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Optimized Xanthene-based Probes for Pancreatic Cancer Imaging

by

Ian Ruramai Munhonzva

A dissertation submitted in partial fulfillment of the
requirements for the degree of

Doctor of Philosophy
in
Chemistry

Dissertation Committee:
Robert M. Strongin, Chair
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2020

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Abstract

Pancreatic Ductal Adenocarcinoma (PDAC) is one of the deadliest human malignancies with an extremely poor 5-year survival rate of below 5%. Surgical resection is the most effective treatment of choice because chemotherapy and radiation therapy do not improve life expectancy. Residual tumor after PDAC surgery is common due to a lack of PDAC targeted intraoperative contrast agents to confirm clear margins.

Fluorescence imaging has the potential to improve surgery outcome and PDAC patients' survival rate via the use of highly PDAC-specific molecular probes to facilitate tumor identification. This thesis describes the application of a focused library of benzoxanthene fluorophores for PDAC margin assessment. This work shows the utility of fluorophore **12** in the *ex vivo* staining of human PDAC tissue. When **12** was used for *ex vivo* staining of healthy pancreas and PDAC tissue, it displayed visually higher localization in the PDAC-associated ductal epithelial cells compared to the healthy tissue. We optimized the *ex vivo* staining conditions such as fluorophore concentration, fluorophore incubation and washing time, for clinical translation. A receiver operator characteristic (ROC) curve analysis was used as a non-subjective evaluation for effective contrast between cancer and healthy tissue, confirming high throughput and efficient screening for the investigated parameters.

The need for dyes that are active in the near-infrared region (NIR) region (>700 nm) and have large Stoke shifts led to the design and synthesis of a benzo[a]xanthene library. Among other advantages, imaging in the NIR results in reduced tissue damage and

reduced photobleaching of the fluorophore. The benzo[a]xanthene library shows at least two-fold enhanced brightness compared to the benzo[c]xanthene library, along with no significant bathochromic shift, as predicted by density functional theory (DFT) calculations. Compound **8**, a regioisomer of **12** with analogous physicochemical properties, showed selectivity for PDAC but was not as optimal as **12**. The functionalization of the benzo[c]xanthene chromophore with a cyanine chromophore moiety was proposed towards developing NIR-active fluorophores. The synthesis of cyanine-xanthene hybrids was begun. Once the synthesis is optimized, the hybrid dyes will be screened for PDAC selectivity and subsequently used for PDAC margin assessment.

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List of Abbreviations

Ar	Argon
Capan-1	A human pancreatic ductal adenocarcinoma cell line
DFT	Density Functional Theory
DMF	Dimethylformamide
DMSO	Dimethyl sulfoxide
EEMs	Excitation emission matrices
ER	Endoplasmic reticulum
EtOAc	Ethyl acetate
FDA	The Food and Drug Administration
H&E	Hematoxylin and eosin
H ₂ SO ₄	Sulfuric acid
HCl	Hydrochloric acid
HOAc	Acetic acid
HOMO	Highest occupied molecular orbital
HPLC	High-performance liquid chromatography
HR ESI	High-resolution electrospray ionization
Hz	Hertz
IC ₅₀	Half maximal inhibitory concentration
ICG	Indocyanine green
K ₂ CO ₃	Potassium carbonate
Log D	Physicochemical partition coefficients
LUMO	Lowest unoccupied molecular orbital
<i>m/z</i>	Mass to charge ratio
MB	Methylene blue

MeOH	Methanol
MS	Mass spectrometry
MW	Molecular weight
N ₂	Nitrogen
NaOH	Sodium hydroxide
NIR	Near infrared
NMR	Nuclear magnetic resonance
OCT	Optimal cutting temperature
OHSU	Oregon Health and Science University
PanIN	Pancreatic intraepithelial neoplasia
PBS	Phosphate-buffered saline
PC	Pancreatic cancer
PDAC	Pancreatic ductal adenocarcinoma
PFA	Paraformaldehyde
pK _a	The logarithmic acidity constant
rt	Room temperature
SBR	Signal to background ratio
SiO ₂	Silicon dioxide
TFA	Trifluoroacetic acid
TLC	Thin layer chromatography
UV-Vis	Ultraviolet-visible
λ_{max} abs	The wavelength of maximum absorption
λ_{max} em	The wavelength of maximum emission
δ	Chemical shifts

Chapter 1: Introduction

Pancreatic cancer

Pancreatic Ductal Adenocarcinoma (PDAC) is the most prevalent form of pancreatic cancer, accounting for over 90 % of all pancreatic cancer cases.¹ Approximately 57,600 people will be diagnosed with pancreatic cancer and 47,050 of these patients will die. Pancreatic cancer accounts for ~3% of all cancer cases in the US and ~ 7% of all cancer deaths.² Due to the late diagnosis of PDAC, conventional treatments such as chemotherapy and radiotherapy are ineffective, leaving surgery as the relatively most effective cure.³ White light visualization, palpation and rapid frozen section analysis (FSA) are some of the methods used during PDAC surgery to aid complete resection of the tumor.⁴ Due, in large part, to a lack of commercially available PDAC specific contrast agents, up to 75% of patients are left with residual disease after surgery.⁵ This high number highlights the current inability to detect the true delineation of the tumor extent during surgery.⁶ There is thus a need to develop PDAC contrast agents that can be used during surgery for margin assessment. Moreover, PDAC-specific probes may potentially be used for intraoperative FSA.⁷ Intraoperative frozen section examination is a common technique to assess tumor involvement (R1) during pancreatic cancer surgery.⁸ The goal is to achieve clear margins, where there is no tumor involvement (R0), after surgery.⁵

To develop such contrast agents it is important to understand the microenvironment of PDAC that is characterized by high stromal tissue (Figure 1). The healthy acini cells are

replaced by fibrous tissue in cancer.¹ The stroma can act as a “fortress” blocking the entry of small molecules to the ducts with adenocarcinoma.⁴

The progression to cancer starts with precursor lesions called the pancreatic intraepithelial neoplasia (PanIN) which, by step-wise mutations, start from low-grade hyperplasia (PanIN-1 to PanIN-2) of the normal cells to high grade dysplasia/carcinoma in situ (PanIN-3). Once cancer evolves, the stroma comprises up to 90% of the mass (Figure 1).⁹ The components of the stroma include fibroblasts, leukocytes, endothelial cells and extracellular matrix proteins.¹⁰

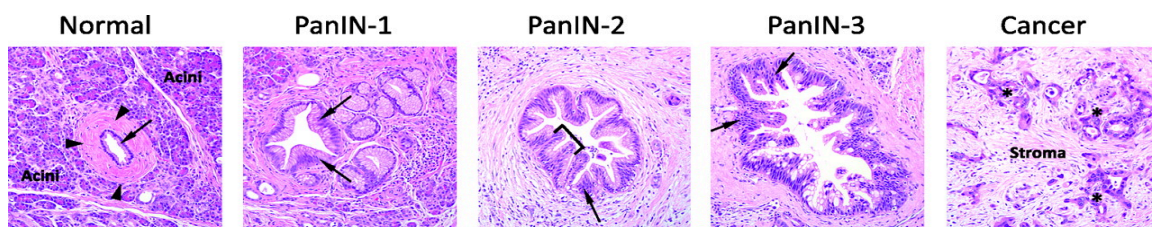


Figure 1. Hematoxylin & Eosin stain of normal, precancerous lesions (PanIN 1-3) and cancer tissue.

Additionally, the mitochondrial membrane potential of cancer cells is relatively more negative compared to normal cells.¹¹ This is due to mitochondrial DNA mutations. The differential membrane potential between cancer cells and normal cells has allowed for the use of cationic small molecules to study mitochondrial metabolism and also as potential anticancer drugs.¹²⁻¹⁷

In the work described herein, cationic probes are used as contrast imaging agents. The synthetic lipophilic cationic dyes selectively accumulate in the mitochondria of cancer cells compared to normal cells, enabling contrast between cancer and normal cells. Physicochemical properties including lipophilicity, charge, polar surface area, dipole

moment are parameters for investigation towards the design and synthesis of inherent targeting contrast agents to achieve selectivity.

Probe Design for PDAC Imaging

To address the characteristic features of the PDAC microenvironment and the phenotypic properties of cancer cells (e.g., elevated mitochondrial membrane potential), a series of probes were systematically designed with a focus on their physicochemical properties of the dyes.^{4,11} Charge, lipophilicity, charge density, polar surface area and dipole moment are descriptors used in the drug development industry to achieve optimum biodistribution and tissue targeting. The descriptors as described by the Lipinski rule of five and the Verber rules (Figure 2) embody a starting framework to help understand optimal tissue targeting. Throughout the work herein, the physicochemical properties of the synthetic dyes served as a context to begin to understand optimal tissue targeting.¹⁸⁻²⁰

Table 1. Guidelines for the physicochemical properties of drugs described by Lipinski and Verber Rules.

Lipinski Rules	Log D < 5	MW < 500	H-bond acceptors < 5	H-bond donors < 5
Verber Rules	Polar surface area < 140Å ²		Rotatable bonds < 10	

The Xanthene chromophore (Figure 2) acts a great platform to develop probes with diverse physicochemical properties, enabling structure-activity-relationship studies. Facile

modifications to the chromophore that include changing the angle of annulation and changing the position of the polar groups (R_2 and R_3) allows for the synthesis of xanthene-based probes with different lipophilicities, molecular sizes, charges (ionization states) and polar surface areas.²¹⁻²⁵ These modifications not only alter the physicochemical properties of the dyes but the photophysical properties as well, which this work has also focused on.

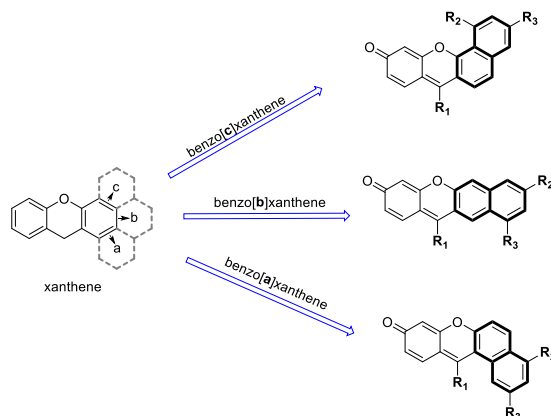


Figure 2. Altering angle of annulation and transposition of polar groups (R_2 and R_3) to modulate physicochemical properties and photophysical properties.

Research Focus

The goals of this investigation are to i) design and synthesize libraries of xanthene-based fluorophores to be screened for PDAC specificity and evaluated for other properties, ii) develop a staining protocol for intraoperative PDAC margin assessment to ensure complete resection of cancer, and iii) develop a quantitative method to objectively measure PDAC selectivity. Previously, our group designed and synthesized a library of R_2 -substituted benzo[c]xanthene fluorophores (Figure 3) with physiochemical properties intended to promote inherent PDAC selectivity.²³

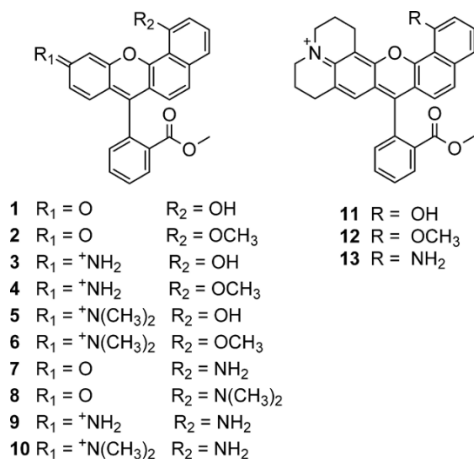


Figure 3. R₂-substituted benzo[*c*]xanthene library of fluorophores.

Properties such as molecular weight, formal charge, lipophilicity, polar surface area and others, were used as descriptors to understand PDAC targeting. A unique characteristic of PDAC tissue compared to healthy pancreas tissue is the high fibrous tissue (stroma) surrounding ducts with adenocarcinoma, compared to normal ducts surrounded by acini cells. The “stromal fortress,” serves as a barrier believed to promote resistance to chemotherapy. In a genetically-engineered PDAC murine model (KMC mice), compound **12** from the library shown in Figure 3 exhibited inherent localization in ductal adenocarcinoma versus the surrounding stroma tissue. Compound **12** showed the highest signal-to-background (S/B) ratio in both *in vivo* (tail vein injection) and *ex vivo* (frozen section tissue) staining of PDAC tissue compared to other candidates from the library. Importantly, compound **12** does not possess a conjugated targeting moiety/ligand therefore shows inherent PDAC-specificity.²³

In this work, pre-staged human frozen tissue sections were purchased from Origene Inc., and **12** enabled the selective staining and quantification of signal intensity in PDAC as well as in the most common PDAC precursor lesions, PanIN. The quantification using

a developed Matlab code allowed for an objective analysis of the staining in healthy human pancreas tissue versus the PDAC human tissue. The ability to selectively stain for PanIN has the potential to enable early monitoring and elucidation on PDAC progression. Moreover, the staining procedure was optimized to obtain a sample for quantitative imaging within 15 min, in keeping with the timing of intraoperative H&E staining (a gold standard for pathology) and the current clinical workflow. Utilizing the optimized staining protocol in conjunction with quantitative fluorescence using **12** has the potential to ensure clear margins after PDAC surgery to help address the current low survival rates from PDAC surgery.²⁶

The need for dyes that are active in the near-infrared region (NIR) region (>700 nm) and have large Stoke shifts led to the design and synthesis of a novel benzo[a]xanthene library (Figure 4).²⁴ Among other advantages of imaging in the NIR include, reduced autofluorescence and reduced tissue damage due to the use of light with low energy to excite the fluorophore.²⁷

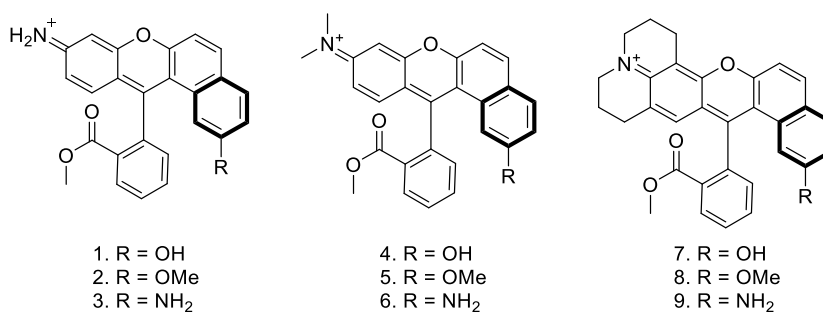


Figure 4. Type [a] benzoxanthene library.

The benzo[a]xanthene library displayed at least 2-fold enhanced brightness compared to the benzo[c]xanthene library, and no significant bathochromic shift, as was predicted by density functional theory calculations.^{24,28} Compound **8**, a regioisomer of **12**

with analogous physicochemical properties, also shows PDAC selectivity with compound **12** a more optimal candidate.

The functionalization of the benzo[c]xanthene chromophore with other chromophore moieties is another strategy that was used to develop NIR-active cyanine-xanthene probes (Figure 5) in this work. Cyanine-xanthene hybrids are currently being synthesized and the preliminary synthesis is promising. These hybrid dyes will be screened for PDAC selectivity and subsequently used for PDAC margin assessment.

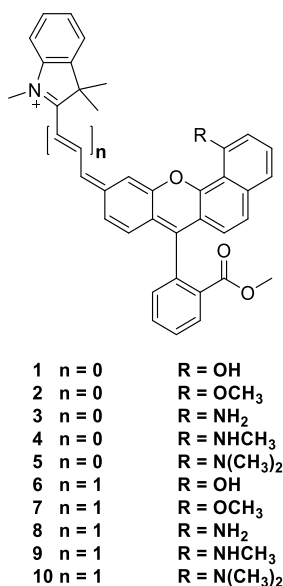


Figure 5. A library of cyanine-xanthene library.

Research Value

The outcome of this work will contribute to the field of inherent tissue targeting of PDAC, using probes without the need for any antibodies or related biomolecules conjugated as targeting ligands. The absence of a targeting ligand allows for attaining relatively much faster staining times required for intraoperative margin assessment during

PDAC surgery. This intraoperative margin assessment could greatly improve the surgery outcome and in turn increase the survival rates of PDAC patients after surgery.

This work also led to a novel library of benzo[a]xanthene probes with atypical photophysical trends compared to all pH-sensitive xanthene dyes reported thus far. The successful functionalization of a xanthene chromophore with cyanine dye moieties will address the inherent photophysical limitations of both classes by allowing for synergistic enhancement of photophysical properties. The ease of structure modifications will also allow for further structure-activity-relationship investigations of inherent tissue targeting. Lastly, the strategy utilized herein of inherent tissue targeting can be applied to the development of fluorescent analogs for other surgically treated cancer type, including breast, prostate and colon cancer.

Specific Chapter Summaries

Chapter 2 reports on the use of **12** to stain human PDAC tissue and the precursor PanIN lesions. I also show the development of an objective quantitative method based on Matlab code for measuring effective PDAC contrast. I show results of the optimization of an *ex vivo* staining protocol and its potential compatibility to the current PDAC surgery workflow.

Chapter 3 expands on the concept of inherent tissue targeting by reporting a novel library of benzo[a]xanthene dyes that are regioisomers of benzo[c]xanthenes. The goal of synthesizing the benzo[a]xanthene library was to study the effects of changing the angle of annulation on the photophysical and inherent tissue targeting properties. I also report on the atypical pH behavior of the benzo[a]xanthene library compared to other xanthene dyes.

Also reported is an effective and efficient synthetic method I developed to allow for bioconjugation of the benzo[a]xanthene fluorophores to targeting ligands and the subsequent conjugation of compound **8** from the library to microtubule targeting antibody.

Chapter 4 focuses on the synthetic strategies employed to synthesize NIR-active cyanine-xanthene hybrid dyes. The cross-coupling between benzo[c]xanthene ditriflate and a conjugated alkene afforded the desired cyanine-xanthene hybrid probe.

Chapter 5 summarizes the results and discusses the direction for future work.

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Chapter 2: Assessment of Human Pancreas Cancer Tissue and Precursor Lesions Via a Fluorophore with Inherent PDAC Selectivity

Munhenzva, I. R.; Barth, C. W.; Sibrian-Vazquez, M.; Wang, L. G.; Escobedo, J. O.; Gibbs, S. L.; Strongin, R. M., Assessment of human pancreas cancer tissue and precursor lesions via a fluorophore with inherent PDAC selectivity. *Methods* **2019**, *168*, 35-39.

The above paper has been modified.

ABSTRACT

Pancreatic ductal adenocarcinoma (PDAC) is the most prevalent and deadly form of pancreas cancer. Previously, utilizing compound **12**, a fluorophore with inherent PDAC selectivity, PDAC was visualized both *in vivo* and *ex vivo* in a murine model. In the current study, human PDAC tissue is targeted. Compound **12** selectively stains ducts of the adenocarcinoma versus the surrounding stroma, enabling the imaging of PDAC in frozen tissue sections with high contrast. To enhance the potential of **12** for intraoperative applications, the *ex vivo* staining protocol was optimized for rapid margin assessment, with a final staining time of ~15 min. To measure diagnostic performance, the area under a receiver operating characteristic (ROC) curve was measured for the identification of ductal adenocarcinoma vs. stroma. The bright fluorescence contrast enabled quantitative determination of PDAC (or precancerous PanIN lesions) versus healthy pancreas tissue in human tissue array samples.

INTRODUCTION

Pancreatic ductal adenocarcinoma (PDAC) is the most prevalent form of pancreatic cancer, accounting for approximately 90% of all cases.¹ With a five-year survival rate < 5%, PDAC is projected to be the second leading cause of cancer-related deaths by 2030.²

Although < 20% of PDAC patients qualify for surgery, it remains the only effective treatment option.¹ Resection margin (RM) status is a key predictive factor in a patient's post-surgery survival.³ To assess margin clearance (R0) versus margin involvement (R1), surgeons currently rely on basic tools such as white light visualization and palpation for guidance during surgery. Intraoperative frozen section analysis (FSA) is also performed in many cases, wherein a tissue margin sample, stained with hematoxylin and eosin (H&E), is assessed by a pathologist.⁴⁻⁶ However, H&E staining is not quantifiable and is nonspecific for PDAC and does not adequately detect microscopic tumors.⁷ These techniques have resulted in high resection margin involvement (> 50 % R1) in PDAC patients. Patient prognosis is generally poor.⁸

Fluorescence imaging using PDAC-specific fluorophores offers an opportunity to improve current intraoperative margin assessment.⁹⁻¹¹ It has the potential to provide rapid, accurate *ex vivo* confirmation of negative margin (R0) status during surgery. Our lab previously reported the synthesis of a library of xanthene fluorophores to investigate the targeted imaging of PDAC in a genetic-engineered PDAC murine model (KMC mice). Compound **12** from the library showed excellent selectivity and signal-to-background (S/B) ratio in both *in vivo* (tail vein injection) and *ex vivo* (FSA) staining of PDAC tissue. Compound **12** does not possess a conjugated targeting moiety.⁹ It was designed with physiochemical properties intended to promote its inherent selectivity for epithelial ductal adenocarcinoma versus the surrounding stroma tissue.¹²⁻¹⁴ A unique characteristic of PDAC is that most of the tumor volume does not consist of tumor cells, but rather is comprised of the stroma. The “stromal fortress,” which is mainly collagen, serves as a

barrier that, for instance, has been noted as a potential reason that PDAC is intrinsically resistant to chemotherapy.¹⁵

In the current study, we show that **12** enables the selective staining and quantification of PDAC in human frozen tissue samples, including the most common PDAC precursor lesions, pancreatic intraepithelial neoplasia (PanIN). Moreover, we optimized the procedure to obtain a sample for quantitative imaging within 15 min, in keeping with intraoperative H&E staining and the current clinical workflow.

MATERIALS & METHODS

General Study Design

The primary goal of this study was to identify a fluorescence staining protocol that provides the highest diagnostic performance within a clinically relevant time frame. These optimum conditions were applied to human PDAC specimen purchased from Origene Inc. Optimization was accomplished by fluorescence imaging with different staining concentrations (125, 250 and 500 μ M) and fluorophore incubation times (1, 5, 10 and 40 minutes), in triplicate (Figure S2, supplementary information). The performance was assessed using ROC curve analysis, with the area under the curve (AUC) as a metric for the contrast between PDAC versus surrounding stromal tissue. The mouse tissue for protocol optimization studies was from a genetically engineered mouse model of PDAC (KMC mouse model) used in a previous study by our group.⁹ The optimized conditions were used to stain human healthy and PDAC tissue specimens. The samples included (i)

normal tissue, with 60% exocrine epithelium, 20% endocrine epithelium and 20% ducts; (ii) pancreatic intraepithelial neoplasia non-tumor structures (PanIN) comprised of 10% exocrine epithelium, 5% endocrine epithelium and 85% ducts; (iii) moderately differentiated PDAC tissue with a tumor grade of G3, and; (iv) poorly differentiated tumor tissue with a tumor grade of G2. Compound **12** was used in all experiments (Figure 1).⁹

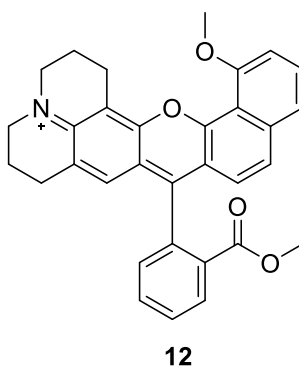


Figure 1. Compound **12**.

***Ex vivo* fluorescence microscopy**

The resected pancreas tissue from healthy control mice and the KMC mice models with PDAC tumor-bearing mice were fixed with 2% PFA for 12 h, flash frozen in optimal cutting temperature (OCT) compound with liquid N₂, and stored at −80 °C. Cryosections were cut at 10 microns onto Superfrost Plus slides (Fisherbrand, Fisher Scientific). Serial sections were obtained and used for *ex vivo* staining. Images were acquired on an Axio Observer inverted fluorescence microscope (Zeiss, Thornwood, NY) at 20× magnification. A PhotoFluor II was used and filtered using a 545 ± 12.5 bandpass excitation filter for **12**. Fluorescence images were collected using an Axiocam 506 camera (Zeiss), were a 605 ±

35 bandpass emission filter. Whole tissue images were acquired using Zeiss Axioscan Z.1 slide scanner (Carl Zeiss) at 20× magnification. The fluorescence signal was optimized at the start of the study to not oversaturate the signal. For a standardized comparison, the power of Colibri 7 light source and integration times of the Hamamatsu ORCA Flash4 camera (Hamamatsu Photonics) were kept constant for all samples. The imaging on the slides was performed with identical image acquisition settings after staining overnight.

Statistical Analysis

Statistical analysis was performed using MatLab. To quantify tumor-to-stroma pancreas tissue diagnostic detection, ROC curves were generated for ductal adenocarcinoma versus stroma tissue. As a control, ROC curves were generated for healthy acinar tissue versus healthy acinar tissue. ROC curves and corresponding AUC measurements were calculated on a pixel-by-pixel basis by running a threshold value across individual pixel values for each tissue type and measuring the true-positive and false-negative fractions of adenocarcinoma ductal tissue over surrounding stromal tissue at each threshold increment. Histogram plots of the fluorescence image pixel values for ductal and stromal pixels were generated and plotted. Statistical significance between staining conditions was determined using the method described by Hanley and McNeil¹⁶ with standard error measurements and correlation coefficients for pixel intensity values for each condition used for z-score value calculations. Z-score values > 2 were considered statistically significant.

Original *ex vivo* Direct Fluorophore Staining Protocol

The initial wash to remove excess OCT was done by covering each tissue sample with PBS. After 2 min, it was removed with vacuum. The tissue samples were fixed with 2% PFA at rt. for 15 min and washed 3× using PBS with 0.1% Tween-20 for 5 min. Slides were incubated with fluorophore formulation, (10% dimethyl sulfoxide DMSO (control) or 10% DMSO fluorophore solution (dependent on specific concentration of fluorophore), 5% Kolliphor EL, 85% of 75/25 serum/PBS) for 40 min at rt.¹⁷ Slides were covered to prevent photobleaching. Excess fluorophore was removed through two 5 min rinses with PBS. Fluorophore post-fixing was done using 2% PFA for 15 min and washed 1× with PBS for 5 min. After removing excess PBS samples were mounted with fluoromount. The coverslip was placed onto tissue, making sure no air bubbles were present. The coverslips were sealed with colorless nail polish. The slides were stored at 20 °C. The total time for the staining protocol was 1 h 42 min.

Modified *ex vivo* direct fluorophore staining protocol

The initial rinse was done by flash washing each tissue sample with PBS with rapid removal with vacuum. The tissue samples were fixed with 2% PFA at rt for 5 min, rinsed rapidly 3× using PBS with 0.1% Tween-20. Slides were incubated with fluorophore formulation (5 min, rt). Slides were covered to prevent photobleaching. The excess fluorophore was removed by flash rinsing 2× with PBS. Fluorophore post-fixing was done

using 2% PFA for 5 min and with a 1× wash with PBS. Excess PBS was removed and samples were mounted with fluoromount. The coverslip was placed onto tissue, ensuring no air bubbles covered the samples. The coverslips were sealed with colorless nail polish. The slides were stored at 20 °C. The total time for the optimized protocol was 15 min.

RESULTS AND DISCUSSION

Staining of human PDAC tissue

Our lab previously showed that **12** enabled the imaging of PDAC in a genetically engineered mouse model with high specificity both *in vivo* and *ex vivo*. Figure 2 shows the *ex vivo* efficacy of **12** in visualizing human PDAC, showing increased accumulation in the ductal adenocarcinoma epithelial tissue compared to the surrounding stroma tissue. In normal tissue, **12** shows a homogenous accumulation as also previously reported in our mouse model work.⁹

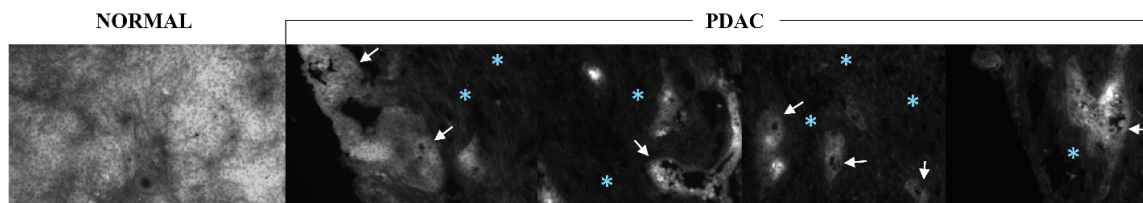


Figure 2. *Ex vivo* images from frozen sections of resected human tissue stained with compound **12**. Normal tissue displayed homogeneous staining in acinar cells. PDAC tissue displayed high contrast between the ductal and stroma tissue. White arrows are pointing to the selectively stained ducts with adenocarcinoma surrounded by blue starred unstained stroma tissue regions of PDAC.

To obtain a more complete picture of the inherent accumulation of **12** in ductal adenocarcinoma versus the stroma tissue, whole tissue images were obtained using Zeiss Axioscan Z.1 slide scanner (Figure 3). The homogeneous staining of healthy human pancreas tissue can be readily observed. In addition, highly selective staining of the ductal

adenocarcinoma versus stroma in the human PDAC pancreas tissue is clear. Two different grades of human PDAC pancreas tissue were examined. Figure 3 shows PanIN tissue, moderately differentiated tissue, and poorly differentiated PDAC pancreas tissue stained with **12**. The selective accumulation in the ducts versus stroma is observed as the bright white spots (ducts with adenocarcinoma) and relatively dark regions (stroma tissue).

