eye lens, ensuring transparency for vision[5]. While  $\alpha$ Ac is primarily found in the lens,  $\alpha$ Bc exhibits ubiquitous expression, with high levels in cardiac and neuronal tissues[6]. Consequently,  $\alpha$ Bc is associated with a number of diseases, including cataract, myopathies, neuropathies, protein folding disorders (e.g., Parkinson's and Alzheimer's), and some cancers[7, 8]. Despite their significance, our mechanistic understanding of how these sHSPs function as chaperones remains limited, due in part to their complex structural dynamics and molecular organization.

Mammalian sHSPs exhibit polydispersed oligomeric assemblies, marked by dynamic subunit interactions that are pivotal for their biological roles[9-14]. The core domain shared among sHSP subunits, the  $\alpha$ -crystallin domain (ACD), is flanked by a variable N-terminal domain (NTD) and a flexible C-terminal domain (CTD) (**Fig. 1A**). These domains orchestrate multivalent interactions, facilitating the formation of high-order oligomers[15-23].  $\alpha$ Ac and  $\alpha$ Bc oligomers consist of approximately 12 to 48 subunits and exhibit rapid subunit exchange dynamics[11, 12, 22, 24-28]. This dynamic behavior underpins a high degree of structural plasticity among sHSPs that is thought to enable the recognition and sequestration of a wide spectrum of destabilized client proteins. It is hypothesized that smaller assemblies or exchanging subunits are the more active states that recognize destabilized clients, while high-order oligomers are involved in sequestering and storing misfolded (or destabilized) clients[29-32]. In this stored form, the client is maintained in a refolding-competent state that may be recovered by ATP-dependent chaperones like HSP70/HSP40[33-36].

Notably, sHSPs' sequestration of destabilized proteins does not preclude formation of insoluble aggregates. Saturating binding conditions can lead to chaperone-client co-aggregates, while still enabling functional recovery of the client, in contrast to misfolding client aggregates formed without sHSPs[37-39]. However, the extreme degree of structural heterogeneity associated with this co-aggregation process has posed significant challenges to structural analysis and extraction of mechanistic principles associated with sHSP client sequestration and co-aggregation. In the context of the eye lens, which lacks protein turnover and ATP-dependent refolding pathways[40], the sHSP system can become overwhelmed in old age and result in formation of both soluble and insoluble light-scattering sHSP/client co-aggregates (*i.e.*, cataract), the leading cause of global vision loss[41]. While this phenomenon has been studied *in vitro*, the nature of the light-scattering aggregates has not been systematically analyzed[42-44].

Here, our objective was to quantitatively delineate the structural and morphological transformations of  $\alpha$ -crystallin ( $\alpha$ Ac and  $\alpha$ Bc) during client-induced co-aggregation. We systematically compared  $\alpha$ Ac and  $\alpha$ Bc in their apo-states and across various stages of client-induced aggregation, employing lysozyme as a model client. To surmount the complexities arising from structural heterogeneity, we devised a single-particle electron microscopy (EM) image analysis workflow, enabling quantification of sHSP morphologies at the individual particle level. Through a combination of light-scattering methods, biochemical analysis, and direct visualization through single-particle EM, we unveiled a continuous spectrum of oligomeric states undergoing a remarkable degree of expansion and elongation of the sHSP scaffold. These changes culminated in the amorphous collapse of chaperone-client co-aggregates, which had the capacity to scatter light. The co-aggregates that are formed share striking similarity to polymeric species of  $\alpha$ -crystallin isolated from aged lens tissue[45]. Importantly, these observations imply a mechanistic foundation characterized by a quasi-ordered co-aggregation pathway that is clearly distinct from a purely amorphous process that is shared between  $\alpha$ Ac and  $\alpha$ Bc. Our findings provide new insights into the morphological transitions of sHSPs during client-induced aggregation, shedding light on processes crucial for cellular proteostasis and age-related cataract formation.

## RESULTS

### Single-particle analysis reveals full extent of $\alpha$ A- and $\alpha$ B-crystallin polydispersity

To establish a baseline for our comparative study, we examined  $\alpha$ Ac and  $\alpha$ Bc under conditions resembling their basal apo-state. We employed size-exclusion chromatography (SEC), dynamic light scattering (DLS), and single-particle analysis using negative stain electron microscopy (NS-EM). Full-length human  $\alpha$ Ac and  $\alpha$ Bc were expressed in *E. coli* and isolated biochemically without engineered purification tags (**Supplemental Fig. 1 and Methods**). To ensure consistency and account for environmental factors known to affect sHSP structure, we prepared purified samples in buffer at pH 7.4 supplemented with chelators (EDTA and EGTA) to remove trace amounts of divalent cations[46]. Prior to analysis, the samples were equilibrated overnight (~16 hours) at 37° C to equilibrate exchange dynamics[12, 28]. Human  $\alpha$ Ac contains two cysteine residues (C131 and C142) and was kept under reducing conditions during purification using DTT and during functional assays using TCEP.

By size-exclusion chromatography (SEC), we observed that  $\alpha$ Ac forms slightly smaller and more polydispersed assemblies compared to  $\alpha$ Bc. The apparent molecular weights (m.w) of  $\alpha$ Ac and  $\alpha$ Bc, as determined by SEC, were approximately 440 kDa and 470 kDa, respectively. This finding is in line with the radius of hydration ( $R_H$ ) values obtained from dynamic light scattering (DLS), which measured  $8.1 \pm 1.0$  nm for  $\alpha$ Ac and  $8.5 \pm 0.3$  nm for  $\alpha$ Bc (avg  $\pm$  sd;  $p = 0.5$ , t-test) (**Fig. 1 B-C** and **Supplemental Fig. 1**).

To further characterize their structural properties, we employed NS-EM and 2D classification methods for single-particle analysis (**Fig. 1 C-D** and **Supplemental Fig. 1**). The resulting 2D classes revealed that both  $\alpha$ Ac and  $\alpha$ Bc adopt a range of polydispersed oligomeric assemblies, exhibiting expected caged-like morphologies. Notably,  $\alpha$ Ac displayed a broader range of diameters (12.6 – 21.3 nm) within the resolved 2D classes compared to  $\alpha$ Bc (~14.3 – 21.3 nm), although this difference was not statistically significant (**Fig. 1C**). While many of the assemblies observed in the NS-EM images appeared approximately spherical in projection, some 2D classes depicted complexes with oblique or irregular shapes. Although some of variation can be attributed to different viewing angles of the complexes; overall, these results are consistent with the ability of both  $\alpha$ Ac and  $\alpha$ Bc to adopt diverse symmetric and asymmetric oligomeric states, as described in previous EM studies[22, 23, 47].

The high degree of polydispersity and structural heterogeneity exhibited by sHSPs poses practical limitations on commonly applied single-particle 2D image classification and averaging methods. The outputs of these methods discretize continuum ensembles, which is not ideal for analyzing such systems [48-50]. To overcome these limitations, we employed a relatively simple and accessible workflow using available image processing tools in FIJI[51]. This approach enabled the extraction of structural descriptors from individual complexes (*e.g.*, diameter, circumference, surface area, etc.), without employing signal averaging methods, and allowed for quantitatively describing the polydispersity of sHSPs at the individual particle level (see **Fig. 1E**, **Supplemental Fig. 2** and **Methods**). While various structural descriptors could be obtained using this method, we focused on utilizing Feret diameter (*i.e.*, maximal particle diameter) as the primary descriptor of particle morphology in this study.

Feret diameters of individual particles were extracted from NS-EM micrographs of both  $\alpha$ Ac and  $\alpha$ Bc (**Fig. 1F**). To statistically compare the distribution of particle diameters, we employed a two-sample Kolmogorov-Smirnov test (K-S test). The population distributions of both  $\alpha$ Ac and  $\alpha$ Bc were found to be bimodal. The most populated mode for  $\alpha$ Ac was centered at 14.4 nm, slightly smaller than the primary mode of 14.8 nm observed for  $\alpha$ Bc. Both datasets exhibited a second mode centered at approximately 28 nm. While the population of  $\alpha$ Bc particles appeared as Boltzmann-like distributions, those of  $\alpha$ Ac displayed noticeable tails weighted toward smaller species and a prevalence of larger species compared to  $\alpha$ Bc. This dispersion is indicative of a greater degree of polydispersity in  $\alpha$ Ac. Consequently, the mean and standard deviation of particle diameters for  $\alpha$ Ac ( $17.4 \pm 11.7$  nm; avg  $\pm$  sd) were larger than those for  $\alpha$ Bc ( $15.3 \pm 3.5$  nm), with a significant difference ( $p < 0.0001$ , K-S test). Importantly, the single-particle measurements and the extent of variation (*i.e.*, polydispersity) observed in  $\alpha$ Ac and  $\alpha$ Bc were consistent with our 2D classification results and DLS measurements obtained under solution-state conditions (**Fig. 1B**).

This single-particle distribution analysis offers a valuable advantage by providing quantitative information about rare states that were not well captured by 2D-class averaging or other ensemble measurements (SEC, DLS). This analysis revealed the presence of both very small and very large assemblies sampled by both  $\alpha$ Ac and  $\alpha$ Bc

isoforms, with diameters ranging from approximately 5 nm to over 90 nm for  $\alpha$ Bc and over 250 nm for  $\alpha$ Ac (**Fig. 1F**). As noted, both  $\alpha$ Ac and  $\alpha$ Bc exhibited an additional minor, yet significant, population with Feret diameters of approximately 28 nm, which is roughly twice the diameter of the most populated modes. Upon closer examination of the raw micrographs, it became apparent that this population resulted from two oligomers positioned in close contact, which were unresolved by our segmentation approach. This observation suggests the possibility of a true population of "kissing oligomers" or it could be attributed to particle crowding on the EM grid (**Fig. 1F**, *asterisk*, see **Discussion**).

Based on these results, we concluded that our approach to single-particle distribution analysis of NS-EM images was effective at quantitatively describing and discriminating nuanced differences in sHSP structure and degree of polydispersity. With this development, we aimed to apply this constellation of methods to investigate the structural changes and dynamics of  $\alpha$ Ac and  $\alpha$ Bc in response to client interactions.

