Reliability of Solder Joints in Embedded Packages Using Finite Element Methods

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Reliability of Soldier Joints in Embedded Packages using Finite Element Method

by

Valeri Aisha Yunusa

A thesis submitted in partial fulfillment of the requirements for the degree of

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in
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Abstract

Solder joints serve as both mechanical and electrical connections between elements in a package. They are subjected to shear strains generated as a result of the different behaviors of the elements in the package (tension and compression) due to the differences in coefficients of thermal expansion during service conditions.

Some of the causes of solder joint failures are due to the following:

Vibration: small rapid displacements of parts of the assembly. This is not necessarily an issue with electronic components but larger parts like automobiles.

Humidity: the package being exposed to water or ionic species can undergo corrosion if an electrical bias exists resulting in electrical opens or electrical shorts if the corrosion products are electrically conductive.

Thermal Aging: this occurs during the lifetime of the solder interconnects, the package can be exposed to high ambient temperature or high dissipated heat during use. The micro-structure of the solder joint becomes more coarse and brittle.

Mechanical Shock: the package undergoes shock during a short term exposure to high loads.

Thermo-mechanical fatigue: this type of failure arises as a result of the solder joints going through cyclic strains, due to different coefficients of thermal expansion of individual components in the package during service.
The most prevalent long-term reliability issues that can cause interconnect failure are thermal aging and thermo-mechanical fatigue [1]. This study aims to evaluate the reliability of solder joints using finite element method, considering solder joint failure due to thermo-mechanical fatigue.

Three variations of the BGA (Ball Grid Array) package are evaluated using the finite element analysis. The SAC305 series lead (pb) free alloy of 96.5% tin, 3% silver, and 0.5% copper is employed for this study.
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Chapter 1

Introduction

Predicting solder joint fatigue has been one of the industry’s most challenging problem. The newest generation of computer and electronic components feature extremely small package sizes with large number of connections [4]. The trends in the industry have evolved from leaded through hole mounted devices to leadless solder ball arrays. The pitch sizes of the solder arrays become finer as interconnect sizes shrink with time, which makes solder joint failure a dominant cause of package failure. Solder interconnects perform 3 functions (mechanical, electrical and thermal):
1. Electrical function: it serves as an electrical connection path from the silicon chip to the circuitry on the substrate within the package, and copper traces on the PCB.
2. Mechanical function: it also serves as a mechanical support for elements which are interconnected.
3. Thermal function: during service time, as the power of the chips arise, heat dissipation becomes a critical issue that can affect the performance of the electronic package. Solder joints help in heat dissipation.

Solder joints can undergo large strain effects as a result of small fluctuations in temperature. The magnitude of this effect depends on the joint thickness and coefficient of thermal expansion (CTE) of the different materials used for the package. The micro-structure of the solder joint is eventually affected as a combination of the change in temperature of the package and the resulting strain associated with the change in temperature. The micro structure begins coarsening and eventually crack initiation and propagation begin.
The Ball Grid Array (BGA) package is widely used in electronic products as it can satisfy many requirements such as high I/O density, small profile, high performance and low cost, better electrical and thermal performance, etc. Therefore, the BGA package is employed for this study.

1.1 SAC305 Solder Joint

The transition to lead-free solder happened to coincide with a dramatic increase in portable electronic products. The passage of the European Union directive on the restriction of the use of hazardous substances in Electrical and Electronic Equipments has made the drive towards worldwide adoption of lead free solder unstoppable for electronics packaging[5]. Sn–Ag–Cu (SAC) is now recognized as the standard lead free solder alloy for packaging interconnects in the electronics industry [2].

Under typical conditions, the SAC alloy is significantly more creep resistant compared to the tin-lead alloy due to differences in microstructures (such as fine $\text{Ag}_3\text{Sn}$ (sliver-tin alloy) phases in the matrix) [5].

Thermal cycle condition profile and component type play a huge role on the reliability of SAC alloys relative to the tin-lead. For example, using 1206 ceramic chip resistors on FR4 board when thermo-cycled between 0 and 100°C (with 10 °C/min ramp rate and 5 mins dwell), the reliability of the tin-lead was greater than Sn-3.5Ag when thermo cycled between -55 and 125°C.

The present Study will be conducting investigation on the SAC305 series which is a lead (Pb) free alloy of 96.5% tin, 3% silver, and 0.5% copper. It’s almost eutectic (solid melting temperature is almost the same as liquid melting temperature). Typical melting temperature is around 217°C (490K) for solid and 220°C (493K) for liquid state.
Figure 1.1: Sn–Ag–Cu ternary phase diagram [2]

Figure 1.2: Binary phase diagram (a) Sn–Ag and (b) Sn–Cu [2]
1.2 Effect of solder joint geometry for reliability of ball grid array assembly

Several factors affect solder joint reliability such as joint geometry, under fill material, substrate material, interface metallurgy and board materials. Packages are modified by changing solder joint geometry, board material, etc. to improve its functionality.

Lau et al. (2014) conducted finite element analysis simulation to predict the number of life cycles to failure of different solder joint geometries and printed circuit board (PCB) properties, the results showed the different sites of the crack initiation and propagation in the different cases and also equivalent creep strains experienced by the different solder joint profiles.

Five different geometries were examined; the barrel shaped, column shaped and 3 different hour-glassed shaped profiles (different aspect ratios and shape factor) mounted on a rigid PCB and a flexible PCB. This gave ten different variations of the package to predict the most reliable package.

The results concluded that the locations of the critical joints varies due to the solder joint geometry, and PCB properties. The accumulated volume-weighted average creep density of the barrel shaped solder joints for the FPCB were higher than that of the RPCB, which was different from the general perspective that the increase in flexibility would improve solder joint reliability [6]. Joints experiencing the most equivalent creep strain (CEEQ) also known as the critical joints are most likely to fail first.