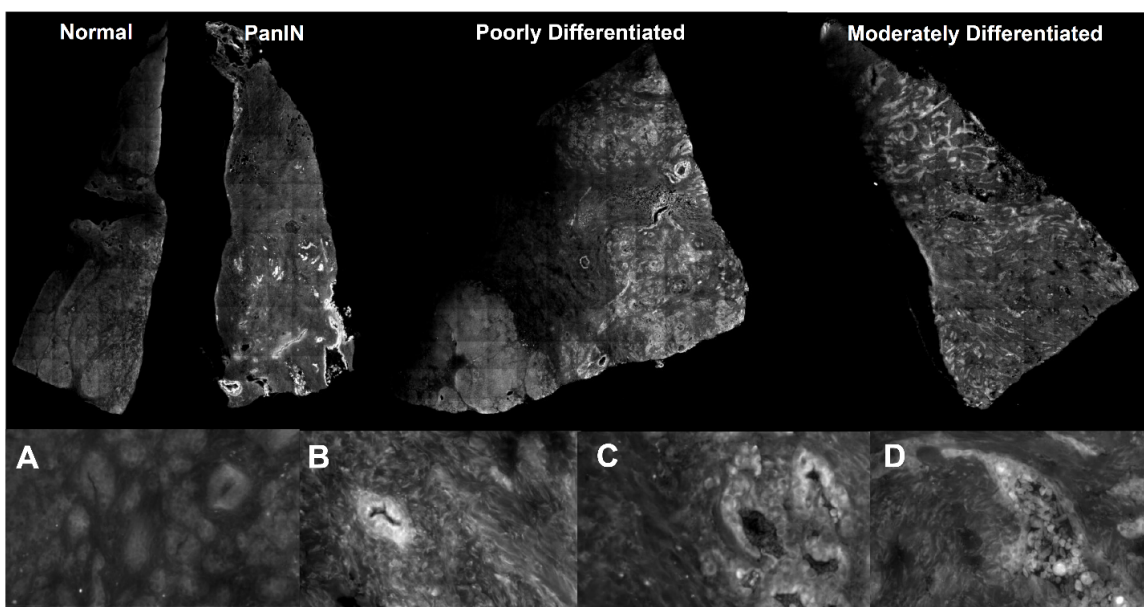


Figure 3. Whole tissue images of frozen sections (top panel) of healthy, PanIN, poorly differentiated and moderately differentiated human normal and PDAC pancreas tissue stained with **12**. In the bottom panel shows magnified images of healthy ducts (A) and PDAC (B- PanIN (lesions), C- poorly differentiated and D- moderately differentiated).

Staining protocol optimization using mouse tissue

Since the results using human tissue were consistent with those obtained with the KMC mouse model, we utilized mouse frozen sections to optimize the staining procedure. Since H&E staining, the gold standard for FSA pathology, requires *ca.* 12 min to stain tissue, our aim was to shorten compound **12** processing time while maintaining PDAC selectivity and

optical contrast. In order to evaluate S/B (PDAC/stroma) during optimization, we employed a modified Matlab code used by Barth *et al.* (2017)¹⁸ to generate the area under a receiver operating characteristic (ROC) curves, with the AUC used as a metric of diagnostic performance in differentiating PDAC from stroma. For the KMC tissue used, the ROC curves, the AUC values are reported in Figure 4 and Table 1, respectively. The z-stat analysis reported the 125 μ M, 5 min incubation time AUC value as significantly higher than all other staining conditions (Table S1, supplementary information).

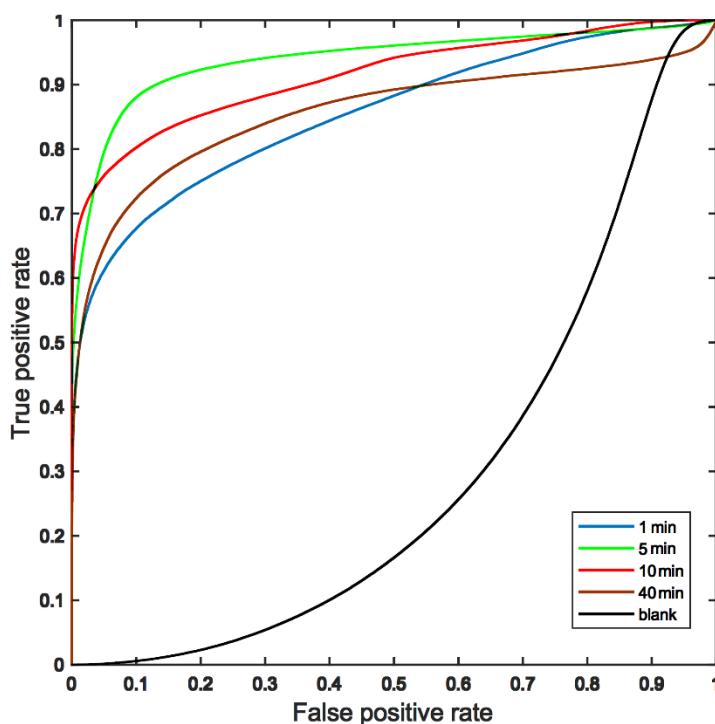


Figure 4. ROC curves for KMC mouse model ductal adenocarcinoma vs. stroma tissue differentiation following staining using **12** (125 μ M).

Table 1. Tissue staining optimization ROC analysis. AUC values are listed for fluorophore concentration and incubation time optimization studies with KMC mouse model tissue.

Fluorophore concentration (μM)	ROC AUC values ^a			
	Incubation time			
	1 min	5 min	10 min	40 min
125	0.8541	0.9390	0.9143	0.8556
250	0.7307	0.8337	0.8751	N/A
500	0.8691	0.8695	0.8810	N/A

N/A- not applicable

^aROC AUC values correspond to the area under the ROC curve for each incubation time with **12**.

The initial fluorophore concentration reported by Wang *et al.* (2017)⁹ of 125 μM afforded the highest AUC with a fluorophore incubation time of 5 min (Table 1). A short rinse instead of 5 min washing, plus a shortened incubation with 2% PFA (paraformaldehyde, 5 min compared to 15 min) did not significantly change the AUC (0.9390 vs. 0.9376 for original vs. optimized protocols).

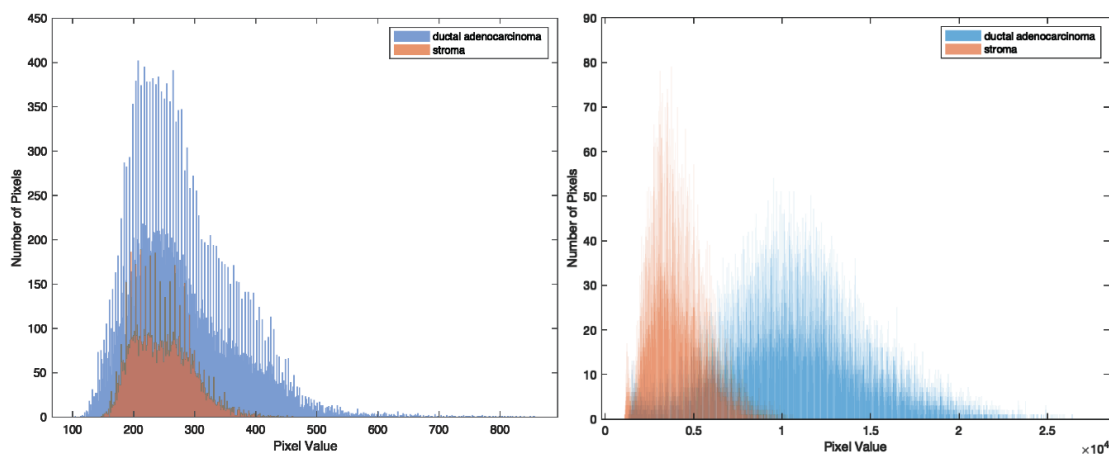


Figure 5. Signal quantification from PDAC KMC mouse model tissue represented as pixel intensity histograms. Unstained PDAC control tissue (left) and PDAC tissue stained with **12** (right) using the optimized protocol: 125 μM with 5 min incubation, rinse and 5 min PFA incubation (total 15 min). The separation of pixel values in stained tissue is evidence of its efficiency in selective PDAC targeting over surrounding stroma tissue.

Optimized protocol (15 min processing) results are represented in Figure 5. The histogram of PDAC pancreas tissue stained with **12** displays a clear resolution of pixel values between the ductal adenocarcinoma and the stroma tissue. This is evidence that when **12** is used in the 15-min protocol it is effective in selectively targeting and imaging PDAC. Conversely, a PDAC negative control was subjected to the same conditions except for the addition of **12**. It shows an unresolved pixel intensity overlay corresponding to PDAC and stroma (Figure 5, left).

Once the procedure was optimized with mouse tissue, it was applied to human frozen section samples. The optimized protocol was used to stain the four human pancreas tissue samples shown in Figure 3 (the Figure 2 images had been obtained using unoptimized conditions), including healthy and pancreatic intraepithelial neoplasia (PanIN, a PDAC precursor lesion of potential utility for early disease detection), as well as moderately- and poorly-differentiated PDAC tissue. ROC-calculated AUC values were used to monitor the PDAC vs. stroma diagnostic performance (Figure 6 and Table 2).

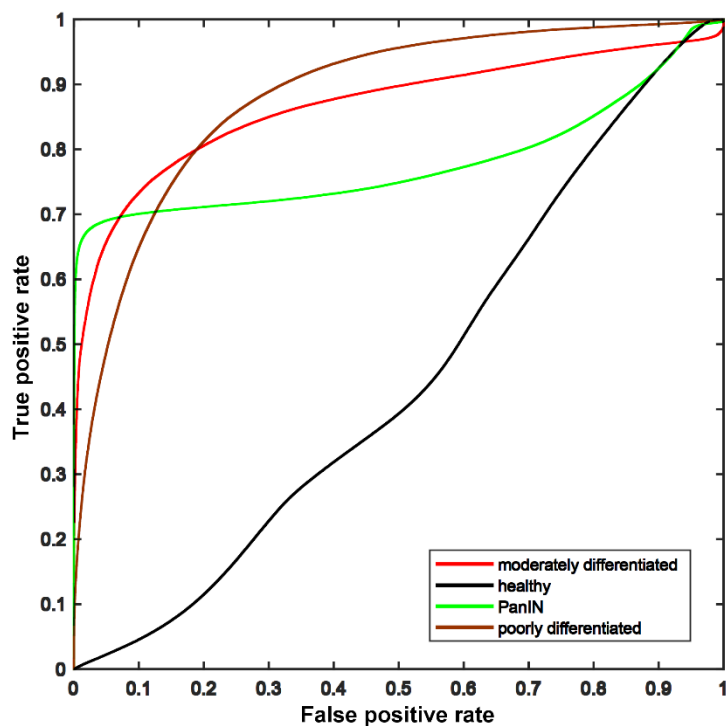


Figure 6. ROC curves for control (black), PanIN (green), high grade (brown) and low grade (red) human ductal adenocarcinoma vs. stroma tissue differentiation derived from staining with **12**.

Table 2. ROC AUC values for human tissue staining with compound **12**.

Tissue type	ROC AUC
Healthy ^a	0.4508
PanIN ^b	0.7797
Moderately differentiated ^c	0.8821
Poorly differentiated ^d	0.8621

^a60% exocrine epithelium, 20% endocrine epithelium, 20% ducts; ^bpancreatic intraepithelial neoplasia non-tumor structures with 10% exocrine epithelium, 5% endocrine epithelium, 85% ducts; ^ctumor grade G2 (moderately differentiated); ^dtumor grade G3 (poorly differentiated).

CONCLUSIONS

The primary aim of this study was to show the utility of an inherently-targeted fluorophore in the *ex vivo* staining of human PDAC tissue. Residual tumor during PDAC resection results in low survival rates from PDAC surgery due to locoregional recurrence or follow-up surgeries to ensure clear margins. Intraoperative fluorescence guidance using PDAC-specific contrast agents has the potential to aid the process of margin assessment. Typically, fluorophores are conjugated to targeting ligands to increase specificity. *Ex vivo* staining with fluorophore-antibody conjugates takes between 24 and 48 hours to obtain an image, which is not practical for surgery. In the previous study, **12** was shown to achieve specificity to PDAC in a PDAC mouse model without the need for conjugation to an antibody or any complex targeting ligands. Herein, we have shown that it can be used for analyzing human PDAC frozen tissue sections following a short 15-min staining protocol. It exhibits enhanced accumulation in the ducts of the adenocarcinoma versus the surrounding stroma tissue. In addition, it stains PanIN precancerous lesions which may be useful for investigating the progression of PDAC.

Unlike the current FSA stain (H&E), **12** affords quantifiable images and PDAC specificity. The fluorescence in pancreas tissue was quantified using ROC curves. The high contrast between the stroma and the adenocarcinoma in **12** stained tissue can serve as marker for PDAC. For example, it may enable margin assessment both qualitatively and quantitatively since stained healthy pancreas tissue does not show appreciable contrast under the same processing conditions (e.g., Figures 3 and 5, Table 2). Moreover, *ex vivo*

frozen section staining using **12** under the optimized conditions described herein takes place in nearly the same amount of time as H&E staining. It is also promising that high contrast was also observed in the tissue sample containing the PanIN pancreatic cancer precursor lesions. This may potentially enable early monitoring and the investigation of PDAC progression.

SUPPLEMENTARY MATERIAL

Table S1. Tissue staining optimization z-stat analysis.
z-statistical values^a

Fluorophore concentration (μM)	Incubation time			
	1 min	5 min	10 min	40 min
125	264.4	N/A	74.1	248.6
250	307.3	197.1	219.8	N/A
500	167.5	130.3	112.1	N/A

^az-statistical values for each staining condition and incubation time compared to the 125μM, 5 min incubation time (z-stat value > 2 indicates significant AUC values).

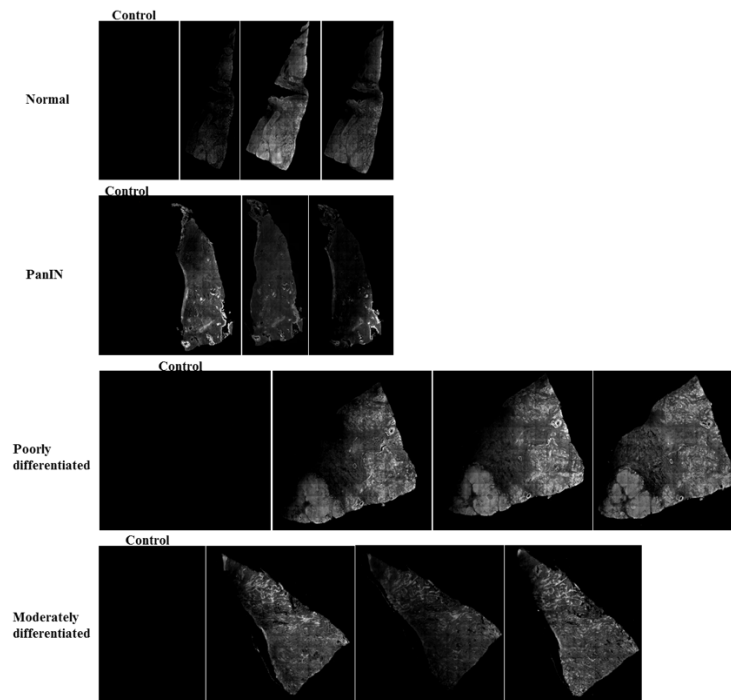


Figure S1. Whole tissue images (in triplicate) of frozen sections (from top) of healthy, PanIN, poorly moderately differentiated and moderately differentiated human normal and PDAC pancreas tissue stained with **12** and unstained PDAC control tissue as the first image in each panel.

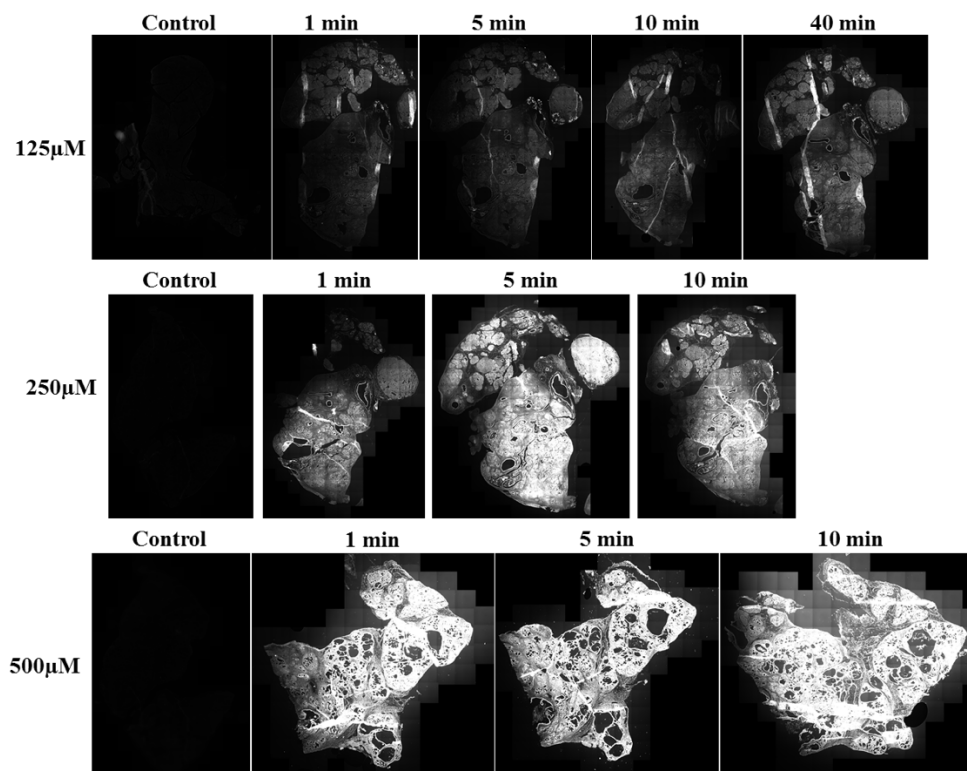


Figure S2. Whole tissue images of frozen sections from KMC mouse PDAC pancreas tissue stained with different concentrations of **12** for different incubation times and unstained PDAC control tissue as the first image in each panel.

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Chapter 3: Altering Fundamental Trends in the Emission of Xanthene Dyes

Wang, L. G.; Munhenzva, I.; Sibrian-Vazquez, M.; Escobedo, J. O.; Kitts, C. H.; Fronczek, F. R.; Strongin, R. M., Altering Fundamental Trends in the Emission of Xanthene Dyes. *The Journal of Organic Chemistry* **2019**, 84 (5), 2585-2595.

The above paper has been modified.

ABSTRACT

Fluorescent small molecules enable researchers and clinicians to visualize biological events in living cells, tissues, and organs in real time. Herein, the focus is on the structure and properties of the relatively rare benzo[a]xanthenes that exhibit enhanced steric and electronic interactions due to their annulated structures. Three types of fluorophores were synthesized: (i) pH and solvent dependent seminaphthorhodafluors, (ii) pH and solvent independent seminaphthorhodafluors, and (iii) pH-independent but solvent sensitive seminaphthorhodamines. The probes exhibited promising far-red to near-infrared (NIR) emission, large Stokes shift, broad full width at half maximum (FWHM), relatively high quantum yields, utility in PDAC imaging and immunofluorescence staining. Deviation of the π -system from planarity due to changes in the fluorophore ionization state resulted in fluorescence properties that are atypical of common xanthene dyes.

INTRODUCTION

Xanthenes, including benzoxanthene derivatives (Figure 1), are of considerable interest in chemical biology. They are an important class of heterocyclic compounds in both photophysical and medicinal chemistry.¹⁻⁶ Benzoxanthenes have been employed as fluorescent materials for the recognition of biologically important molecules.^{7,8} Their light conversion ability enables applications ranging from optical fibers to filters.⁹ Much

attention has been paid to the structural design and modification of benzoxanthenes due to their attractive biological and antiproliferative properties.¹⁰⁻¹² We have previously reported a family of water-soluble, low molecular weight, NIR-emitting seminaphthofluorones wherein modifications to the regiochemistry of the ionizable groups and annulation directions have led to significant modulation of spectral properties.¹³ Transposition of the ionizable moieties, as a design variable of benzo[c]xanthene analogs, resulted in unusually large Stokes shift (~200 nm) and emissions in the NIR (> 750 nm).^{14,15} In our previous work, a seminaphthorhodafluor dye featured an inherent affinity for pancreatic ductal adenocarcinoma (PDAC) cells without the need for conjugation to any targeting agents.¹⁶ This was accomplished through a systematic modification of three classes of benzo[c]xanthene fluorophores with an emphasis on pharmacochemical properties. The study described herein focuses on a series of red-to-NIR emitting fluorescent benzo[a]xanthene annulated probes containing various polar groups (Y, on C-5, Figure 1), including their synthesis, spectral properties, computational modeling and in vitro imaging.

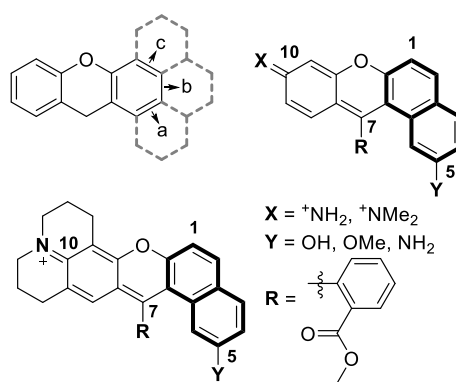


Figure 1. Annulation of the xanthene chromophore can lead to three types of benzoxanthenes. The benzo[a]xanthene framework is annulated on the side opposite to the X-group.

The design of a library of nine fluorophores was based on systematic functional group variation (Figure 2). At C-5, the hydroxyl, methoxy or amine functionality, as well as different levels of rigidity at the C-10 iminium group were varied. All structures possess a methyl benzoate at C-7, allowing for eventual conjugation to biological molecules. Compounds **1**, **4** and **7** are the only pH- and solvent-dependent fluorophores in the series. Substitution of the hydroxyl at C-5 with a methoxy group results in analogs **2**, **5**, and **8**. The corresponding naphthylamine are compounds **3**, **6**, and **9**.

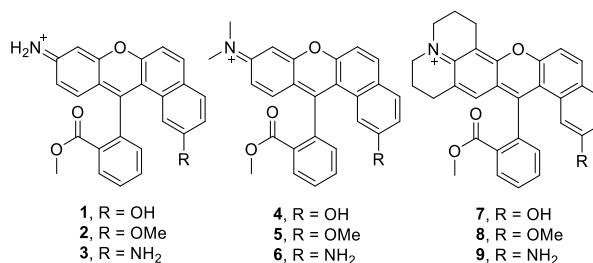


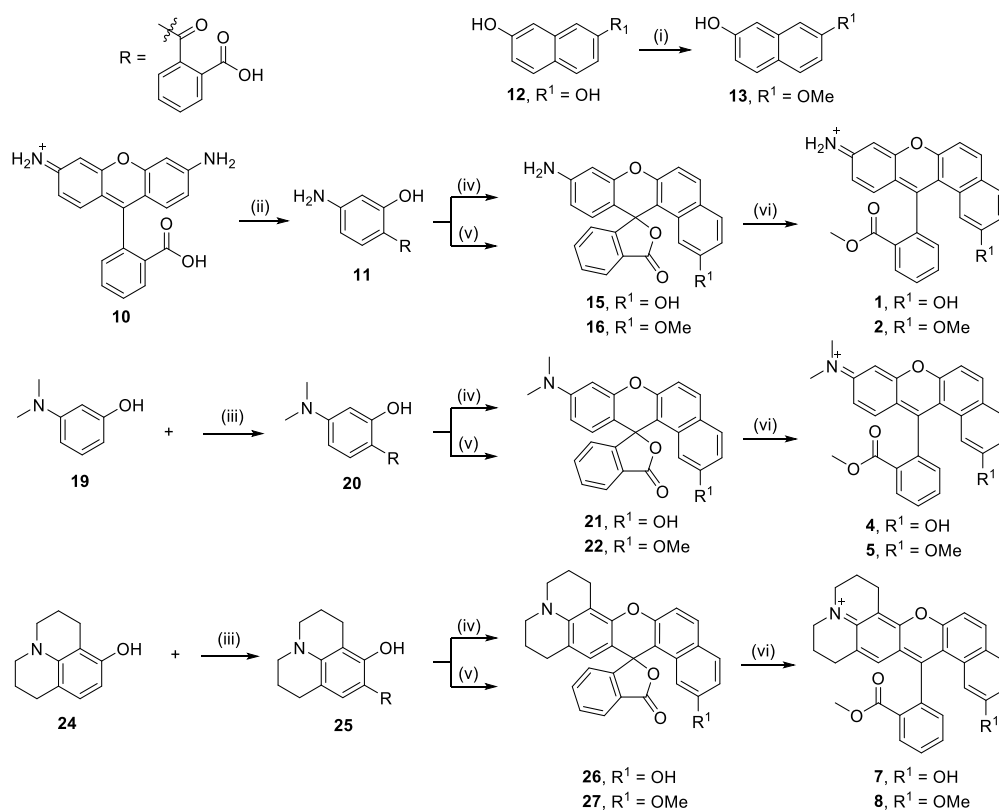
Figure 2. Seminaphthorhodafluors and seminaphthorhodamines.

RESULTS AND DISCUSSION

Fluorophore synthesis and characterization. Seminaphthorhodafluor analogs (Figure 2) were synthesized in three steps (Scheme 1). Briefly, condensation of the corresponding hydroxybenzophenone with the appropriate 2-naphthol derivative in a mixture of CH₃SO₃H:TFA (1:1) at 80 °C for 16-24 h produced a single isomer (benzoxanthene type a) as the major product. Single-crystal X-ray structure analysis and 2D NMR (COSY), confirmed the structure assignment. Subsequent Fischer esterification to produce the methyl ester derivatives was carried out under reflux conditions in anhydrous MeOH and catalyzed by HCl. The required starting materials 2-(2,4-dihydroxybenzoyl)benzoic acid, 2-(4-amino-2-hydroxybenzoyl)benzoic acid, 2-(8-

hydroxy-1,2,3,5,6,7-hexahydropyrido[3,2,1-ij]quinoline-9-carbonyl)benzoic acid, and 2,7-naphthalene derivatives were synthesized according to published literature protocols.^{10,16,17} In the case of the seminaphthorhodamines (Figure 2), we followed a protocol developed recently by our group.¹⁸ All compounds were isolated by flash column chromatography on silica gel, preparative TLC or reversed phase chromatography, and characterized by 1D and 2D NMR as well as HR-ESI-MS. Detailed characterization data and procedures are described in the experimental section.

Scheme 1. Synthesis of seminaphthorhodafluors from the benzo[a]xanthenes series.



(i) NaH, MeI, DMF 12 h; (ii) NaOH, 160 °C 3h; (iii) phthalic anhydride, toluene, reflux 12 h; (iv) MeSO₃H:TFA 1:1, **12**, 80 °C 24h; (v) MeSO₃H:TFA 1:1, **13**, 80 °C 24h; (vi) MeOH, CH₃COCl, 50 °C 72h

Spectroscopic studies of the pH-dependent seminaphthorhodafluors. The products were screened for pH and solvent dependence, relative quantum yields are reported as the average of multiple measurements using multiple references (Appendix A).¹⁹ Their optical properties in MeOH are summarized in Table 1. The absorption and emission spectra of all fluorophores included in this series display broad, structured peaks, which enables efficient use over a wide range of wavelengths. All exhibit broad FWHM ranges from 101 to 182 nm, covering a significant window of the NIR region (Figure 3). Stokes shifts range from 40 to 100 nm.

Table 1. Spectral properties of compounds 1-9 in MeOH.

Compound	λ_{max} abs (nm)	λ_{max} em (nm)	Stokes Shift (nm)	Extinction Coefficient (M ⁻¹ ·cm ⁻¹)	Quantum Yield (%)	Brightness	FWHM (nm)
1	527	630	103	29,276	4.6	1347	151
2	520	590	70	26,261	41.99	11027	132
3	568	610	42	18,013	1.08	195	182
4	556	610	54	28,896	3.97	1147	110
5	520	610	90	27,549	11.65	3210	114
6	584	640	56	26,110	0.68	178	114
7	538	630	92	25,562	20.52	5245	114
8	535	630	95	26,275	18.36	4824	116
9	592	670	78	21,690	1.6	347	148

At low pH, **1**, **4** and **7** are red-to-NIR emitting (Figure 3). Their absorption spectra display structured peaks with maxima ranging from 520 to 540 nm, large Stokes shifts (60 - 92 nm), and emission maxima between 580 and 630 nm. The absorption and fluorescence spectra (Figure 4a) of seminaphthorhodafluor naphthols **1**, **4** and **7** exhibit pH dependence

over the physiological range, as well as sensitivity to other solvent systems (MeOH, DMSO). In aqueous solution, ratiometric absorption spectra with well-defined isosbestic points are observed (Figure 4a). At high pH, the neutral forms (deprotonated naphthols) display red-shifted absorption peaks at ca. 600 nm. Surprisingly, as the pH of the aqueous solutions of **1**, **4** and **7** is increased further, the fluorescence intensity decreases to a non-fluorescent state (pH =12.1, Figure 4g). Overall, the pK_a values range from 8.07 for compound **1** to 8.39 for compound **7**, embodying enhanced acidity as compared to 2-naphthol ($pK_a = 9.51$). Although small differences in pK_a for rhodols **1**, **4** and **7** are observed, they follow the expected trend associated with *N*-substitution at C-10 (**7**>**4**>**1**). Solvent dependence can be at least partially attributed to the differences in the equilibria of the tautomers of the compounds. In organic solvents, absorptions from each tautomer are observed, with the shorter wavelength species predominating, similar to what is observed in the neutral buffer (Figure 4d). Quantum yields are generally about 3-27 times higher in organic solvents as compared to aqueous buffer.

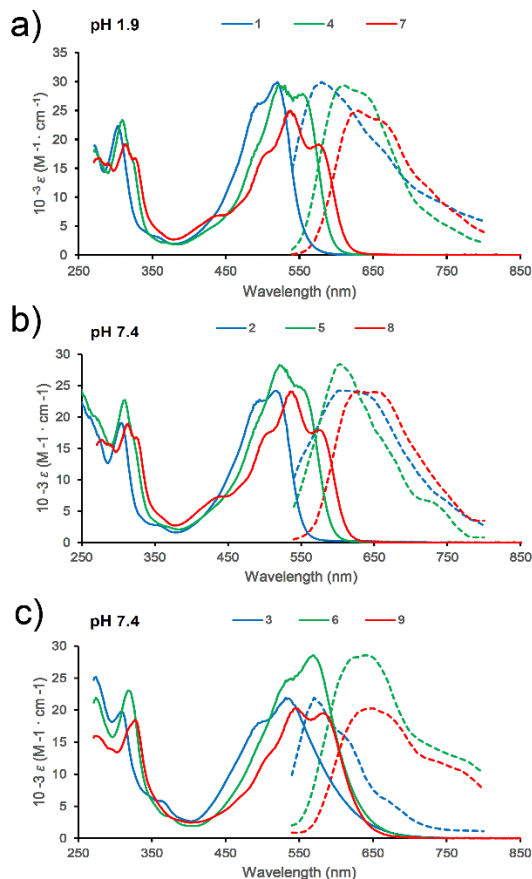


Figure 3. Spectral properties of compounds **1–9** in aqueous media. To ensure the cationic form, compounds **1**, **4** and **7** were dissolved in pH 1.9 aqueous solution with the remaining compounds dissolved in pH 7.4 solution. (a) Absorption spectra (solid lines) and normalized fluorescence emission spectra (dash lines) of pH-dependent seminaphthorhodafluors (**1**, **4**, and **7**) in acidic solution 1:9 DMSO:HCl (12.5 mM in H₂O, pH 1.9) shows a red-shifted λ_{max} and emission maximum for **7**, which embody the extra ring system for rigidity (540 nm and 630 nm respectively) compared to **1** and **4**. (b) pH-independent seminaphthorhodafluors (**2**, **5**, and **8**) in 1:9 DMSO:buffer (12.5 mM phosphate, pH 7.4) shows analogous absorption spectra of **2**, **5** and **8** compared to **1**, **4** and **7** with the emission spectra of **2**, **5** and **8** all in the red-to-NIR region. (c) pH-independent seminaphthorhodamines (**3**, **6**, and **9**) in 1:9 DMSO:buffer (12.5 mM phosphate, pH 7.4) shows comparable λ_{max} and emission spectra between **6** and **9**, with **6** showing high peak intensity for both absorption and emission spectra. Compound **3** absorption and emission spectra is blue-shifted, compared to **6** and **9**. Emission spectra are normalized to their corresponding absorption peaks. Excitation Emission Matrices (EEMs) are provided in the Appendix A.