#### $\alpha$ A- and $\alpha$ B-crystallin display different degrees of susceptibility to client-induced co-aggregation

For comparative functional analysis,  $\alpha$ Ac and  $\alpha$ Bc were assessed using aggregation suppression assays, monitored by light-scattering (turbidity) at 360 nm. Following the functional assays, the chaperone-client complexes were then directly subjected to structural characterization by SEC and DLS, without prior filtering or centrifugation (**Fig. 2**). We utilized lysozyme (lyso) as a model client due to its molecular weight (14.4 kDa), which is similar to the clients found in the eye lens, such as  $\beta/\gamma$ -crystallins. Unlike native clients, lysozyme readily unfolds at physiological pH (7.4) and temperature (37° C) in the presence of a reducing agent (TCEP), allowing for a controlled analysis of the structural effects induced on the chaperone complexes. This allowed us to determine the general mechanism of action of the chaperone without the complication of altering environmental conditions (such as heat or pH) that are known to affect sHSP structure[46]. It should be noted that the ratios of chaperone to client used in these experiments do not directly correlate with the stoichiometry of the formed chaperone/client complexes, as the aggregation suppression assays are conducted under non-equilibrium conditions. However, the observed dose-dependent response of chaperone concentrations suggests that at lower chaperone-client ratios, the chaperone is relatively more saturated by the binding of unfolding (or destabilized) client.

Under control conditions with 10  $\mu$ M lysozyme alone (0:1 ratio of chaperone:client), we observed consistent aggregation kinetics of the client, with a  $t_{1/2}$  of  $33 \pm 2$  minutes for the  $\alpha$ Ac assay and  $35 \pm 3$  minutes for the  $\alpha$ Bc assay (**Fig. 2A, B**; grey lines). In contrast, when  $\alpha$ Ac and  $\alpha$ Bc (pre-equilibrated at 37° C for ~16 hours) were prepared alone and in the presence of TCEP (*i.e.*, apo-state conditions, or 1:0 ratio), no aggregation was observed under the same conditions (**Fig. 2A, B**). Furthermore, incubating  $\alpha$ Ac or  $\alpha$ Bc with lysozyme but without reducing agent also did not result in aggregation under these conditions (data not shown).

When lysozyme was treated with a reducing agent in the presence of pre-equilibrated  $\alpha$ Ac, nearly complete aggregation suppression (~91% protection) was achieved at a molar ratio of 6:1 (chaperone:client), with a decreasing degree of protection at lower ratios (**Fig. 2A**). At a ratio of 1:1,  $\alpha$ Ac showed only ~5% protection, but there was still a significant delay in the aggregation kinetics compared to lysozyme alone ( $t_{1/2} = 48 \pm 3$  min,  $p < 0.0001$ ). Notably, at even more saturating levels of the client (0.5:1), turbidity was enhanced beyond the lysozyme-only conditions, indicated by negative protection values (-20% and **Table 1**). This result is interpreted to reflect the increased scattering caused by the formation of large co-aggregates between  $\alpha$ Ac and lysozyme (see below). In comparison,  $\alpha$ Bc exhibited higher chaperone activity against lysozyme under similar conditions, with 99% protection achieved at a ratio of 4:1 (chaperone:client) and 96% protection at a 2:1 ratio (**Fig. 2B**). At a ratio of 1:1 (where  $\alpha$ Ac showed 5% protection),  $\alpha$ Bc displayed 87% protection and still exhibited ~17% protection even at a sub-stoichiometric ratio of 0.25:1, along with a significant delay in aggregation kinetics compared to lysozyme only conditions ( $t_{1/2} = 40 \pm 1$  min,  $p < 0.0001$ ).

Representative conditions from the completed chaperone assays were then subjected to SEC to demonstrate binding and characterize changes in structure and/or polydispersity at different chaperone-client ratios. When  $\alpha$ Ac was present at higher chaperone ratios (6:1 and 4:1), the major SEC peak shifted to a higher apparent molecular weight (~800 kDa) compared to the apo-state (~430 kDa). Additionally, a small left-hand shoulder peak appeared at approximately 2.5 MDa, and a minor void peak (m.w. >10 MDa) was observed (**Fig. 2C**). At lower chaperone ratios, there was a progressive increase in peak broadening, indicating higher polydispersity, accompanied by the loss of the ~430 kDa peak and a shift towards the ~2.5 MDa and void peaks (**Fig. 2C**).

Under conditions where  $\alpha$ Bc provided complete protection against reduced lysozyme (4:1 ratio), the SEC profile exhibited a relatively Gaussian shape, but with a shift towards higher molecular weight (~640 kDa compared to ~470 kDa for the apo-state). There was also an overall increase in peak broadening, indicating increased polydispersity (**Fig. 2D**). No significant void peak was observed under these conditions, which is consistent with the complete suppression of light-scattering at 360 nm. At the lower ratio of 2:1, the major SEC peak shifted further to an average m.w. ~1.5 MDa, accompanied by even greater peak broadening. Additionally, a small void peak was observed, consistent with minor contribution to scattering at 360 nm under these conditions.

When  $\alpha$ Bc was applied to SEC at the lowest ratio (1:1), a major peak centered at an apparent m.w. ~600 kDa was observed, accompanied by a noticeable left-hand shoulder at m.w. ~1.7 MDa. However, no significant void peak was observed under this condition. Initially, this result seemed unexpected, as larger molecular weight species were anticipated under more saturating client conditions. Nevertheless, this consistent observation suggests that larger aggregates formed under these conditions were not entering the SEC column. This notion is supported by the lack of SEC elution profiles for samples prepared at lower chaperone:client ratios for both  $\alpha$ Ac (e.g., 0.5:1) and  $\alpha$ Bc (e.g., 0.5:1 or 0.25:1), as well as by the subsequent detection of very high molecular



weight species by DLS and NS-EM discussed below. Analysis of the elution fractions by SDS-PAGE confirmed the presence of both lysozyme and  $\alpha$ Ac or  $\alpha$ Bc in the resolved SEC peaks (shown for the 2:1 and 4:1 ratios in **Fig. 2E,F**), indicating the formation of relatively stable and long-lived complexes under these conditions.

#### Saturating client conditions induce structural transitions toward light-scattering $\alpha$ -crystallin/lysozyme co-aggregates.

To further evaluate the structural effects of client binding, we analyzed apo- $\alpha$ Ac, apo- $\alpha$ Bc, and their complexes with lysozyme at representative chaperone:client ratios using DLS. The data were binned into four size ranges based on the radius of hydration ( $R_H$ ): 1-10 nm, 10-100 nm, 100-300 nm, and >300 nm (**Fig. 2G,H** and **Supplemental Fig. 3**). Under control conditions (1:0 ratios), apo- $\alpha$ Ac and apo- $\alpha$ Bc were predominantly within the 1-10 nm  $R_H$  bin ( $97.4 \pm 1.9\%$  and  $99.8 \pm 0.2\%$ , respectively; avg  $\pm$  sem). At a 4:1 chaperone:client ratio, both  $\alpha$ Ac and  $\alpha$ Bc showed the emergence of larger species and increased polydispersity, as indicated by populations in multiple  $R_H$  bins compared to the apo-states. The  $R_H$  distribution of  $\alpha$ Ac/lysozyme complexes under these conditions was  $82.3 \pm 8.6\%$  (10-100 nm),  $14.9 \pm 8.3\%$  (100-300 nm), and  $0.7 \pm 0.7\%$  (>300 nm). Similarly, for  $\alpha$ Bc, there was a major population in the 10-100 nm bin, but also a persistence of species in the 1-10 nm bin, with populations represented by  $R_H$  values of  $13.7 \pm 13.7\%$  (1-10 nm),  $82.8 \pm 13.0\%$  (10-100 nm), and  $3.6 \pm 2.8\%$  (>300 nm) under the same conditions.

At a 2:1 ratio,  $\alpha$ Ac and  $\alpha$ Bc populations are both shifted to larger species. The majority of  $\alpha$ Ac/lysozyme complexes are found in the 100-300 nm bin ( $67.7 \pm 15.3\%$ ), with a significant population in the >300 nm bin ( $28.8 \pm 16.9\%$ ). Under these conditions,  $\alpha$ Bc/lysozyme complexes are predominantly in the 10-100 nm bin ( $76.5 \pm 23.5\%$ ), but with a notable population in the 100-300 nm category ( $23.5 \pm 23.5\%$ ). At a 1:1 ratio, the majority of  $\alpha$ Ac/lysozyme complexes have an  $R_H$  >300 nm ( $82.3 \pm 3.7\%$ ), consistent with significant light scattering at 380 nm. Only small populations are observed in the 10-100 nm ( $12.3 \pm 6.0\%$ ) and 100-300 nm bins ( $5.5 \pm 5.5\%$ ). For  $\alpha$ Bc/lysozyme complexes under these same conditions, significant populations are also found at >300 nm ( $32.6 \pm 32.6\%$ ), but the most populated states fall into the 100-300 nm bin ( $66.7 \pm 33.3\%$ ). The presence of >300 nm species in  $\alpha$ Bc is consistent with the light scattering observed at 360 nm in the turbidity assays. These findings support the idea that the SEC profile obtained under this condition is anomalous, likely due to the selective removal of larger species by the column filter.

#### Single-particle analysis by EM reveals an expansion, elongation and amorphous collapse chaperone mechanism

We next proceeded to apply our single-particle EM analysis workflow, as described above, to obtain a more detailed and quantitative morphological characterization of the client-induced aggregation states of  $\alpha$ Ac and  $\alpha$ Bc (**Fig. 3, 4** and **Table 2**). To statistically compare the cumulative Feret diameter distributions between different chaperone:client ratios, we employed the Kolmogorov-Smirnov test (K-S test, two-sample).

NS-EM datasets were analyzed for  $\alpha$ Ac/lysozyme complexes formed at 4:1, 2:1, and 1:1 chaperone:client ratios (**Fig. 3**). At the higher chaperone ratio of 4:1 (representing the least saturating client conditions imaged by NS-EM), it was evident that the sequestration of lysozyme led to a variety of polymorphic structures. These structures appeared as enlarged spherical-like complexes with diameters ranging from approximately 20 to 50 nm, with average Feret diameter of  $21.2 \pm 0.2$  nm (avg  $\pm$  sem) being significantly larger than the apo- $\alpha$ Ac structures ( $17.4 \pm 0.1$ ,  $p < 0.0001$ ; K-S test) (**Fig. 3A,D**). In addition, an emergence of elongated structures, measuring  $>100$  nm long, were also observed. Morphologically, these largest complexes appeared consistent with the formation of highly elongated and/or daisy-chained chaperone complexes (see **Fig 3A**, *insets*).