1.2.1 Crack initiation and propagation

Barrel shaped and column solder joints experience crack initiation first at the corner of the interface between the substrate and the solder joint due to high thermal
stress concentration. Hour glass shaped solder joints experience lower stress concentration at the corner of the joint for both FPCB and RPCB. Their smaller contact angle reduces the order of the singularity thereby reducing the stress and strain field near contact angles [6]. Therefore, the maximum equivalent creep strain is found in the midsection of the joint.

![Microphotographs of solder joints with different heights and shapes](image)

(a) Single bump barrel shaped; (b) Triple-stacked hourglass-shaped; (c) Triple-stacked barrel shaped; (d) Triple-stacked column-shaped [3].

Figure 1.3: Microphotographs of solder joints with different heights and shapes; (a) Single bump barrel shaped; (b) Triple-stacked hourglass-shaped; (c) Triple-stacked barrel shaped; (d) Triple-stacked column-shaped [3].

This study aims at investigating the reliability of the barrel-shaped solder joints in a BGA package, by analyzing the magnitude of stress and strain imposed on the critical solder joint (which is most likely the first joint to fail in the package) and the effect of increasing die thickness in the package. Finite element analysis would be used to predict the number of cycles to failure of the solder joints using the critical solder joint (J10th solder joint). Due to differences in the CTE, the behavior of the elements in the package differ when exposed to different temperatures. The critical solder joint which sits right beneath the edge of the stacked dies experiences the most stress and strain during service due to the effect of the behavior of the die. Three
different variations of the package would be studied with different die thicknesses of .12mm, .15mm, .18mm, double stacked and Embedded in the molding compound, to determine what variation of the package withstands the most service lifetime.
Chapter 2

Verification Studies

Studying the behavior of the SAC305 material is important in investigating the reliability of solder joints. Information obtained from the behavior of the material is important to predicting the performance of the actual material in the package. This section would evaluate the behavior of the material using Anand’s viscoplastic constitutive equation at different strain rates and temperature.

The experimental data used in this section is obtained from Motalab et al. (2012) [7] samples which were subjected to uni-axial loading in a tension/torsion mechanical test system. The experimental data are then curve fitted through non-linear regression to obtain the nine Anand Parameters for the present study. Finally, the present studies Anand parameters are plotted against two other proposed parameters which were derived from the same testing method to view the behavior of the solder material at different temperatures and strain rates.

The diameter of the uni-axial test sample is 0.3mm thick which is similar to the diameter of the solder joint in the present study. This could help improve the prediction of the behavior of the actual solder joint’s micro-structure in the package.

2.1 Anand viscoplastic constitutive model

The Anand viscoplastic constitutive model is used to represent deformation behaviours in solder joints. It unifies the creep and rate-independent plastic behaviour of the material by using a stress equation Eq.(2.4), flow equation Eq.(2.5), and the integrated evolution equation Eq.(2.11). It also uses a scalar internal variable ”s”
to represent isotropic resistance to plastic flow offered by the internal state of the material. The model requires no yield condition and no loading/unloading criteria [7].

2.1.1 One dimensional case (uni-axial loading)

\[ \sigma = cs; \quad c > 1 \]  

(2.1)

where \( c \) is a material parameter which is a function of strain rate and temperature, and \( s \) is the internal variable. \( s \) is a single scalar used to characterize the internal structure of the material, and represents the isotropic resistance to plastic flow and \( \sigma \) stress.

\[ c = \frac{1}{\xi} \sinh^{-1} \left\{ \left[ \frac{\dot{\epsilon}_p A e^{Q/R}}{m} \right] \right\} \]  

(2.2)

\[ c = c (\dot{\epsilon}_p, T) \]  

(2.3)

where \( c \) is also a function of inelastic (plastic) strain rate and absolute temperature.

\[ \sigma = \frac{s}{\xi} \sinh^{-1} \left\{ \left[ \frac{\dot{\epsilon}_p A e^{Q/R}}{m} \right] \right\} \]  

(2.4)

where \( A \) is the pre-exponential factor, \( \xi \) the multiplier stress, \( R \) the universal gas constant, \( m \) the strain rate sensitivity and \( Q \) the activation energy, \( T \) absolute Temperature and \( \dot{\epsilon}_p \) is the strain rate. Re-arranging Eq.(2.4) stress equation into the strain rate (flow equation)

\[ \dot{\epsilon}_p = Ae^{(-Q/R)} \left[ \sinh(\xi \frac{\sigma}{s}) \right]^{1/m} \]  

(2.5)
The differential form of the evolution equation for the internal variable \( s \) is assumed to be

\[
\dot{s} = h(\sigma, s, T) \dot{\varepsilon}_p
\]  

(2.6)

where \( h(\sigma, s, T) \) is associated with dynamic hardening and recovery processes.

\[
\dot{s} = \left[ h_o \left( 1 - \frac{s}{s^*} \right)^a \text{sign} \left( 1 - \frac{s}{s^*} \right) \right] \dot{\varepsilon}_p; a > 1
\]  

(2.7)

where \( h_o \) is the hardening constants and a strain rate sensitivity of the hardening process, and \( s^* \) is known to be

\[
s^* = \hat{s} \left[ \frac{\dot{\varepsilon}_p e^{\frac{Q}{RT}}}{A} \right]^n
\]  

(2.8)

where \( \hat{s} \) is a coefficient and \( n \) is the strain rate sensitivity of the saturation value of the deformation resistance, and \( T \) the absolute temperature. Therefore, Eq.(2.7) is rewritten as

\[
ds = h_o \left( 1 - \frac{s}{s^*} \right)^a d\varepsilon_p
\]  