Spectroscopic studies of pH-independent seminaphthorhodafluor methyl ethers. Replacing the hydroxyl moiety of **1**, **4** and **7** with a methyl ether (compounds **2**, **5**,

and **8**) eliminates both pH and solvent dependence (Figure 4b, and 4e). At pH 7.4, compounds **2**, **5**, and **8** exist as single species, similar to what is observed for **1**, **4** and **7** in acidic solution. Absorption spectra are nearly identical to the corresponding hydroxyl analogs. The emission wavelengths of the methyl ethers are also in the red-to-NIR region, (Figure 4g), including large Stokes shifts (90-105 nm). Quantum yields, ranging from about 12-42%, are higher compared to **1**, **4** and **7** (~ 64-fold higher for compound **2**). No spectral evidence for tautomeric equilibria is observed for the methyl ethers, with the absorption spectrum of compound **8** being the most stable over the pH range from 1.9 to 12.1 (Figure 4b), followed by compound **5**, then **2** (SI). There is negligible change in the absorption spectrum upon changing solvents from DMSO to MeOH to neutral pH buffer (Figure 4e).

Spectroscopic studies of pH-independent seminaphthorhodamines.

Seminaphthorhodamines **3**, **6** and **9** are pH-insensitive, but exhibit greater solvent-dependent spectral changes compared to seminaphthorhodafluors **1**, **4**, and **7**. Compound **9** shows the highest stability over the pH range of 1.9 to 12.1 (Figure 4c), followed by **6**, then **3** (SI). Their emission maxima range is from 610 to 670 nm, with broad FWHM of up to 182 nm (in MeOH), covering a significant portion of the NIR region. Stokes shifts range from 50 to 105 nm in aqueous media. Quantum yields are generally two times higher in MeOH compared to neutral buffer. Solvatochromic behavior (up to 60 nm) is observed for the longest wavelengths in DMSO and for the shortest in aqueous media (Figure 4f).

Molecular simulations. Density functional theory (DFT) calculations were performed to further investigate the relationship between the structural and electronic properties of the benzo[a]xanthenes. The highest occupied molecular orbitals (HOMOs)

and the lowest unoccupied molecular orbitals (LUMOs) were obtained from the optimized molecular geometries in the ground state at the B3LYP/6-31+G(d,p) level of theory using Gaussian 09 (see Table 2).²⁰ Notably, electrons are preferentially localized on the naphthyl annulation region of the molecule within the HOMO, and more delocalized over the xanthene dye core of the molecule in the LUMO (Figure A9, Appendix A).

Table 2. Dihedral angles ($C_{(7-10)}$) and dipole moment for compounds **7**, **8** and **9** in their cationic and neutral forms from DFT-optimized geometries in the ground state.

Compound	dihedral angle		dipole moment	
	$(\phi, ^\circ) C_{(7-10)}$		(μ, Debye)	
	cation	neutral	cation	neutral
7	-1.4	-11.2	3.1	11.6
8	-3.1	N/A ^a	7.5	N/A ^a
9	-0.2	-12.4	2.7	7.8

^aNot applicable. The neutral form cannot be produced.

To understand the effects of pH on the emission (as shown in Figure 4g) of compounds **7**, **8**, and **9** at the molecular level, we further examined geometries and electronic properties. DFT calculations revealed a distortion of the conjugated π -systems from planarity for the neutral forms of **7** and **9** (Figure 5). The dihedral angles (C_{7-10}) are $> -11^\circ$ (Table 2). In contrast, the dihedral angles in the cationic forms are closer to 0° , consistent with the more typical planar conformation. Similarly, compound **8**, which exists only as a cation, has a relatively small dihedral angle of -3.1° (Table 2). Analogous

relationships are observed for the other two pH-dependent fluorophores, **1** and **4** (Table A11, Appendix A), wherein the neutral molecules exhibit greater dihedral angles compared to the corresponding cations.

It is not surprising that pH-insensitive methoxy-functionalized **8** affords relatively consistent emission over the entire pH range studied. However, the emission from naphthol **7** is dim at pH *ca.* 9 and above as shown in the EEMs (Figure 4g). This is not typical for xanthenes such as fluorescein or even the type[c] benzoxanthenes, wherein higher pH enhances and red-shifts fluorescence emission.²¹ This unique effect is ascribed to the aforementioned increased dihedral angle distortion in the neutral form of **7** ($pK_a = 8.39 \pm 0.06$).

Since the neutral and cationic forms of amino analog **9** have very similar dihedral angles compared to neutral and cationic **7**, compound **9** should exhibit enhanced fluorescence at low pH levels, for instance at levels below the pK_a of an ammonium cation. However, rhodamine and congeners are well-known to be relatively pH-insensitive, and to display relatively bright emission over broad pH ranges. In the case of **9**, however, the EEMs in Figure 4g show relatively bright fluorescence at only the lowest solution pH studied (1.9). The emission diminishes sharply as the equilibrium shifts towards the neutral form at higher pH values. This is in keeping with the emissive properties of neutral versus cationic **7**, and serves as further evidence that fluorescence modulation in benzo[a]xanthenes is related to increases in the dihedral angle formed by carbons 7-10 (Figure 5 and Table 2).

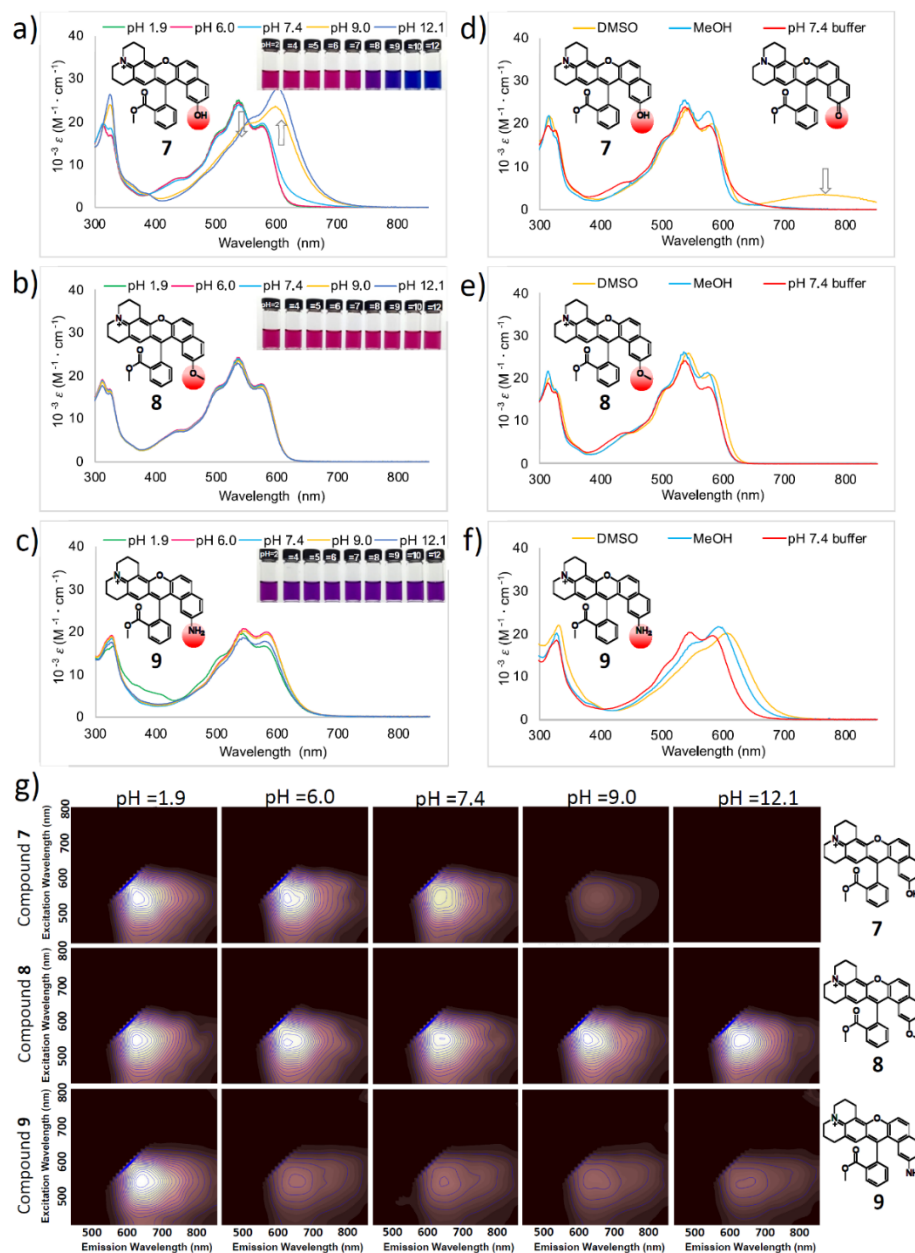


Figure 4. Comparison of the optical properties of compounds 7-9. (a) Absorption spectra of 7 (pH-sensitive) as a function of pH shows that above pH 9, 7 is red shifted and shows an isosbestic point *ca.* 550 nm across the pH range studied. (d) Absorption spectra of 7 as a function of solvents including DMSO, MeOH, and 1:9 DMSO:buffer (12.5 mM phosphate, pH 7.4) shows minimal solvent dependence. In DMSO absorption of tautomer is observed *ca.* 750 nm. (b) Absorption spectra of 8 (pH-insensitive) as a function of pH shows an overlay of absorption spectra across the pH range studied confirming the expected pH independence of 8. (e) Absorption spectra of 8 as a function of solvents shows minimal solvent dependence. (c) Absorption spectra of 9 (pH-insensitive) as a function of pH shows minimal pH dependency across the pH range studied. (f) Absorption spectra of 9 as a function of solvents shows solvent dependence compared to 7, due to stronger

hydrogen bonding between solvent and -NH_2 on **9** versus -OH on **7**. Inset figures are ambient light photographs of the compounds in solution. (g) Normalized excitation emission matrices (EEMs) as a function of pH for compounds **7**, **8**, and **9**. Fluorescence intensity of **7** reduced at pH 9 and diminishes completely at pH 12 due to twisting in the chromophore from the deprotonation of -OH ($\text{pK}_a \sim 8.4$). Fluorescence intensity of pH insensitive **8** remain consistent across pH range studied. Fluorescence intensity of **9** diminishes after pH 6 due to the equilibria formed between cationic **9** and deprotonated -NH_2 neutral **9** with twisted conformation similar to **7**.

Our calculations also show an increased charge separation in the neutral **7** and **9**, with dipole moment values 3-4 times higher compared to the cationic forms (Table 2). Molecular electrostatic potential surfaces calculations using the natural bound order (NBO) display a significant charge repulsion between the centroid of the benzoate ring and the heteroatom at the edge of the [a] annulated ring system (Figure 6). The result of the charge repulsion of the naphthyl moiety is an increase in the dihedral angle formed by C7-C10.

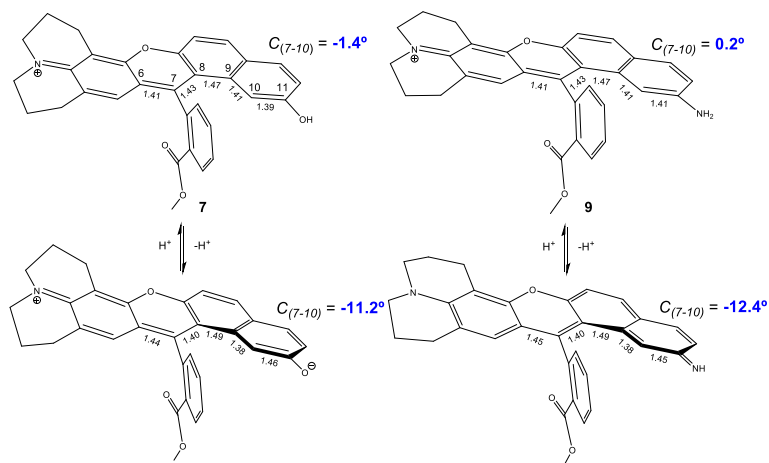


Figure 5. DFT-optimized geometries of the cationic (top) and the neutral forms (bottom) of compounds **7** (left) and **9** (right). The torsion in the naphthyl annulation region increases upon deprotonation of the hydroxyl and amino groups, respectively. The bond lengths in angstroms and dihedral angles (ϕ) for C_7-C_{10} of the extended benzoxanthene region are shown for clarity.

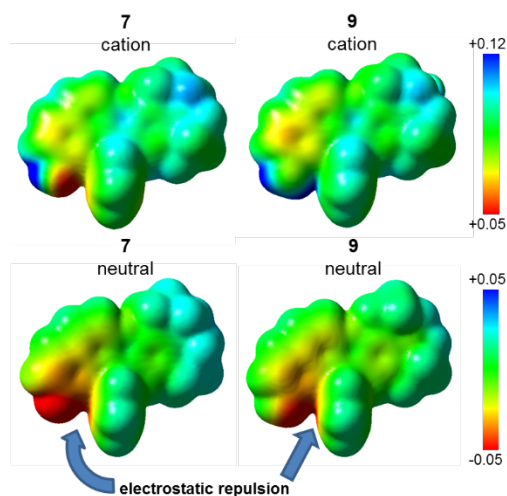


Figure 6. Calculated molecular electrostatic potential surfaces of DFT optimized geometries of the cationic and the neutral forms of compounds **7** and **9** in the ground-state.

***In vitro* studies.** To investigate their biocompatibility and utility in live cell imaging, compounds **1** through **9** were screened for cytotoxicity in Capan-1 cells (a human PDAC cell line) (Figure 7). Cell viability is reported as the half maximal inhibitory concentration (IC_{50}) for each compound. Interestingly, methoxy analogs (**2**, **5**, and **8**) exhibited higher cytotoxicity as compared to their corresponding hydroxyl analogs (**1**, **4**, and **7**) and amine analogs (**3**, **6**, and **9**). Moreover, alkylation at the C-10 nitrogen seemed to result in increased cytotoxicity, with compound **1** the least toxic (IC_{50} 30.96 μ M), followed by compound **4** (IC_{50} 26.30 μ M), and then **7** (IC_{50} 13.45 μ M) among three pH-dependent seminaphthorhodafluors. The same relationship was also observed for the other two sets of fluorophores, with IC_{50} value comparisons of **2**>**5**>**8** for pH-independent seminaphthorhodafluors, and **3**>**6**>**9** for the pH-independent seminaphthorhodamines. The evidence indicates that lipophilicity may play a role in the cytotoxicity of these compounds.

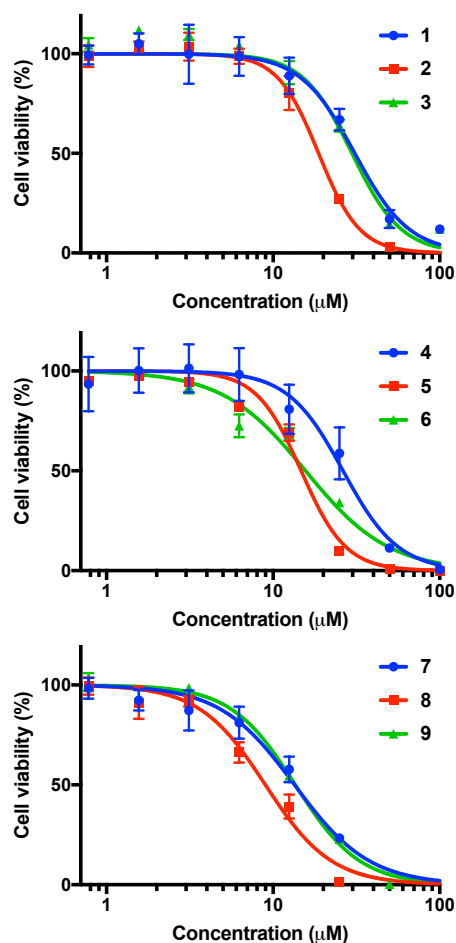


Figure 7. Cell viability of compounds **1-9**. Cytotoxicity was determined by Cell Titer-Blue assay. Capan-1 cells were incubated with decreasing concentrations from 100 μM of each compound in growth media. The half maximal inhibitory concentrations (IC_{50}) determined by interpolating values in the graph (% cell viability vs fluorophore concentration).

The subcellular localization (Figure 8) of compound **8** was investigated in Capan-1 cells. Organelle-specific fluorescent probes for the nucleus (DAPI), endoplasmic reticulum (ER), lysosomes (Lyso), and mitochondria (Mito) were used to assess the site-specific uptake. Compound **8** displays homogeneous distribution in the cytoplasm with

limited accumulation in the nucleus, endoplasmic reticulum, and mitochondria, showing utility as a cell membrane permeable fluorescent probe for live cell imaging.

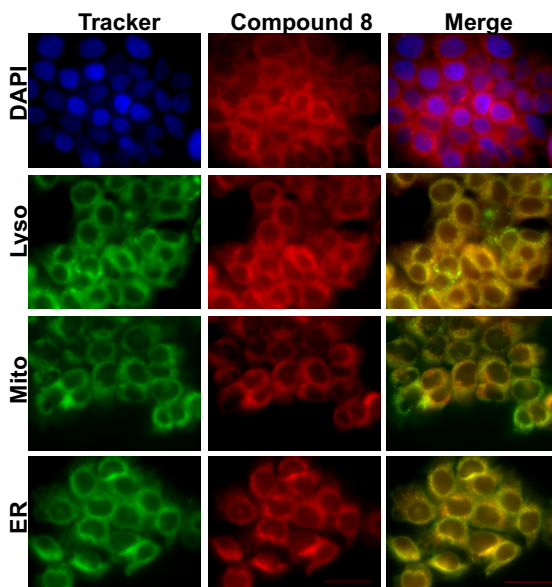


Figure 8. Subcellular localization of compound **8**. Images of subcellular organelles stained with commercial fluorescent trackers are labeled as DAPI (blue), Lyso (green), Mito (green), ER (green). Mid-column shows the images of cells stained with compound **8** (red). The merge column shows images of (top) colocalization of DAPI + **8**, followed successively below by, colocalization of Lyso + **8**, colocalization of Mito + **8**, and colocalization of ER + **8**.

Compound **8** was used in the staining of a human PDAC tissue specimen, purchased from Origene, Inc. The goal was to compare the staining pattern of **8** to that of compound **12** from the benzo[c]xanthene library (Chapter 2). The physicochemical properties of **8** are close to those of **12**, with the dipole moment of **8** an exception, nearly 2x that of **12** (Figure 9). Utilizing the area under the curve (AUC) as a measure of effective PDAC targeting, **8** displayed an AUC slightly < that of **12**, but still high (AUC > 0.5). This shows that maintaining physicochemical properties in the novel benzo[a]xanthene probes can preserve the inherent PDAC targeting properties.

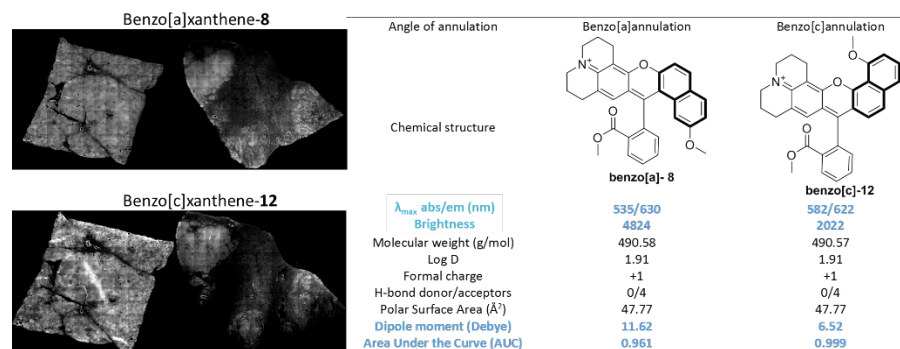


Figure 9. A direct comparison of the human PDAC tissue staining pattern of **8** (benzo[a]xanthene) and **12** (benzo[c]xanthene).

Since compound **8** exhibits a large Stokes shift, broad FWHM, appreciable brightness, and high stability across organic and aqueous buffer solutions, it was modified to produce the NHS activated probe **8**-NHS (Scheme 2). Compound **8**-NHS was conjugated to bovine alpha-tubulin mouse antibody as the primary and donkey anti-mouse antibody as the secondary antibody. The fluorophore to antibody-labeling conjugation ratio was 2.8:1, as determined by UV-Vis analysis. Immunoconjugates were used for indirect immunofluorescence staining in fixed U2OS cells at a 200 nM concentration. Figure 10 shows high contrast and detailed microtubular network visualization, demonstrating benzo[a]xanthene's utility for specific staining applications.

Scheme 2. Synthesis of **8-NHS**.

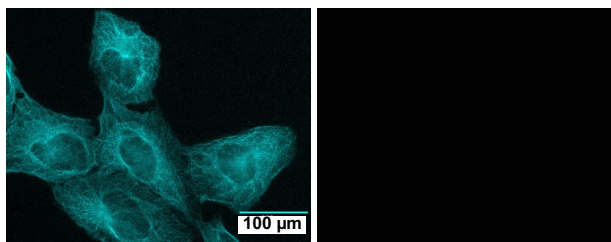
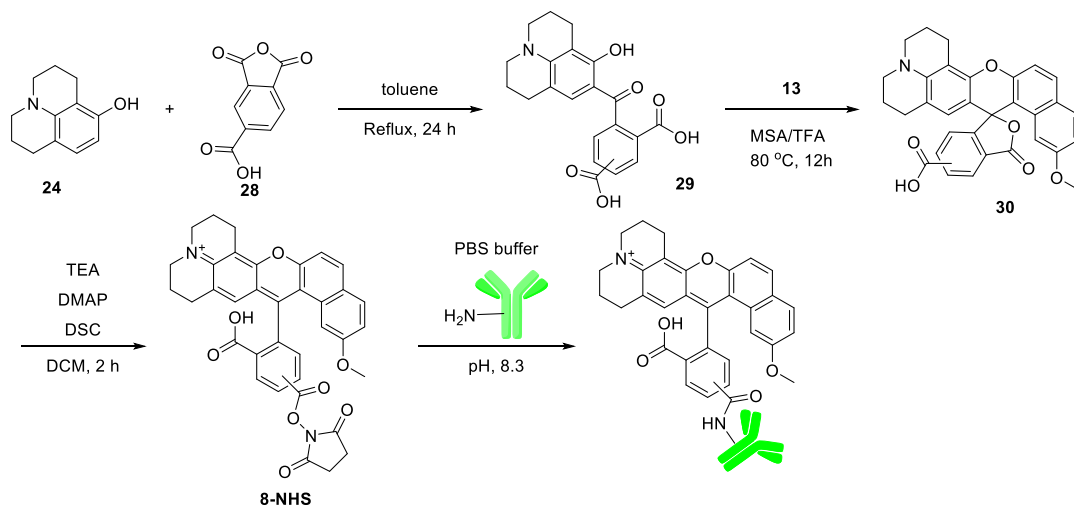


Figure 10. Immunofluorescence imaging of a microtubule network. Tubulin was labeled using indirect immunofluorescence with compound **8-NHS** in fixed U2OS cell at 200 nM concentration (left); negative control fluorescence image of fixed U2OS cells incubated with donkey, anti-mouse secondary antibody (pre-conjugated with compound **8-NHS**) only (right). Scale bar 100 μ m.

CONCLUSION

In summary, an efficient synthesis of red-to-NIR benzo[a]xanthene analogs has been developed. Spectral and physicochemical properties were tuned by varying the substituent at C-5 (-OH, -OMe or -NH₂). These changes afforded three types of fluorophores, based on their absorption spectra: (i) pH and solvent dependent

seminaphthorhodafluors, (ii) pH and solvent independent seminaphthorhodafluors and (iii) pH independent but solvent dependent seminaphthorhodamines. Fluorescence properties observed included far red-to-NIR emission, large Stokes shifts (103 nm at neutral pH), broad FWHM (182 nm in MeOH) and relatively high quantum yields (42% in MeOH). Minimal cytotoxicity and relatively bright fluorescence rendered the dyes suitable for live cell imaging, as well as site-specific immunofluorescence imaging applications. Changes to the C-5 substituent led to significant modulation of fluorescence emission as a function of ionization state. This was ascribed to deviations from planarity in the chromophore.

This effect was associated with brighter fluorescence observed at lower solution pH values, which is opposite the trend typically exhibited by other xanthene and benzoxanthene dyes. The type [a] annulated fluorophores thus add architectural diversity and complementary fluorescent properties to the common xanthene dyes. Since they are analogs of the type [c] benzoxanthenes that have previously exhibited inherent cancer tissue targeting,¹⁶ the type [a] fluorophores enabled PDAC imaging providing insights into how structural changes modulate not only the optical but also the targeting and biological properties of the benzoxanthenes.

METHODS

General. Unless otherwise indicated, all commercially available starting materials were used directly without further purification. Silica gel Sorbent Technologies 32-63 μm was used for flash column chromatography. ^1H -NMR was obtained on an ARX-400

Advance Bruker spectrometer. Chemical shifts (δ) are given in ppm relative to DMSO- d_6 (2.50 ppm, ^1H , 39.52 ^{13}C) unless otherwise indicated. MS (HRMS, ESI) spectra were obtained at the PSU Bioanalytical Mass Spectrometry Facility on a ThermoElectron LTQ-Orbitrap high resolution mass spectrometer with a dedicated Accela HPLC system, or an Agilent 6244 time-of-flight LCMS with diode array detector VL+.

Synthesis of 2-(4-amino-2-hydroxybenzoyl)benzoic acid (11). Rhodamine 110 hydrochloride (1 g, 2.725 mmol) is mixed with NaOH (1.875 g, 4.635 mol) and 1 mL of water. The mixture is stirred and heated in an oil bath at 160 °C for two hours, 3 mL of 50% NaOH are added in one portion and the mixture heated and stirred at 160 °C for an additional one hour. The mixture is allowed to cool down to rt and diluted with 50 mL of water. The mixture is acidified to pH 1 with concentrated HCl. The resulting mixture is extracted with ethyl ether (2 \times 50 mL), the organic extracts combined, dried over anhydrous Na_2SO_4 , filtered and the solvent evaporated under reduced pressure to leave a pale yellow solid. Yield 0.61 g, 87 %. ^1H NMR (400 MHz, DMSO- d_6) δ 13.01 (s, 1H), 12.60 (s, 1H), 7.95 (dd, J = 7.8, 1.2 Hz, 1H), 7.67 (td, J = 7.5, 1.4 Hz, 1H), 7.60 (td, J = 7.6, 1.4 Hz, 1H), 7.37 (dd, J = 7.6, 1.2 Hz, 1H), 6.71 (d, J = 8.5 Hz, 1H), 6.44 (s, 2H), 6.04 – 5.97 (m, 2H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 198.3, 166.9, 165.0, 156.8, 140.1, 134.5, 132.0, 129.9, 129.7, 129.3, 127.7, 109.8, 106.5, 106.2, 98.2, 48.6. HRMS (ESI-Orbitrap) m/z : $[\text{M} - \text{H}]^-$ Calcd for $\text{C}_{14}\text{H}_{10}\text{NO}_4$ 256.0615; Found 256.0627.

Synthesis of 2-(4-(dimethylamino)-2-hydroxybenzoyl)benzoic acid (20). Compound **18**, 3-dimethyl amino phenol (5 g, 36.44 mmol) and **19**, phthalic anhydride (5.39 g, 36.44

mmol) are dissolved in 30 mL of toluene and refluxed with an oil bath for 12 h. The solvent is evaporated under vacuum to leave a purple residue. The residue is dissolved in ethyl acetate and the mixture passed through a plug of silica gel using EtOAc:Hexanes 1:1, EtOAc:Hexanes 3:1, and EtOAc for elution. Yield 4.32 g, 42%. ^1H NMR (400 MHz, DMSO- d_6) δ 13.07 (s, 1H), 12.54 (s, 1H), 7.97 (dd, $J = 7.8, 1.2$ Hz, 1H), 7.69 (td, $J = 7.5, 1.4$ Hz, 1H), 7.61 (td, $J = 7.6, 1.4$ Hz, 1H), 7.38 (dd, $J = 7.6, 1.1$ Hz, 1H), 6.81 (d, $J = 9.1$ Hz, 1H), 6.21 (dd, $J = 9.2, 2.5$ Hz, 1H), 6.10 (d, $J = 2.5$ Hz, 1H), 3.00 (s, 6H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 198.7, 166.9, 164.3, 155.7, 140.0, 133.9, 132.1, 129.9, 129.7, 129.5, 127.6, 109.7, 104.3, 97.0, 39.6. HRMS (ESI-Orbitrap) m/z : $[\text{M} - \text{H}]^-$ Calcd for $\text{C}_{16}\text{H}_{14}\text{NO}_4$ 284.0928; Found 284.0938.