At the more saturating 2:1 chaperone:client ratio, the formation of expanded and elongated  $\alpha$ Ac/lysozyme complexes was even more pronounced (**Fig. 3B,D**). These complexes exhibited an average Feret diameter of  $24.0 \pm 0.3$  nm, which was significantly larger than both the apo-state and the 4:1 condition ( $p < 0.0001$ ; KS test) (**Fig. 3D**). Morphologically, the most elongated complexes reached lengths of approximately 125 nm before they appeared to undergo a collapse, resulting in the formation of larger and seemingly more amorphous aggregates (see **Fig. 3B**, *insets*).

At a chaperone-client ratio of 1:1, where  $\alpha$ Ac's chaperone activity is significantly diminished, large amorphous aggregates become predominant, reaching sizes exceeding hundreds of nanometers and approaching  $1 \mu\text{m}$  (**Fig. 3C,D**). The formation of these large complexes correlates with the significant light scattering observed at 360 nm under these conditions, surpassing the scattering observed for lysozyme alone (see **Fig. 2A**). Morphologically, these structures retain the elongated and daisy-chained features observed at higher chaperone-client ratios, indicating the collapse of highly elongated chaperone scaffolds (compare **Fig. 3B** and **3C**, *insets*). These co-aggregates exhibit distinct morphology from the plaque-like aggregates formed by lysozyme alone (refer to **Supplemental Fig. 3**). Additionally, smaller irregularly shaped species with diameters of approximately 7-12 nm are prevalent under these conditions (**Fig. 3C,D**). These smaller species resemble previously described aggregates of reduced lysozyme[52] and likely represent unbound lysozyme aggregates or also possibly unsaturated  $\alpha$ Ac/lysozyme complexes (compare to **Supplemental Fig. 3**). Notably, since  $\alpha$ Ac is no longer efficient in chaperoning at these saturated levels of client, the presence of unbound lysozyme and aggregates that evade chaperone sequestration is expected. The significant population of these smaller species contributes to a mean Feret diameter of  $25.0 \pm 0.4$  nm in this dataset, which is comparable to the 2:1 condition. However, the increased polydispersity in this data leads to a significant difference in the distribution of Feret diameters between these two conditions ( $p < 0.0001$ ; KS test).

Qualitatively, the morphological appearance of  $\alpha$ Bc/lysozyme complexes observed under different client conditions closely resembles those obtained for  $\alpha$ Ac at varying client ratios, indicating a conserved mechanism of client sequestration (**Fig. 4A**). However, quantitative comparisons reveal distinct differences that likely reflect

the variations in chaperone sensitivity or potency towards the model client, lysozyme. At a 4:1 chaperone-client ratio, Feret diameter measurements demonstrate a significant increase in the polydispersity of particle size distribution compared to apo- $\alpha$ Bc conditions ( $26.5 \pm 0.3$  nm vs.  $15.3 \pm 0.02$  nm, respectively,  $p < 0.0001$ ; K-S test) (**Fig. 4D**). Morphologically, the most prevalent structures formed under these conditions are characterized by roughly spherical oligomers similar to apo- $\alpha$ Bc (**Fig. 4D**), with the emergence of some elongated (or daisy-chained) complexes (**Fig. 4A, insets**).

At a ratio of 2:1, morphological differences compared to apo- $\alpha$ Bc become more apparent. The size and polydispersity of complexes, as indicated by distribution of Feret diameters, increases significantly compared to the 4:1 condition ( $28.0 \pm 0.4$  nm vs.  $26.5 \pm 0.3$  nm;  $p < 0.0001$ ; K-S test). In contrast to the 4:1 condition, oligomers most similar in size to apo-state appear to no longer be significantly populated (**Fig. 4D, dotted line**), consistent with our solution-state DLS data. Similar to  $\alpha$ Ac, highly elongated complexes become prevalent and are readily observed in the raw micrographs, including examples where elongated complexes appear collapsed on themselves to form more amorphous-like aggregates (**Fig. 4B, insets**).

Finally, when  $\alpha$ Bc was imaged under the most saturating conditions (1:1 ratio) using NS-EM, we observed the prevalence of very large aggregates with diameters reaching 100's of nanometers (**Fig. 4C**). These aggregates also appeared as highly elongated or daisy-chained chaperones that had collapsed onto themselves, forming more amorphous-like structures. However, in contrast to  $\alpha$ Ac under the same conditions, there was a notable absence of smaller oligomers. Additionally, the size distribution of  $\alpha$ Bc/lysozyme complexes at this ratio appear multimodal with distinct peaks in the 50 – 100 nm diameter range. The comparative absence of smaller species contributed to an increased Feret diameter of  $50.7 \pm 37.7$  nm as compared to  $\alpha$ Ac/lysozyme complexes at the same 1:1 ratio ( $25.0 \pm 21.1$  nm,  $p < 0.0001$ ; K-S test). These findings are consistent with the enhanced efficiency of chaperone activity exhibited by  $\alpha$ Bc under these conditions, as indicated by the high degree of protection against light-scattering (87% protection, **Fig. 2B**).

## DISCUSSION

In this study, we demonstrated that both  $\alpha$ Ac and  $\alpha$ Bc utilize an "expansion and elongation mechanism" to accommodate increasing levels of client sequestration. This mechanism involves the formation of highly elongated chaperone-scaffolds that can reach lengths of up to  $\sim 125$  nm, followed by an ultimate collapse or folding onto themselves, resulting in the formation of larger amorphous co-aggregates reaching 1  $\mu$ m in size (**Fig. 5**). This expansion and elongation process is shared by both  $\alpha$ Ac and  $\alpha$ Bc, indicating a conserved mechanism. However, we also observed isoform-specific features that correlated with the chaperone activities of these two isoforms towards the model client, lysozyme. Importantly, the quasi-ordered structural transitions

observed along the client-induced co-aggregation pathway suggests that this process is mechanistically controlled and distinct from uncontrolled, purely amorphous protein aggregation. Further investigation of this mechanism could have broad implications for understanding the physiological response of sHSPs to extreme cell stress and the pathophysiology of cataract-associated light-scattering aggregate formations.

Under our experimental conditions, both  $\alpha$ Ac and  $\alpha$ Bc exhibited distinct morphological variability in their apo-states, with Feret diameters in the range of approximately  $\sim$ 10 to  $\sim$ 20 nm. Notably,  $\alpha$ Ac displayed a higher degree of polydispersity, with a wider range of smaller and larger assemblies compared to  $\alpha$ Bc. These findings align with previous structural studies by electron microscopy[9, 22, 23, 47, 53] and light scattering[54], and underscores the notion that  $\alpha$ -crystallins can adopt a continuum of stoichiometric and quaternary structural arrangements contributing to the challenges in defining their high-resolution structures that have long eluded investigators despite decades of effort[9, 55].

The polydispersity of sHSPs is believed to be facilitated by rapid subunit or dimer exchange dynamics[12, 25-27, 55], which have led to a "traveling subunit" model to explain this mechanism. Structures corresponding to individual subunits or dimers are expected to have maximal diameters of approximately 5 – 7 nm[56-58]. While such species were not detected by SEC or DLS, our single-particle EM data did isolate a small but significant population of structures in this size range ( $\sim$ 4% and  $\sim$ 1% for  $\alpha$ Ac and  $\alpha$ Bc, respectively). This observation further supports the strength of this single-particle approach and is consistent with the notion that isolated monomer or dimer species are not long-lived and represent only a minor population at equilibrium under the tested conditions[12]. Alternatively, or in addition, subunit or dimer exchange may occur through direct engagement between oligomers, at least under certain conditions. In this context, we also observed a significant population of apo-state  $\alpha$ Ac and  $\alpha$ Bc species with a diameter of approximately 28 nm using single-particle EM. Upon closer examination of raw micrographs, these species appeared consistent with the presence of "kissing oligomers." Although it is possible that this observation is influenced by the sample preparation conditions for NS-EM, such direct interactions between oligomers could effectively facilitate subunit exchange. Further exploration into the mechanism(s) of subunit exchange is still needed to fully resolve these possibilities.

The most notable distinction between the two  $\alpha$ -crystallin isoforms was their differential chaperone capacity towards lysozyme, with  $\alpha$ Bc demonstrating a significantly higher efficacy compared to  $\alpha$ Ac. For instance,  $\alpha$ Bc achieved nearly complete suppression of lysozyme aggregation at a chaperone-client ratio of 2:1 (96% protection) and maintained 88% protection at a ratio of 1:1. In contrast,  $\alpha$ Ac required a ratio of 6:1 to achieve over 90% protection, and at a 1:1 ratio, the chaperone was completely overwhelmed, resulting in negative protection values. The negative values are attributed to the combination of aggregating lysozyme and the presence of large co-aggregates formed by  $\alpha$ Ac and lysozyme, a phenomenon also observed with native lens clients that had not been fully explained[42-44, 59]. Morphologically, these functional differences are reflected in

$\alpha$ Ac's greater polydispersity and larger complex formation at lower client concentrations compared to  $\alpha$ Bc, as evidenced by SEC, light-scattering, and NS-EM analyses.

Despite differences in their chaperone capacity, both  $\alpha$ Ac and  $\alpha$ Bc exhibit similar response mechanisms when confronted with unfolded (or destabilized) client proteins. Indeed, understanding of these differences in chaperone capacity contributes to a more comprehensive understanding of the chaperone-response mechanism revealed by the structural characterizations in this study (**Fig. 5**). At conditions of high chaperone protection, such as the 4:1 chaperone-client ratio for  $\alpha$ Bc, chaperone complexes exhibit a morphology resembling the apo-state, with slightly expanded spherical-oblique caged-like structures and some elongated structures. This suggests minimal perturbation to the sHSP scaffold under minimal conditions of client sequestration. Shape analysis suggests that an overall spherical expansion of the chaperone in response to client persists up to a Feret diameter of approximately 20-30 nm, while significantly larger species take on an elongated "quasi-filament like" morphology. Under intermediate conditions of client challenge (e.g., 2:1 and 1:1 ratios for  $\alpha$ Bc or 4:1 and 2:1 ratios for  $\alpha$ Ac), highly elongated structures become predominant, and beyond an extension of approximately 125 nm, these structures collapse onto themselves, forming amorphous-like structures that can reach sizes exceeding hundreds of nanometers in diameter. Ultimately, under conditions of overwhelming client interaction, such as the 1:1 ratio condition for  $\alpha$ Ac, large chaperone-client co-aggregates of exceeding 1  $\mu$ m in size are formed. In addition, NS-EM images obtained under these conditions show a prevalence of small aggregates that are expected to be un-bound lysozyme aggregates. This assertion is based on comparison to lysozyme-only controls (**Supplemental Fig. 3**) and the expectation that the chaperone's capacity has been fully depleted under these conditions. However, we also cannot exclude the possibility of the presence of unbound (or inactive)  $\alpha$ Ac chaperone under these conditions.