(2.9)

Integrating Eq.(2.9) yields

\[
s = s^* - \left[ (s^* - s_o)^{1-a} + (a-1) \left\{ (h_o)(s^*)^{-a} \right\} \varepsilon_p \right] \frac{1}{1-a}
\]  

(2.10)

where \( s_o \) is \( s \) at \( t = 0 \), in other words, \( s_o = s(0) \). Substituting Eq.(2.8) into (2.10) leads
to the evolution equation

\[ s = \dot{s} \left[ \frac{\dot{\epsilon}_p A e^{Q/RT}}{A} \right]^{n} - \left[ \dot{s} \left[ \frac{\dot{\epsilon}_p A e^{Q/RT}}{A} \right]^{n} - s_o \right]^{(1-a)} + (a - 1) \left[ h_o \left( \dot{s} \left[ \frac{\dot{\epsilon}_p A e^{Q/RT}}{A} \right]^{n} \right)^{-a} \right]^{\frac{1}{1-a}} \]  

\[ s = s(\dot{\epsilon}_p, \epsilon_p) \]  

### 2.1.2 Theoretical Formulation of Uniaxial Stress-Strain Response

The post yield uniaxial stress-strain response is obtained from substituting Eq. (2.11), the evolution equation into the stress equation Eq. (2.4)

\[ \sigma = \frac{1}{\xi} \sinh^{-1} \left\{ \left[ \frac{\dot{\epsilon}_p A e^{Q/RT}}{A} \right]^{m} \right\} . \]  

\[ \left[ \dot{s} \left[ \frac{\dot{\epsilon}_p A e^{Q/RT}}{A} \right]^{n} - \left( \dot{s} \left[ \frac{\dot{\epsilon}_p A e^{Q/RT}}{A} \right]^{n} - s_o \right) \right]^{(1-a)} + (a - 1) \left\{ \left( h_o \right) \left( \dot{s} \left[ \frac{\dot{\epsilon}_p A e^{Q/RT}}{A} \right]^{n} \right)^{-a} \right\}^{\frac{1}{1-a}} \]  

\[ \sigma = \sigma(\dot{\epsilon}_p, \epsilon_p) \]  

For a uni-axial tensile test performed at a constant strain rate and temperature, a power law function (nonlinear behavior) is expressed after yielding

\[ \sigma = \sigma(\epsilon_p) \]  

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To predict the Anand model UTS (maximum/saturation stress) and yield stress using Eq.(2.18), the limit as $\epsilon_p$ goes to $\infty$ for the Ultimate Tensile Stress. Substituting $s^*$ for $s$ in the stress equation Eq.(2.4) yields

$$\sigma^* = \frac{\delta}{\xi} \left[ \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right]^n \sinh^{-1}\left\{ \left[ \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right]^m \right\} = UTS$$

(2.16)

Yield stress $\sigma_Y$ is evaluated at limit as $\epsilon_p$ goes to 0

$$\sigma_Y = \sigma |_{\epsilon_p \to 0} = \frac{s_0}{\xi} \sinh^{-1}\left\{ \left[ \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right]^m \right\} = cs_0 \equiv \sigma_0$$

(2.17)

where $c$ is a material parameter and $s_0$ is the initial internal variable at time $t = 0$

Eq.(2.18) uses the saturation stress which is also the UTS relation in Eq.(2.16) and post yield power response.

$$\sigma = \sigma^* - \left[ (\sigma^* - cs_o) (1-a) + (a-1) \left\{ (ch_o)(\sigma^*)^{-a} \right\} \frac{1}{1-a} \right]$$

(2.18)

### 2.1.3 Theoretical Formulation of Creep Response

Using the flow equations, Eq.(2.5), (2.19), and internal variable equation Eq.(2.11),

$$\dot{\epsilon}_p = Ae^{-\frac{Q}{RT}} \left[ \sinh\left( \frac{\sigma}{s} \right) \right]^\frac{1}{m}$$

(2.19)

where Eq.(2.11) is

$$s = \dot{s} \left( \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right)^n - \left[ \dot{s} \left( \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right)^n - s_o (1-a) \right] + (a-1) \left[ \left( \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right)^n \right] \frac{1}{1-a}$$

(2.20)
Substituting the internal variable "$s$" equation, Eq.(2.11) and (2.20) into the flow equation leads to

$$\dot{\epsilon}_p = A e^{(-\frac{Q}{RT})}.$$  

(2.21)

$$\sinh \left( \frac{\xi \sigma}{\hat{s} \left[ \frac{i_p q}{A \pi} \right]^n - \left[ \hat{s} \left[ \frac{i_p q}{A \pi} \right]^n - s_o \right]^{(1-a)} + (a - 1) \left[ h_o \left( \hat{s} \left[ \frac{i_p q}{A \pi} \right]^n \right)^{-a} \right] \dot{\epsilon}_p \right)^{\frac{1}{m}}$$

Rearranging Eq.(2.21) severally to solve for the plastic strain yields

$$\left[ \frac{\dot{\epsilon}_p}{A e^{(-\frac{Q}{RT})}} \right]^m =$$  

(2.22)

$$\sinh \left( \frac{\xi \sigma}{\hat{s} \left[ \frac{i_p q}{A \pi} \right]^n - \left[ \hat{s} \left[ \frac{i_p q}{A \pi} \right]^n - s_o \right]^{(1-a)} + (a - 1) \left[ h_o \left( \hat{s} \left[ \frac{i_p q}{A \pi} \right]^n \right)^{-a} \right] \dot{\epsilon}_p \right)^{\frac{1}{m}}$$