Synthesis of 2-(8-hydroxy-1,2,3,5,6,7-hexahydropyrido[3,2,1-ij]quinoline-9-carbonyl)benzoic acid (25). Phthalic anhydride (0.392 g 2.64 mmol) and 8-hydroxyjulolidine (0.5 g, 2.64 mmol) are dissolved in 12 mL of toluene. The mixture is refluxed in an oil bath for 24 h, then the solvent evaporated under vacuum to leave a deep red residue. The target compound is isolated as a pale yellow solid by flash column chromatography on silica gel using CH_2Cl_2 :MeOH 95:5 for elution. Yield: 622 mg, 70%. ^1H NMR (400 MHz, DMSO- d_6) δ 12.94 (s, 2H), 7.94 (dd, $J = 7.8, 1.3$ Hz, 1H), 7.66 (td, $J = 7.5, 1.4$ Hz, 1H), 7.58 (td, $J = 7.6, 1.4$ Hz, 1H), 7.33 (dd, $J = 7.5, 1.3$ Hz, 1H), 6.39 (s, 1H), 3.27 – 3.19 (m, 4H), 2.59 (t, $J = 6.4$ Hz, 2H), 2.40 (t, $J = 6.2$ Hz, 2H), 1.90 – 1.80 (m, 2H), 1.80 – 1.70 (m, 2H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 197.9, 167.0, 159.7, 148.8, 140.1, 131.7, 129.9, 129.8, 129.6, 129.2, 127.7, 112.4, 108.3, 104.6, 49.4, 48.9, 26.6,

21.1, 20.1, 19.5. HRMS (ESI-Orbitrap) m/z : $[M + H]^+$ Calcd for $C_{20}H_{20}NO_4$ 338.1387; found 338.1391; $[M + Na]^+$ Calcd for $C_{20}H_{19}NO_4Na$ 360.1206; Found 360.1210.

Synthesis of 7-methoxynaphthalen-2-ol (13). 2,7-naphthalenediol (1 g, 6.24 mmol, 1.0 equiv) was dissolved in dry THF (14 mL) and cooled to 0 °C. NaH (60% dispersion in mineral oil, 250 mg, 6.24 mmol, 1.0 equiv) was added portion-wise and the reaction contents were stirred at 0 °C for 10 min; Me_2SO_4 (886 μ L, 6.24 mmol, 1.0 equiv) was then added dropwise at 0 °C. The reaction contents were stirred for 14 h with slow warming from 0 °C to 25 °C. Upon completion, the contents were quenched by the addition of saturated NH_4Cl (50 mL). They were then poured into water (50 mL) and extracted with EtOAc (3×50 mL). The combined organic extracts were washed with water (50 mL) and brine (50 mL), dried ($MgSO_4$), and concentrated. The resultant crude grey solid was purified by flash column chromatography (silica gel, hexanes/Et₂O, 2/1). monomethylated product (334 mg, 30%) as a purple solid. $R_f = 0.32$ (silica gel, hexanes/EtOAc, 2/1); 1H NMR (400 MHz, DMSO- d_6) δ 9.63 (s, 1H), 7.64 (dd, $J = 8.7, 2.7$ Hz, 2H), 7.07 (d, $J = 2.6$ Hz, 1H), 7.03 (d, $J = 2.4$ Hz, 1H), 6.94 – 6.85 (m, 2H), 3.83 (s, 3H). $^{13}C\{^1H\}$ NMR (101 MHz, DMSO- d_6) δ 157.6, 155.8, 136.0, 129.0, 129.0, 123.1, 115.9, 115.1, 108.1, 104.5, 55.0. HRMS (ESI-TOF) m/z : $[M + H]^+$ Calcd for $C_{11}H_{11}O_2$ 175.0754; Found 175.0731.

Synthesis of 7-aminonaphthalen-2-ol (14).¹⁷ 2,7-dihydroxy naphthalene (5 g, 31.22 mmol), formamide (1.37 mL, 34.34 mmol), and sodium sulfite (8.26 g, 65.56 mmol) are suspended in 50 mL of DI water. The reaction mixture is refluxed in an oil bath overnight. The solid formed is collected by vacuum filtration and washed with 100 mL DI water. The

collected solid is dissolved in 100 mL of diethyl ether, and washed with 100 mL of 6 N HCl. The aqueous layer is basified to pH 13 with 50% NaOH solution, and washed with 100 mL of diethyl ether. The aqueous layer is then neutralized to pH 7 with 2N HCl solution, and extracted with ethyl acetate (3 x 100 mL). The organic extract is combined, dried over anhydrous Na₂SO₄, and the solvent evaporated under vacuum. Yield: 3.02 g, 61%. ¹H NMR (400 MHz, DMSO-*d*₆) δ 9.30 (s, 1H), 7.44 (d, *J* = 8.8 Hz, 1H), 7.42 (d, *J* = 8.8 Hz, 1H), 6.72 (d, *J* = 2.3 Hz, 1H), 6.67 (dd, *J* = 8.9, 2.1 Hz, 1H), 6.65 (dd, *J* = 9.0, 2.2 Hz, 1H), 6.59 (d, *J* = 2.1 Hz, 1H), 5.20 (s, 2H). ¹³C{¹H} NMR (101 MHz, DMSO-*d*₆) δ 155.4, 146.8, 136.6, 128.9, 128.3, 121.2, 115.2, 113.4, 106.3, 104.6. HRMS (ESI-Orbitrap) *m/z*: [M + H]⁺ Calcd for C₁₀H₁₀NO 160.0757; Found 160.0755.

General condensation procedure. Hydroxybenzophenone (0.918 mol) and 1,8-naphthalene derivative (1.380 mol) are dissolved in 1.5 mL of methanesulfonic acid, then 1.5 mL of TFA are added. The mixture is heated and stirred in an oil bath at 80 °C for 16-24 h. The reaction mixture is allowed to warm to rt, then poured into 50 mL of DI water. If no precipitate is formed, the mixture is neutralized to pH 6-7 by portion wise addition of solid NaHCO₃. The resulting precipitate is filtered, washed with DI water and air dried. The target compound isolated by flash column chromatography on silica gel, preparative TLC or medium pressure reversed chromatography as required.

General esterification procedure. Under Ar atm, compound (0.131 mmol) is dissolved in 25 mL of anhydrous MeOH. The solution is cooled to 0 °C in an ice bath. Acetyl chloride (750 μL) is added dropwise. The mixture is stirred and kept at 50 °C for 48 h. Then acetyl

chloride (300 μ L) is added dropwise and the mixture kept at 50 $^{\circ}$ C for additional 24 h. The mixture is allowed to cool down to rt and the solvent is evaporated under vacuum.

Synthesis of 9-amino-2-hydroxy-3'-H-spiro[benzo[a]xanthene-12,1'-isobenzofuran]-3'-one (15). Following general condensation method, compound **11** (100 mg, 0.389 mmol) and **12** (62.3 mg, 0.389 mmol) were condensed to provide compound **15**, yield 93 mg, 63%. ^1H NMR (400 MHz, DMSO- d_6) δ 9.75 (s, 1H), 8.13 – 8.06 (m, 1H), 7.94 (d, J = 8.9 Hz, 1H), 7.75 (d, J = 8.7 Hz, 1H), 7.72 – 7.63 (m, 2H), 7.26 (d, J = 8.9 Hz, 1H), 7.16 – 7.10 (m, 1H), 6.90 (dd, J = 8.7, 2.3 Hz, 1H), 6.42 (d, J = 2.2 Hz, 1H), 6.34 (dd, J = 8.7, 2.3 Hz, 1H), 6.25 (d, J = 8.7 Hz, 1H), 6.22 (d, J = 2.2 Hz, 1H), 5.62 (s, 2H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 169.4, 156.4, 154.6, 150.9, 150.7, 149.8, 135.7, 132.8, 132.5, 130.9, 129.7, 127.7, 126.4, 125.3, 125.0, 123.2, 116.1, 114.6, 111.7, 106.6, 106.5, 106.4, 98.4, 83.9. HRMS (ESI-Orbitrap) m/z : $[\text{M} + \text{H}]^+$ Calcd for $\text{C}_{24}\text{H}_{16}\text{NO}_4$ 382.1074; Found 382.1068.

Synthesis of 9-amino-2-methoxy-3'-H-spiro[benzo[a]xanthene-12,1'-isobenzofuran]-3'-one (16). Following general condensation method, compound **11** (100 mg, 0.389 mmol) and **13** (67.7 mg, 0.389 mmol) were condensed to provide compound **16**, yield 93 mg, 61%. ^1H NMR (400 MHz, DMSO- d_6) δ 8.20 – 8.10 (m, 1H), 8.03 (d, J = 8.9 Hz, 1H), 7.86 (d, J = 8.9 Hz, 1H), 7.77 – 7.70 (m, 2H), 7.37 (d, J = 8.9 Hz, 1H), 7.27 – 7.20 (m, 1H), 7.00 (dd, J = 8.9, 2.4 Hz, 1H), 6.45 (d, J = 2.1 Hz, 1H), 6.37 (dd, J = 8.7, 2.1 Hz, 1H), 6.33 (d, J = 8.7 Hz, 1H), 6.27 (d, J = 2.4 Hz, 1H), 5.67 (s, 2H), 3.26 (s, 3H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 169.4, 157.8, 154.8, 151.1, 150.9, 149.9, 136.1, 132.5, 132.2, 131.0, 130.0,

127.9, 126.6, 125.9, 124.9, 123.7, 115.9, 115.8, 111.8, 107.2, 105.9, 103.1, 98.5, 84.2, 54.5.

HRMS (ESI-Orbitrap) m/z : $[M + H]^+$ Calcd for $C_{25}H_{18}NO_4$ 396.1230; Found 396.1228.

Synthesis of 9-(dimethylamino)-2-hydroxy-3'*H*-spiro[benzo[a]xanthene-12,1'-isobenzofuran]-3'-one (21). Following general condensation method, compound **20** (100 mg, 0.350 mmol) and **12** (56.1 mg, 0.350 mmol) were condensed to provide compound **21**, yield 67 mg, 45%. 1H NMR (400 MHz, DMSO- d_6) δ 9.76 (s, 1H), 8.14 – 8.09 (m, 1H), 7.96 (d, J = 8.7 Hz, 1H), 7.76 (d, J = 8.8 Hz, 1H), 7.73 – 7.64 (m, 2H), 7.27 (d, J = 8.9 Hz, 1H), 7.16 – 7.10 (m, 1H), 6.91 (dd, J = 8.8, 2.2 Hz, 1H), 6.56 – 6.50 (m, 2H), 6.39 (d, J = 8.8 Hz, 1H), 6.25 (d, J = 2.2 Hz, 1H), 2.93 (s, 6H). $^{13}C\{^1H\}$ NMR (101 MHz, DMSO- d_6) δ 169.4, 156.39, 154.6, 151.4, 150.9, 149.7, 135.7, 132.7, 132.6, 130.9, 129.7, 127.5, 126.3, 125.3, 125.1, 123.2, 116.1, 114.5, 109.8, 106.71, 106.6, 106.4, 97.4, 83.6, 79.2. HRMS (ESI-Orbitrap) m/z : $[M + H]^+$ Calcd for $C_{26}H_{20}NO_4$ 410.1387; Found 410.1386.

Synthesis of 9-(dimethylamino)-2-methoxy-3'*H*-spiro[benzo[a]xanthene-12,1'-isobenzofuran]-3'-one (22). Following general condensation method, compound **20** (100 mg, 0.350 mmol) and **13** (61.0 mg, 0.350 mmol) were condensed to provide compound **22**, yield 104 mg, 70%. 1H NMR (400 MHz, DMSO- d_6) δ 8.21 – 8.13 (m, 1H), 8.05 (d, J = 8.7 Hz, 1H), 7.87 (d, J = 8.9 Hz, 1H), 7.76 – 7.71 (m, 2H), 7.38 (d, J = 8.9 Hz, 1H), 7.26 – 7.20 (m, 1H), 7.01 (dd, J = 8.9, 2.4 Hz, 1H), 6.58 – 6.53 (m, 2H), 6.47 (d, J = 8.2 Hz, 1H), 6.29 (d, J = 2.4 Hz, 1H), 3.28 (s, 3H), 2.94 (s, 6H). $^{13}C\{^1H\}$ NMR (101 MHz, DMSO- d_6) δ 169.4, 157.9, 154.7, 151.6, 151.2, 149.8, 136.2, 132.6, 132.2, 131.0, 130.1, 127.8, 126.5,

125.9, 124.9, 123.7, 115.9, 115.7, 109.9, 107.2, 106.2, 103.1, 97.4, 83.9, 54.49, 39.8.

HRMS (ESI-Orbitrap) m/z : $[M + H]^+$ Calcd for $C_{27}H_{22}NO_4$ 424.1543; Found 424.1542.

Synthesis of 11-hydroxy-1,2,3,5,6,7-hexahydro-3'*H*-spiro[benzo[5,6]chromeno[2,3-*f*]pyrido[3,2,1-*ij*]quinoline-9,1'-isobenzofuran]-3'-one (26). Following general condensation method, compound **25** (100 mg, 0.296 mmol) and **12** (47.5 mg, 0.296 mmol) were condensed to provide compound **26**, yield 39 mg, 29%. 1H NMR (400 MHz, DMSO- d_6) δ 9.71 (s, 1H), 8.11 – 8.06 (m, 1H), 7.93 (d, J = 8.6 Hz, 1H), 7.74 (d, J = 8.8 Hz, 1H), 7.71 – 7.61 (m, 2H), 7.28 (d, J = 8.9 Hz, 1H), 7.14 – 7.08 (m, 1H), 6.90 (dd, J = 8.7, 2.2 Hz, 1H), 6.20 (d, J = 2.2 Hz, 1H), 5.91 (s, 1H), 3.16 (t, J = 5.6 Hz, 2H), 3.10 (ddd, J = 7.2, 4.7, 3.0 Hz, 2H), 2.89 (t, J = 6.6 Hz, 2H), 2.58 – 2.34 (m, 2H), 1.99 – 1.88 (m, 2H), 1.82 – 1.71 (m, 2H). $^{13}C\{^1H\}$ NMR (101 MHz, DMSO- d_6) δ 169.4, 156.3, 154.6, 150.9, 145.1, 143.7, 135.6, 132.7, 132.4, 130.9, 129.7, 126.4, 125.3, 125.0, 123.3, 123.2, 117.9, 116.0, 114.8, 106.7, 106.3, 105.8, 105.8, 84.3, 49.0, 48.6, 26.9, 21.2, 20.6, 20.5. HRMS (ESI-Orbitrap) m/z : $[M + H]^+$ Calcd for $C_{30}H_{24}NO_4$ 462.1700; Found 462.1702.

Synthesis of 11-methoxy-1,2,3,5,6,7-hexahydro-3'*H*-spiro[benzo[5,6]chromeno[2,3-*f*]pyrido[3,2,1-*ij*]quinoline-9,1'-isobenzofuran]-3'-one (27). Following general condensation method, compound **25** (100 mg, 0.296 mmol) and **13** (51.6 mg, 0.296 mmol) were condensed to provide compound **27**, yield 45 mg, 32%. 1H NMR (400 MHz, DMSO- d_6) δ 8.19 – 8.11 (m, 1H), 8.02 (d, J = 8.9 Hz, 1H), 7.85 (d, J = 8.9 Hz, 1H), 7.76 – 7.69 (m, 2H), 7.39 (d, J = 8.9 Hz, 1H), 7.25 – 7.18 (m, 1H), 6.99 (dd, J = 8.8, 2.4 Hz, 1H), 6.26 (d, J = 2.4 Hz, 1H), 5.99 (s, 1H), 3.27 (s, 3H), 3.17 (t, J = 5.6 Hz, 2H), 3.12 (ddd, 2H), 2.90

(t, $J = 6.5$ Hz, 2H), 2.59 – 2.36 (m, 2H), 2.01 – 1.90 (m, 2H), 1.83 – 1.70 (m, 2H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 169.4, 157.8, 154.7, 151.1, 145.2, 143.8, 136.1, 132.4, 132.2, 131.0, 130.0, 126.6, 125.8, 124.9, 123.7, 123.5, 118.1, 115.9, 115.8, 106.9, 105.9, 105.3, 103.1, 84.6, 54.5, 49.0, 48.6, 26.9, 21.2, 20.5, 20.5. HRMS (ESI-Orbitrap) m/z : $[\text{M} + \text{H}]^+$ Calcd for $\text{C}_{31}\text{H}_{26}\text{NO}_4$ 476.1856; Found 476.1845.

Synthesis of 2-hydroxy-12-(2-(methoxycarbonyl)phenyl)-9H-benzo[a]xanthen-9-iminium (1). Compound **1** was prepared following general esterification method, yield 92%. ^1H NMR (400 MHz, DMSO- d_6) δ 10.38 (s, 1H), 9.11 (d, $J = 73.7$ Hz, 2H), 8.55 – 8.47 (m, 1H), 8.40 (dd, $J = 7.2, 2.0$ Hz, 1H), 8.02 (d, $J = 8.8$ Hz, 1H), 8.00 – 7.92 (m, 2H), 7.81 (d, $J = 8.9$ Hz, 1H), 7.48 (dd, $J = 6.9, 2.0$ Hz, 1H), 7.23 (dd, $J = 8.7, 2.0$ Hz, 1H), 7.14 (dd, $J = 9.5, 2.0$ Hz, 1H), 7.07 (d, $J = 2.0$ Hz, 1H), 7.04 (d, $J = 9.4$ Hz, 1H), 6.31 (d, $J = 2.2$ Hz, 1H), 3.56 (s, 3H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 165.1, 161.5, 160.7, 158.9, 157.6, 156.7, 141.0, 137.1, 134.6, 132.4, 131.8, 131.7, 131.6, 130.8, 128.9, 128.4, 125.2, 121.2, 117.9, 117.9, 114.8, 113.8, 109.9, 96.0, 52.5. HRMS (ESI-Orbitrap) m/z : $[\text{M}]^+$ Calcd for $\text{C}_{25}\text{H}_{18}\text{NO}_4$ 396.1230; Found 396.1229.

Synthesis of 2-methoxy-12-(2-(methoxycarbonyl)phenyl)-9H-benzo[a]xanthen-9-iminium (2). Compound **2** was prepared following general esterification method, yield 90%. ^1H NMR (400 MHz, DMSO- d_6) δ 9.39 (s, 1H), 9.18 (s, 1H), 8.59 (d, $J = 9.0$ Hz, 1H), 8.42 (dd, $J = 7.9, 1.3$ Hz, 1H), 8.13 (d, $J = 8.9$ Hz, 1H), 8.05 (td, $J = 7.6, 1.3$ Hz, 1H), 7.94 (dd, $J = 8.3, 6.7$ Hz, 2H), 7.58 (dd, $J = 7.6, 1.2$ Hz, 1H), 7.28 (dd, $J = 8.8, 2.4$ Hz, 1H), 7.17 (dd, $J = 9.5, 2.1$ Hz, 1H), 7.13 – 7.06 (m, 2H), 6.61 (d, $J = 2.4$ Hz, 1H), 3.56 (s, 3H), 3.26

(s, 3H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 165.5, 162.3, 160.5, 160.2, 158.2, 156.8, 140.8, 137.7, 135.3, 132.8, 132.4, 132.1, 131.6, 131.4, 129.7, 129.0, 126.6, 122.0, 118.9, 118.3, 115.5, 115.4, 106.7, 96.6, 55.2, 53.1. HRMS (ESI-Orbitrap) m/z : $[\text{M}]^+$ Calcd for $\text{C}_{26}\text{H}_{20}\text{NO}_4$ 410.1387; Found 410.1395.

Synthesis of *N*-(2-hydroxy-12-(2-(methoxycarbonyl)phenyl)-9*H*-benzo[*a*]xanthen-9-ylidene)-*N*-methylmethanaminium (4). Compound **4** was prepared following general esterification method, yield 85%. ^1H NMR (400 MHz, DMSO- d_6) δ 10.45 (s, 1H), 8.54 (d, J = 8.9 Hz, 1H), 8.45 – 8.35 (m, 1H), 8.04 (d, J = 8.8 Hz, 1H), 7.98 (td, J = 7.2, 1.6 Hz, 2H), 7.78 (d, J = 8.9 Hz, 1H), 7.54 – 7.47 (m, 1H), 7.39 (dd, J = 9.8, 2.5 Hz, 1H), 7.27 (d, J = 2.5 Hz, 1H), 7.26 (dd, J = 9.2, 2.4 Hz, 1H), 7.01 (d, J = 9.8 Hz, 1H), 6.36 (d, J = 2.1 Hz, 1H), 3.56 (s, 3H), 3.39 (s, 6H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 165.2, 160.6, 159.0, 158.1, 157.0, 156.8, 141.3, 137.1, 134.6, 132.5, 131.7, 131.6, 130.9, 130.4, 128.9, 128.4, 125.1, 118.9, 118.0, 117.6, 115.1, 113.6, 110.0, 95.6, 52.6, 41.4, 40.9. HRMS (ESI-Orbitrap) m/z : $[\text{M}]^+$ Calcd for $\text{C}_{27}\text{H}_{22}\text{NO}_4$ 424.1543; Found 424.1547.

Synthesis of *N*-(2-methoxy-12-(2-(methoxycarbonyl)phenyl)-9*H*-benzo[*a*]xanthen-9-ylidene)-*N*-methylmethanaminium (5). Compound **5** was prepared following general esterification method, yield 95%. ^1H NMR (400 MHz, DMSO- d_6) δ 8.61 (d, J = 9.0 Hz, 1H), 8.44 (dd, J = 8.0, 1.2 Hz, 1H), 8.15 (d, J = 8.9 Hz, 1H), 8.06 (td, J = 7.6, 1.3 Hz, 1H), 7.95 (td, J = 7.7, 1.3 Hz, 1H), 7.90 (d, J = 9.0 Hz, 1H), 7.61 (dd, J = 7.8, 1.2 Hz, 1H), 7.43 (dd, J = 9.8, 2.5 Hz, 1H), 7.31 (d, 2.4 Hz, 1H), 7.30 (dd, J = 8.8, 2.4 Hz, 1H), 7.05 (d, J = 9.9 Hz, 1H), 6.64 (d, J = 2.4 Hz, 1H), 3.56 (s, 3H), 3.41 (d, J = 11.3 Hz, 6H), 3.27 (s, 3H).

$^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 165.1, 160.0, 159.8, 158.4, 156.9, 156.6, 140.6, 137.2, 134.9, 132.4, 131.6, 131.1, 131.0, 130.6, 129.2, 128.6, 126.1, 119.3, 118.2, 117.9, 115.2, 114.9, 106.3, 95.7, 54.7, 52.7, 41.5, 41.0. HRMS (ESI-Orbitrap) m/z : $[\text{M}]^+$ Calcd for $\text{C}_{28}\text{H}_{24}\text{NO}_4$ 438.1700; Found 438.1705.

Synthesis of 11-hydroxy-9-(2-(methoxycarbonyl)phenyl)-1,2,3,5,6,7-hexahydrobenzo[5,6]chromeno[2,3-*f*]pyrido[3,2,1-*ij*]quinolin-4-ium (7). Compound 7 was prepared following a general esterification method, yield 71 %. ^1H NMR (400 MHz, DMSO- d_6) δ 10.24 (s, 1H), 8.42 (d, J = 8.7 Hz, 1H), 8.40 – 8.34 (m, 1H), 7.98 (d, J = 5.9 Hz, 1H), 7.97 – 7.91 (m, 2H), 7.80 (d, J = 8.9 Hz, 1H), 7.49 – 7.44 (m, 1H), 7.19 (dd, J = 8.7, 2.3 Hz, 1H), 6.62 (s, 1H), 6.23 (d, J = 2.2 Hz, 1H), 3.67 (t, J = 5.7 Hz, 2H), 3.62 (t, J = 5.9 Hz, 2H), 3.55 (s, 3H), 3.09 (t, J = 6.0 Hz, 2H), 2.70 (t, J = 6.5 Hz, 2H), 2.14 – 2.00 (m, 2H), 1.93 – 1.81 (m, 2H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 165.1, 158.4, 157.0, 155.7, 153.1, 151.4, 139.4, 137.3, 134.5, 132.2, 131.5, 130.7, 129.3, 128.7, 128.4, 125.3, 125.2, 117.8, 117.7, 114.4, 113.8, 109.6, 104.1, 52.4, 51.1, 50.7, 27.0, 19.7, 18.9, 18.7. HRMS (ESI-Orbitrap) m/z : $[\text{M}]^+$ Calcd for $\text{C}_{31}\text{H}_{26}\text{NO}_4$ 476.1856; Found 476.1846.

Synthesis of 11-methoxy-9-(2-(methoxycarbonyl)phenyl)-1,2,3,5,6,7-hexahydrobenzo[5,6]chromeno[2,3-*f*]pyrido[3,2,1-*ij*]quinolin-4-ium (8). Compound 8 was prepared following general esterification method, yield 81%. ^1H NMR (400 MHz, DMSO- d_6) δ 8.50 (d, J = 8.9 Hz, 1H), 8.41 (dd, J = 7.9, 1.0 Hz, 1H), 8.09 (d, J = 8.9 Hz, 1H), 8.06 – 8.00 (m, 1H), 7.96 – 7.88 (m, 1H), 7.92 (d, J = 8.9 Hz, 1H), 7.57 (dd, J = 7.6, 0.9 Hz, 1H), 7.24 (dd, J = 8.9, 2.4 Hz, 1H), 6.66 (s, 1H), 6.55 (d, J = 2.3 Hz, 1H), 3.69 (s,

2H), 3.64 (s, 2H), 3.55 (s, 3H), 3.24 (s, 3H), 3.10 (d, $J = 5.9$ Hz, 2H), 2.71 (s, 2H), 2.14 – 2.01 (m, 2H), 1.96 – 1.82 (m, 2H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 165.0, 159.4, 156.3, 155.4, 153.4, 151.4, 138.9, 137.5, 134.7, 132.1, 131.6, 131.0, 130.8, 129.6, 129.06, 128.7, 126.1, 125.4, 118.3, 117.6, 115.1, 114.6, 105.9, 104.3, 54.7, 52.6, 51.3, 50.8, 27.0, 19.7, 18.9, 18.7. HRMS (ESI-Orbitrap) m/z : $[\text{M}]^+$ Calcd for $\text{C}_{32}\text{H}_{28}\text{NO}_4$ 490.2013; Found 490.2005.

Compounds **3**, **6**, and **9** were synthesized according to a previous published protocol.¹⁸

Synthesis of isomers 4-(8-hydroxy-2,3,6,7-tetrahydro-1*H*,5*H*-pyrido[3,2,1-*ij*]quinoline-9-carbonyl)isophthalic acid and 2-(8-hydroxy-2,3,6,7-tetrahydro-1*H*,5*H*-pyrido[3,2,1-*ij*]quinoline-9-carbonyl)terephthalic acid (29). 8-hydroxyjulolidine (3.00 g, 15.85 mmol) was dissolved in toluene 45 mL, heated to 60 °C with an oil bath, and pounded trimellitic anhydride (3.65 g, 19.02 mmol) was added with magnetic stirring. The mixture was refluxed for 24 h and cooled. The residue was filtered off, washed with toluene (3×7.5 mL), dissolved in MeOH (45 mL) refluxed for 10 minutes. Then acetic acid (15 mL) was added and the mixture was evaporated to dryness. Crystalline solid was refluxed with MeOH (30 mL) for 2 h and kept at 4 °C overnight. The crystals formed were filtered off and washed with MeOH (7.5 mL) to give the product as a yellow crystalline solid (1.90 g, 20 % yield). ^1H NMR (400 MHz, DMSO- d_6) δ 13.40 (s, 4H), 12.83 (d, $J = 13.0, 2.8$ Hz, 2H), 8.47 (s, 1H), 8.19 (d, $J = 8.1$ Hz, 1H), 8.15 – 8.09 (dd, 1H), 8.04 (dd, $J = 8.7, 2.8$ Hz, 1H), 7.79 (s, 1H), 7.48 (dd, $J = 8.2, 2.9$ Hz, 1H), 6.40 (dd, $J = 12.8, 2.7$ Hz, 2H), 3.34 (s, 4H), 3.18 (s, 2H), 2.59 (m, $J = 6.8$ Hz, 5H), 2.46 – 2.38 (m, 5H), 1.86 (s, 4H), 1.77 (s, 4H).

$^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, DMSO- d_6) δ 197.3, 197.1, 167.0, 166.7, 166.6, 166.6, 160.3, 160.2, 149.5, 149.5, 144.3, 140.6, 134.2, 133.9, 133.0, 131.9, 131.2, 130.8, 130.6, 130.4, 130.0, 130.0, 128.8, 128.7, 113.2, 108.6, 108.6, 105.1, 105.1, 49.9, 49.4, 49.1, 27.1, 21.5, 20.5, 20.0. HRMS (ESI-TOF) m/z : $[\text{M} + \text{H}]^+$ Calcd for $\text{C}_{21}\text{H}_{20}\text{NO}_6$ 382.1285; Found 382.1286 and 382.1289.

Synthesis of isomers 11-methoxy-3'-oxo-2,3,6,7-tetrahydro-1*H*,3'*H*,5*H*-spiro[benzo[5,6]chromeno[2,3-*f*]pyrido[3,2,1-*ij*]quinoline-9,1'-isobenzofuran]-5'-carboxylic acid and 11-methoxy-3'-oxo-2,3,6,7-tetrahydro-1*H*,3'*H*,5*H*-spiro[benzo[5,6]chromeno[2,3-*f*]pyrido[3,2,1-*ij*]quinoline-9,1'-isobenzofuran]-6'-carboxylic acid (30). The direct condensation with 1:1 MSA/TFA gave 60 % yield of 1:1 5' and 6' carboxy isomers. Started with 100 mg of the 8-hydroxybenzophenone resulted in 60 mg of the dye. R_f 0.35 (9:1:0.5 MeOH/DCM/HCOOH). ^1H NMR (400 MHz, Methanol- d_4) δ 8.77 (s, 1H), 8.19 (d, J = 6.9 Hz, 5H), 8.04 (dd, J = 9.0, 5.0 Hz, 2H), 7.72 (d, J = 8.9 Hz, 2H), 7.65 (s, 1H), 7.46 (dd, J = 8.9, 7.4 Hz, 2H), 7.11 (d, J = 7.9 Hz, 1H), 6.94 (ddd, J = 8.9, 2.4, 0.9 Hz, 2H), 6.65 (d, J = 2.4 Hz, 1H), 6.57 (d, J = 2.3 Hz, 1H), 6.49 (s, 1H), 6.43 (s, 1H), 3.36 (dq, J = 18.3, 6.6 Hz, 8H), 3.16 (d, J = 6.9 Hz, 6H), 2.93 (m, J = 6.0 Hz, 4H), 2.53 (ddt, J = 16.0, 10.2, 6.0 Hz, 4H), 1.98 (q, J = 6.0 Hz, 4H), 1.82 (q, J = 6.2 Hz, 4H). $^{13}\text{C}\{^1\text{H}\}$ NMR (101 MHz, MeOD) δ 166.4, 159.4, 159.4, 133.8, 132.1, 130.9, 125.6, 125.4, 117.3, 114.7, 114.6, 105.6, 105.3, 104.9, 78.2, 77.9, 77.6, 54.2, 50.6, 50.5, 50.2, 50.1, 27.2, 20.5, 20.5, 19.6, 19.5. HRMS (ESI-TOF) m/z : $[\text{M} + \text{H}]^+$ Calcd for $\text{C}_{32}\text{H}_{26}\text{NO}_6$ 520.1755; Found 520.1747 and 520.1771.