The mechanism underlying the elongation of the chaperone scaffold remains unclear, as there are multiple potential pathways that could contribute to the formation of the observed structures in this study. One possibility is the formation of elongated chaperone complexes through daisy chaining, where associated chaperone complexes are connected by binding to common client proteins. Another possibility is that the chaperone undergoes oligomeric remodeling to accommodate client sequestration, involving subunit exchange. It is also conceivable that elongated chaperone complexes are formed through a combination of these mechanisms. However, it is worth noting that the elongation of the chaperone scaffold appears to have a specific directionality. Moreover, we do not observe strong evidence of branching or large-scale clustering, which would be expected if the elongation occurred randomly through a daisy-chaining mechanism alone. Notably, remarkably similar elongated morphologies for  $\alpha$ Ac and  $\alpha$ Bc have been observed upon heating[60], suggesting this structural transition is innate to the sHSP assemblies. However, a comprehensive understanding of these possibilities will require further investigation.

Overall, the findings in this study provide valuable insights into the sequestration of destabilized client proteins by the dynamic sHSP system and the formation of chaperone-client co-aggregates under conditions of overwhelming client challenge. In the context of the eye lens, the chaperone to client ratio will ultimately determine the efficiency of the sHSP system. In old age, as  $\alpha$ -crystallins become depleted and destabilized clients become more prevalent, the balance will ultimately be pushed toward co-aggregation and formation of light-scattering opacities responsible for cataract and vision loss. Therapeutic approaches targeting the preservation of intact chaperone in the lens may therefore be beneficial in preventing this global vision problem. Moreover, the results here suggest a definable mechanistic basis for client-induced co-aggregation, which might ultimately be inhibited by pharmacological intervention. Further exploration into the universality of the expansion-elongation mechanism across different client types, sHSP systems, and stress conditions such as temperature and oxidation will undoubtedly provide a more robust understanding of the sHSP co-aggregation mechanism. The single-particle analysis methods presented in this study offer an accessible and effective approach to structurally characterize and quantitatively compare such conditions.

#### Limitations of the study

While addressing the limitations of this work, it is important to note several aspects of the NS-EM analysis that could potentially impact interpretation. Specimens prepared for NS-EM are necessarily prepared under dilute concentrations, placed on a solid carbon support and undergo dehydration before imaging, which might influence the formation and morphology of the observed amorphous aggregates. Additionally, the single-particle distribution analysis workflow utilized has certain selection criteria and segmentation limitations in our experience, making it difficult to analyze extremely large aggregates (*i.e.*, greater than  $\sim 1 \mu\text{m}$ ), potentially leading to an underestimation of such species formed under highly saturated client conditions. Furthermore, this approach cannot distinguish between chaperone/client complexes and unbound client aggregates, which may be present in the most saturated conditions observed for  $\alpha\text{Ac}$ . Despite these limitations, the substantial agreement of results obtained by this approach with solution-state DLS measurements and previous studies supports its utility.

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## **AUTHOR CONTRIBUTIONS**

A.P.M. and S.E.O. performed experiments; S.L.R. and K.J.L. conceived this study, with contribution from all authors; A.P.M. and S.L.R. wrote the original draft and all authors contributed to the final manuscript.

## **CONFLICT OF INTERESTS**

Authors declare no competing interests.

## METHODS

### Expression and purification of recombinant $\alpha$ A- and $\alpha$ B-crystallin

Expression and purification of  $\alpha$ Ac and  $\alpha$ Bc was adapted from Horwitz[1]. Recombinant human  $\alpha$ Ac and  $\alpha$ Bc in the expression vector pET3d were heterologously expressed in *E. coli* BL21(DE3) cells. Cells were grown at 37° C in LB media supplemented with ampicillin until reaching OD<sub>600</sub> of 0.7 – 1.0. For  $\alpha$ Ac, expression was induced with 1 mM isopropyl  $\beta$ -D-1-thiogalactopyranoside (IPTG) followed by overnight expression at 18° C. For  $\alpha$ Bc, expression was induced with 1 mM IPTG for four hours at 37° C. Cells were harvested by centrifugation at 15,000 x g for 15 min at 4° C and resuspended in lysis buffer, containing: 20 mM Tris-HCl (pH 7.4 for  $\alpha$ Ac and pH 8.0 for  $\alpha$ Bc) aliquoted and frozen at -20° C.

For purification, a freshly thawed cell suspension was supplemented with 0.5 mM 1,4-dithiothreitol (DTT) and 0.1 mM PMSF, lysed by sonication, and cleared by ultracentrifugation at 165,000 r.c.f. for 30 min at 4° C to remove cellular debris. The supernatant was treated with DNase I (~400 units, Thermo Scientific) for 30 min on ice and passed through a 0.22  $\mu$ m filter. The clarified lysate was loaded onto a gel filtration column packed with sephacryl 300 resin (S-300; Pharmacia) equilibrated in 20 mM Tris-HCl and 1 mM EDTA (pH 7.4 for  $\alpha$ Ac and pH 8.0 for  $\alpha$ Bc). Gel filtration fractions were assessed by SDS-PAGE and fractions containing  $\alpha$ Ac or  $\alpha$ Bc were pooled and supplemented with 0.5 mM DTT. The pooled fractions were further purified by anion exchange chromatography (MonoQ; GE Healthcare) equilibrated in buffer containing: 20 mM Tris-HCl, 1 mM EGTA and 0.16 mM EDTA (pH 7.4 for  $\alpha$ Ac and pH 8.0 for  $\alpha$ Bc) and eluted with a 1 M NaCl gradient. Fractions pertaining to the elution peak for  $\alpha$ Ac or  $\alpha$ Bc were pooled, concentrated to 2 mL using a centrifugal device (Vivaspin, 100,000 kDa m.w.c.o.), and loaded onto a Superose 6 size exclusion chromatography (SEC) column (GE Healthcare) equilibrated in 20 mM HEPES (pH 7.4), 100 mM NaCl, and 1 mM EDTA. Fractions containing  $\alpha$ Ac or  $\alpha$ Bc were pooled and concentrated to ~60 – 100 mM with a 100,000 m.w.c.o. spin concentrator (Vivaspin), aliquoted, flash frozen in liquid nitrogen, and stored at -80° C. Protein purity was assessed by SDS-PAGE and concentrations were determined by UV absorbance at 280 nm using the extinction coefficients 16,507 M<sup>-1</sup>cm<sup>-1</sup> ( $\alpha$ Ac) and 19,000 M<sup>-1</sup>cm<sup>-1</sup> ( $\alpha$ Bc). Due to the tendency of  $\alpha$ -crystallin to co-purify with nucleic acids the ratio of A280/A260 was determined as >1.5 on purified samples. To maintain  $\alpha$ Ac in a reduced state, 0.5 mM DTT was added to purified protein prior to flash freezing and storage at -80 °C.

### Chaperone aggregation suppression assays

Aggregation assays were performed in a Nunc clear bottom 384 well plate (ThermoScientific) in 20 mM HEPES, 100 mM NaCl, 1 mM EDTA (pH 7.4) and 2mM tris(2-carboxyethyl)phosphine (TCEP). Lysozyme (Fisher, MS grade) was used as an unfolding client and held at a constant concentration of 10  $\mu$ M for all reactions. Freshly purified samples of  $\alpha$ Ac and  $\alpha$ Bc were incubated at 37° C overnight to equilibrate quaternary structure prior to performing the aggregation assays. The reduction induced aggregation of 10  $\mu$ M lysozyme was monitored in the



absence and presence of varying chaperone concentrations between 60 – 5  $\mu\text{M}$  for  $\alpha\text{Ac}$  (*i.e.*, chaperone:client ratios of 6:1, 4:1, 2:1, 1.5:1, 1:1, and 0.5:1) and 40 – 2.5  $\mu\text{M}$  for  $\alpha\text{Bc}$  (*i.e.*, chaperone:client ratios of 4:1, 2:1, 1:1, 0.5:1, and 0.25:1). Chaperone/client mixtures were incubated at 37° C for 15 min followed by the addition of TCEP to initiate lysozyme unfolding. Turbidity at 360 nm was measured on a Tecan Infinite M200 Pro with a constant temperature of 37° C for 120 minutes. Aliquots of pooled aggregation reactions were set aside for SDS-PAGE and negative stain EM (NS-EM). The remainder of the pooled reactions were loaded onto a Superose 6 SEC column (GE Healthcare) equilibrated with 20 mM HEPES (pH 7.4), 100 mM NaCl, and 1 mM EDTA to assess the size of soluble chaperone/client co-aggregates. The pooled reactions were not filtered or centrifuged prior to SEC analysis. The Superose 6 column (24 mL bed volume) was calibrated using a commercial calibration kit (Biorad) containing thyroglobulin (670 kDa), g-globulin (158 kDa), Ovalbumin (44 kDa), myoglobin (17 kDa), vitamin B12 (1.35 kDa). The Superose 6 void volume was determined using dextran blue 2000. Aliquots of each size exclusion fraction were taken for SDS-PAGE to determine to co-elution profile of the chaperone and client.

Statistical analysis comparing  $\alpha\text{Ac}$  and  $\alpha\text{Bc}$  chaperone activity toward lysozyme was performed in excel and all visual interpretation of the data was done using matplotlib based libraries in python. Raw turbidity data was processed by subtracting the baseline of each replicate and normalizing to the average turbidity of reduced lysozyme replicates. Turbidity assays were replicated with 1-3 biological replicates and 4-8 technical replicates for each ratio. An F-test was performed comparing isoforms at each ratio, followed by a two-sample t-test. Aggregation half-times ( $t_{1/2}$ ) were determined as the time point corresponding to half maximal absorbance for each replicate. Statistical comparison of  $t_{1/2}$  values between chaperone:client ratios were done with a two-sample t-test.