Taking the inverse sinh of both sides leads to
\[
\sinh^{-1}\left[\frac{\dot{\epsilon}_p}{A e^{(-\frac{Q}{RT})}}\right]^m = (2.23)
\]

\[
\begin{align*}
\xi\sigma & \left(\frac{\hat{s} \left[\frac{\dot{\epsilon}_p}{A e^{\frac{Q}{RT}}}\right]^n}{\left[\hat{s} \left[\frac{\dot{\epsilon}_p}{A e^{\frac{Q}{RT}}}\right]^n - s_o\right]^{(1-a)} + (a-1) \left[\hat{h}_o \left(\frac{\dot{\epsilon}_p}{A e^{\frac{Q}{RT}}}\right)^n\right]^{a}}\right) \\
\end{align*}
\]

Let us define B, where

\[
B = \hat{s} \left[\frac{\dot{\epsilon}_p}{A e^{\frac{Q}{RT}}}\right]^n
\]

Then Eq.(2.23) can be rewritten as

\[
\frac{\xi\sigma}{\sinh^{-1}\left[\frac{\dot{\epsilon}_p}{A e^{(-\frac{Q}{RT})}}\right]^m} = B - \left[B - s_o\right]^{(1-a)} + (a-1) \left[h_o \left(B\right)^{-a}\right] \dot{\epsilon}_p \frac{1}{1-a} \quad (2.24)
\]

\[
\frac{\xi\sigma}{\sinh^{-1}\left[\frac{\dot{\epsilon}_p}{A e^{(-\frac{Q}{RT})}}\right]^m} - B = - \left[\left[B - s_o\right]^{(1-a)} + (a-1) \left[h_o \left(B\right)^{-a}\right] \dot{\epsilon}_p \right] \frac{1}{1-a} \quad (2.25)
\]

\[
\left[\frac{\xi\sigma}{\sinh^{-1}\left[\frac{\dot{\epsilon}_p}{A e^{(-\frac{Q}{RT})}}\right]^m} - B \right]^{(1-a)} = - \left[\left[B - s_o\right]^{(1-a)} + (a-1) \left[h_o \left(B\right)^{-a}\right] \dot{\epsilon}_p \right] \quad (2.26)
\]

\[
\left[\frac{\xi\sigma}{\sinh^{-1}\left[\frac{\dot{\epsilon}_p}{A e^{(-\frac{Q}{RT})}}\right]^m} - B \right]^{(1-a)} + \left[B - s_o\right]^{(1-a)} = -(a-1) \left[h_o \left(B\right)^{-a}\right] \dot{\epsilon}_p \quad (2.27)
\]

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Distributing a negative sign through

\[ - \left[ \frac{\xi \sigma}{\sinh^{-1} \left[ \frac{\dot{\epsilon}_p}{A e^{-\frac{Q}{RT}}} \right]^m} \right]^{(1-a)} + B \right] + [B - s_o]^{(1-a)} = (a - 1) \left[ h_o (B)^{-a} \right] \dot{\epsilon}_p \quad (2.28) \]

Therefore plastic strain is

\[ \epsilon_p = \frac{- \left[ \frac{\xi \sigma}{\sinh^{-1} \left[ \frac{\dot{\epsilon}_p}{A e^{-\frac{Q}{RT}}} \right]^m} \right]^{(1-a)} - B - [B - s_o]}{(a - 1) h_o (B)^{-a}} \quad (2.29) \]

where

\[ B = \dot{s} \left[ \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right]^n \]

Finally

\[ \epsilon_p = \frac{- \left[ \frac{\xi \sigma}{\sinh^{-1} \left[ \frac{\dot{\epsilon}_p}{A e^{-\frac{Q}{RT}}} \right]^m} \right]^{(1-a)} - \dot{s} \left[ \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right]^n - \left[ \dot{s} \left[ \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right]^n \right] - s_o)}{(a - 1) h_o \left( \dot{s} \left[ \frac{\dot{\epsilon}_p}{A} e^{\frac{Q}{RT}} \right]^n \right)^{-a}} \quad (2.30) \]
2.1.4 Experimental data compared to various Anand Model Parameters

Experimental data is obtained from a uniaxial tension/torsion thermo mechanical test system conducted by Motalab et al.(2012) [7]. The Anand constants for SAC solders are obtained by using stress-strain or creep data. Experimental data is plotted against Motalab et al.(2012) [7] and Seng et al.(2012) [8] and the present studies proposed nine Anand parameters at different temperatures(298k, 323k, 348k, 373k, 398k) and strain rates (.001 1/s, .0001 1/s, .00001 1/s).

The present study’s nine Anand parameters where curve fitted by non-linear regression against the experimental data obtained from Motalab et al.(2012) [7] uniaxial test. The Anand curve from the proposed parameters of the present study is then plotted against Motalab et al.(2012) parameters [7], Seng et al.(2012) parameters [8], and Motalab et al.(2012) [7] experimental data to predict the behavior of the SAC305 material at different temperatures and strain rates.

