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Spanning Trees of Complete Graphs and Cycles

by

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Abstract

Spanning trees are typically used to solve least path problems, and several algorithms exist for finding the minimal spanning tree of a graph. Given a number $t \geq 3$ what is the least number $n = \alpha(t)$ such that there exists a graph on n vertices having precisely t spanning trees? In this work, we consider how the factoring of t and the use of cycles connected by one vertex will affect $\alpha(t)$? Lower and upper bounds of $\alpha(t)$ are graphed to illustrate bounds using properties of cycles and complete graphs. The upper bound of $\alpha(t)$ is then improved by constructing a graph of connected cycles $\{C_{p_1}, C_{p_2}, C_{p_3}, \dots, C_{p_n}\}$ where $p_1, p_2, p_3 \dots p_n$ belong to the prime factorization of t . The bounds of $\alpha(t)$ are significantly improved.

1 Introduction

Graph theory is one of the many areas of study that has grown popular in modern mathematics. Ideas that originated from graph theory are used in applications of mathematics and in other areas of study as well. Graphs are structures that consist of vertices and edges. One property of graphs that is often studied is its number of spanning trees. A spanning tree of a graph is a subgraph that contains the least number of edges needed to create a path from one vertex to another until all vertices are connected [1].

The discussion of least spanning trees or the more commonly discussed problem of finding the shortest path is popular among researchers in computer science and computer networking. It is an important theoretical foundation for many algorithms used in graph data structures in computer science, civil network planning, and computer network routing. [2] These applications use minimal spanning trees to find the least path or most efficient subgraph containing no cycles, which can provide information on finding the most efficient and economical solution. In many modern technologies, efficiency is a crucial demand for applications using mathematics and computer science.

A function related to spanning trees of graphs is $\alpha(t) = n$, which takes in the desired number of spanning trees of some graph G , denoted $t = \tau(G)$, as the parameter. The output n equals the vertices of graph G , where G contains the least number of vertices of any graph that has exactly t spanning trees. In 1970, graph theorist J. Sedlacek [3] proved some properties about the asymptotic behavior of $\alpha(t)$. He showed

that $\alpha(t) \leq \frac{t+6}{3}$ if $t \equiv 0 \pmod{3}$ and $\alpha(t) \leq \frac{t+4}{3}$ if $t \equiv 2 \pmod{3}$. Later, the known upper bound of $\alpha(t)$ is improved by J. Azarija and R. Skrekovski. They prove [4] that $\alpha(t) \leq \frac{t+9}{4}$ and $\beta(t) \leq \frac{t+13}{4}$, if $t \notin \{3, 4, 5, 6, 7, 9, 10, 13, 18, 22\}$. They also show that the known fixed points of α are 3, 4, 5, 6, 7, 9, 10, 13, 18, 22, which is the subset of Euler's Idoneal numbers. Additionally, Azarija and Skrekovski also consider $\beta(t) = k$, the analogous function minimizing edges of graphs with t trees. A formula for the optimal values of these functions has not been found yet. A conjecture has been made [5] in the math community that $\alpha(n) = o(\log n)$.

We now fix our notation and summarize the basic question of this paper. Given a number $t \geq 3$ what is the least number $n = \alpha(t)$ such that there exists a graph on n vertices having precisely t spanning trees? Let there exist a graph G with t spanning trees. Then $\alpha(t)$ is the order of any graph with the least number of vertices of such a graph.

1.1 Definitions

Graph: A graph is a mathematical representation of a network. It represents the relationship between lines and points. A graph is given as $G = (V, E)$, where V is the set of vertices and E is the set of edges.

Spanning Tree: A spanning tree is a subgraph of any graph that contains the least number of edges needed to create a path, with no cycles, from one vertex to another until all vertices are connected. All spanning trees have $(n-1)$ edges, for a graph with n vertices.

Complete Graph: A complete graph is a simple undirected graph with each pair of distinct vertices connected by a unique edge. The complete graph on n vertices is denoted by K_n .

Cycle: A cycle is a simple graph with n vertices, where $n > 2$, with edges connecting each vertex in a cyclic order of length n . The cycle on n vertices is denoted by C_n .

1.2 Spanning Tree Counting Theorems

There are two common techniques for counting spanning trees. Let G be any graph, then $\tau(G)$ outputs the number of spanning trees for graph G .

Deletion-Contraction Formula

This technique uses a deletion-contraction formula in a recursive form to count spanning trees of a graph. For a graph G , $\tau(G) = \tau(H-e) + \tau(H \bullet e)$.