#### Dynamic light scattering measurements

All DLS measurements were done at 37° C in an Aurora 384 well plate on a Wyatt DynaPro plate reader III (Wyatt Technology, Santa Barbara, USA) equipped with an 830 nm laser and 150° DLS detector angle. Light scattering measurements were acquired over 10 seconds and processed in Dynamics software v7.10.1 (Wyatt). Protein samples were mixed and incubated at 37° C for 15 minutes before the addition of TCEP (2 mM final concentration) to initiate lysozyme unfolding. The aggregation of 10  $\mu\text{M}$  lysozyme in the presence of  $\alpha\text{Ac}$  or  $\alpha\text{Bc}$  (40  $\mu\text{M}$ , 20  $\mu\text{M}$ , and 10  $\mu\text{M}$ ) was monitored by DLS for 120 minutes ( $n=3$ ). These concentrations were the same used in turbidity analysis. Additionally, it was shown that the aggregation of 10  $\mu\text{M}$  lysozyme alone was below the limit of detection on the DLS instrument and ensured that DLS measurements were monitoring the apo-state  $\alpha\text{Ac}$  and  $\alpha\text{Bc}$  oligomers and/or their co-aggregates formed with lysozyme. Due to the small size of lysozyme, control DLS measurements of reduced and oxidized lysozyme in the absence of  $\alpha\text{Ac}$  or  $\alpha\text{Bc}$  were performed at 100  $\mu\text{M}$  with 20 mM TCEP to provide reliable measurement of the hydrodynamic radii within the instrument's limit of detection.

Three technical replicates were carried out for each reaction. Hydrodynamic radii and percent mass were calculated using the Dynamics software regularization fitting algorithm for polydisperse samples. For comparative analysis, hydrodynamic radii and their corresponding percent mass were binned into one of four bins: 1-10 nm, 10-100 nm, 100-300 nm, and 300+ nm. The average and standard error of the mean were calculated for each bin of each reaction.

### Negative stain electron microscopy

For NS-EM, samples containing only purified  $\alpha$ Ac or  $\alpha$ Bc were pooled from SEC and diluted to  $\sim 2 - 3 \mu\text{M}$  in 20 mM HEPES (pH 7.4), 100 mM NaCl, and 1 mM EDTA ( $\alpha$ Ac samples also contained 1 mM DTT). Sample grids of chaperone client mixtures at the 1:1 and 2:1 ratio were prepared directly from the aggregation reactions without dilution, while the 4:1 reactions were diluted 2x with dilution buffer. For each sample, a 3  $\mu\text{L}$  drop was applied to carbon coated 400 mesh copper grids (Ted Pella) that were glow discharged at 15 mA for 1 min. Excess protein was blotted with filter paper, washed twice with ultra-pure water, stained with freshly prepared (0.75% wt vol<sup>-1</sup>) uranyl formate (SPI-Chem), blotted and dried with laminar air flow.

Negatively stained EM specimens were imaged on a 120 kV TEM (Tecnai T12, FEI) and micrographs were collected on a 2K x 2K CCD camera (Eagle, FEI) at a nominal magnification of 49,000 x at the specimen level. All micrographs were collected with a defocus range of  $\sim 1.5 - 2.5 \mu\text{m}$  and calibrated pixel sizes of 4.37 Å/pixel for apo-state  $\alpha$ Bc (n = 43) and 4.401 Å per pixel for apo-state  $\alpha$ Ac (n = 30),  $\alpha$ Ac:lysozyme 4:1/2:1 (n = 19/8) and  $\alpha$ Bc:lysozyme 4:1/2:1 (n = 6/8) reactions.

### Single particle EM image analysis

Two-dimensional (2D) class averages were obtained as follows. Micrographs for apo-state  $\alpha$ Ac and  $\alpha$ Bc (equilibrated at 37° C for  $\sim 16$  hours) were pre-processed in EMAN 2.91[61] by screening for astigmatism and drift based on Thon rings of Fourier transforms following manual CTF fitting. Particles were picked with EMAN's interactive particle picker and extracted with box sizes of 72 x 72 pixels for apo-state  $\alpha$ Ac (10405 particles) and  $\alpha$ Bc (14502 particles). The phase flipped particle stack from EMAN2.91 was normalized using `relion_image_handler` and imported into RELION3.0[62]. Reference-free 2D classification was performed on the CTF-corrected images in RELION3.0 using a mask size of 200 – 250 Å.

Single-particle morphological analysis developed for this work was performed in FIJI[51] using full micrographs of the apo-state  $\alpha$ Ac and  $\alpha$ Bc, 4:1, 2:1, and 1:1 reactions of  $\alpha$ Ac and  $\alpha$ Bc with lysozyme. An FFT bandpass filter was applied to each image stack using the default filter settings in FIJI (filter large structures at 40 pixels, filter small structures at 3 pixels, 5% tolerance, auto scale after filtering, saturate image when autoscaling). Next, the maximum filter was used with a default radius of 2 pixels followed by background subtraction (rolling ball radius of 25 - 50 pixels) was used on each micrograph stack. The filtered and background subtracted micrographs were

subsequently binarized (with dark background). Background noise tuning of the radius parameter in the Remove Outliers tool, and erosion/dilation of binarized segments (see **Supplemental Fig. 2**).

The FIJI Analyze Particles tool was used to collect Feret diameter measurements. A search range for particle sizes was set to 90-20000 nm<sup>2</sup> and particles residing on the edge of micrographs were excluded from analysis. The lower limit of 90 nm<sup>2</sup> corresponds to a radius of ~5.4 nm, which is below the range of hydrodynamic radii detected in DLS and large enough to filter out possible leftover noise following binarization. Images of numbered particle outlines were generated along with a table of particle analysis measurements. The number of particles analyzed for each sample were: 13889 for apo- $\alpha$ Ac, 5846 for  $\alpha$ Ac:lysozyme 4:1, 2757 for  $\alpha$ Ac:lysozyme 2:1, 3381 for  $\alpha$ A:lysozyme 1:1, 17192 for apo- $\alpha$ Bc, 3793 for 4:1  $\alpha$ Bc:lysozyme, and 1421 for  $\alpha$ Bc:lysozyme 2:1, and 342 for  $\alpha$ B:lysozyme 1:1. A two-sample Kolmogorov-Smirnov test (K-S test) was performed in python (Scipy.stats) used to statistically compare the distributions of Feret diameter measurements between isoforms and at sHSP:lysozyme ratios.

#### Sequence alignment of $\alpha$ -crystallin homologs

Amino acid sequences of  $\alpha$ A-crystallin and  $\alpha$ B-crystallin (human (P02489 and P02511), bovine (P02470 and P02510), and canine (P68280 and A0A8C0JXJ4)) were aligned using ClustalW in Jalview 2.11.2.7[63] and shaded based on percentage identity. Annotation of secondary structure ( $\beta$ -sheets) displays the consensus of three X-ray crystallographic structures of  $\alpha$ B-crystallin (PDB 2WJ7[56], PDB 2Y1Y[57], and PDB 3L1G[58], using MODELLER[64] in ChimeraX1.15[65].

#### Statistical analysis and data representation

All statistical descriptors (mean, standard deviation, standard error of the mean, mode) were calculated using the python based open-source software SciPy[66]. The presented ranges for Area and Feret diameter (Table 2) were calculated by truncating the bottom 2.5% and top 2.5% of the distribution to remove potential outliers. Two-sample Kolmogorov-Smirnov tests (K-S test) were done in SciPy. F-tests and T-tests for turbidity data were done either in Microsoft Excel or SciPy. All data plots were generated using libraries in python3, except the raincloud plots made in R Studio.

#### Data Availability

Raw data used for structural analysis, electron micrographs and processed image files, are provided on zenodo doi: 10.5281/zenodo.8240041.

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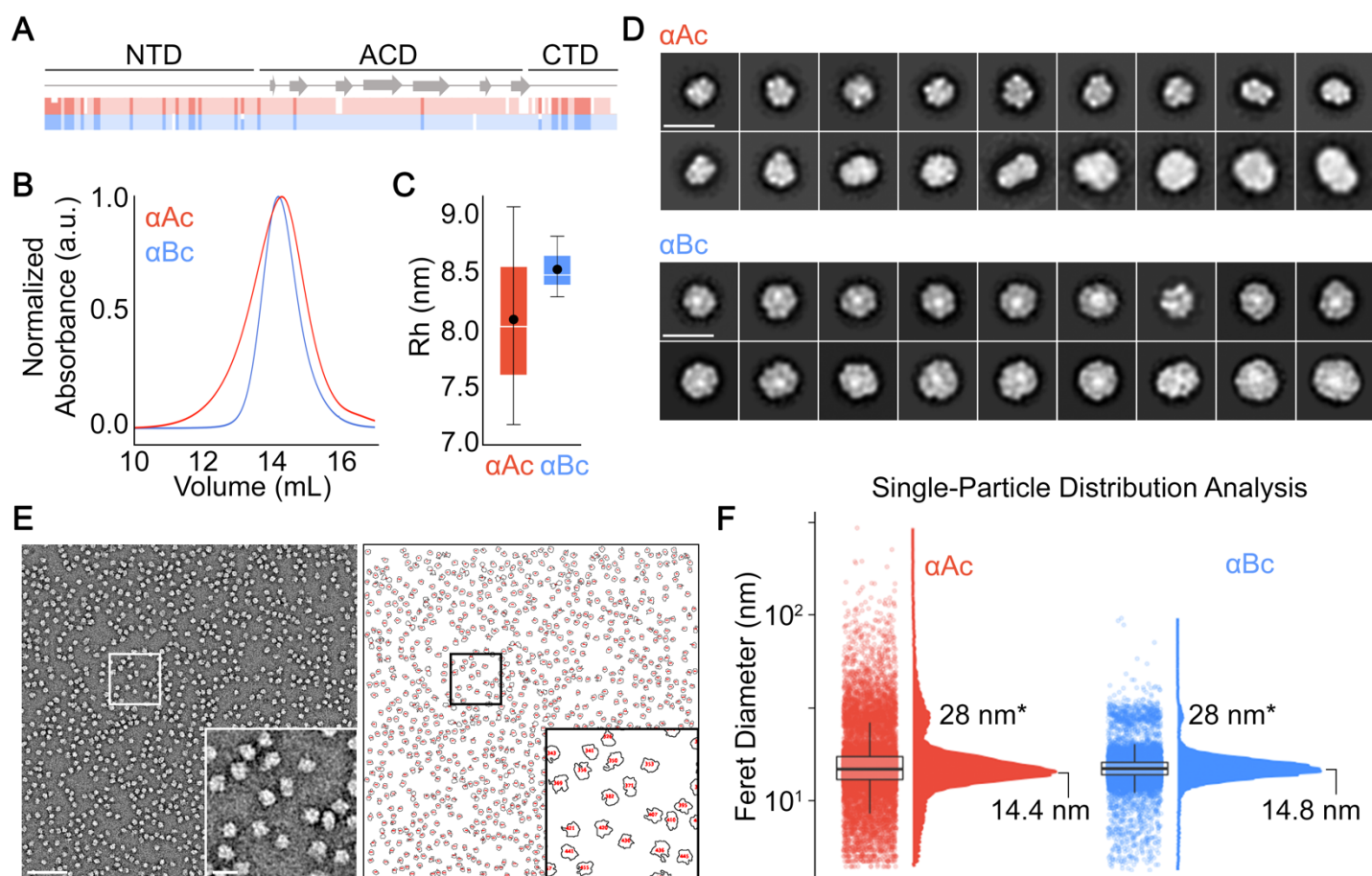
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## MAIN FIGURES & LEGENDS