Synthesis of isomers 9-(2-carboxy-4-(((2,5-dioxopyrrolidin-1-yl)oxy)carbonyl)phenyl)-11-methoxy-1,2,3,5,6,7-hexahydrobenzo[5,6]chromeno[2,3-f]pyrido[3,2,1-*ij*]quinolin-4-ium and 9-(2-carboxy-5-(((2,5-dioxopyrrolidin-1-yl)oxy)carbonyl)phenyl)-11-methoxy-1,2,3,5,6,7-hexahydrobenzo[5,6]chromeno[2,3-f]pyrido[3,2,1-*ij*]quinolin-4-ium (8-NHS). Compound **30** (68 mg, 0.13 mmol), DMAP (79.95 mg, 0.65 mmol) and TEA (0.091 mL, 0.65 mmol) were dissolved in dry DCM (5 mL). DSC (67.06 mg, 0.26 mmol) was added and the reaction stirred for 2 h. The reaction was quenched with AcOH (0.075 mL, 1.31 mmol) and the solution was directly loaded onto an equilibrated flash chromatography column and eluted with 0.5% HCOOH in CHCl₃/MeOH 98/2 to afford the pure compound (54 mg, 80%). R_f 0.22 (98:2:0.5 MeOH/DCM/HCOOH). ¹H NMR (400 MHz, DMSO-*d*₆) δ 8.58 (dd, *J* = 1.5, 0.7 Hz, 1H), 8.30 – 8.25 (m, 2H), 8.22 (s, 2H), 8.11 – 8.04 (m, 2H), 7.89 (dd, *J* = 9.0, 1.1 Hz, 2H), 7.59 (t, *J* = 1.0 Hz, 1H), 7.43 (d, *J* = 8.9 Hz, 2H), 7.35 (dd, *J* = 8.0, 0.7 Hz, 2H), 7.04 (dt, *J* = 8.9, 2.5 Hz, 2H), 6.27 (dd, *J* = 6.0, 2.4 Hz, 2H), 6.07 (d, *J* = 8.3 Hz, 2H), 3.32 (d, *J* = 5.9 Hz, 4H), 3.20 (t, *J* = 5.6 Hz, 4H), 3.17 – 3.09 (m, 4H), 2.97 – 2.89 (m, 4H), 2.63 (s, 8H), 2.03 – 1.94 (m, 4H), 1.81 (dd, *J* = 11.3, 5.7 Hz, 4H), 1.18 (s, 6H). ¹³C{¹H} NMR (101 MHz, DMSO-*d*₆) δ 208.8, 174.4, 173.5, 173.3, 169.3, 166.7, 166.6, 163.7, 158.4 158.3, 155.4, 155.3, 151.6, 151.5, 145.6, 145.6, 144.4, 144.4, 137.1, 134.2, 133.0, 133.0, 132.5, 132.5, 131.5, 131.3, 127.5, 126.3, 125.9, 125.7, 124.2, 124.0, 123.9, 118.8, 118.7, 116.4, 116.4, 116.2, 106.9, 106.9, 106.4, 106.4, 106.3, 105.1, 105.0, 103.5, 103.5, 85.4, 69.0, 56.3, 55.0, 54.9, 49.5, 49.0, 40.6, 40.4, 40.2, 40.0, 39.8, 39.5, 39.3, 32.6, 30.2, 30.1, 29.5, 27.3,

25.7, 21.6, 21.0. HRMS (ESI-TOF) m/z : $[M + H]^+$ Calcd for $C_{36}H_{29}N_2O_8$ 617.1918; Found 617.1922 and 617.1914.

UV–Vis Absorption and Fluorescence Spectroscopy. UV–vis spectra were collected using a Cary 50 UV–vis spectrophotometer at rt using a reduced- volume 1 cm quartz cuvette. Fluorescence spectra were collected on a Cary Eclipse fluorescence spectrophotometer (Agilent Technologies). All absorption spectra were reference corrected. Fluorescence spectra were corrected for the wavelength-dependent response of the R928 photomultiplier tube using a manufacturer-generated correction file. Quantum yields were reported as the average of multiple measurements using multiple references. EEMs were collected over various spectral regions, using 5 or 10 nm step sizes for emission and excitation. The band pass for excitation and emission was 5–10 nm.

Cell Culture. The human PDAC cell line Capan-1 was obtained from Dr. Rosalie Sears's laboratory at Oregon Health and Science University (OHSU) and was maintained in RPMI medium (Gibco) in a humid atmosphere at 37 °C with 5% CO₂. All media were supplemented with 10% (v/v) fetal bovine serum (FBS) and 1% (v/v) penicillin/streptomycin. Cell viability was determined by Cell Titer-Blue assay. Monolayers of 10⁴ Capan-1 cells were seeded in triplicate in 96-well plates and incubated with decreasing concentration from 100 μM of each compound in growth media containing 10% FBS. After 24 h incubation time, 20 μL of Cell Titer-Blue reagent was added into each well and culture cells were incubated for additional 2 h. Fluorescence intensity in each well was recorded at 560/590 nm using a SpectraMax M5 Microplate Reader. The half-

maximal inhibitory concentrations (IC_{50} determined by interpolating values in the graph (% cell viability vs fluorophore concentration)).

***In Vitro* Live Cell Imaging.** Monolayers of 10^4 Capan-1 cells were seeded in triplicate in eight-well plates and incubated for 24 h in growth medium containing 10% FBS and were allowed to attach. For subcellular colocalization experiments, the medium was extracted and cells were washed with phosphate-buffered saline (PBS), and phenol red-free growth medium was added to each well. Organelle trackers were added to each well, and incubated with the fluorophore of interest (final concentration $0.5\ \mu\text{M}$) for 1 h. Cells were washed with PBS and fixed with 2% paraformaldehyde (PFA). Fluorescence microscopy was carried out using a Zeiss inverted microscope with an Axioscan fluorescence camera for imaging. The final concentration of organelle trackers used were as follows: DAPI $0.5\ \mu\text{M}$, Mito-tracker green $0.5\ \mu\text{M}$, and ER-tracker green $1\ \mu\text{M}$.

Fluorophore-labeled Antibodies. Compound **8** was conjugated to donkey anti-mouse antibody (Jackson ImmunoResearch) for immunofluorescence microtubule imaging. The antibody was buffer exchanged into $1\times$ phosphate buffered saline (PBS) and pH adjusted to 8.3 with 35 mM disodium phosphate. The conjugation reactions were set up with fluorophore and antibody mixed at a 10:1 molar ratio for immunofluorescence microtubule imaging measurements. The fluorophore-antibody conjugation reactions were rocked gently at rt protected from light for 3 hr and were washed and concentrated in 10 kDa MWCO spin filters. The fluorophore to antibody-labeling conjugation ratio was 2.8:1,

which was determined using an absorbance spectrometer (SpectraMax M5 Microplate Reader).

***Ex vivo* Staining of PDAC tissue.** The initial wash to remove excess OCT was done by covering each tissue sample with PBS. After 2 min, it was removed with vacuum. The tissue samples were fixed with 2% PFA at rt. for 15 min and washed 3× using PBS with 0.1% Tween-20 for 5 min. Slides were incubated with fluorophore formulation, (10% dimethyl sulfoxide DMSO (control) or 10% DMSO fluorophore solution (dependent on specific concentration of fluorophore), 5% Kolliphor EL, 85% of 75/25 serum/PBS) for 40 min at rt. Slides were covered to prevent photobleaching. Excess fluorophore was removed through two 5 min rinses with PBS. Fluorophore post-fixing was done using 2% PFA for 15 min and washed 1× with PBS for 5 min. After removing excess PBS samples were mounted with fluoromount. The coverslip was placed onto tissue, making sure no air bubbles were present. The coverslips were sealed with colorless nail polish. The slides were stored at 20 °C. Images were acquired using Zeiss Axioscan Z.1 slide scanner (Carl Zeiss) at 20× magnification. The fluorescence signal was optimized at the start of the study to not oversaturate the signal. For a standardized comparison, the power of Colibri 7 light source and integration times of the Hamamatsu ORCA Flash4 camera (Hamamatsu Photonics) were kept constant for all samples. The imaging was performed on slides overnight after staining with identical image acquisition settings.

Immunofluorescence Labeling. U2OS Cells were pre-extracted with 0.5% Triton X-100 in PEM (100 mM PIPES buffer pH 7.0, 1 mM EGTA and 1 mM MgCl₂) for 20 s, fixed with 0.4% glutaraldehyde (Electron Microscopy Science) and 0.25% Triton X-100 in PEM

for 90 s, washed with PBS before fixing with 3% glutaraldehyde in PEM 15 min. Cells were washed with PBS (3×5 min), then reduced with 10 mM sodium borohydride for 10 min, washed again with PBS (3×5 min), and blocked with 5% bovine serum albumin (BSA) in PBS. Cells were incubated with bovine alpha-tubulin mouse primary antibody (Thermo Fisher Scientific) at $2 \mu\text{g ml}^{-1}$ in BSA for 4 h at rt and washed with PBS (3×5 min). Lastly, cells were incubated with fluorophore-labeled donkey anti-mouse secondary antibody at 0.2 μM fluorophore concentration in PBS for 30 min at rt protected from light and washed with PBS (3×5 mins).²² Images were acquired on an Axio Observer inverted fluorescence microscope (Zeiss, Thornwood, NY) at $20\times$ magnification. A PhotoFluor II was used and filtered using a 545 ± 12.5 bandpass excitation filter. Fluorescence images were collected using an Axiocam 506 camera (Zeiss), where a 605 ± 35 nm bandpass emission filter was used for image collection.

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Chapter 4: Synthesis of Near Infrared Cyanine-Xanthene Hybrid Probes

ABSTRACT

Dyes that are active in the near infrared region (NIR, >700 nm) have been of great interest in various fields, including superresolution imaging and biomedical imaging as contrast agents. The xanthene dyes comprise some of the most common fluorophores such as fluorescein and rhodamine; however, they are not active in the NIR region. Owing to their favorable photophysical properties such as high molar absorbance, good water solubility and excellent quantum yields, xanthene scaffolds are among the most common labeling agents used in biological applications. Cyanine dyes are one type of the widely employed fluorophores for NIR fluorescent probe design. Xanthene chromophore functionalized with cyanine groups containing different lengths of their polymethine chain can be used to create a cyanine-xanthene based library of NIR fluorescent probes with enhanced photophysical properties for biological applications. There have been few studies that have explored the systematic chemical modification of members of a class of fluorophores for selective uptake by specific tissue or cell types using physiochemical predictors. Thus, the synthesis of the cyanine-xanthene hybrids will provide an understanding of structure activity relationship profiles for tissue and cellular specific uptake. The ability to correlate molecular structure of imaging agents and tissue-specific uptake could solve unmet clinical needs of NIR imaging agents that are inherently tissue-specific for diagnostics and therapeutic purposes.

INTRODUCTION

Xanthene dyes exhibit λ_{max} absorption and emission in the visible spectral region despite their relatively small molecular size (Figure 1).¹ This is achieved by small bond length alternation (BLA) originating from the contributions of resonance structures.¹ Xanthene dyes are among the most commonly used class of fluorescent dyes in bioimaging. Fluorescein is a particularly useful dye for biological purposes for several reasons: the dye is very soluble in water, it has a high extinction coefficient ($8 \times 10^4 \text{ M}^{-1}\text{cm}^{-1}$) and high quantum yield (0.92).² Derivatives of fluorescein are readily synthesized and that allow for the utilization of the dye in many applications including pH sensing, tracer agents, laser dyes, labeling agents in biology.²⁻⁷

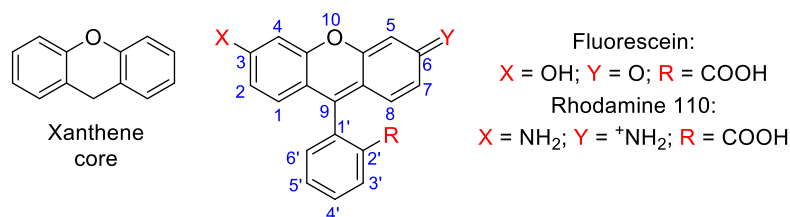


Figure 1. The xanthene core structure and common xanthene-based dyes showing possible sites for modification (blue numbers).

However, xanthene dyes typically possess small Stokes shifts and are not active in the near infrared (NIR) region. Strategies for red-shifting xanthene dyes for biodiagnostics and imaging applications have been an active area of investigation for many years.⁸ Strategies employed so far include replacement of the phenyl ring with electron

withdrawing groups such as cyano⁹ or trifluoromethyl,¹⁰ extending annulation, extending π -conjugation, replacement of the bridging oxygen-atom by carbon,¹¹ phosphorus,⁶ sulfur,⁷ or silicon,¹² tellurium,¹³ selenium,¹⁴ and annulation (Figure 2).^{5,8,15,16} Our group has been focused on annulation as one strategy to red-shift the xanthene dyes.^{3,8,15-17}

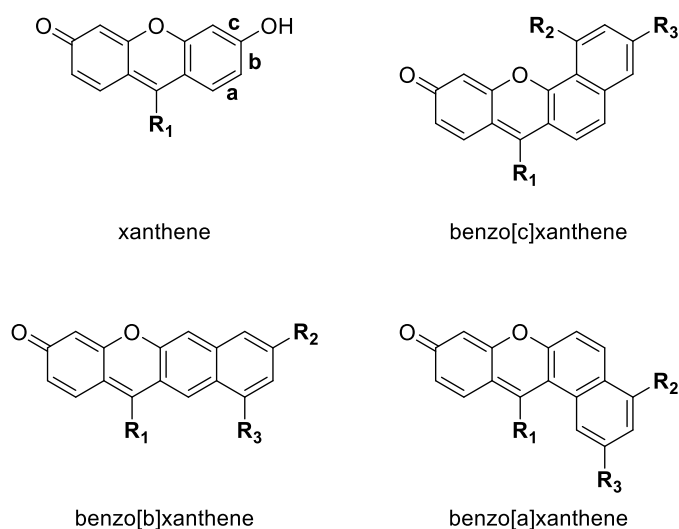


Figure 2. The xanthene backbone and three possible sites for annulation.

The annulation of xanthene is advantageous due to the low synthesis cost, high optical sensitivity, and excellent physicochemical properties for application in cell and tissue biological studies. These benzo-annulated xanthene (Figure 2) exhibit various sites for potential modification to generate new series of fluorescent dyes with different molecular sizes, lipophilicities, and ionization states. This enables systematic structure

changes for the optimization of optical and/or biological properties such as inherent tissue-specific *in vivo* targeting and malignant cell-specific *ex vivo* imaging.^{16,18}

An alternative strategy to synthesize NIR-active xanthene fluorophores employed in this project is the extension of the π -conjugated system on the xanthene backbone by attaching a cyanine moiety (Figure 3).¹⁹ Cyanine dyes are considered as a main source of organic long-wavelength fluorophores, providing excitation bands in the range 600-900 nm.²⁰ The red shifting of cyanine dyes is easily achieved by addition of a vinyl group (-CH=CH-) to the polymethine chain.²¹ The ease of tuning the photophysical properties, sharp near infrared absorption and emission bands and large molar absorptivity have led to the much interest of using the cyanine moiety.²² Indocyanine green (ICG) is the first Food and Drug Administration (FDA) approved cyanine-based fluorophore for clinical use.²² Despite great advances in the development of cyanine-based fluorescent probes as imaging fluorophores, improvement in the photophysical properties of cyanine fluorophores remains necessary.²³ Some drawbacks of cyanine dyes are known, and these include limited stability in the presence of strong nucleophiles, photooxidation, and aggregation in aqueous media. There is a need to address such limit limitations of the cyanine-based fluorophores.

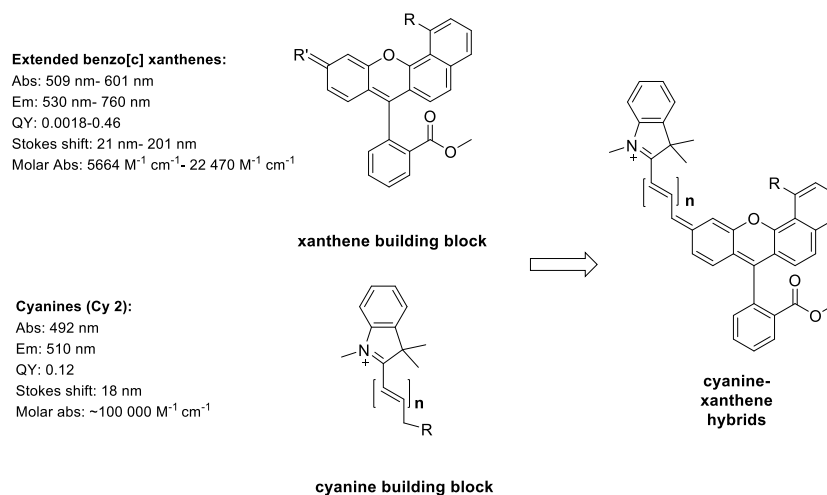


Figure 3. Benzo[c]xanthene functionalized with a cyanine moiety to afford cyanine-xanthene hybrids.

Rhodamine dyes functionalized with cyanine dyes have shown NIR absorption and emission spectral properties, as well as increased quantum yields and molar absorption coefficient values when compared to the classical rhodamine dyes.²³ It has been hypothesized that the xanthene moiety could preserve far red optical properties, relatively high quantum yields and large Stokes shift where the cyanine moiety could enhance and achieve the desired NIR optical properties. Based on this design strategy, a library of ten compounds (Figure 4) will be synthesized that are expected to show synergistic photophysical properties compared to the individual xanthene and cyanine scaffolds.

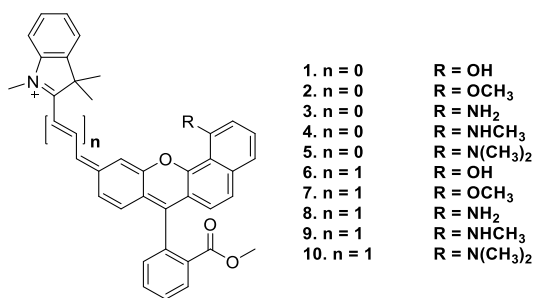


Figure 4. A library of cyanine-xanthene hybrid probes.

RESULTS AND DISCUSSION

Density Functional Theory (DFT) calculations

Molecular orbital calculations were performed using Time Dependent Density Functional Theory (TD-DFT) at B3LYP/6-31G level the *Gaussian 09* software package. These calculations were done in order to predict the photophysical properties of the proposed cyanine-xanthene hybrid dyes. These predictions were useful in the preliminary selection to synthesize a focused library of compounds. The preliminary calculations from selected representative showed that when $n=1$ on the polymethine chain (Figure 5) the absorption of the probe is ~ 660 nm which is much closer to desired NIR region (typically experimental absorption wavelength > 500 nm than calculated). Based on DFT calculations, the first compound targeted for synthesis was **6** because its calculated absorption maximum is ~ 660 nm and the naphthalene substrate is commercially available.

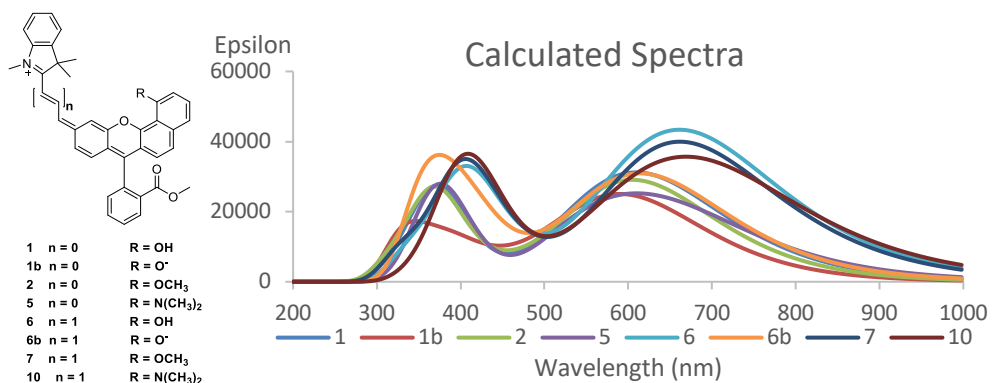
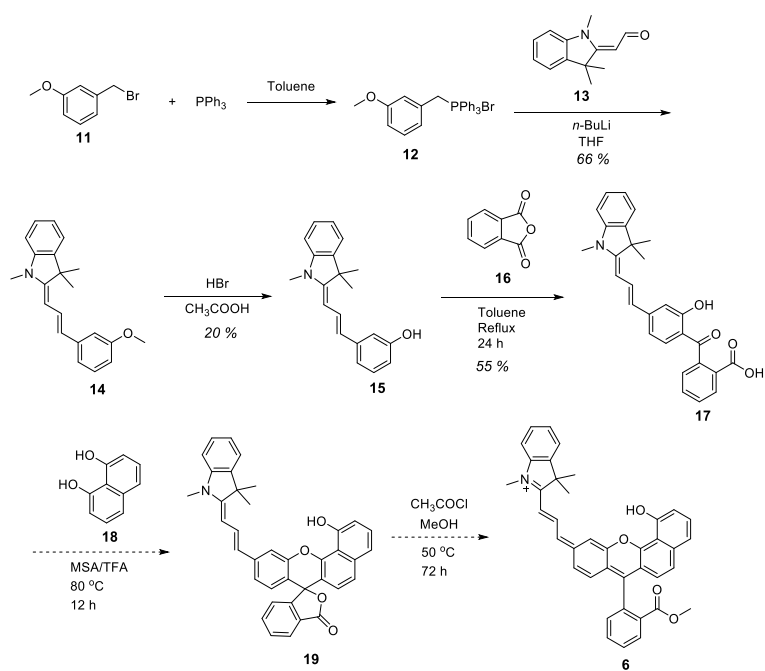


Figure 5. Calculated absorption wavelength of proposed cyanine-xanthene hybrid probes.

Strategy 1: First synthesize the cyanine moiety.

The cyanine-xanthene hybrids would be synthesized in 5 to 6 steps (Scheme 1). The initial step involved the formation of a phosphonium salt of 3-methoxybenzylbromide (**12**) from 3-methoxybenzylbromide (**11**) which was used as a crude product in the subsequent Wittig reaction with aldehyde **13**, followed by demethylation of methoxy **14** with HBr. Ortho acylation of phenol **15** with phthalic anhydride (**16**) afforded the functionalized cyanine hydroxybenzophenone hybrid building block **17** that could be condensed with 1,8-dihydroxynaphthalene derivatives based on **18**. This would give the desired cyanine-xanthene hybrid dye in the lactone or carboxylate form such as **19**. Subsequent Fisher esterification with MeOH catalyzed by either H₂SO₄ or HCl, would afford the methyl ester derivatives, **6**. The acid condensation to synthesize **19**, showed the hydrolysis products **15** and **16** instead suggesting hydrolysis of **17**.

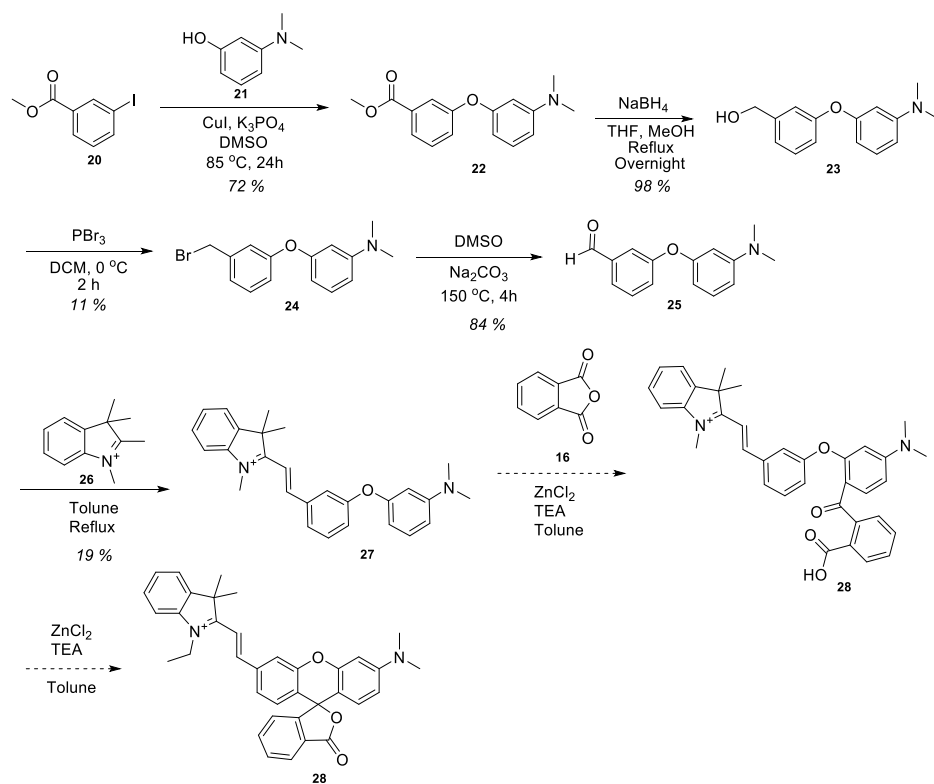
Scheme 1. Synthesis of cyanine moiety first to form cyanine-xanthene hybrid dyes.



Strategy 2. Synthesize the xanthene bridge first.

To address the acid hydrolysis problem from the first synthetic strategy (Scheme 1), an alternative was proposed to first synthesize the –C-O bridge of the xanthene chromophore. The cyanine-xanthene hybrid probes would be synthesized in 7-8 steps. First, the –C-O bond bridge was formed from a copper catalyzed Ullmann type reaction between methyl 3-iodobenzoate (**20**) and 3-dimethylaminophenol (**21**) to afford **22**. The methyl ester on one of diaryl ether derivative, was reduced using NaBH₄ to afford **23**. The bromination of phenol **23** using PBr₃ would result in **24**. Oxidation of **24** using DMSO and Na₂CO₃ yielded aldehyde **25**. The nucleophilic addition of **26** gave the extended –C-O xanthene bridge framework. Direct acylation with **16** would result in the benzophenone framework **28** with subsequent ring closing step catalyzed by a Lewis acid ZnCl₂ to give the final product **28**. The acylation reaction with phthalic anhydride to synthesize **20** showed only starting materials **18** and **6** without product.

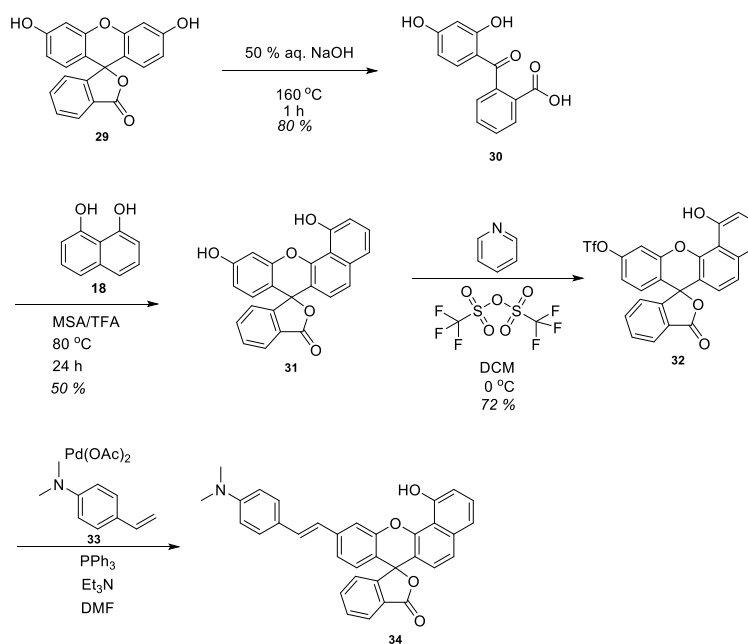
Scheme 2. First synthesize the –C-O bridge of xanthene chromophore.



Strategy 3. Functionalizing benzo[c]xanthene with triflate for Mizoroki-Heck coupling reaction.

The cyanine-xanthene hybrid fluorophore would be synthesized in 4-5 steps by starting with an intact xanthene chromophore to address challenges from Schemes 1 and 2. First, the resorcinol benzophenone (**30**) was synthesized from basic hydrolysis of fluorescein (**29**). The direct condensation between **30** and **18** afforded a benzo[*c*]xanthene dye **31**. The benzo[*c*]xanthene **31** was functionalized with triflate to give (**32**) for subsequent cross-coupling reaction with a conjugated alkene **33**, to give the final cyanine-xanthene hybrid probe, **34**. The coupling reaction afforded the cyanine-xanthene hybrid dye as a mixture. There is need for reaction optimization.

Scheme 3. First synthesize benzo[*c*]xanthene triflate followed by cross coupling with conjugated alkene.



METHODS

General. Unless otherwise indicated, all commercially available starting materials were used directly without further purification. Silica gel Sorbent Technologies 32-63 μm was used for flash column chromatography. MS (HRMS, ESI) spectra were collected using Agilent 6244 time-of-flight LCMS with diode array detector VL+. All the LC-MS chromatograms and spectra are in Appendix B.

Synthesis of bromo(3-methoxybenzyl)triphenyl-15-phosphane (12). To a solution of 1-(bromomethyl)-3-methoxybenzene in toluene was added a solution of PPh_3 in toluene. After being heated at reflux for 5 h, the reaction mixture was cooled at rt and a first crop of product was collected by filtration. The filtrate was refluxed for additional 5 h and a second crop of product precipitated. The collected crops were used in the next reaction without any further purification.