Kirchhoff's Matrix-Tree Theorem

This technique uses the adjacency matrix of a graph to create the Laplacian matrix denoted L . With matrix L , a row and column is crossed off to get the smaller matrix L^* . Then, for a given graph G , $\tau(G) = \det(L^*)$ [6].

Cayley's Tree Formula

Cayley's tree formula [7] is based off the matrix-tree theorem. Let $T_{n,k}$ be the number of labelled forests on n vertices with k connected components, then $T_{n,k} = kn^{n-k-1}$. For the spanning trees of complete graphs, $\tau(K_n) = n^{(n-2)}$.

2 Bounds of $\alpha(n)$ using cycles and complete graphs

In a related discussion of this topic, the spanning trees of circulant graphs are also worth mentioning. The spanning trees of this subclass of regular graphs correlates closer to the applications of computer network routing. A circulant graph is defined [8] as "a graph of n graph vertices in which the i -th graph vertex is adjacent to the $(i+j)$ -th and $(i-j)$ -th graph vertices for each j in a list l ." When visualized, these graphs are seen to be formed from cycles, but with additional adjacencies.

1. The spanning trees of the circulant graph, *square of the cycle* $C^{1,2}_n$ [9], is $\tau(C^{1,2}_n) = nF_n^2$, where F_n is the sequence of the *Fibonacci* numbers.

N	Fibonacci Sequence	$\tau(C^{1,2}_n)$
1	1	1
2	1	2
3	2	12
4	3	36
5	5	125
6	8	384
7	13	1183
8	21	3528
9	34	10404
10	55	30250

2. The number of spanning trees for complete graphs is $\tau(K_n) = n^{(n-2)}$

n	$\tau(K_n)$
1	1
2	1
3	3
4	16
5	125
6	1296
7	16807
8	262144
9	4782969
10	100000000

2.1 Fixed Points of $\alpha(t)$

By Azarija and Skrekovski, $\alpha(t)$ is fixed for the subset of Euler's Idoneal numbers: $\{3, 4, 5, 6, 7, 9, 10, 13, 18, 22\}$ For this set, the $\alpha(t)$ cannot be reduced and is fixed.

n	$\alpha(t) = n$
3	3
4	4
5	5
6	6
7	7
9	9
10	10
13	13
18	18
22	22

2.2 Upper Bounds of $\alpha(t)$

Upper Bound for a Cycle: A cycle with n vertices has exactly n spanning trees. Each spanning tree of a cycle is found by removing a single edge to produce a unique spanning tree. Then the maximum upper bound of $\alpha(t)$ for the set of cycles C_n is $\tau(C_n) = n$. Therefore, $\alpha(\tau(C_n)) \leq n$.

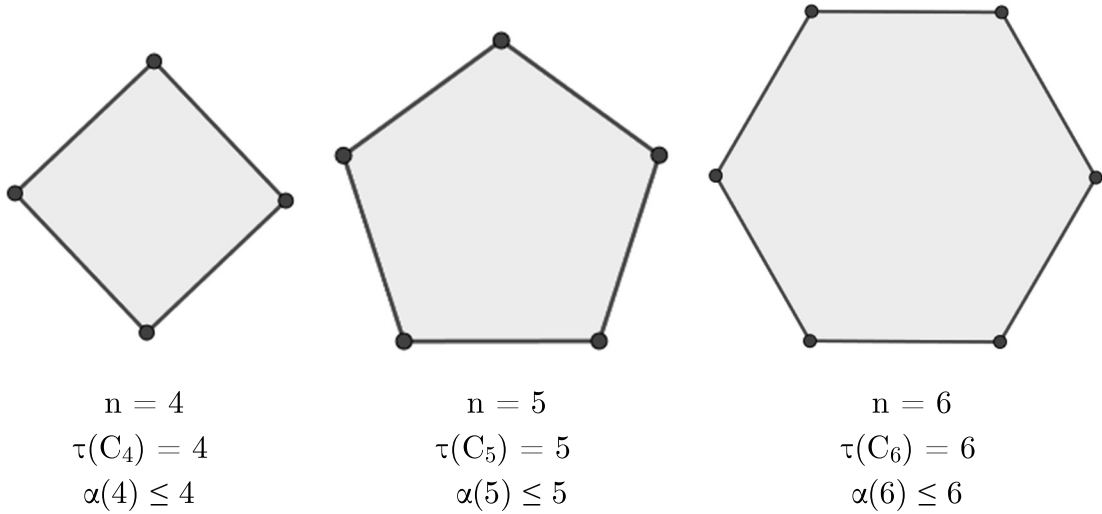


Figure 1: For cycles C_4 , C_5 , and C_6 the number of spanning trees is equal to the number of vertices of that cycle and $\alpha(\tau(C_n))$ is less than or equal to n .