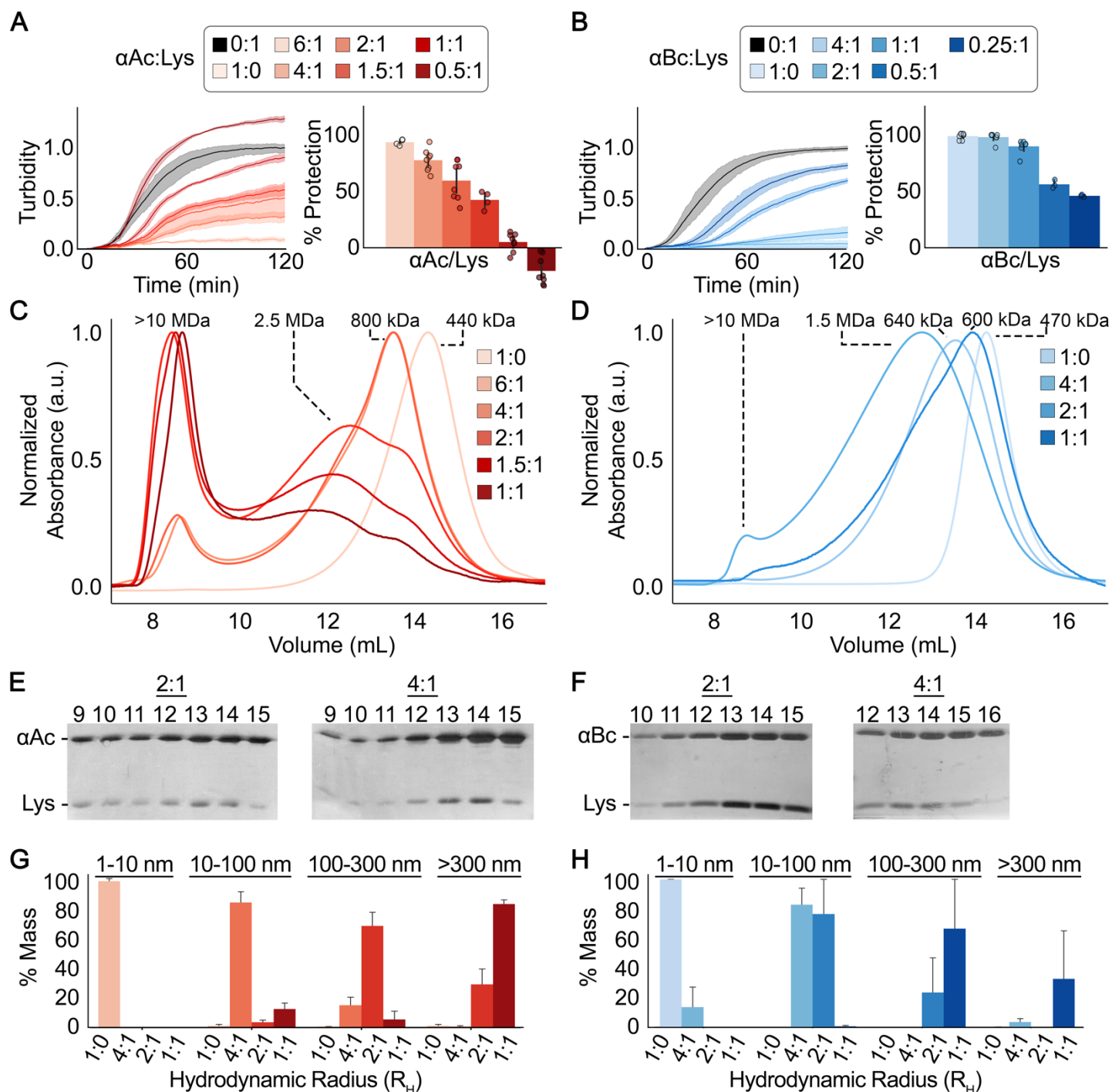
**Figure 1**



**Fig. 1. Structural analysis of polydispersed apo-states of  $\alpha$ A- and  $\alpha$ B-crystallin.** **A.** Comparison of primary sequence homology between human  $\alpha$ A- ( $\alpha$ Ac) and  $\alpha$ B-crystallin ( $\alpha$ Bc), with annotations for secondary structure and domain organization. **B.** Overlay of representative size exclusion chromatography (SEC) elution profiles for  $\alpha$ Ac (red) and  $\alpha$ Bc (blue). **C.** Boxplots displaying hydrodynamic radii ( $R_h$ ) of  $\alpha$ Ac (red) and  $\alpha$ Bc (blue) determined by dynamic light scattering ( $n = 3$ ). **D.** Representative 2D class averages of negatively stained  $\alpha$ Ac (top) and  $\alpha$ Bc (bottom) oligomeric assemblies (scale bar = 20 nm). **E.** Left: Representative micrograph of  $\alpha$ Bc oligomers (scale bar = 100 nm, zoom scale bar = 20 nm). Right: Representative image showing isolated particles from the same micrograph following single-particle distribution analysis using the developed FIJI workflow (see also **Supplemental Fig. 2**). **F.** Raincloud plot showing the distributions of Feret diameters of  $\alpha$ Ac (red) and  $\alpha$ Bc (blue) oligomers extracted from NS-EM images using our single-particle distribution analysis workflow shown in panel e. Feret diameters for major population modes centered around 14 nm and 28 nm are indicated.



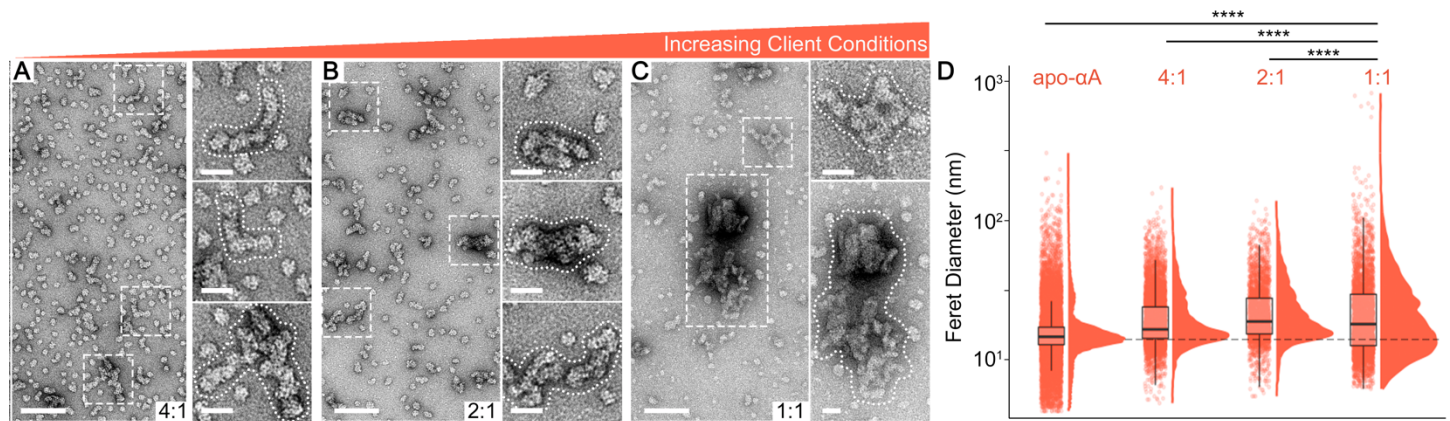
**Figure 2**



**Fig. 2. Chaperone activity and structural characterization of  $\alpha$ -crystallin/lysozyme complexes and co-aggregates.** **A,B.** Aggregation suppression assays against unfolding lysozyme (Lys) client conducted with varying molar ratios of  $\alpha$ Ac (red traces, panel A) and  $\alpha$ Bc (blue traces, panel B). Turbidity measurements at 360 nm were used to monitor aggregation. Lysozyme-only conditions (0:1 ratio) are shown in black. *Left:* Raw turbidity traces with mean  $\pm$  std displayed. *Right:* Histograms showing overall percent protection with error bars representing  $\pm$  std ( $n = 4 - 7$ ). **C,D.** Overlay of size exclusion chromatography (SEC) elution profiles for  $\alpha$ Ac