Synthesis of (E)-2-((E)-3-(3-methoxyphenyl)allylidene)-1,3,3-trimethylindoline (14). Under Ar atmosphere, *n*-BuLi (1.6 M, 6.18 mL, 10.47 mmol) was slowly added to a solution of **12** (5.0 g, 10.79 mmol) in THF (23 mL) at 78 °C. The resulting reddish solution was allowed to warm at rt. Fisher's aldehyde in THF (**13**) (2.17 g, 10.79 mmol) was added dropwise and the reaction mixture was stirred for overnight, diluted with ice-cold H_2O (200 mL) and stirred for an additional hour. The aqueous layer was extracted with EtOAc; the combined organic layers were washed with H_2O and dried. The product was separated by flash column chromatography on SiO_2 using hexanes/ethyl acetate 95/5 (3.30 g, 66%). HRMS (ESI-TOF) m/z : $[\text{M} + \text{H}]^+$ Calcd for $\text{C}_{21}\text{H}_{23}\text{NO}$ 306.1852; Found 306.1870.

Synthesis of 3-((E)-3-((E)-1,3,3-trimethylindolin-2-ylidene)prop-1-en-1-yl)phenol (15). Hydrogen bromide (HBr) (57.99 mL, 501.93 mmol) was added to **14** (16.73 mmol) in glacial acetic acid (same volume as HBr). The solution was heated to reflux for 15 h and allowed to cool to rt. The mixture was diluted with H₂O (same volume as HBr) and concentrated under reduced pressure. After cooling the solution was neutralized to about pH 5-6 with 30 % aq. NaOH. The aqueous phase was extracted with DCM (3 x 50 mL) and the organic layers were washed with brine and dried over Na₂SO₄. The solvent was evaporated under reduced pressure. The product was separated by flash column chromatography on SiO₂ using hexanes/ethyl acetate 5/1 (0.67 g, 20%). HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₂₀H₂₁NO 292.1696; Found 292.1623.

Synthesis of 2-(2-hydroxy-4-((E)-3-((E)-1,3,3-trimethylindolin-2-ylidene)prop-1-en-1-yl)benzoyl)benzoic acid (17). Under Ar atmosphere anhydrous MeCN was added to **15** (200 mg, 686.36 μmol). Triethylamine (95.67 μL, 686.36 μmol) and ZnCl₂ (93.54 mg, 686.36 μmol) were added to the solution. The solution was let to stir for 1 h at rt. phthalic anhydride (111.83 mg, 754.99 μmol) was added to the stirring solution. The reaction was allowed to stir overnight under reflux. The MeCN was removed under reduced pressure. The product was isolated by crystallization using chilled MeOH (200 mg, 55%). HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₂₈H₂₅NO₄; Found 440.1856 and 440.1921.

Synthesis of 1-hydroxy-10-((E)-3-((E)-1,3,3-trimethylindolin-2-ylidene)prop-1-en-1-yl)-3'H-spiro[benzo[c]xanthene-7,1'-isobenzofuran]-3'-one (19). Compound **17** (200 mg, 45.51 μ mol) and 1,8-dihydroxynaphthalene (7.29 mg, 45.51 μ mol) were combined and 150 μ L of 1:1 methanesulfonic acid : trifluoroacetic acid was added. The reaction was stirred overnight at 80 °C. After the reaction was cooled, the reaction was poured on 500 μ L of iced distilled H₂O. The crude reaction was filtered by vacuum filtration. No product was observed, instead **15** was the main product peak in liquid chromatography- mass spectrometer.

Synthesis of methyl 3-(3-(dimethylamino)phenoxy)benzoate (22). In an oven dried microwave tube add CuI (138.83 mg, 728.93 μ mol), 2-Picolinic acid (179.49 mg, 1.46 mmol), **20** (1.91 g, 7.29 mmol), **21** (1.0 g, 7.29 mmol) and K₃PO₄ (3.09 g, 14.58 mmol). The reaction was vacuumed and back filled with dry N₂ for 3 to 5 times, and sealed immediately with a Teflon cap. Anhydrous DMSO was added (7 mL). The reaction was stirred at 85 °C for 24 h. After reaction had cooled down to rt, 50 mL distilled H₂O was added and the product was extracted with DCM three times (50 mL each). The product was isolated by flash chromatography on SiO₂ using hexanes/ethyl acetate 95/5 (1.37 g 69 %). HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₁₆H₁₇NO₃ 272.1281; Found 272.1276.

Synthesis of (3-(3-(dimethylamino)phenoxy)phenyl)methanol (23). Anhydrous THF was added to **22** (1.0 g, 3.69 mmol) under dry N₂. NaBH₄ (766.91 mg, 20.27 mmol) was added, as the reaction was stirring 3 mL of MeOH was added dropwise under reflux over 1 h. The solution refluxed for an additional 40 min. After the solution had cooled

down to rt the pH was adjusted to 7 by using 1M HCl. The solution was extracted four times with EtOAc, dried with Na₂SO₄, filtered and evaporated under reduced pressure to give a clean product (980 mg, 98 %). HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₁₅H₁₇NO₂ 244.1332; Found 244.1277.

Synthesis of 3-(3-(bromomethyl)phenoxy)-N,N-dimethylaniline (24).

Compound **23** (1.20 g, 4.93 mmol) was dissolved in 20 mL anhydrous DCM under N₂. The solution was chilled in an ice bath for 30 mins before adding PBr₃ (0.42 mL, 4.48 mmol) and the reaction was stirred for an additional 10 mins before removing ice bath. The reaction was left to stir for two hours and quenched by adding saturated Na₂CO₃ (20 mL) with slow addition. The product was extracted by ethyl ether three times (40 mL each). The combined organic fractions were washed with Na₂S₂O₃ (20 mL) and saturated brine (20 mL). The solution was dried with Na₂SO₄, filtered and concentrated under reduced pressure to give the crude product which was used without further purification (130 mg, 9.47%). HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₁₅H₁₆BrNO 306.0488; Found 306.0403.

Synthesis of 3-(3-(dimethylamino)phenoxy)benzaldehyde (25). Compound **24** (130 mg, 424.55 μmol) and NaHCO₃ (154.43 mg, 1.84 mmol) were combined in 30 mL of DMSO and heated to 150 °C for 4 h. The dark red solution was cooled and diluted into 105 mL of 2 M HCl and stirred for 2 h. The aqueous material was extracted thoroughly with DCM eight times (15 mL each) and the solvents were removed to isolate the crude product which was used in the next reaction without further purification (109 mg, 84 %). HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₁₅H₁₅NO₂ 242.1176; Found 242.1210.

Synthesis of (E)-2-(3-(3-(dimethylamino)phenoxy)styryl)-1,3,3-trimethyl-3H-indol-1-ium (27). To a solution of the **25** (84.50 mg, 348.74 μmol) and the **26** (1.2 mmol) in anhydrous EtOH (10 mL) under a dry N₂ atmosphere was added four drops of pyridine. After being stirred for 4 h at 78 °C, the mixture was cooled to rt. The removal of solvent under reduced pressure and purification by flash column chromatography on SiO₂ yielded a 1:1 mixture of **25** and **26**. HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₂₇H₂₉N₂O⁺ 397.2274; Found 397.2275.

Synthesis of (E)-2-(3-(2-(2-carboxybenzoyl)-5-(dimethylamino)phenoxy)styryl)-1,3,3-trimethyl-3H-indol-1-ium (28). Under Ar atmosphere anhydrous MeCN was added to **27** (26 mg, 65.40 μmol). Triethylamine (9.12 μL , 65.40 μmol) and ZnCl₂ (8.91 mg, 65.40 μmol) were added to the solution. The solution was let to stir for 1 h at rt. Phthalic anhydride (10.66 mg, 71.94 μmol) was added to the stirring solution. The reaction was allowed to stir overnight under reflux. The MeCN was removed under reduced pressure. There was no product peak in liquid chromatography-mass spectrometer (only starting material observed).

Synthesis of 2-(2,4-dihydroxybenzoyl)benzoic acid (30). Fluorescein (**29**) (1.7 g, 5.12 mmol) was dissolved in 12 mL of 50 % NaOH solution (w/v) and heated at 160 °C in an oil bath for 1 h. After cooling to rt, the mixture was poured into 80 mL of ice H₂O, acidified with concentrated HCl, and allowed to stand at rt for 2 h. The precipitate was filtered and dried to afford the desired product as a beige powder (1.06 g, 80.3 %). HRMS (ESI-TOF) m/z: [M + H]⁻ Calcd for C₁₄H₁₀O₅ 257.0455; Found 257.1269.

Synthesis of 1,10-dihydroxy-3'H-spiro[benzo[c]xanthene-7,1'-isobenzofuran]-3'-one (31). Compound **30** (2.0 g, 7.75 mmol) and 1,8-dihydroxynaphthalene (1.96 g, 12.24 mmol) were dissolved in 5 mL of methanesulfonic acid and 5 mL trifluoroacetic acid was added. The mixture was heated and stirred in an oil bath at 80 °C for 24 h. The reaction mixture was allowed to warm to rt, poured into 50 mL of iced cold distilled H₂O. The resulting precipitate was filtered, washed with DI H₂O and air dried. The compound was isolated by flash column chromatography on SiO₂ using CH₂Cl₂/MeOH 9/1 (991 mg, 50 %). HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₂₄H₁₄O₅ 383.0914; Found 383.1616.

Synthesis of 3'-oxo-3'H-spiro[benzo[c]xanthene-7,1'-isobenzofuran]-1,10-diylbis(trifluoromethanesulfonate) (32). Compound **31** (1.0 g, 2.62 mmol) was suspended in DCM (30 mL) and cooled to 0 °C. Pyridine (1.69 mL, 20.92 mmol) and trifluoromethanesulfonic anhydride (1.76 mL, 10.46 mmol) were added, and the ice bath was removed. The reaction was stirred at rt for 4 h. The crude product was subsequently diluted with H₂O and extracted with DCM. The combined organic extracts were washed with (saturated CuSO₄, brine), dried (Na₂SO₄), filtered, and concentrated under reduced pressure. The product was isolated by flash column chromatography on SiO₂ using hexanes/ethyl acetate 8/2 (717 mg, 72 %). HRMS (ESI-TOF) m/z: [M + H]⁺ Calcd for C₂₅H₁₃F₃O₇S 515.0407; Found 515.0463.

Synthesis of N,N-dimethyl-4-vinylaniline (33). An oven-dried round bottom flask was charged with methyltriphenylphosphonium bromide (8.62 g, 24.13 mmol) and 60 mL anhydrous THF and stirred under nitrogen. A 1.6 M solution of *n*-BuLi in hexanes was added via syringe (13.41 mL, 21.45 mmol) at rt. After stirring for 15 min, 4-*N,N*-

dimethylbenzaldehyde (2.0 g, 13.41 mmol) was added. After stirring overnight, the reaction was poured into 200 mL of hexanes. The suspension was filtered through Celite and concentrated under reduced pressure. The residue was taken up in EtOAc and filtered through a thin pad (2 cm) of alumina. Removal of solvents under reduced pressure provided 1.68 g of **33** (1.68 g, 84 %) which was judged to be pure by ^1H NMR. HRMS (ESI-TOF) m/z : $[\text{M} + \text{H}]^+$ Calcd for $\text{C}_{10}\text{H}_{13}\text{N}$ 148.1121; Found 148.1170.

Synthesis of 1,10-bis((E)-4-(dimethylamino)styryl)-3'H-spiro[benzo[c]xanthene-7,1'-isobenzofuran]-3'-one (34). In 25 mL round-bottomed flask; added successively was compound **32** (100 mg, 194.39 μmol), compound **33** (28.62 mg 194.39 μmol), 1 mL DMF, 1 mL TEA, PPh_3 (10.20 mg, 38.88 μmol) and palladium (4.36 mg, 19.44 μmol); under N_2 protection. The reaction was heated to 120 $^\circ\text{C}$ overnight. Removal of solvent under reduced pressure showed the product peak in liquid chromatography-mass spectrometer with other side products. HRMS (ESI-TOF) m/z : $[\text{M} + \text{H}]^+$ Calcd for $\text{C}_{34}\text{H}_{25}\text{NO}_4$ 512.1856; Found 512.1912.

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Chapter 5: Conclusion and Future Work

Surgery remains the most effective cure for PDAC, whose survival rate is still very low (<5 %). Moreover, despite the curative nature of surgery most PDAC patients are left with residual tumor (>75 % of patients) due to the lack of contrast agents that can help to inform surgeons if they need to remove more tissue. Intraoperative margin assessment has the potential to improve the outlook of PDAC patients after surgery by confirming clear margins (RO) with no microscopic tumor involvement. Microscopic tumor involvement (R1) after surgery increases the chances of locoregional recurrence of the disease thereby lowering the survival rate of patients after a curative PDAC surgery.

In this work compound **12**, with no targeting ligand, allowed for the visualization of PDAC in human tissue and the common precancer lesions of PDAC, PanIN. A streamlined procedure was developed enabling staining within 15 min as required by the intraoperative workflow. A Matlab code was developed to enable objective quantification of the effective contrast of **12** in PDAC tissue. The staining protocol optimization rendered the potential use of **12** during PDAC surgery for margin assessment to ensure complete resection of tumor. A regioisomer of **12** maintained PDAC selectivity. This strategy provides an assortment of PDAC selective probes with distinct photophysical properties.

The motivation to synthesize benzoxanthenes functionalized with cyanines was to address the photophysical limitations of benzoxanthene mainly their absorption in the visible region where biomolecules like hemoglobin have strong absorption as well. The successful synthesis of the cyanine-xanthene hybrid dye using the coupling reaction,

revealed the challenge of using conventional acid condensation reaction conditions typically used in the synthesis of benzoxanthene, due to the possible hydrolysis of the cyanine moiety.

Following the complete synthesis of the cyanine-xanthene hybrid library using the coupling method, the photophysical and chemical characterization (^1H -NMR, ^{13}C -NMR and HR-MS) will be performed. The hybrid library will be used for *in vivo* biodistribution study, the fluorophore with the highest pancreas tumor tissue signal-to-noise ratio (SBR) will be identified. *Ex vivo* screening to investigate the staining pattern of the hybrid dyes will be carried out on human PDAC tissue specimens from Origene Inc.

Longer term goals of this study are to contribute to the visualization of PDAC and early precancer lesions during surgery to ensure complete removal of cancer. The cyanine-xanthene hybrids will also allow for quantitative structure-activity relationship (QSAR) to understand the inherent tissue targeting.

Appendix A: Supporting Information for Chapter 3

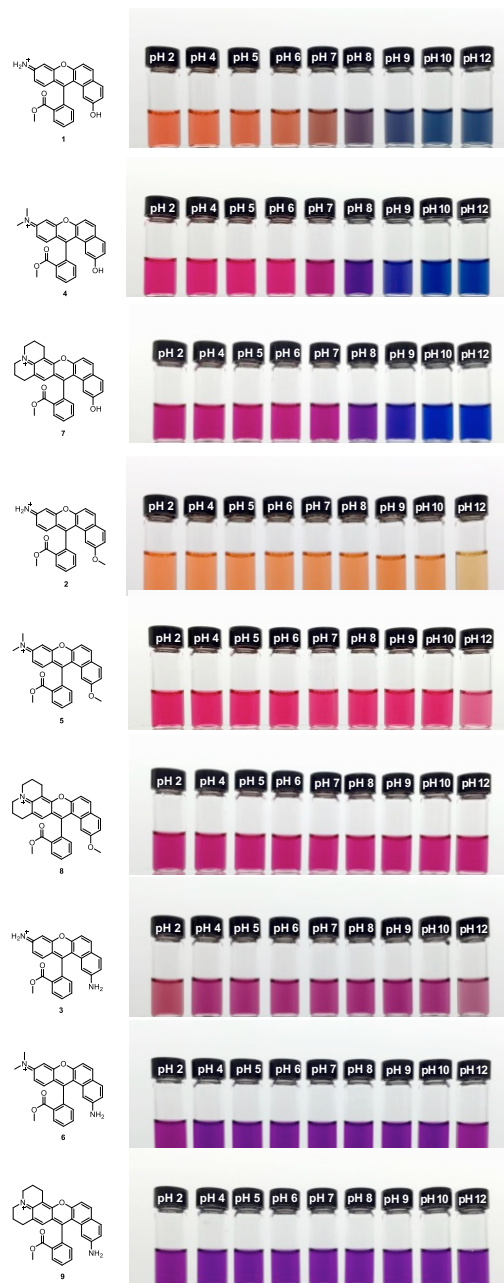


Figure A1. Solutions of compounds 1-9 under various pH conditions. Sample concentration: 50 μ M. Aqueous solutions contains 10% DMSO.

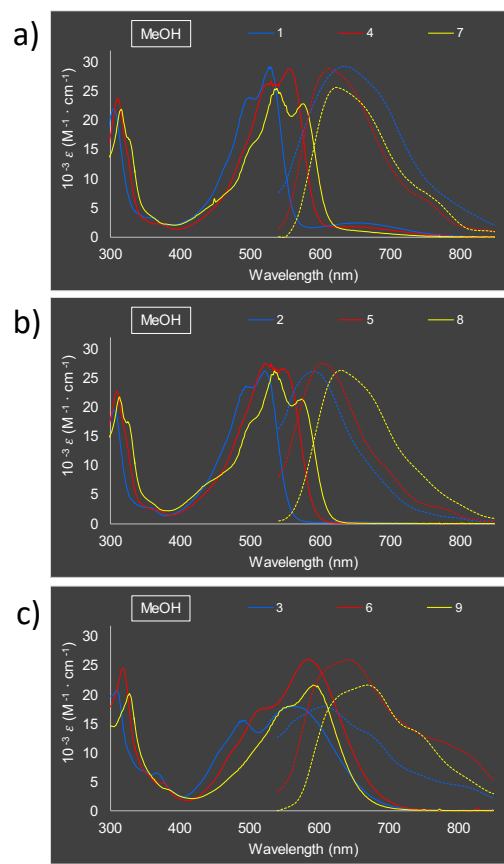


Figure A2. Absorption and normalized emission spectra of compounds 1-9 in MeOH.

Table A1. Tabulated spectral properties of compounds 1-9 in aqueous buffer at pH 7.4.

Compound	$\lambda_{\text{max abs}}$	$\lambda_{\text{max em}}$	Stokes Shift	Extinction Coefficient	Quantum Yield	Brightness	FWHM	pK_a
	(nm)	(nm)						
1	520	580	60	29,927	0.17	51	163	8.07 \pm 0.05
2	515	620	105	24,200	10.86	2628	161	—
3	530	580	50	22,084	0.73	161	116	—
4	530	610	80	29,327	1.56	458	104	8.14 \pm 0.04
5	520	610	90	28,258	7.86	2221	118	—
6	567	640	73	28,615	0.29	83	101	—
7	538	630	92	24,978	6.26	1564	115	8.39 \pm 0.06
8	535	640	105	24,075	8.18	1969	117	—
9	545	650	105	20,276	0.56	114	140	—

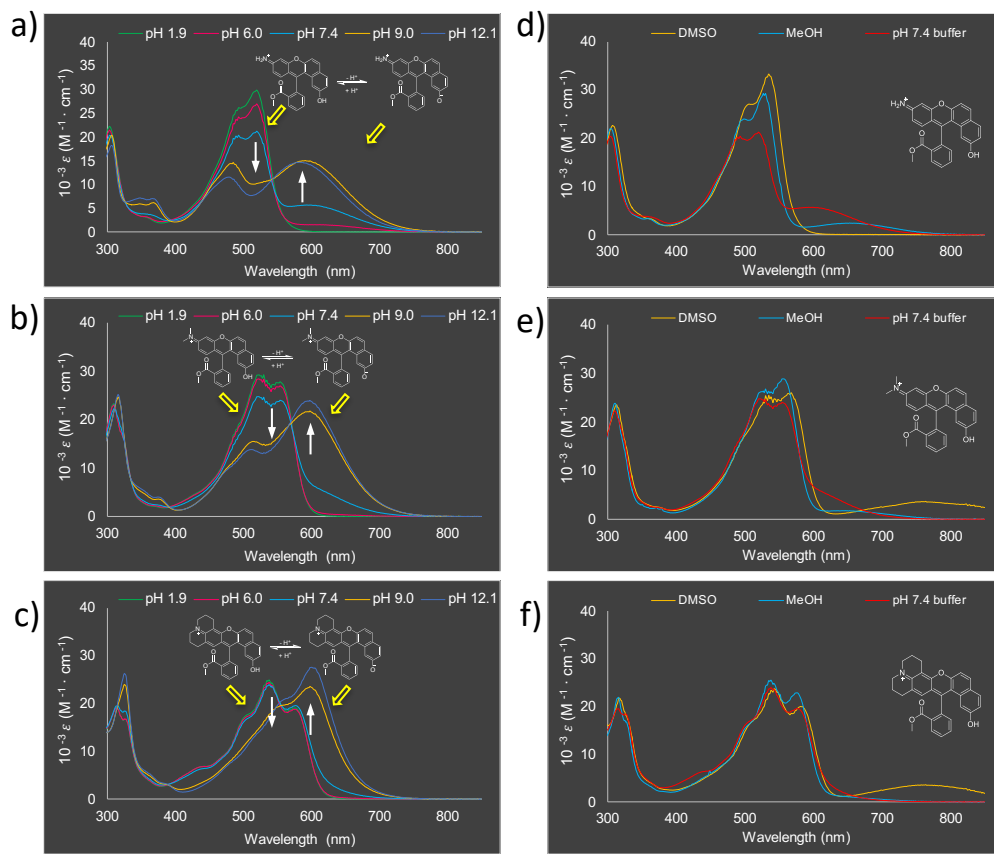


Figure A3. Absorption spectra of pH- and solvent-dependent seminaphthorhodafluors (1, 4, and 7). (a-c) Absorption spectra as a function of pH for **1** (a), **4** (b), and **7** (c). (d-f) Absorption spectra as a function of solvents including DMSO, MeOH, and phosphate pH 7.4 buffer for **1** (d), **4** (e), and **7** (f). Aqueous buffer solutions contain 10% DMSO and 12.5 mM HCl, phosphate buffer, or NaOH. Ratiometric absorption spectra were observed for **1**, **4**, and **7**. Well defined isosbestic points indicate spectral changes result from acid-base equilibria between two species.

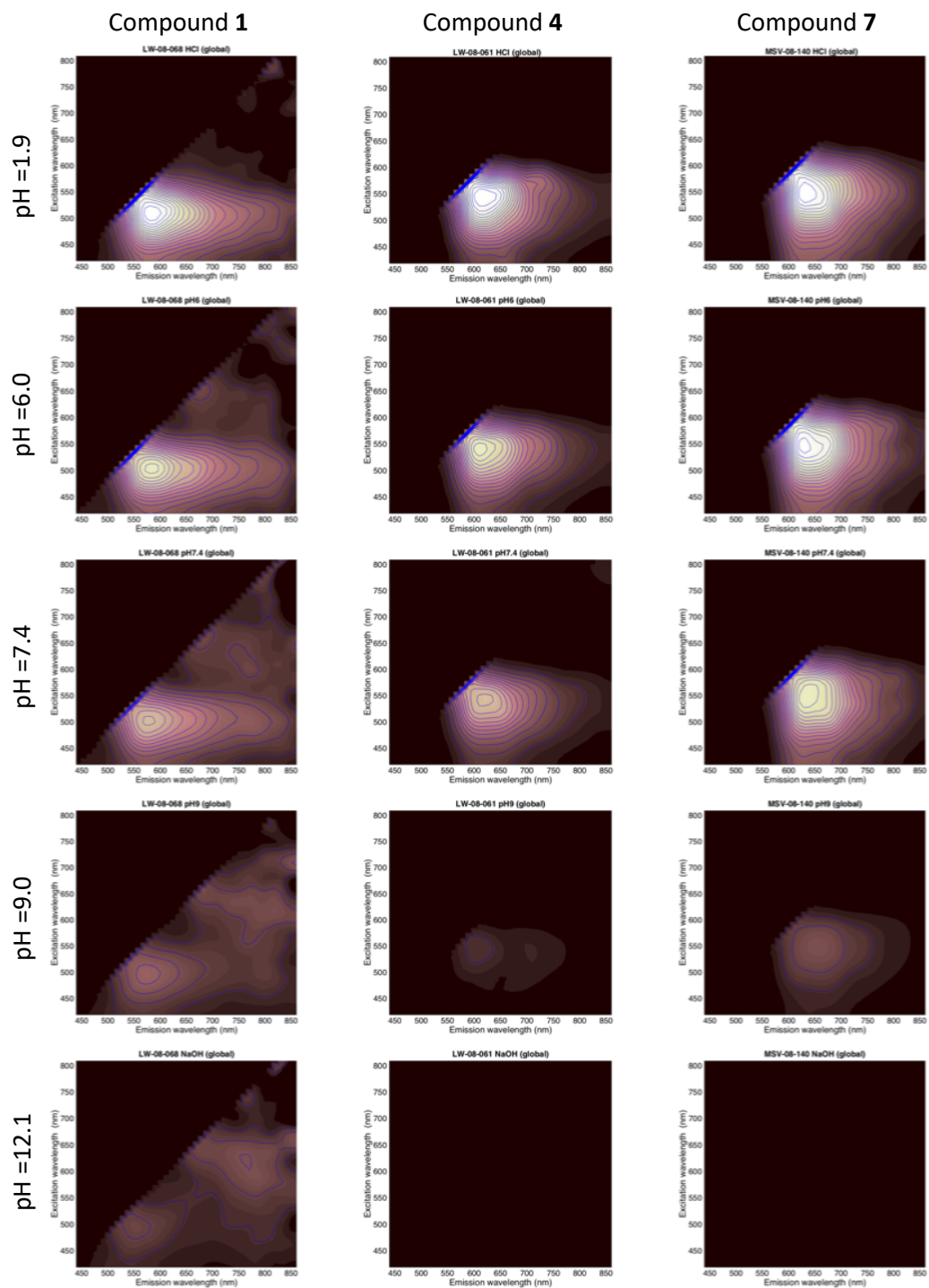


Figure A4. Excitation Emission Matrices (EEMs) of pH dependent seminaphthorhodafluors (1, 4, and 7). Aqueous buffer solutions contain 10% DMSO and 12.5 mM HCl, phosphate buffer, or NaOH.

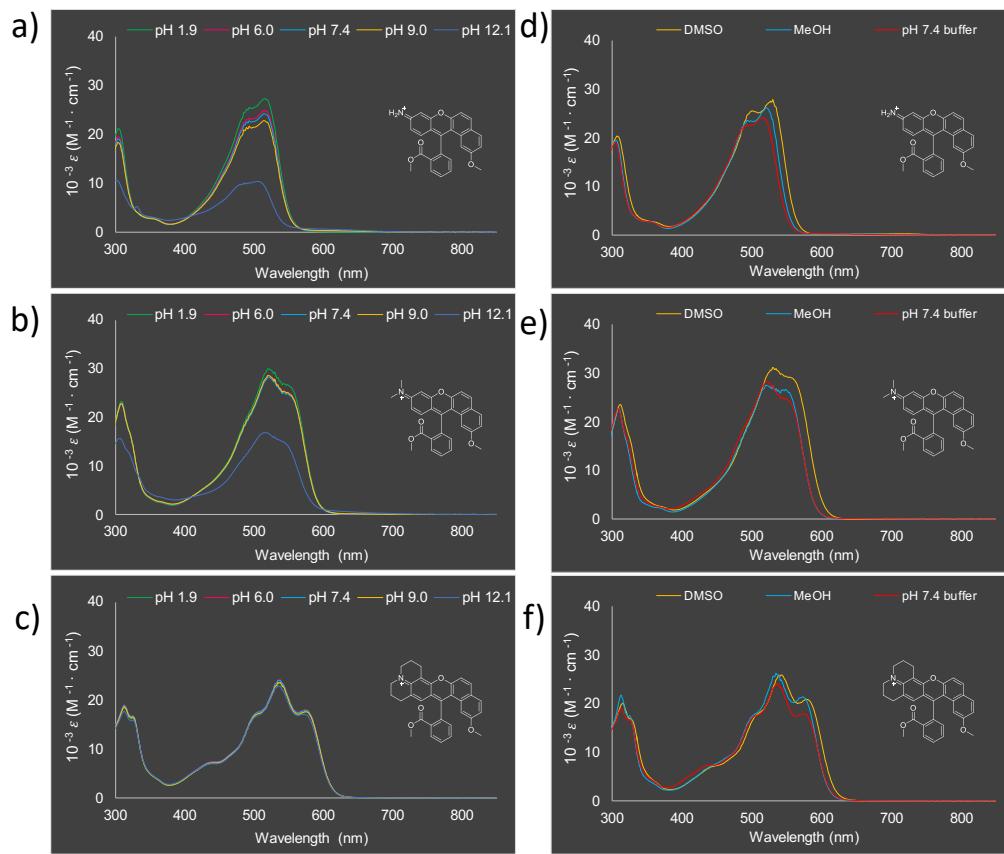


Figure A5. Absorption spectra of pH- and solvent-independent seminaphthorhodafluors (2, 5, and 8). (a-c) Absorption spectra as a function of pH for **2** (a), **5** (b), and **8** (c). (d-f) Absorption spectra as a function of solvents including DMSO, MeOH, and phosphate pH 7.4 buffer for **2** (d), **5** (e), and **8** (f). Aqueous buffer solutions contain 10% DMSO and 12.5 mM HCl, phosphate buffer, or NaOH. No pH equilibria or solvatochromism behavior were observed for **2**, **5**, and **8**.