Upper bound for complete graphs: The set of complete graphs denoted by K_n is one that contains edges from each vertex to another. For any given cycle with n vertices > 4 , adding additional edges between vertices will allow the graph to produce a greater number of spanning trees. Then a complete graph K_n will produce the most spanning trees for n vertices, since K_n contains edges from every vertex to all other vertices. Therefore $\alpha(\tau(K_n)) \leq n$.

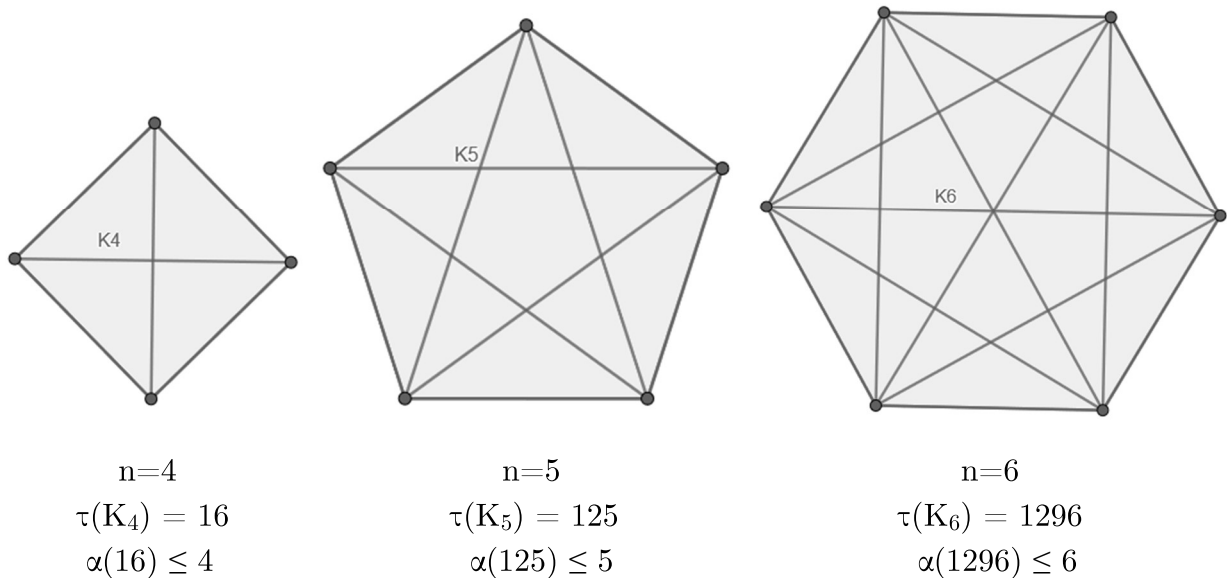


Figure 2: For complete graphs K_4 , K_5 , and K_6 the number of spanning trees is equal to the number of vertices of that cycle and $\alpha(\tau(K_n))$ is less than or equal to n . $\tau(K_4)$ is higher than that of C_n since it contains more edges.

For each n , C_n and K_n represent the cycles and complete graphs, respectively, with n vertices. Let $t = \tau(K_n)$. Then taking $\alpha(\tau(K_n))$ allows us to determine some upper and lower bounds for $\alpha(t)$. Clearly, the maximum upper bound is $\alpha(t) \leq t$ so, $\alpha(\tau(K_n)) \leq \tau(K_n)$. The lower bound is determined by the result of $\alpha(\tau(K_n))$.

Let $n = 4$. Note that: $\tau(K_4) = 16$ and $\alpha(16) \leq 4$

Any graph with $n = 4$ vertices can have at most $\tau(K_4) = 16$ spanning trees.