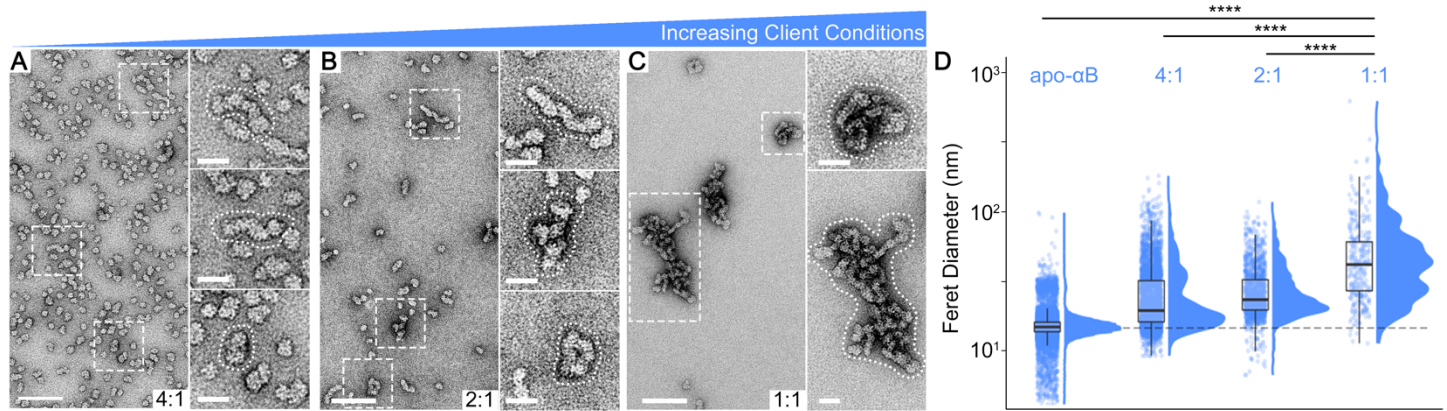
(red, panel C) and  $\alpha$ Bc (blue, panel D) chaperone/client complexes formed after aggregation suppression assays. The apparent molecular weights (m.w.) of major elution peaks are indicated. **E,F.** SDS-PAGE analysis of elution fractions from SEC for the 2:1 and 4:1 ratios of  $\alpha$ Ac (panel e) and  $\alpha$ Bc (panel f). Protein bands corresponding to the presence of  $\alpha$ Ac or  $\alpha$ Bc chaperone and lysozyme (Lys) are marked. **G,H.** Size distribution analysis from dynamic light scattering (DLS) measurements of chaperone/client co-aggregates formed between  $\alpha$ Ac/lysozyme (panel G) and  $\alpha$ Bc/lysozyme (panel H) at varying chaperone:client ratios of 4:1, 2:1, 1:1, and 1:0 (negative control). The measurements were taken after 2 hours of initiating aggregation suppression assays, as shown in **Fig. 2A,B**. Particle size measurements were binned at 1-10 nm, 10-100 nm, 100-300 nm, and >300 nm. Bar plots show mean  $\pm$  sem ( $n = 3$ ).

**Figure 3**



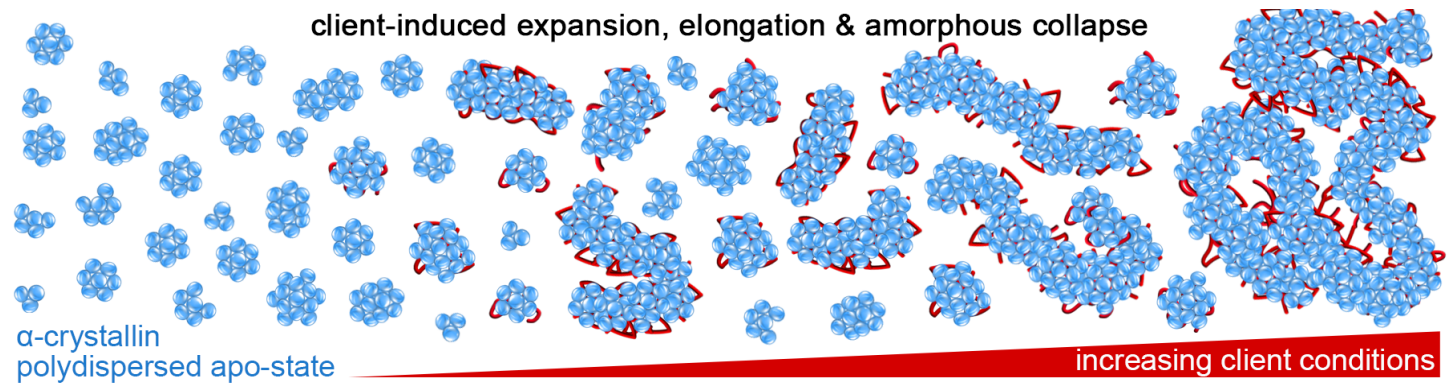
**Figure 3: Quantitative structural analysis of  $\alpha$ Ac/Lysozyme complex formation and co-aggregation by single-particle EM.** **A-C.** Representative micrographs of negatively stained  $\alpha$ Ac/lysozyme complexes and co-aggregates formed at varying chaperone:client ratios of 4:1 (panel A), 2:1 (panel B), and 1:1 (panel C), scale bar = 100 nm. The measurements were taken after 2 hours of initiating aggregation suppression assays, as shown in **Fig. 2A**. Example co-aggregates from each condition are boxed and zoomed (insets, scale bar = 25 nm). **D.** Raincloud plot showing single-particle distributions of Feret diameters extracted for apo- $\alpha$ Ac (control) and various chaperone/client complexes and co-aggregates isolated from NS-EM datasets for the 4:1, 2:1, and 1:1 chaperone:client ratios. KS test, \*\*\*\*  $p < 0.0001$

Figure 4



**Figure 4: Quantitative structural analysis of  $\alpha$ Bc/Lysozyme complex formation and co-aggregation by single-particle EM.** A-C. Representative micrographs of negatively stained  $\alpha$ Bc/lysozyme complexes and co-aggregates formed at varying chaperone:client ratios of 4:1 (panel A), 2:1 (panel B), and 1:1 (panel C), scale bar = 100 nm. The measurements were taken after 2 hours of initiating aggregation suppression assays, as shown in **Fig. 2B**. Example co-aggregates from each condition are boxed and zoomed (insets, scale bar = 25 nm). D. Raincloud plot showing single-particle distributions of Feret diameters extracted for apo- $\alpha$ B (control) and various chaperone/client complexes and co-aggregates isolated from NS-EM datasets for the 4:1, 2:1, and 1:1 chaperone:client ratios. KS test, \*\*\*\*  $p < 0.0001$

**Figure 5**



**Figure 5: Schematic of  $\alpha$ -crystallin client-induced expansion, elongation, and amorphous collapse.** *Left:* Depiction of  $\alpha$ Ac and  $\alpha$ Bc (blue) in their apo-states represented as a polydispersed ensemble of oligomers varying in size and morphology, ranging from approximately 10 – 20 nm in diameter. *Middle to Right:* When challenged by unfolding/destabilized protein (red),  $\alpha$ Ac and  $\alpha$ Bc effectively sequester these clients, inducing structural changes seen as a complex mixture of expanded and/or elongated sHSP scaffolds. With increasing saturation of unfolding client, these chaperone/client complexes continue to elongate and extend to lengths of up to ~125 nm before starting to collapse onto themselves, resulting in more amorphous morphologies that retain underlying features of highly elongated sHSP scaffolds. These amorphous chaperone/client co-aggregates may grow to be larger than 1 micron in size, sufficient to scatter visible light.

## TABLES

**Table 1**

	$\alpha$ Ac/lysozyme		$\alpha$ Bc-lysozyme	
ratio	% protection (n)	$t_{1/2}$ (min)	% protection (n)	$t_{1/2}$ (min)
lysozyme	--	$32.6 \pm 2.0$	--	$35.2 \pm 2.9$
6:1	$91.4 \pm 1.3$ (4)	$29.3 \pm 3.5$	--	--
4:1	$76.0 \pm 3.3$ (8)	$46.0 \pm 2.6$	$98.8 \pm 0.2$ (7)	--
2:1	$58.3 \pm 5.9$ (8)	$53.8 \pm 2.4$	$95.9 \pm 1.1$ (7)	--
1.5	$41.7 \pm 3.8$ (4)	$47.8 \pm 2.2$	--	--
1:1	$5.3 \pm 2.8$ (8)	$47.6 \pm 2.9$	$87.1 \pm 2.4$ (7)	$64.7 \pm 2.1$
0.5:1	$-19.5 \pm 4.3$ (8)	$36.7 \pm 3.2$	$31.9 \pm 2.0$ (7)	$64.8 \pm 2.4$
0.25:1	--	--	$17.4 \pm 3.3$ (7)	$60.0 \pm 0.9$

**Summary of chaperone aggregation suppression assay results.** Chaperone activity of  $\alpha$ Ac and  $\alpha$ Bc toward the aggregation of reduced lysozyme (10  $\mu$ M) at 37° C at various chaperone:client ratios, showing percent protection as a measure of the chaperone's ability to suppress turbidity, number of technical replicates (n) for each ratio, and the time (minutes) indicating half aggregation ( $t_{1/2}$ ). Percent protection was calculated as the average  $\pm$  sem turbidity of each ratio compared to the average turbidity obtained for lysozyme-only conditions for each biological replicate. Values for  $t_{1/2}$  were determined as the average  $\pm$  sem time at which turbidity reached half the maximal value for each technical replicate.

**Table 2**

	$\alpha$ A-crystallin:lysozyme				$\alpha$ B-crystallin:lysozyme			
	1:0 (apo)	4:1	2:1	1:1	1:0 (apo)	4:1	2:1	1:1
Feret (nm)	17.4 ± 0.1	21.2 ± 0.2	24.0 ± 0.3	25.0 ± 0.4	15.3 ± 0.02	26.5 ± 0.3	28.0 ± 0.4	50.7 ± 2.0
Feret range (nm)	6.3 – 45.7	10.0 – 54.9	10.0 – 64	7.9 – 82.2	10.4 – 26.0	12.6 – 73.3	13.9 – 66.2	16.0 – 149.4
Area (nm <sup>2</sup> )	181.4 ± 2.8	248.2 ± 3.3	302.8 ± 6.2	367.0 ± 13.7	140.5 ± 0.4	349.8 ± 7.2	398.8 ± 8.7	1324.5 ± 108.2
Area range (nm <sup>2</sup> )	17.6 – 631.6	61.2 – 918.3	55.4 – 1199.1	37.6 – 1859.4	45.5 – 252.4	84.4 – 1504.2	111.6 – 1330.6	162.7 – 6780.2
N <sub>particles/images</sub>	13889/30	5846/8	2757/8	3381/10	17192/43	3793/8	1421/8	342/22

**Summary of individual particle shape measurements extracted from NS-EM micrographs.** Feret diameter and Area values represent the mean ± sem obtained from measurements for individual apo-state oligomers (1:0) and  $\alpha$ -crystallin/lysozyme complexes and co-aggregates obtained at chaperone:client ratios of 4:1, 2:1 and 1:1 (see also **Fig. 3,4**) using the single-particle distribution analysis workflow described in **Methods** and **Supplemental Fig. 2**. The presented range for Feret diameter and Area distributions were calculated by truncating the smallest 2.5% and largest 2.5% of measurements to remove potential outliers.