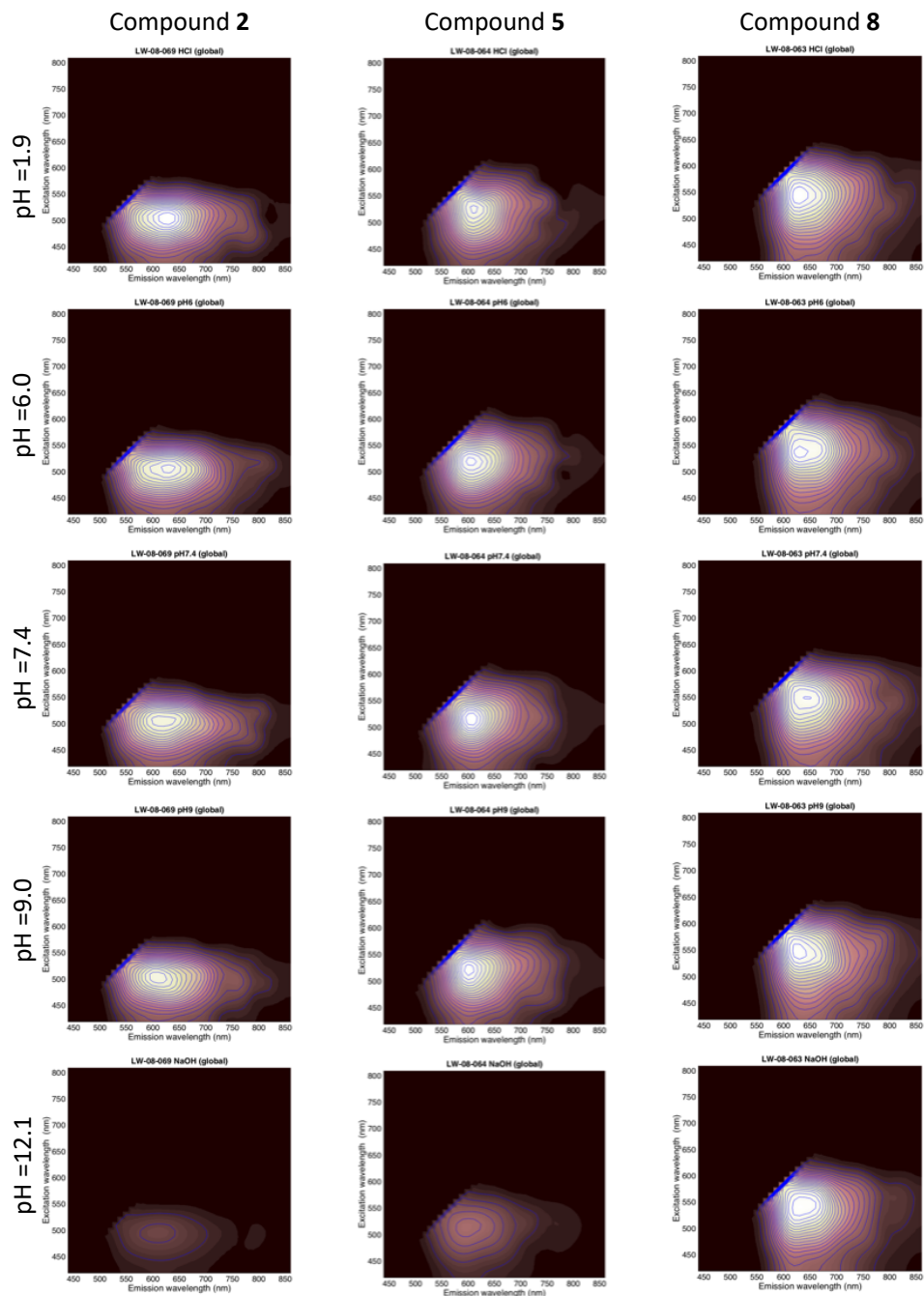


Figure A6. Excitation Emission Matrices (EEMs) of pH independent seminaphthorhodafluors (2, 5, and 8). Aqueous buffer solutions contain 10% DMSO and 12.5 mM HCl, phosphate buffer, or NaOH.

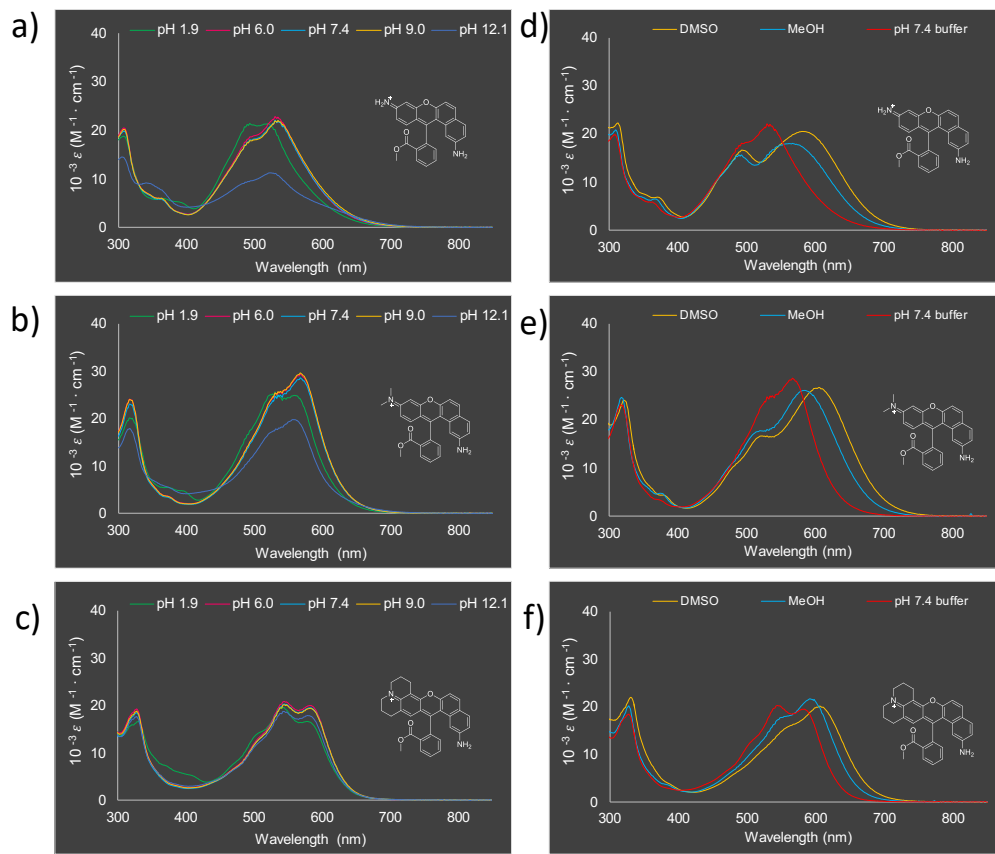


Figure A7. Absorption spectra of pH-independent but solvent modest sensitive seminaphthorhodamines (3, 6, and 9). (a-c) Absorption spectra as a function of pH for **3** (a), **6** (b), and **9** (c). (d-f) Absorption spectra as a function of solvents including DMSO, MeOH, and phosphate pH 7.4 buffer for **3** (d), **6** (e), and **9** (f). Aqueous buffer solutions contain 10% DMSO and 12.5 mM HCl, phosphate buffer, or NaOH. No pH equilibria behavior were observed for **3**, **6**, and **9**, with moderate sensitivity to polarity with the longest wavelengths in DMSO and shortest in aqueous solution.

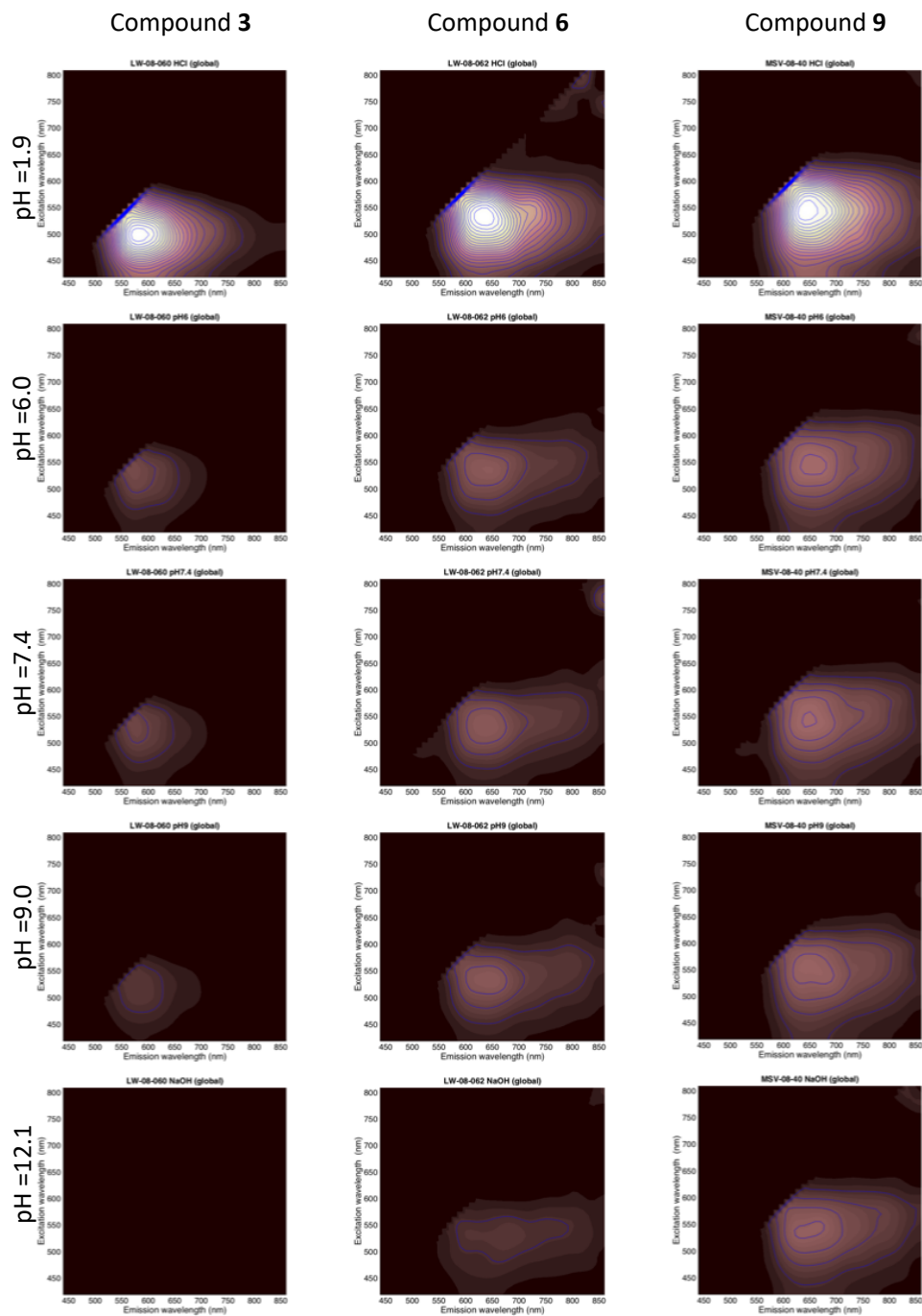


Figure A8. Excitation Emission Matrices (EEMs) of pH independent seminaphthorhodamines (3, 6, and 9). Aqueous buffer solutions contain 10% DMSO and 12.5 mM HCl, phosphate buffer, or NaOH.

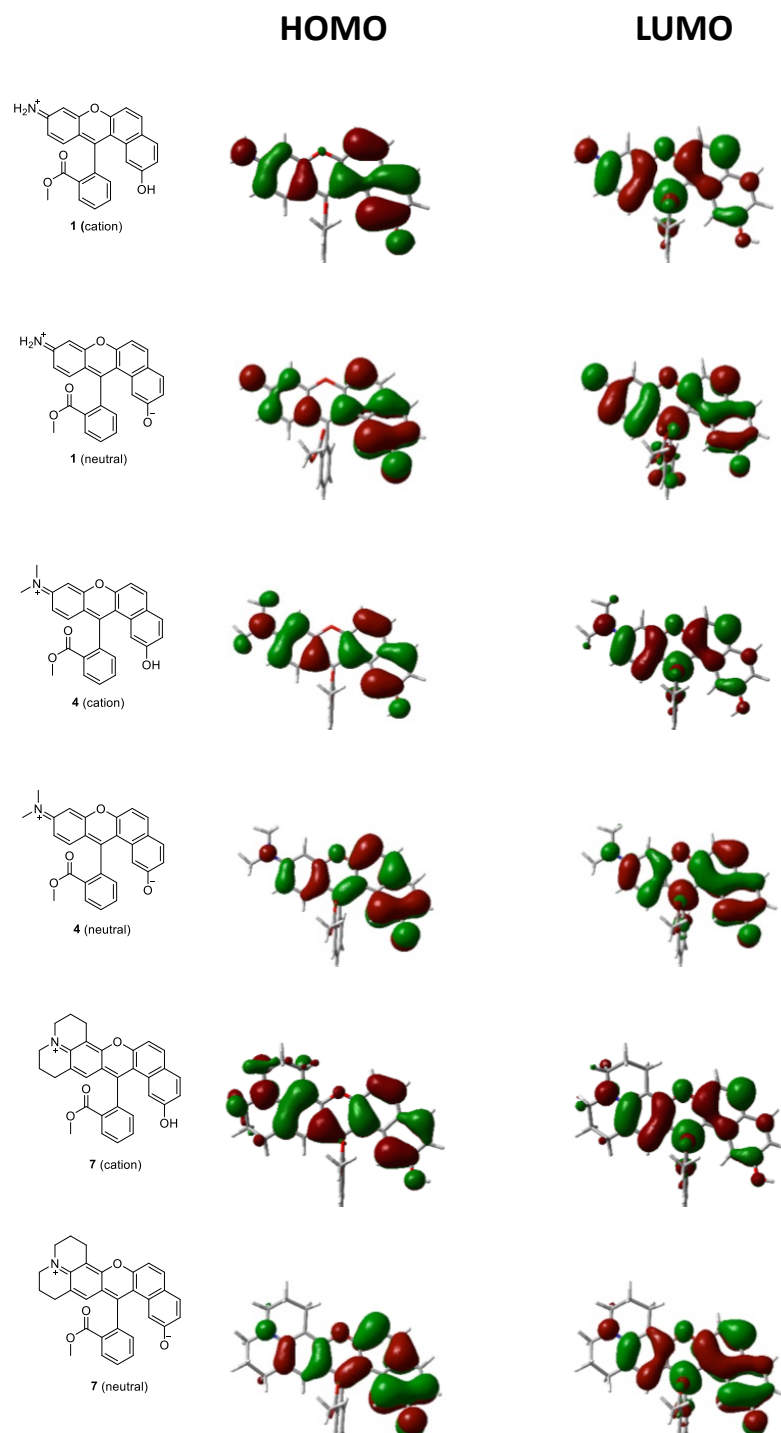


Figure A9. Calculated frontier molecular orbitals (HOMO and LUMO) for pH-dependent seminaphthorhodafluors (1, 4, and 7) and their conjugate bases.

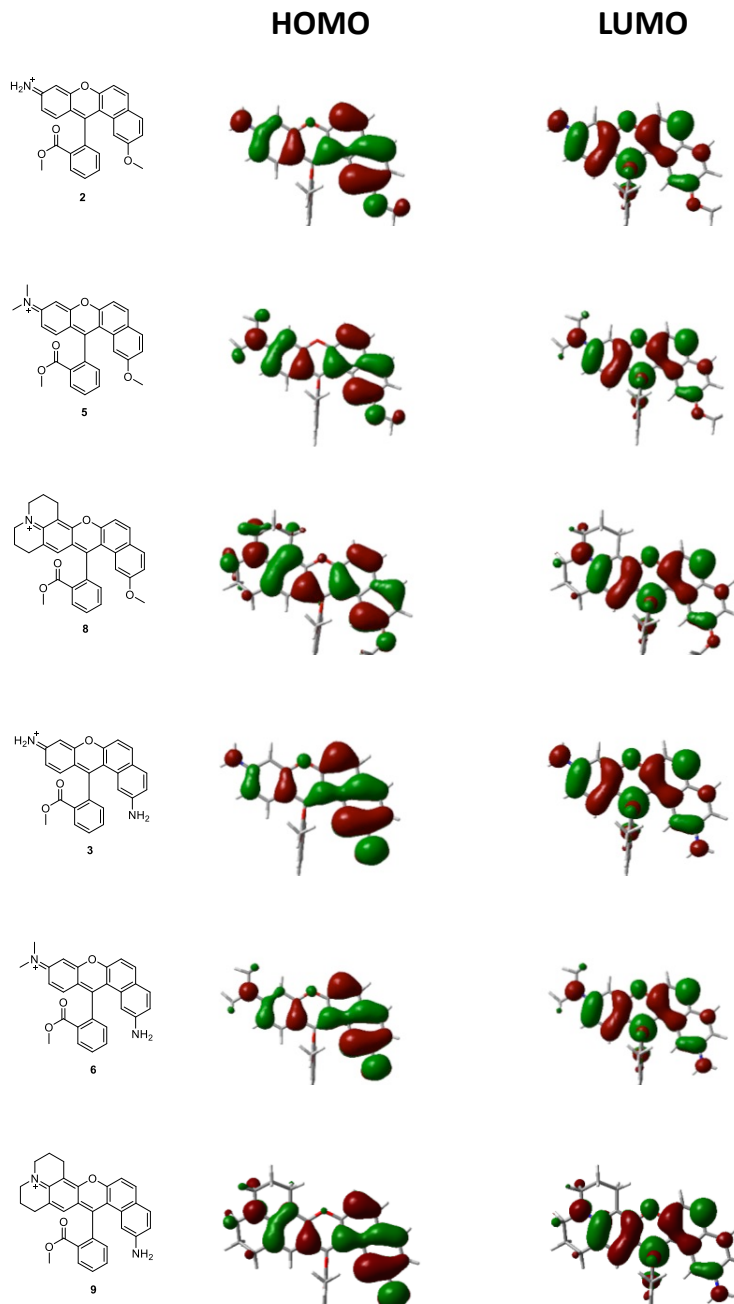


Figure A8. Calculated frontier molecular orbitals (HOMO and LUMO) for pH-independent seminaphthorhodafluors (2, 5, and 8) and seminaphthorhodamines (3, 6, and 9).

Table A2. Calculated HOMO and LUMO energies and their energy gaps for compounds 1-9 cations and 1, 4 and 7 neutral forms in the ground state.

Compound	Energy (eV)		
	HOMO	LUMO	Gap
1 cation	-8.8678	-5.9445	2.9234
1 neutral	-4.8924	-2.7190	2.1734
4 cation	-8.7242	-5.8726	2.8515
4 neutral	-4.7844	-2.6249	2.1595
7 cation	-8.3682	-5.5703	2.7979
7 neutral	-4.6982	-2.5626	2.1356
2 cation	-8.7005	-5.8775	2.8230
5 cation	-8.5152	-5.7349	2.7803
8 cation	-8.3818	-5.5932	2.7887
3 cation	-8.3974	-5.8013	2.5960
6 cation	-8.2648	-5.6669	2.5979
9 cation	-8.0757	-5.4557	2.6200

Table A3. Calculated dihedral angles for cations 1-9 and for the neutral forms of 1, 4, and 7 at $C_{(18-7-8-9)}$ and $C_{(7-8-9-10)}$.

Compound	$(\phi, ^\circ)$	
	$C_{(18-7-8-9)}$	$C_{(7-8-9-10)}$
1 cation	-5.2	-0.9
4 cation	-4.2	-0.4
7 cation	-4.9	-1.4
2 cation	-5.2	-1.2
5 cation	-4.6	-0.4
8 cation	-5.6	-3.1
3 cation	-5.5	-2.0
6 cation	-5.6	-1.9
9 cation	-4.5	0.2
1 neutral	-7.1	-10.4
4 neutral	-7.0	-10.5
7 neutral	-7.2	-11.2

Table A4. Calculated bond lengths for cations and neutral forms of compounds 1, 4, and 7 from carbon atoms (6-7-8-9-10-11).

Compound	Bond	Bond Length	
		cation (pm)	neutral (pm)
1	C6-C7	142	145
	C7-C8	143	140
	C8-C9	147	149
	C9-C10	141	138
	C10-C11	139	146
4	C6-C7	141	144
	C7-C8	143	140
	C8-C9	147	149
	C9-C10	141	138
	C10-C11	139	146
7	C6-C7	141	144
	C7-C8	143	140
	C8-C9	147	149
	C9-C10	141	138
	C10-C11	139	146

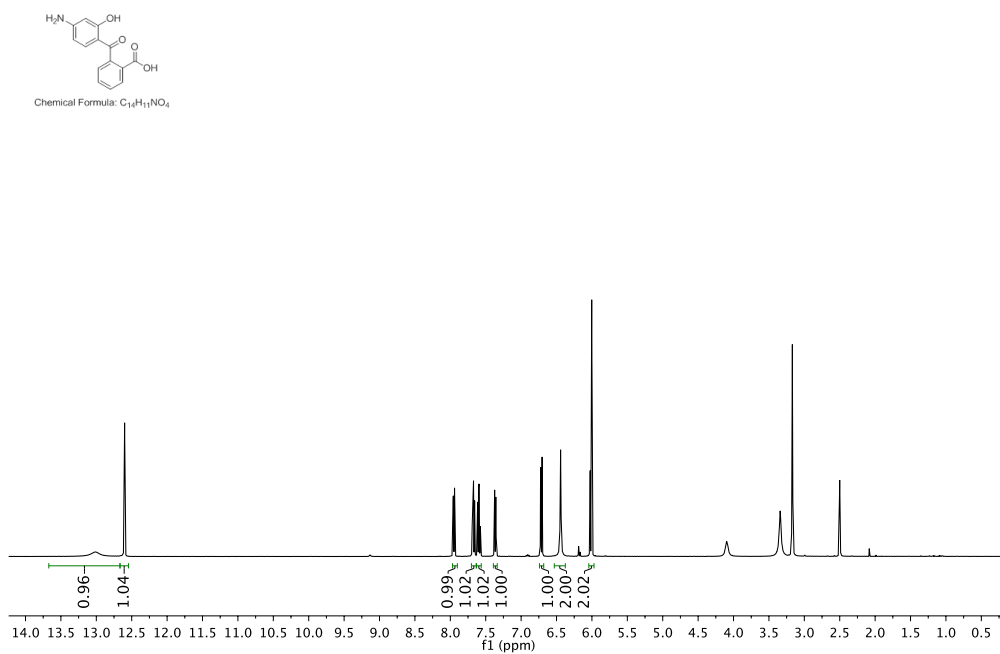


Figure A9. ^1H NMR spectrum of compound 11.

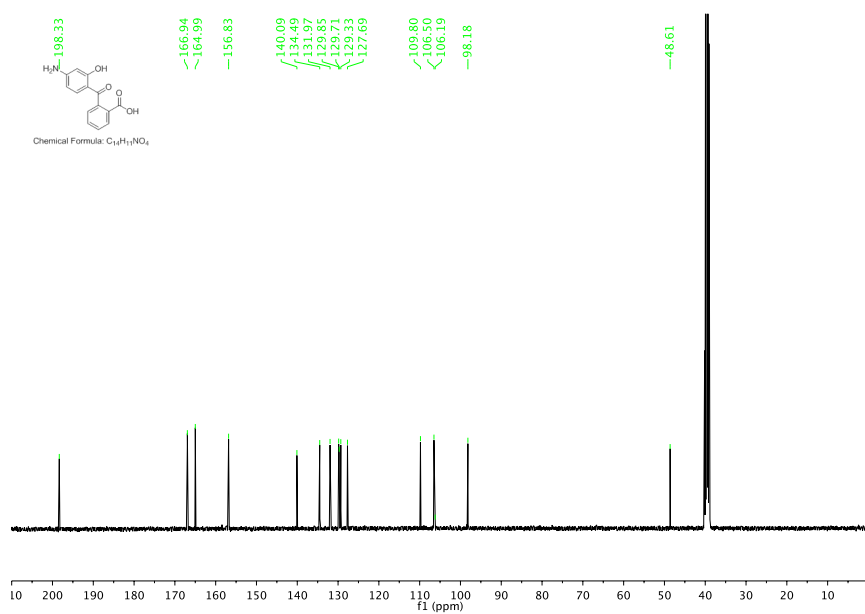


Figure A10. ^{13}C NMR spectrum of compound 11.

CS01-003_160511231626 #12-15 RT: 0.25-0.30 AV: 4 NL: 1.50E4
T: FTMS - p ESI Full ms [50.00-2000.00]

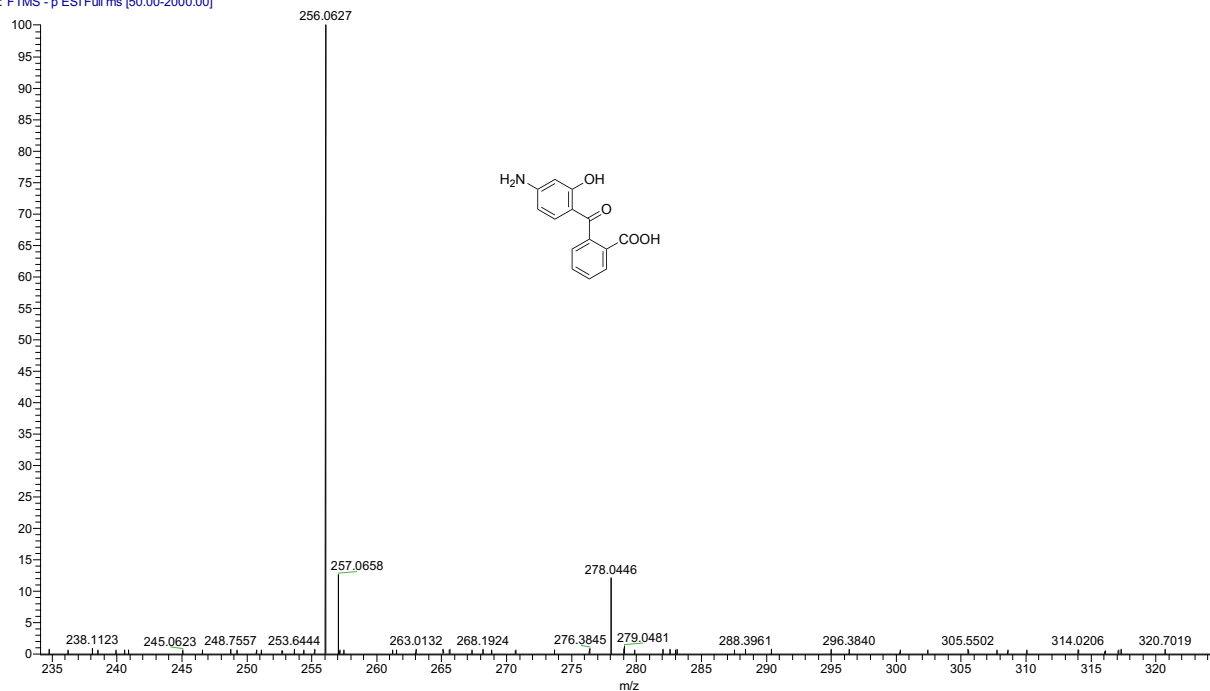


Figure A11. HR ESI positive mode spectrum of compound 11.

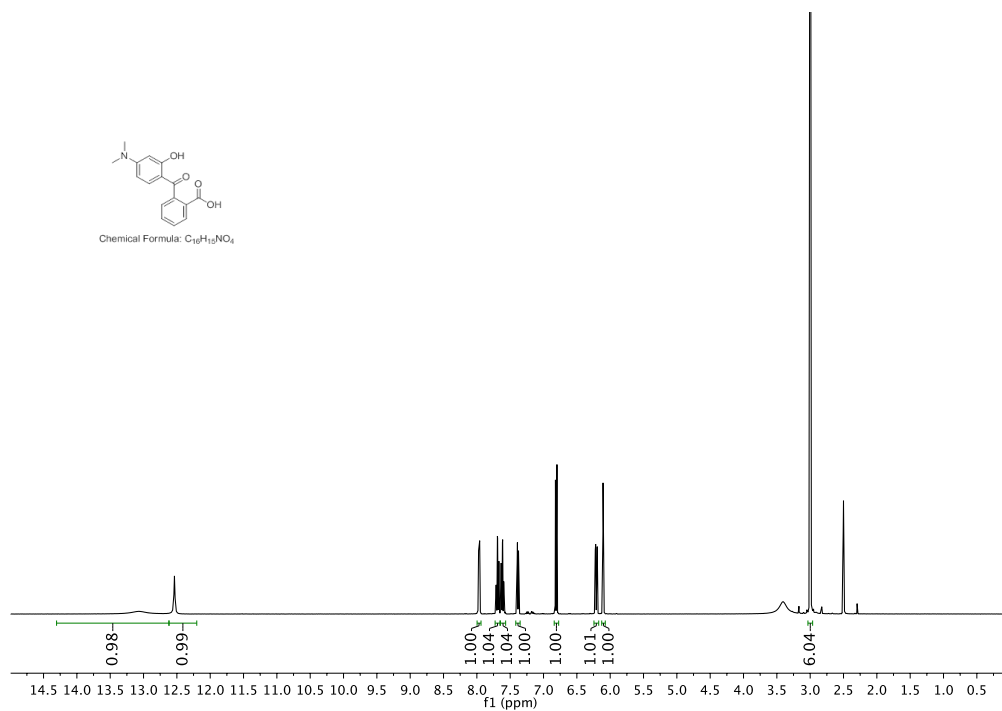


Figure A12. ^1H NMR spectrum of compound 20.

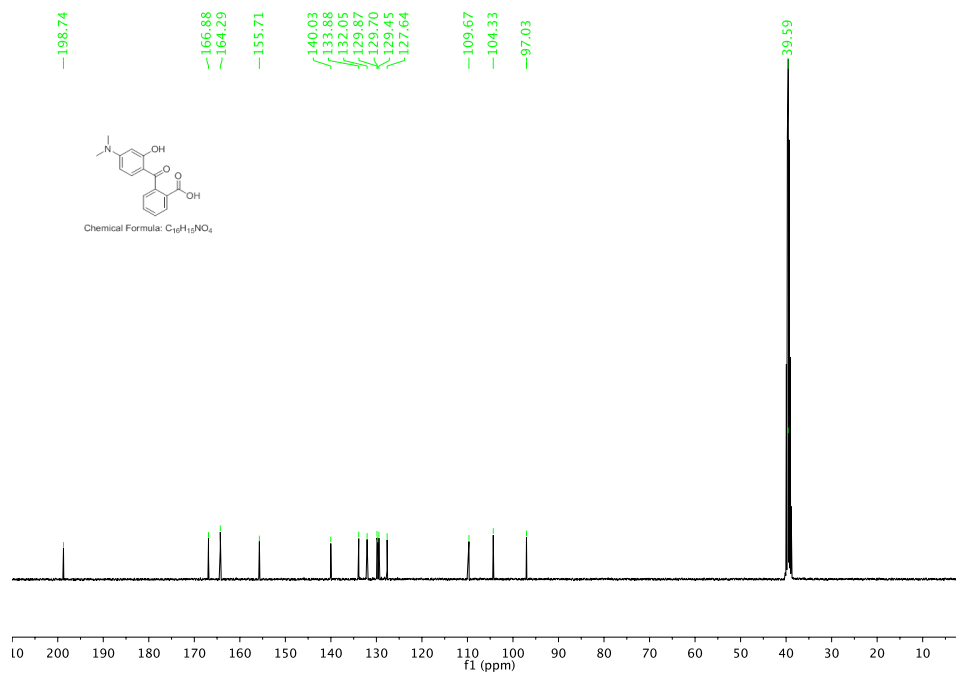


Figure A13. ^{13}C NMR spectrum of compound **20**.