For any graph with $16 + 1$ spanning trees, since $n = 4$ has at most 16 spanning trees, $\alpha(17)$ must be a graph with 5 or more vertices.

$$5 \leq \alpha(17)$$

Then it is true that $4 < \alpha(17)$

It is also true that $4 \leq \alpha(16)$

Then the bounds are $n \leq \alpha(\tau(K_n)) \leq \tau(K_n)$

n	$\tau(C_n)$	$\tau(K_n)$	Bounds of α
3	3	3	$3 \leq \alpha(3) \leq 3$
4	4	16	$4 \leq \alpha(16) \leq 16$
5	5	125	$5 \leq \alpha(125) \leq 125$
6	6	1296	$6 \leq \alpha(1296) \leq 1296$
7	7	16807	$7 \leq \alpha(16807) \leq 16807$
8	8	262144	$8 \leq \alpha(262144) \leq 262144$
9	9	4782969	$9 \leq \alpha(4782969) \leq 4782969$
10	10	100000000	$10 \leq \alpha(100000000) \leq 100000000$
11	11	2357947691	$11 \leq \alpha(2357947691) \leq 2357947691$
12	12	61917364224	$12 \leq \alpha(61917364224) \leq 61917364224$
13	13	1.79216E+12	$13 \leq \alpha(1792160394037) \leq 1792160394037$
14	14	5.66939E+13	$14 \leq \alpha(56693912375296) \leq 56693912375296$
15	15	1.9462E+15	$15 \leq \alpha(1946195068359370) \leq 1946195068359370$

These upper bounds can be improved by taking the prime factorization of the upper bound. Note that the upper bound is the result of the number of spanning trees for that cycle (i.e. $C_{16807} \Rightarrow \tau(C_{16807}) = 16807$) Therefore, taking the prime factorization of

16807, a graph of connected cycles can be constructed, where each sub-cycle contains the same number of vertices as each of the primes in the prime factorization.

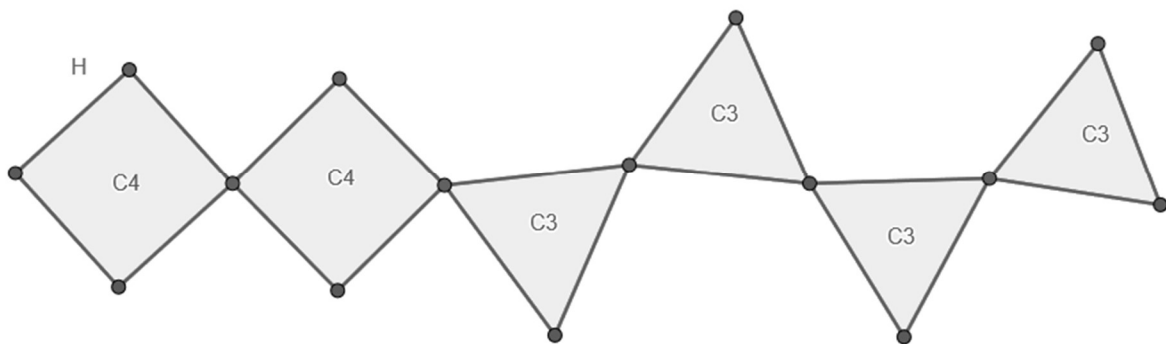
Let G be the cycle C_t . Let H be the graph constructed of cycles from the set $\{C_{p_1}, C_{p_2}, C_{p_3}, \dots, C_{p_n} \mid p_1, p_2, p_3, \dots, p_n \in \text{prime factorization of } t\}$. If the prime factorization includes any powers of 2, it must be converted to a power of 4, since there cannot be a cycle of 2 vertices, without requiring duplicate edges.

t	Prime Factorization of t
16	4×4
125	5×5
1296	$4 \times 4 \times 3 \times 3 \times 3 \times 3$
16807	$7 \times 7 \times 7 \times 7 \times 7$
262144	$4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 4$
4782969	$3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3$
100000000	$4 \times 4 \times 4 \times 4 \times 5 \times 5 \times 5 \times 5 \times 5 \times 5 \times 5 \times 5$
2357947691	$11 \times 11 \times 11 \times 11 \times 11 \times 11 \times 11 \times 11 \times 11$
61917364224	$4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 4 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3 \times 3$
1792160394037	$13 \times 13 \times 13 \times 13 \times 13 \times 13 \times 13 \times 13 \times 13 \times 13 \times 13 \times 13$

Example.

Let $G = C_{1296}$. The prime factorization of $1296 = 2^4 * 3^4 = 4 \times 4 \times 3 \times 3 \times 3 \times 3$.