The percentage error between the experimental data and Anand proposed parameters are solved using the equation below Eq.(2.31) to determine what proposed parameters best fits the experimental stress/strain data curve with minimal error.

$$\frac{1}{N} \sum_{i=1}^{N} \left| \frac{\text{Experimental data}_i - \text{Anand Parameter}_i}{\text{Experimental data}_i} \right|$$ (2.31)
Table 2.1: Anand parameters

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Units</th>
<th>Motalab et al. (2012)</th>
<th>Seng et al. (2012)</th>
<th>Present Study</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pre-exponential factor</td>
<td>A (s(^{-1}))</td>
<td>3501</td>
<td>5.87e+06</td>
<td>3101.3</td>
</tr>
<tr>
<td>Activation energy/Boltzmann’s constant</td>
<td>Q/R(K(^{o}))</td>
<td>9320</td>
<td>7460</td>
<td>8008.35</td>
</tr>
<tr>
<td>Multiplier of stress</td>
<td>(\xi)</td>
<td>4</td>
<td>2</td>
<td>4.586</td>
</tr>
<tr>
<td>Strain rate sensitivity of stress</td>
<td>m</td>
<td>0.25</td>
<td>0.0942</td>
<td>0.1586</td>
</tr>
<tr>
<td>Deformation resistance saturation coefficient</td>
<td>s(Mpa)</td>
<td>30.2</td>
<td>58.3</td>
<td>62.3436</td>
</tr>
<tr>
<td>Strain rate sensitivity of saturation</td>
<td>n</td>
<td>0.01</td>
<td>0.015</td>
<td>0.01</td>
</tr>
<tr>
<td>Hardening constant</td>
<td>(h_o(Mpa))</td>
<td>180000</td>
<td>9350</td>
<td>120117</td>
</tr>
<tr>
<td>Strain rate sensitivity of hardening</td>
<td>a</td>
<td>1.78</td>
<td>1.5</td>
<td>1.57901</td>
</tr>
<tr>
<td>Deformation resistance</td>
<td>(s_o(Mpa))</td>
<td>21</td>
<td>45.9</td>
<td>10</td>
</tr>
</tbody>
</table>

Figure 2.1: Stress vs Strain at 298K and strain rate of .001/s
Figure 2.2: Stress vs Strain at 323K and strain rate of .001/s
Figure 2.3: Stress vs Strain at 348K and strain rate of .001/s
Figure 2.4: Stress vs Strain at 373K and strain rate of .001/s
Figure 2.5: Stress vs Strain at 398K and strain rate of .001/s
Figure 2.6: Stress vs Strain at 298K and strain rate of .0001/s
Figure 2.7: Stress vs Strain at 323K and strain rate of 0.0001/s
Figure 2.8: Stress vs Strain at 348K and strain rate of .0001/s
Figure 2.9: Stress vs Strain at 373K and strain rate of 0.001/s
Figure 2.10: Stress vs Strain at 398K and strain rate of .001/s
Figure 2.11: Stress vs Strain at 298K and strain rate of 0.00001/s
Figure 2.12: Stress vs Strain at 323K and strain rate of .00001/s
Figure 2.13: Stress vs Strain at 348K and strain rate of .00001/s
Figure 2.14: Stress vs Strain at 373K and strain rate of .00001/s
Figure 2.15: Stress vs Strain at 398K and strain rate of .00001/s
Figure 2.16: Error between Anand parameters and Experimental Data at strain rate of .001/s vs Temperature(K)
Figure 2.17: Error between Anand parameters and Experimental Data at strain rate of .0001/s vs Temperature(k)
Figure 2.18: Error between Anand parameters and Experimental Data at strain rate of .00001/s vs Temperature(K)
Chapter 3

Simulation

3.1 Finite Element Model

A simple Ball Grid Array (BGA) package is considered for the study.

![Embedded device geometry used for analysis](image)

Figure 3.1: Embedded device geometry used for analysis

Individual parts were created on SolidWorks and assembled in ABAQUS. Due to geometric symmetry about the y-axis (vertical axis) only a quarter of the device is modeled to save computational time and cost. The Assembly is meshed using the 8-node linear brick element with reduced integration (C3D8R). Substrate and PCB (Printed Circuit Board) are assumed to be orthotropic due to their laminate structure and are identical in the x and z direction. Solder Joint is assumed to have both elastic and viscoplastic mechanical properties. All other elements of the package are assumed
to be elastic and isotropic [9].

The parts of the embedded device were color coded to show the elements of the package. Blue part is the PCB, Red (Molding Compound), Green (Die), White (Die attach), Grey (Solder Joints) and Beige (Substrate).

Table 3.1: Dimensions of Geometry

<table>
<thead>
<tr>
<th>Part</th>
<th>Thickness</th>
<th>Length</th>
<th>Width</th>
</tr>
</thead>
<tbody>
<tr>
<td>Molding Compound</td>
<td>0.65 mm</td>
<td>7 mm</td>
<td>7 mm</td>
</tr>
<tr>
<td>Die</td>
<td>0.12 mm</td>
<td>3.8 mm</td>
<td>3.8 mm</td>
</tr>
<tr>
<td>Substrate</td>
<td>0.26 mm</td>
<td>7 mm</td>
<td>7 mm</td>
</tr>
<tr>
<td>Solder joints</td>
<td>0.21 mm</td>
<td>0.3 mm</td>
<td>0.3 mm</td>
</tr>
<tr>
<td>PCB</td>
<td>1.56 mm</td>
<td>7 mm</td>
<td>7 mm</td>
</tr>
</tbody>
</table>