## SUPPLEMENTAL INFORMATION

### Client-induced expansion, elongation, and amorphous collapse by the $\alpha$ -crystallin chaperones

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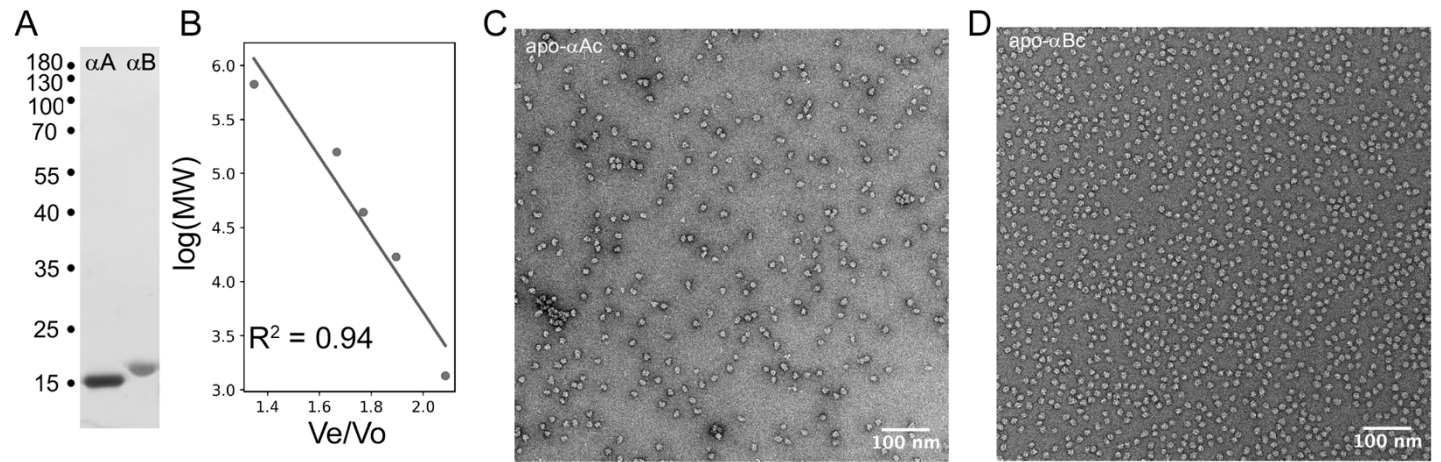
#### **Keywords:**

$\alpha$ -crystallin, small heat shock protein (sHSP), HSPB4, HSPB5, chaperone, cataract, aggregation, electron microscopy, single particle analysis



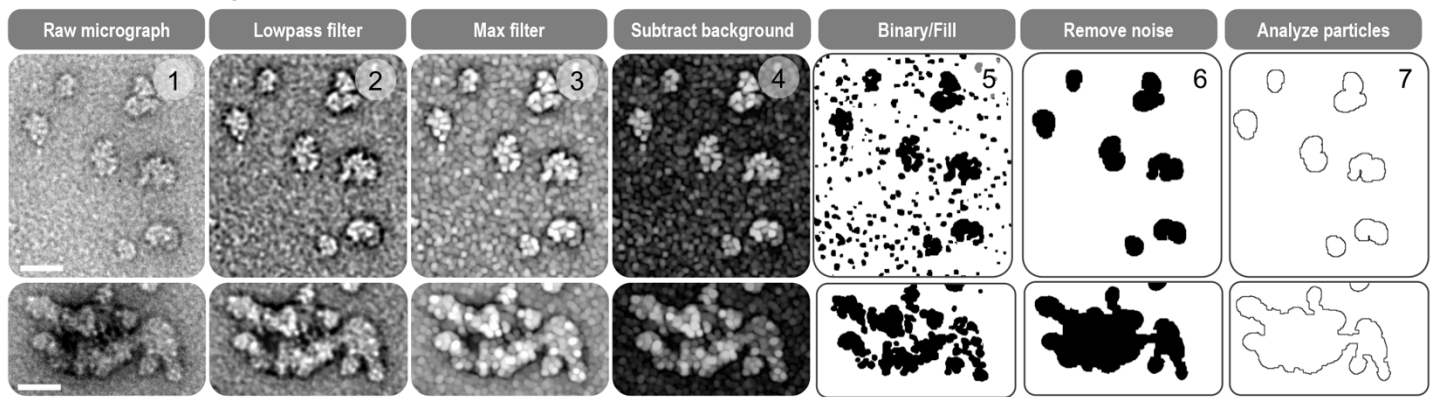
## SUPPLEMENTAL FIGURES & LEGENDS

### Supplemental Figure 1



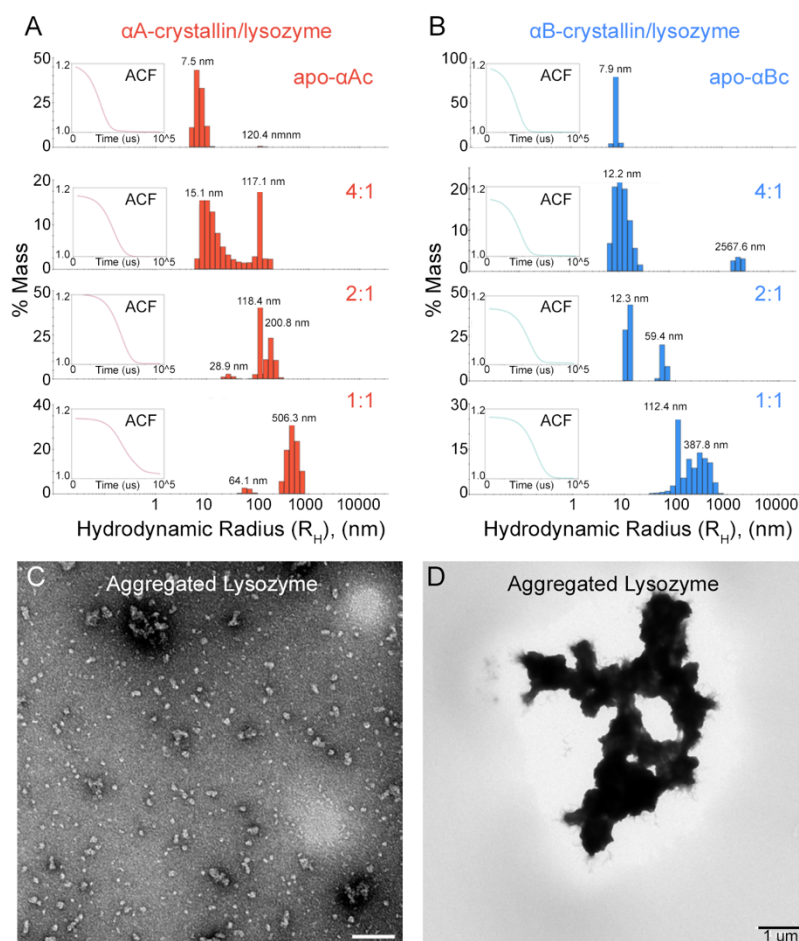
**Supplemental Figure 1. Biochemical isolation and structural assessment of recombinant apo-state  $\alpha$ -crystallins.** **A.** SDS-PAGE gel of purified  $\alpha$ A- and  $\alpha$ B-crystallin with molecular weight (MW) positions annotated (kDa). **B.** Standard calibration curve of Sepharose-6 size exclusion column using calibration standards (Biorad # 1511901; MW range of 1.35 – 670 kDa) and DB2000 for void volume ( $V_o$ ) determination, plotted as  $\log(\text{MW})$  versus ratio of elution volume ( $V_e$ ) over  $V_o$ . **C,D.** Representative NS-EM micrographs of purified apo- $\alpha$ Ac and apo- $\alpha$ Bc (scale bar = 100 nm).

## Supplemental Figure 2



**Supplemental Figure 2. Image processing workflow applied to NS-EM micrographs for the morphological analysis of individual sHSP complexes and co-aggregates applied using the software FIJI.** Example workflow shows representative co-aggregates of  $\alpha$ Ac/lysozyme at a 2:1 chaperone:client ratio. Top row of images include examples of cage-like morphologies and the bottom row shows an example of a larger co-aggregate (scale bar = 20 nm). Step 1, shows original raw micrograph with an effective pixel size of 4.40 Å/pixel. Step 2, shows result of FFT band-pass filter with applied settings: (filter large structures at 40 pixels, filter small structures at 3 pixels, 5% tolerance, auto scale after filtering, saturate image when autoscaling). Step 3, shows result of applied maximum filter set to a default radius of 2 pixels. Step 4, shows result of background subtraction (rolling ball radius of 25 - 50 pixels). Step 5, shows the result of binarization (with dark background). Step 6, shows the result of removing background noise by tuning of the radius parameter in the Remove Outliers tool, and erosion/dilation of binarized segments. Step 7, shows the final result of particle contours used for morphology analysis using the FIJI Analyze Particles tool.

### Supplemental Figure 3



**Supplemental Figure 3. Dynamic light scattering measurements of  $\alpha$ -crystallin/lysozyme reactions and micrograph of aggregated lysozyme. A,B.** Mass % histogram of hydrodynamic radii ( $R_H$ ) obtained by dynamic light scattering (DLS) with autocorrelation function (ACF) for a single technical replicate shown for each experiment (inset). Representative data for  $\alpha$ Ac (panel A) and  $\alpha$ Bc (panel B) and results of aggregation suppression assays using reduced lysozyme at varying chaperone:client ratios are shown. **C,D.** Representative NS-EM micrograph of reduced lysozyme showing examples of smaller aggregates (panel C, scale bar = 100 nm) and large plaque-like aggregates (panel D, scale bar = 1  $\mu$ m).