Let H be the graph constructed of cycles C_4, C_4, C_3, C_3, C_3 . Then H is such a graph:



H contains the same number of spanning trees as G . To see this, choose one spanning tree from each sub-cycle in H . The combined outcome is one spanning tree of H . To find the second spanning tree of H , the chosen spanning trees for the sub-cycles shall remain the same. Now choose a different spanning tree for only the first sub-cycle C_4 . To find

the third spanning tree of H, choose a different spanning tree of C_4 . This can be repeated for each sub-cycle. C_4 has 4 choices of spanning trees and C_3 has 3 choices of spanning trees. Then this becomes a counting problem where the total number of spanning trees is equal to $4 \times 4 \times 3 \times 3 \times 3$, which is the same as the factorization of 1296.

Thus, $G = C_t$ and H both have the same number of spanning trees. While C_t has t vertices, H has less vertices since each sub-cycle shares a vertex. The number of vertices for a set of sub-cycles $\{C_{p_1}, C_{p_2}, C_{p_3}, \dots, C_{p_n}\}$ is calculated by taking the sum $p_1 + p_2 + p_3 + \dots + p_n - n + 1$ (the number of sub-cycles in the graph). For graph H, the number of vertices is $4 + 4 + 3 + 3 + 3 + 3 - 5 = 15$. Then there now exists a graph with significantly less vertices than the original cycle. The updated bounds for this set of graphs is:

t	Vertices	Bounds of α
16	7	$4 \leq \alpha(16) \leq 7$
125	9	$5 \leq \alpha(125) \leq 9$
1296	15	$6 \leq \alpha(1296) \leq 15$
16807	31	$7 \leq \alpha(16806) \leq 31$
262144	28	$8 \leq \alpha(262144) \leq 28$
4782969	29	$9 \leq \alpha(4782969) \leq 29$
100000000	45	$10 \leq \alpha(100000000) \leq 45$
2357947691	91	$11 \leq \alpha(2357947691) \leq 91$
61917364224	51	$12 \leq \alpha(61917364224) \leq 51$
1792160394037	133	$13 \leq \alpha(1792160394037) \leq 133$

The first two powers of the prime factors 3 and 4 ($4=2^2$, since a 2-cycle cannot be constructed) are calculated in the table below. The number of spanning trees is equal to the power of the 3 and 4. The number of vertices for a graph constructed of 3-cycles begins with 5 and continues to increase by a constant value of 2. The number of vertices for a graph constructed of 4-cycles begins with 7 and continues to increase by a constant value of 3. Since the vertices for connected graphs is the sum of each sub-cycle minus the number of sub-cycles in the graph, the pattern is expected to be similar for powers of other prime numbers (5, 7, 11...)

Exp	Powers	Prime Factorization	Spanning Trees	# vertices	Bounds of α
3^2	9	$3*3$	9	5	$\alpha(9) \leq 5$
3^3	27	$3*3*3$	27	7	$\alpha(27) \leq 7$
3^4	81	$3*3*3*3$	81	9	$\alpha(81) \leq 9$
3^5	243	$3*3*3*3*3$	243	11	$\alpha(243) \leq 11$
3^6	729	$3*3*3*3*3*3$	729	13	$\alpha(729) \leq 13$
4^2	16	$4*4$	16	7	$\alpha(16) \leq 7$
4^3	64	$4*4*4$	64	10	$\alpha(64) \leq 10$
4^4	256	$4*4*4*4$	256	13	$\alpha(256) \leq 13$
4^5	1024	$4*4*4*4*4$	1024	16	$\alpha(1024) \leq 16$
4^6	4096	$4*4*4*4*4*4$	4096	19	$\alpha(4096) \leq 19$

The bounds proven by Sedlacek $\alpha(t) \leq \frac{t+6}{3}$ if $t \equiv 0 \pmod{3}$ and $\alpha(t) \leq \frac{t+4}{3}$ if $t \equiv 2 \pmod{3}$ and J. Azarija and R. Skrekovski $\alpha(t) \leq \frac{t+9}{4}$ could possibly be represented by a table such as this one, although it does not make sense to plot out powers of every prime number. One thing to note about graphs constructed by connected cycles is that the bound for $\alpha(t)$ will be improved if the sum of the factors used for the cycle is close to each other.

Example.

For C_{36} , the factors of 36 are 1, 2, 3, 4, 6, 9, 12, 18, 36.