Pitch= 0.4mm
Table 3.2: Material Properties

<table>
<thead>
<tr>
<th>Material</th>
<th>Young’s Modulus (Mpa)</th>
<th>Poisson’s Ratio</th>
<th>CTE(ppm/K)</th>
<th>Additional information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Molding-compound</td>
<td>15000</td>
<td>0.3</td>
<td>16</td>
<td></td>
</tr>
<tr>
<td>Die</td>
<td>1.305e5</td>
<td>0.2785</td>
<td>2.78343e-6</td>
<td>200K</td>
</tr>
<tr>
<td></td>
<td>1.298e5</td>
<td>0.2782</td>
<td>3.0004e-6</td>
<td>250K</td>
</tr>
<tr>
<td></td>
<td>1.298e5</td>
<td>0.278</td>
<td>3.14811e-6</td>
<td>293K</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>3.16888e-6</td>
<td>300K</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>3.30238e-6</td>
<td>350K</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>3.40867e-6</td>
<td>400K</td>
</tr>
<tr>
<td>Substrate</td>
<td>x = 26000</td>
<td>x, z = 0.11</td>
<td>x = 15</td>
<td>G_{xy}(Mpa) = 8250</td>
</tr>
<tr>
<td></td>
<td>z = 26000</td>
<td>x, y = 0.11</td>
<td>z = 15</td>
<td>G_{yz}(Mpa) = 8250</td>
</tr>
<tr>
<td></td>
<td>y = 78600</td>
<td>z, y = 0.3</td>
<td>y = 57</td>
<td>G_{xz}(Mpa) = 11712</td>
</tr>
<tr>
<td>Solder ball Sn3Ag0.5Cu</td>
<td>50439</td>
<td>0.36</td>
<td>2.42e-5</td>
<td>218K</td>
</tr>
<tr>
<td>(SAC305)</td>
<td>41419</td>
<td>0.36</td>
<td>2.56e-5</td>
<td>300K</td>
</tr>
<tr>
<td></td>
<td>30639</td>
<td>0.36</td>
<td>2.79e-5</td>
<td>398K</td>
</tr>
<tr>
<td>PCB</td>
<td>x = 18000</td>
<td>x, z = 0.11</td>
<td>x = 14.5</td>
<td>G_{xy}(Mpa) = 5535</td>
</tr>
<tr>
<td></td>
<td>z = 18000</td>
<td>x, y = 0.11</td>
<td>z = 14.5</td>
<td>G_{yz}(Mpa) = 5535</td>
</tr>
<tr>
<td></td>
<td>y = 73800</td>
<td>z, y = 0.39</td>
<td>y = 67.2</td>
<td>G_{xz}(Mpa) = 11712</td>
</tr>
<tr>
<td>Die attach</td>
<td>1800</td>
<td>0.3</td>
<td>80e-6</td>
<td></td>
</tr>
</tbody>
</table>
3.1.1 Temperature Cycles

Solder joints experience both rate-independent plastic behavior and creep (time-dependent strain), when exposed to thermal cycling. During fast temperature ramp rates the stress and strain on the solder joints increase due to the solder’s rate dependent behavior. During temperature ramp up and down, creep develops. Therefore temperature profile is important to the lifetime of the solder joint.

JEDEC ‘Condition G’ profile is used as the temperature profile for this study, with temperature(T) ranging from $T_{\text{initial}} = 25^\circ\text{C}, T_{\text{high}} = 125^\circ\text{C}, T_{\text{low}} = -40^\circ\text{C},$ dwell time = 10mins and ramp-rate of 10($^\circ\text{C/min}$).

"JEDEC" is an independent semiconductor engineering trade organization and standardization body, and Condition G profile is commonly used for reliability studies. The absolute melting temperature of the SAC305 solder joint is $T_m = 490K(217^\circ\text{C})$ therefore the maximum temperature in the JEDEC condition G profile works.
3.1.2 Finite Element Modeling

A global model is created to identify the location of the critical joint. A fixed boundary condition ($U_1 = U_2 = U_3 = 0$) is applied at the bottom edge of the PCB, and a symmetric boundary condition about the x-axis ($U_1 = U_2 = U_3 = 0$) and z-axis ($U_3 = U_1 = U_2 = 0$). The temperature is set to be initially at room temperature $T_{\text{initial}} = 25^\circ$C.
The sine hyperbolic law is used to model the creep behavior of the solder joint. The sine hyperbolic creep law is a simplified version of the Anand model. It approximates the steady state (secondary) creep. Anand parameters for this present study are seen in Table 2.1

Sine Hyperbolic creep law

\[ \dot{\epsilon}_{cr} = A(sinhB\tilde{q})^n \]  (3.1)

Anand Model

\[ \dot{\epsilon}_{p} = A\epsilon^{-\frac{Q}{RT}} \left[ sinh(\xi\sigma) \right]^{\frac{1}{m}} \]  (3.2)
Chapter 4

Results

The solder joint is numbered for easy identification. The columns go from column A- L and rows from 1-12.

Figure 4.1: Numbering (Identifying) Solder joint
As shown in the above diagram critical solder joint is located at the top corner of the $J10^{th}$ solder joint underneath the die, with maximum equivalent creep strain of .01150.
The results below show the six components of stress in a three dimensional case-
three normal stresses which are S11, S22, and S33 or Sxx, Syy, Szz and the shear
stress components S12, S13, S23 or Sxy, Sxz, and Syz. These stress values show the
magnitude of stress imposed on the $J_{10}^{th}$ (critical) solder joint and when the joint
experiences tensile and compressive stress in the case of the normal stress.
4.1 Case 1 (2 Dies in the molding compound both .12mm)

![Creep Strain vs Temperature Cycle](image)

Figure 4.3: Creep Strain on Solder joint J10, Temperature Cycle vs Time(s)

Equivalent Creep Strain amplitude is 0.00358 mm/mm. It remains slightly constant during dwell time and increases during temperature ramp up and ramp down. It can be seen that when homologous temperature, actual temperature/melting temperature is greater than 0.4 creep increases rapidly. While it increases at a very slow pace at higher homologous temperatures.
The $J_{10}^{th}$ solder joint mostly experiences tensile force in this cycle, the magnitude of stress is positive.
The $J^{10}_{th}$ solder joint starts experiencing tensile force and then compression force and goes back to tensile force in this cycle, the behavior of stress changes almost the same as temperature cycle.
That the $J_{10}^{th}$ solder joint mostly experiences tensile force in this cycle, the magnitude of stress is positive.
The magnitude of shear stress in the solder joint during this cycle can be seen to be little, with the highest stress being around -5.5 MPa and heading back towards 0 MPa at the end of the cycle.
Figure 4.8: Shear Stress (S13) on Solder joint J10, Temperature Cycle vs Time(s)

The shear stress experienced by the solder joint in this cycle is similar to the temperature profile of the cycle.
Figure 4.9: Shear Stress (S23) on Solder joint J10, Temperature Cycle vs Time(s)

The shear stress on the y-z axis start off in the opposite direction and switches during dwell time. During Dwell time the stress increases at a slower pace than during temperature ramping which is expected.
Figure 4.10: Creep Dissipation Energy for the whole model vs Time(S)
4.2 Case 2 (2 Dies in the molding compound both .15mm)

Equivalent Creep Strain amplitude is 0.00344851 mm/mm. It remains slightly constant during dwell time and increases during temperature ramp up and ramp down. It can be seen that when homologous temperature, actual temperature/melting temperature is greater than 0.4 creep increases rapidly. While it increases at a very slow pace at higher homologous temperatures.
The solder joint experiences mostly tensile force, the behavior of the stress is opposite the behavior of the temperature cycle.
Figure 4.13: Normal Stress (S22) on Solder joint J10, Temperature Cycle vs Time(s)

The $J^{10}_{th}$ solder joint starts experiencing tensile force and then compression force and goes back to tensile force in this cycle, the behavior of stress changes almost the same as temperature cycle.
Figure 4.14: Normal Stress (S33) on Solder joint J10, Temperature Cycle vs Time(s)

The solder joint experiences mostly tensile force, the behavior of the normal stress in the z-z axis is opposite the behavior of the temperature cycle.
The solder joint experiences shear stress in the opposite direction on the x-y axis with a maximum magnitude around -2.5 Mpa.

Figure 4.15: Shear Stress (S12) on Solder joint J10, Temperature Cycle vs Time(s)
Figure 4.16: Shear Stress (S13) on Solder joint J10, Temperature Cycle vs Time(s)
Figure 4.17: Shear Stress (S23) on Solder joint J10, Temperature Cycle vs Time(s)

Solder joint experiences shear stress in the y-z axis opposite the behavior of the temperature cycle. The stress begins rising or dropping depending on the change in temperature during dwell time.
Figure 4.18: Creep Dissipation Energy for the whole model vs Time(S)
4.3 Case 3 (2 Dies in the molding compound both .18mm)

![Creep Strain on Solder joint J10, Temperature Cycle vs Time(s)](image)

Equivalent Creep Strain amplitude is 0.00344619 mm/mm. It remains slightly constant during dwell time and increases during temperature ramp up and ramp down. It can be seen that when homologous temperature, actual temperature/ melting temperature is greater than 0.4 creep increases rapidly. While it increases at a very slow pace at higher homologous temperatures.
Figure 4.20: Normal Stress (S11) on Solder joint J10, Temperature Cycle vs Time(s)

Magnitude of Normal stress on the x-x axis is tensile and opposite the behavior of the change in temperature.
Figure 4.21: Normal Stress (S22) on Solder joint J10, Temperature Cycle vs Time(s)

The J10\textsuperscript{th} solder joint starts experiencing tensile force and then compression force and goes back to tensile force in this cycle, the behavior of stress changes almost the same as temperature cycle.
Magnitude of Normal stress on the z-z axis is tensile and opposite the behavior of the change in temperature.
Figure 4.23: Shear Stress (S12) on Solder joint J10, Temperature Cycle vs Time(s)

Shear stress on the solder joint is opposite the axis in the x-y axis.
Figure 4.24: Shear Stress (S13) on Solder joint J10, Temperature Cycle vs Time(s)
Figure 4.25: Shear Stress (S23) on Solder joint J10, Temperature Cycle vs Time(s)

Shear stress in the y-z axis exhibits similar behavior as the temperature cycle.
Figure 4.26: Creep Dissipation Energy for the whole model vs Time(S)
Table 4.1: Percentage Difference in Equivalent Creep Strain Amplitude between cases

<table>
<thead>
<tr>
<th>Case</th>
<th>Compared case</th>
<th>Percentage difference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Case 1 (2 dies .12mm)</td>
<td>Case 2 (2 dies .15mm)</td>
<td>3.87221%</td>
</tr>
<tr>
<td>Case 2 (2 dies .15mm)</td>
<td>Case 3 (2 dies .18mm)</td>
<td>0.0672981%</td>
</tr>
</tbody>
</table>

The results show that the percent difference between the .12mm die and .15mm die was higher than that of the .15mm die and .18mm die. The creep strain amplitude for all three cases slightly increased with the increase in die thickness.
Chapter 5

Fatigue Life Prediction

5.1 Fatigue Life Prediction of Solder joints

Predicting the solder joint fatigue failure will require a proper ability to accurately model the solder joint. Factors to consider are:

1. Constitutive equation and material properties for the applicable range of stress condition. (This study uses the Anand model to represent the behavior of the solder joints. The Anand model parameters are included in Chapter 3).
2. The constitutive equation "Anand model" is translated into ABAQUS using the "Sine hyperbolic law" and a model created.
3. Simulation is used to calculate the response of solder joint under different stress conditions.
4. FEA results are used to create a model predicting the number of cycles to failure, $N_f$.

The total strain equation which is separated into three components- elastic, plastic, and creep-can prove to be a challenge in actual life testing and leads to inconsistencies in the final results.

\[
\epsilon_{total} = \epsilon_{elastic} + \epsilon_{plastic} + \epsilon_{creep}
\]  

The failure mechanism for the solder joints are characterized by low Fatigue Cyclic testing. The solder is subjected to temperature above half its melting point (homologous temperature), that is 398k ($125^\circ$C). The melting temperature for the SAC305
solder alloy is 490k \( (217^\circ C) \).