Note that:

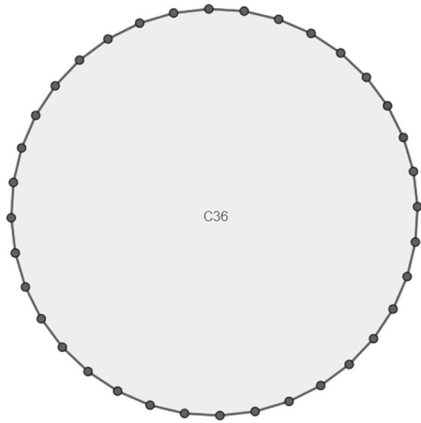
$$2 * 18 = 2 * 2 * 9 = 2 * 2 * 3 * 3 = 4 * 3 * 3$$

$$3 * 12 = 4 * 3 * 3$$

$$4 * 9 = 4 * 3 * 3$$

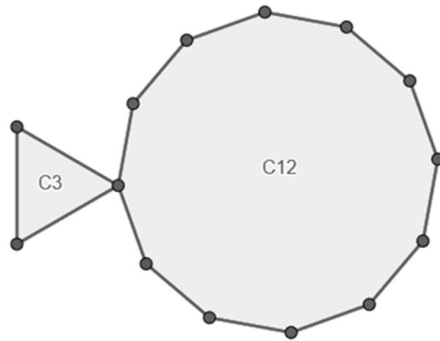
$$6 * 6 = 3 * 2 * 3 * 2 = 4 * 3 * 3$$

For C_{36} and each of the graphs constructed with connected cycles, the number of spanning trees will remain the same: $\tau(C_{36}) = \tau(C_2 * C_{18}) = \tau(C_6 * C_6) = 36$ and so forth for each connected cycle.



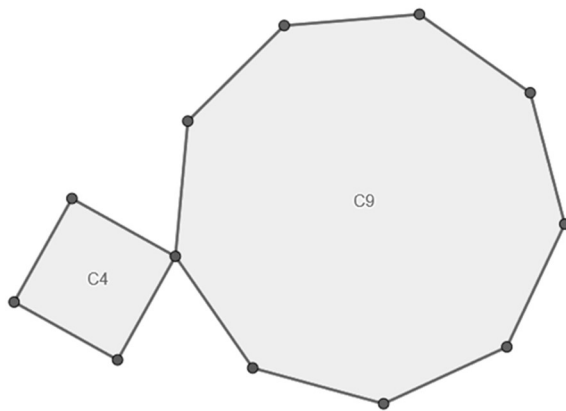
C_{36}

$$\alpha(\tau(C_{36})) \leq 36$$



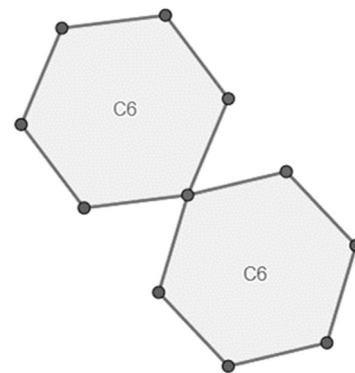
$C_3 * C_{12}$

$$\alpha(\tau(C_3 * C_{12})) \leq 14$$



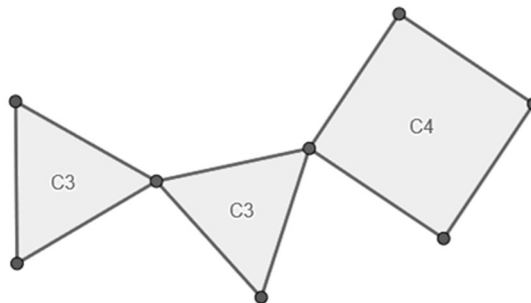
$C_4 * C_9$

$$\alpha(\tau(C_4 * C_9)) \leq 12$$



$C_6 * C_6$

$$\alpha(\tau(C_6 * C_6)) \leq 11$$



$C_3 * C_4 * C_3$

$$\alpha(\tau(C_3 * C_4 * C_3)) \leq 8$$

3 Conclusion

The behavior of $\alpha(t)$ is observed by finding the upper bounds using properties known about $\tau(C_n)$ and $\tau(K_n)$ and improving that bound significantly by creating a graph constructed of cycles connected by one vertex. Since constructed cycles may have different factorizations, it is best to break out the cycle into multiple connected cycles that have factors that are close in number. The expected number and size of prime numbers of typical values of n may give us possible directions for further research. We may be able to obtain such estimates from analytic number theory. Knowing these values could offer asymptotic estimates for the number and size of cycles given in our construction. Perhaps the resulting bounds will agree with other known results.

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