5.1.1 Plastic Strain Fatigue Model (Total Strain Fatigue Model)

This is a combination of the Coffin Manson fatigue model and the Basquin’s fatigue model. This model is applicable to all types of packages and covers both high and low fatigue cycles.

\[
\frac{\Delta \epsilon}{2} = \frac{\sigma f}{E} \left(2N_f\right)^b + \epsilon_f(2N_f)^c \tag{5.2}
\]

\[
\frac{\Delta \epsilon_t}{2} = \frac{\Delta \epsilon_e}{2} + \frac{\Delta \epsilon_p}{2} \tag{5.3}
\]

where the total strain amplitude is

\[
\frac{\Delta \epsilon_t}{2} \tag{5.4}
\]

The elastic strain amplitude is

\[
\frac{\Delta \epsilon_e}{2} \tag{5.5}
\]

And the plastic strain amplitude is

\[
\frac{\Delta \epsilon_p}{2} \tag{5.6}
\]

Coffin Mason Fatigue Model

The Coffin Manson fatigue model is perhaps the best known and widely used approach today. The total number of cycles of failure, \( N_f \) is depicted as being dependent on the plastic strain amplitude \( \Delta \epsilon_p \), the fatigue ductility coefficient \( \epsilon_f \), and the
fatigue ductility exponent, $c$.

The relationship among these variables are given by [10]

$$\frac{\Delta \epsilon_p}{2} = \epsilon_f (2N_f)^c$$

(5.7)

where the fatigue ductility exponent varies between -0.5 and -0.7 and the fatigue ductility coefficient is approximately equal to the true fracture ductility $\epsilon_f$ [11].

The Coffin Manson model assumes fatigue due to plastic deformation only and the elastic deformation negligible.

**Basquin’s Fatigue Model**

The total number of cycles to failure $N_f$ is depicted as being dependent on the elastic strain amplitude $\Delta \epsilon_e$, the fatigue strength coefficient $\sigma_f$, and the fatigue strength exponent $b$. The relationship among these variables are given by [10]:

$$\frac{\Delta \epsilon_e}{2} = \frac{\sigma_f}{E} (2N_f)^b$$

(5.8)

where $E$ is the Young’s modulus.

$2N_f = 1$ reversal to failure and $1$ reversal = $\frac{1}{2}$ cycles.

Both the Coffin Mason fatigue model and the Basquin’s fatigue model are added together to get a total strain equation that accounts for both plastic and elastic deformations.

The Total Strain-Life Parameters for SAC305 is obtained from Suh et al. ([12]
Table 5.1: Strain-Life Parameters for SAC305

<table>
<thead>
<tr>
<th>Parameter</th>
<th>SAC305</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\epsilon_f$</td>
<td>fatigue ductility coefficient</td>
</tr>
<tr>
<td>$c$</td>
<td>fatigue ductility exponent</td>
</tr>
<tr>
<td>$\sigma_f$</td>
<td>fatigue strength coefficient</td>
</tr>
<tr>
<td>$b$</td>
<td>fatigue strength exponent</td>
</tr>
</tbody>
</table>

Based on the above parameters, the number of cycles to failure and reversals to failure can be plotted and predicted using the total strain equation as

\[
N_f \propto \frac{1}{ \epsilon_f^{ca} \cdot \sigma_f^{b/a}}
\]

Figure 5.1: Number of cycles to failure $N_f$
Using the Coffin-Mason Fatigue model equation, Eq(5.2) and (plastic) strain amplitude from the various cases, we can predict the number of cycles to failure for each case.

Table 5.2: Number of cycles to failure for individual cases

<table>
<thead>
<tr>
<th>Case</th>
<th>Creep Strain Amplitude</th>
<th>(N_f) (Number of cycles to failure)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Case 1(2 dies .12mm)</td>
<td>0.00358468</td>
<td>10,000 cycles</td>
</tr>
<tr>
<td>Case 2(2 dies .15mm)</td>
<td>0.00344851</td>
<td>10,000 cycles</td>
</tr>
<tr>
<td>Case 3(2 dies .18mm)</td>
<td>0.00344619</td>
<td>10,000 cycles</td>
</tr>
</tbody>
</table>

The plastic strain amplitude in the critical solder joint "J10" for all the different
cases are evaluated to predict the number of cycles the joint undergoes before failure. Assuming the first joint that fails in the system is most likely the critical joint. 10,000 cycles is a reasonable number for low cycle fatigue which is the cycle considered for this study.
Chapter 6

Conclusions

Ball geometry and component material play an important role in solder joint reliability. Therefore, ball geometry and component materials are considered to best suit the package. The most common cause of solder joint failure is thermo-mechanical fatigue. Instability of the micro structure of solder joints causes it to evolve due to temperature, time, and strain.

Solder joints experience time dependent deformation creep due to the different coefficient of thermal expansion (CTE) of the materials. It is seen in the simulation results that creep strain increases slightly as the thicknesses of the dies embedded in the molding compound increase. The critical solder joint remain the J10th solder joint. The equivalent creep strain in the package is highly nonlinear and relaxes during the dwell time. During temperature ramp up and down creep formation begins.

Understanding the micro-structure of the solder joint and representing the behavior of the solder joint with an accurate constitutive equation is important. The Anand visco-plastic model was used to predict the behavior of the SAC305 material at different temperatures below its melting temperature of 490k.

Using the total strain fatigue model which was a combination of the Coffin Manson’s fatigue model and Basquin’s fatigue model, the number of cycles to failure were able to be predicted using the equivalent strain amplitude obtained from the ABAQUS results. The solder joint goes through a low cycle fatigue with 10,000 cycle to failure.
Bibliography