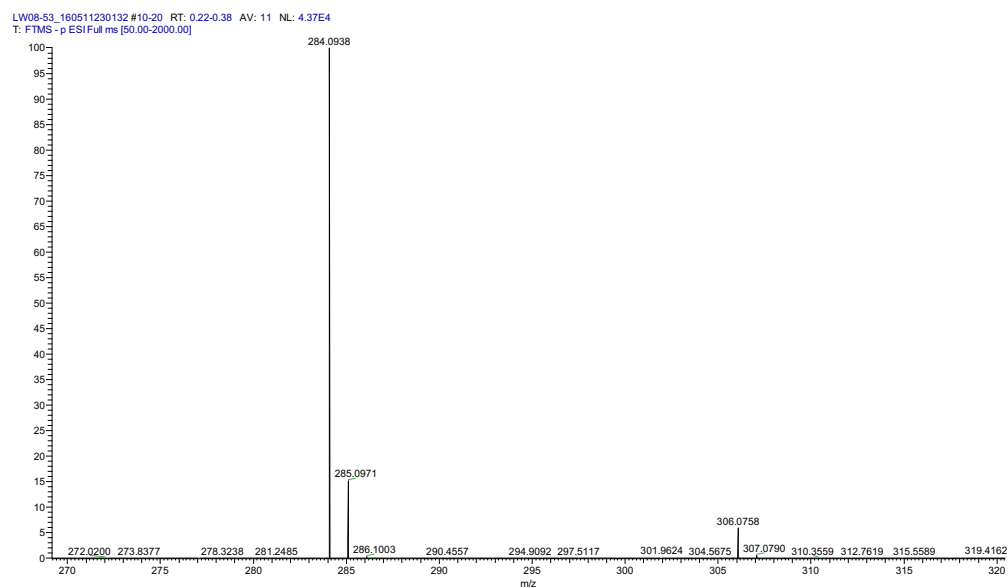


Figure A14. HR ESI positive mode spectrum of compound **20**.

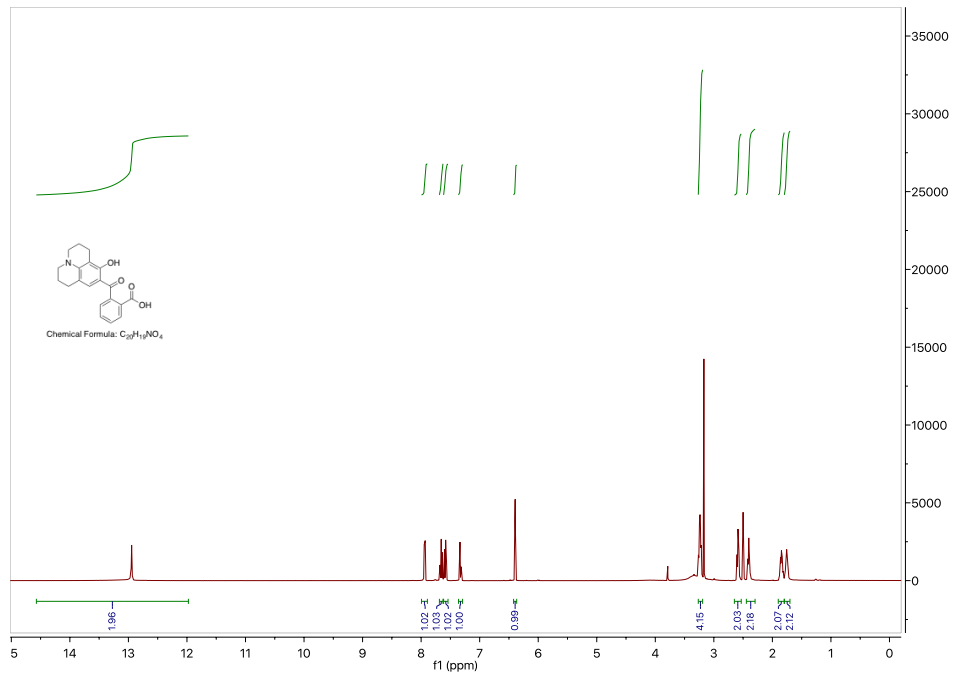


Figure A15. ^1H NMR spectrum of compound **25**.

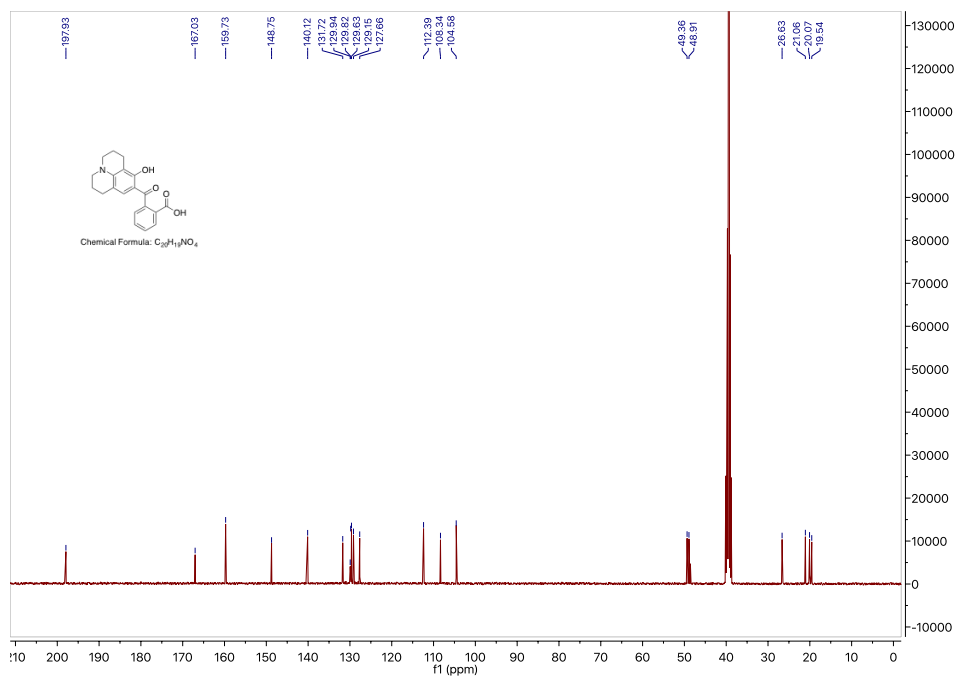


Figure A6. ^{13}C NMR spectrum of compound **25**.

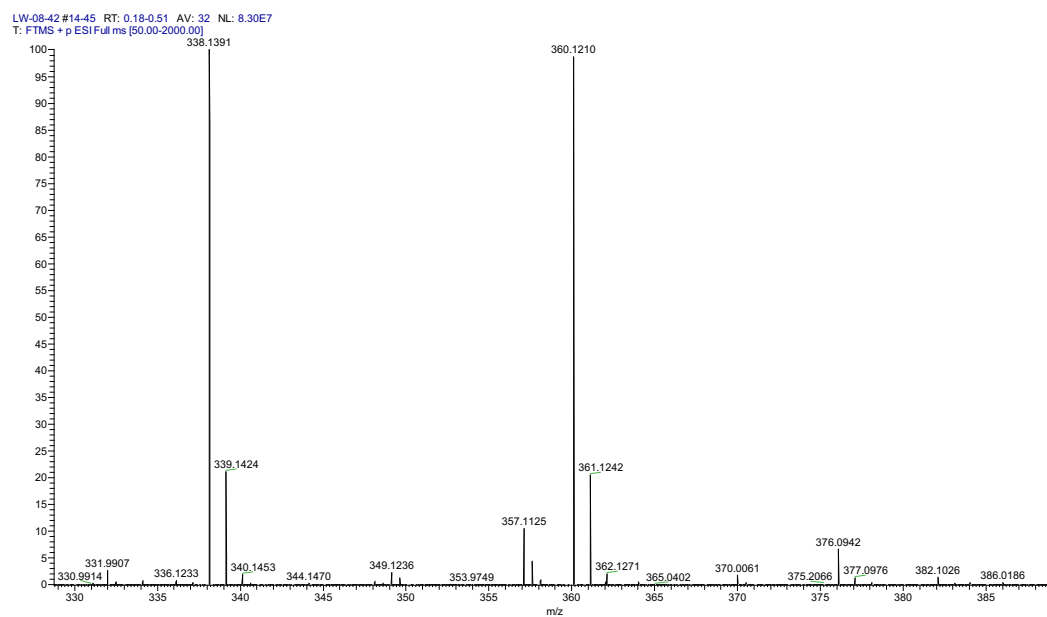


Figure A17. HR ESI positive mode spectrum of compound **25**.

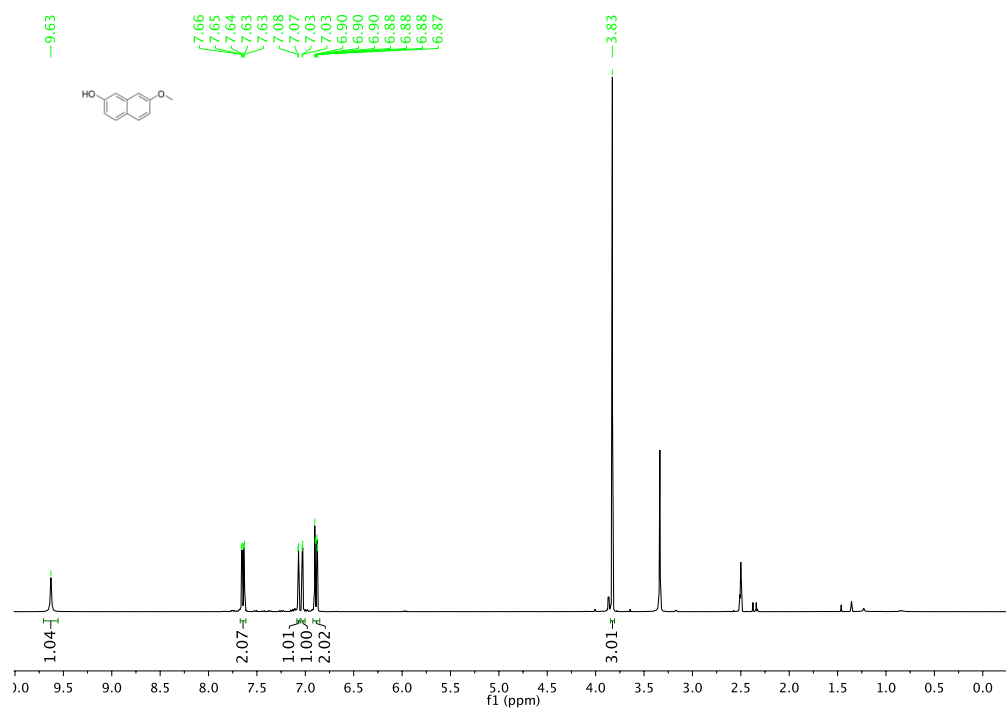


Figure A18. ¹H NMR spectrum of compound **13**.

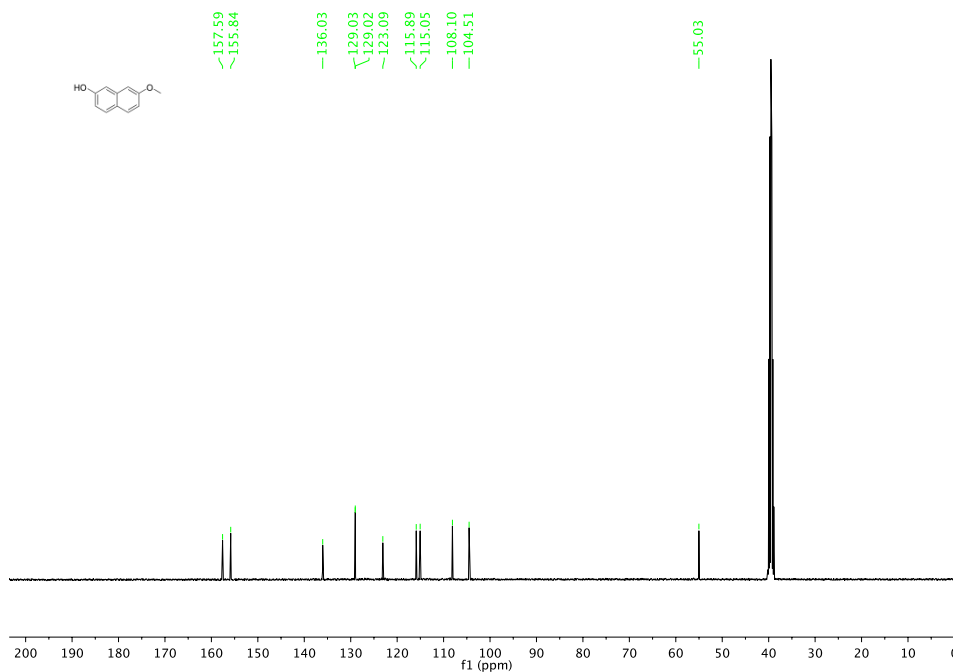


Figure A19. ^{13}C NMR spectrum of compound **13**.

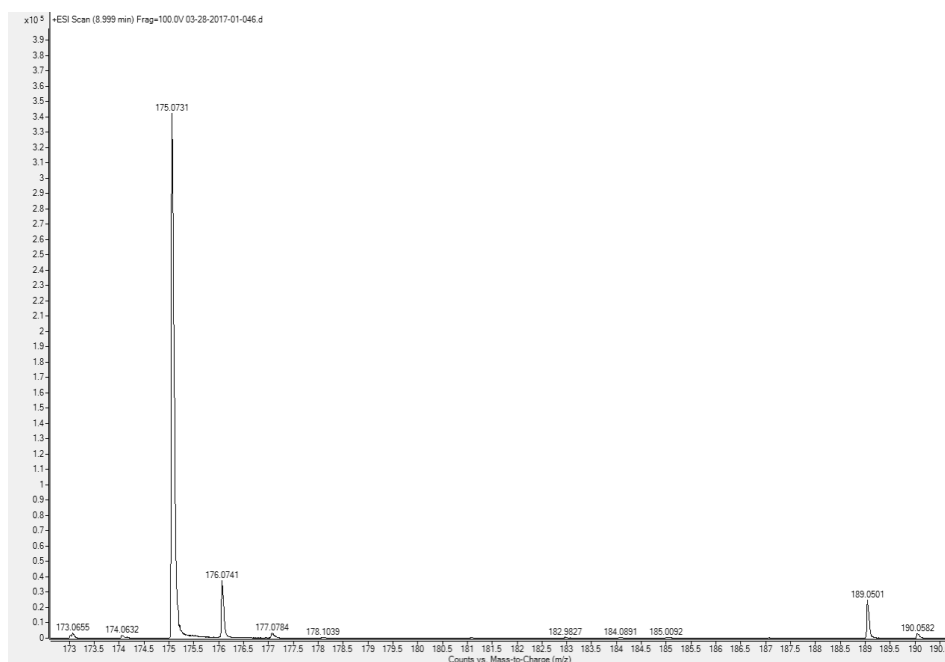


Figure A20. HR ESI positive mode spectrum of compound **13**.

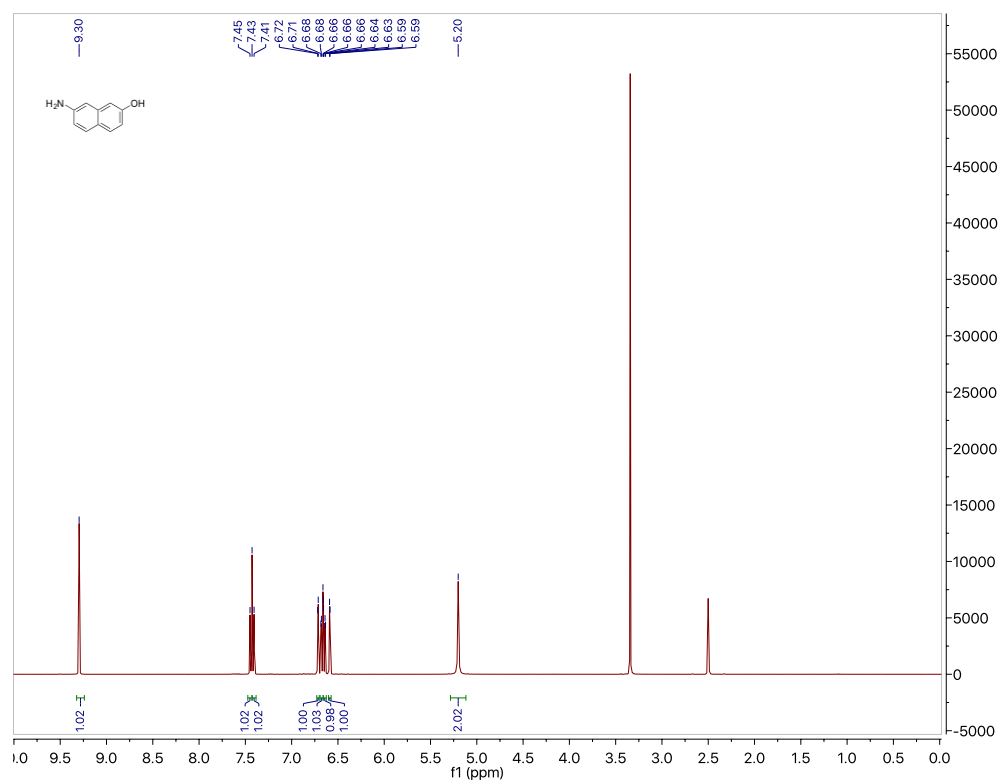


Figure A21. ¹H NMR spectrum of compound **14**.

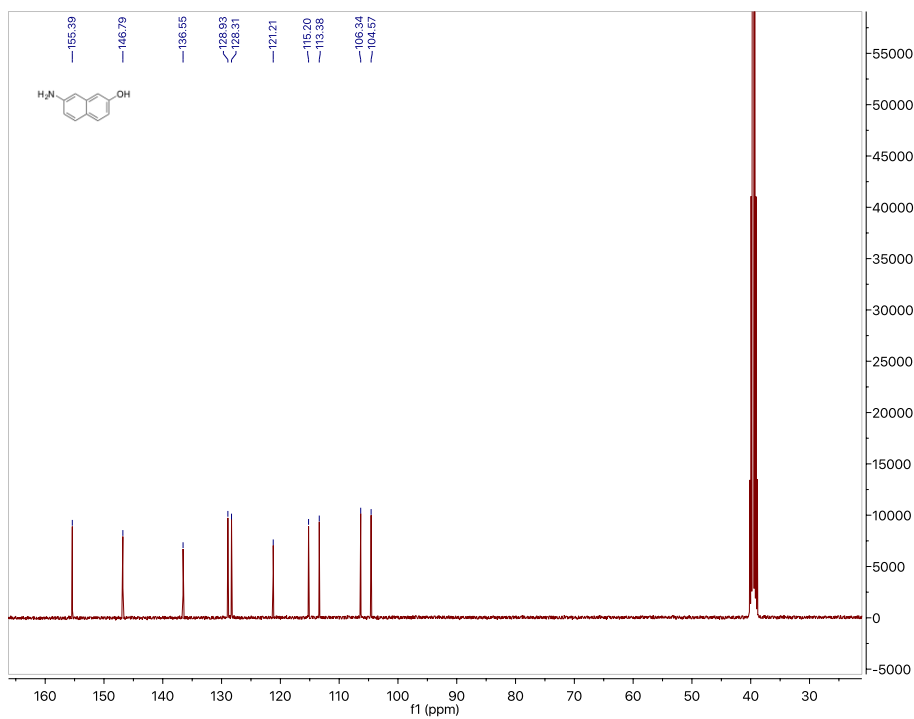


Figure A22. ^{13}C NMR spectrum of compound **14**.

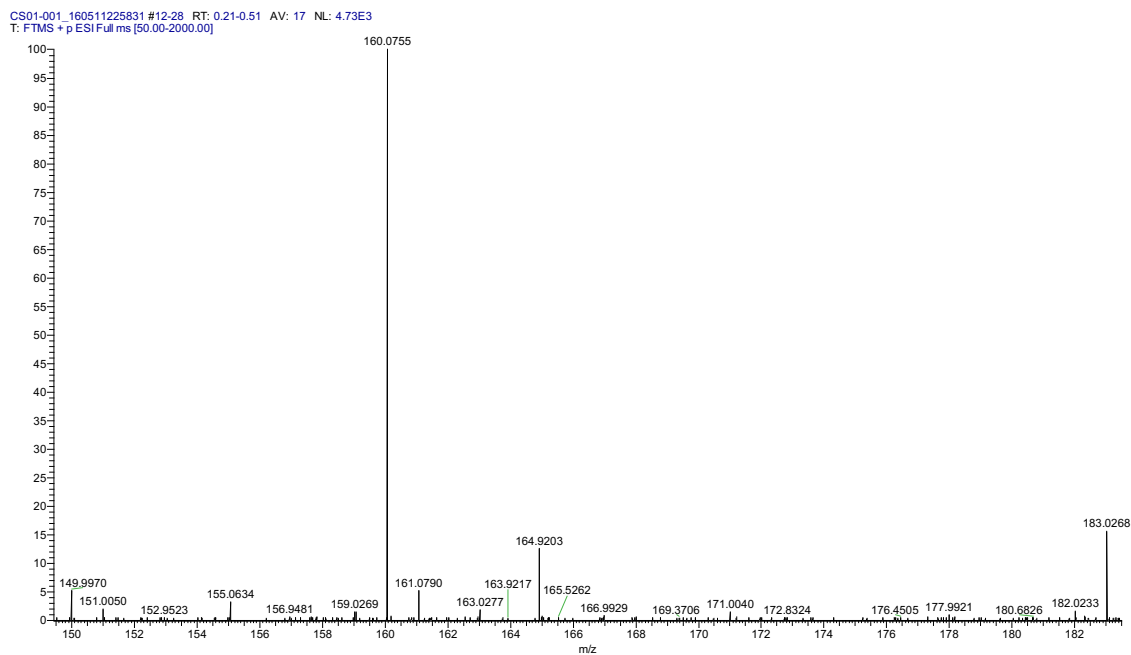


Figure A23. HR ESI positive mode spectrum of compound **14**.

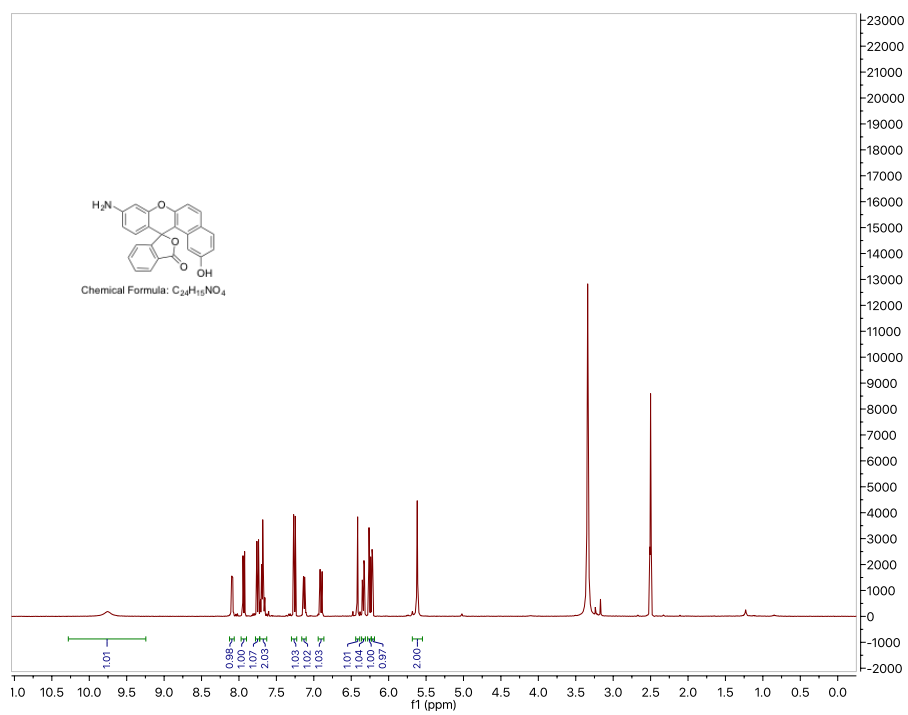


Figure A24. 1H NMR spectrum of compound **15**.

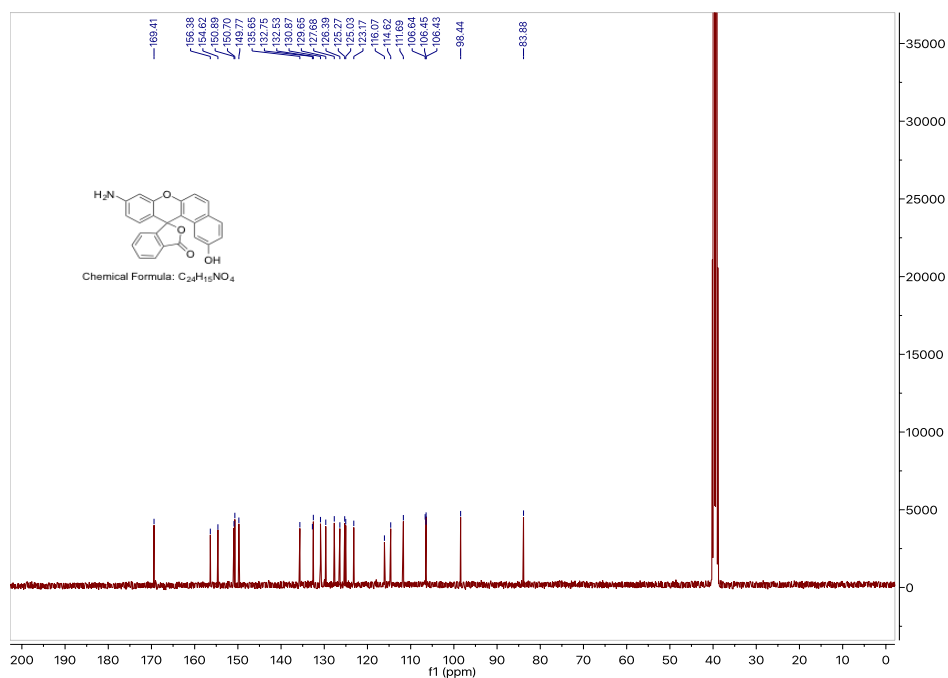


Figure A25. ^{13}C NMR spectrum of compound 15.

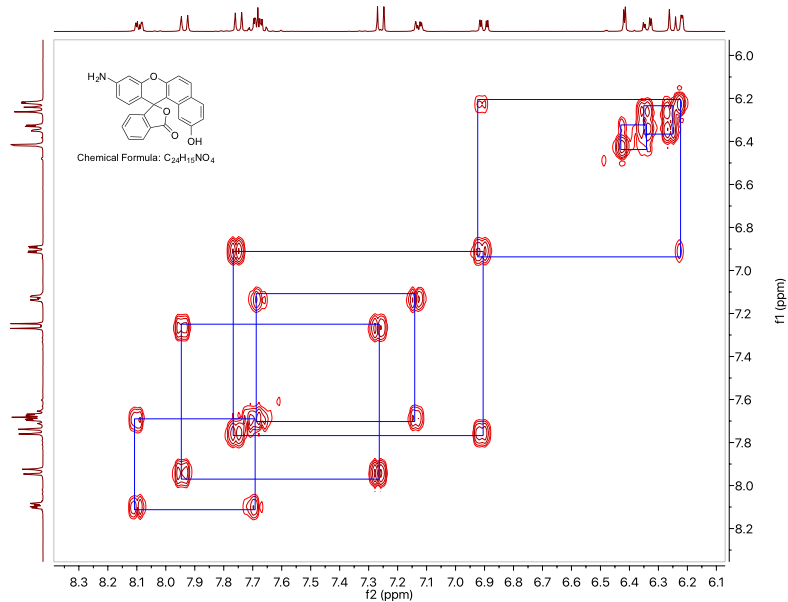


Figure A26. 2D COSY NMR spectrum for compound 15.

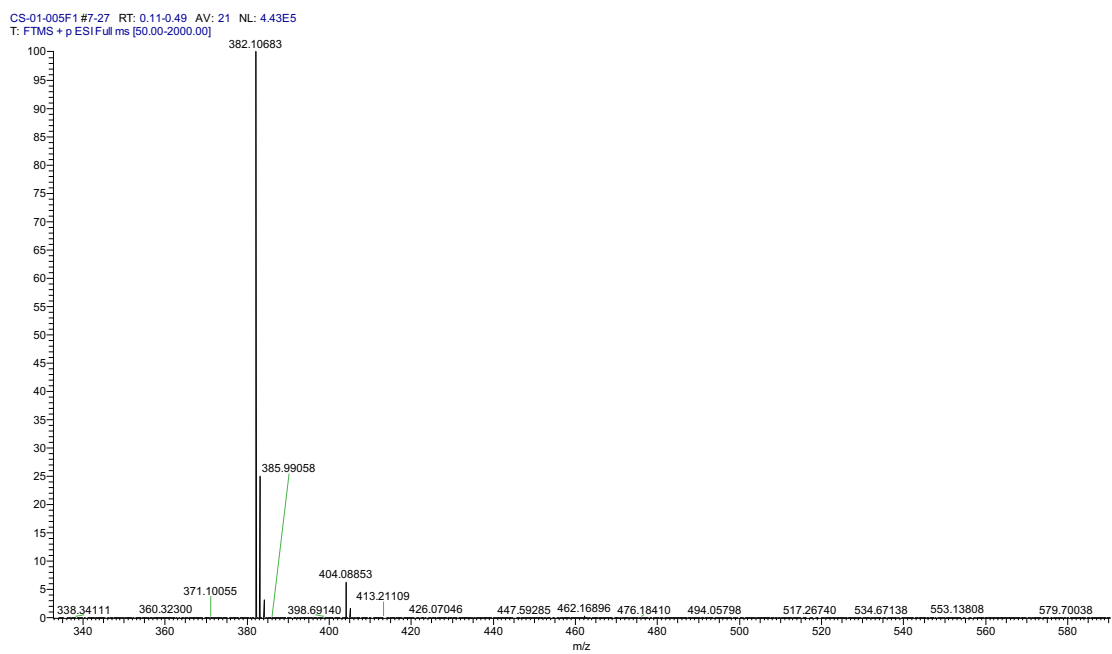


Figure A27. HR ESI positive mode spectrum of compound **15**.

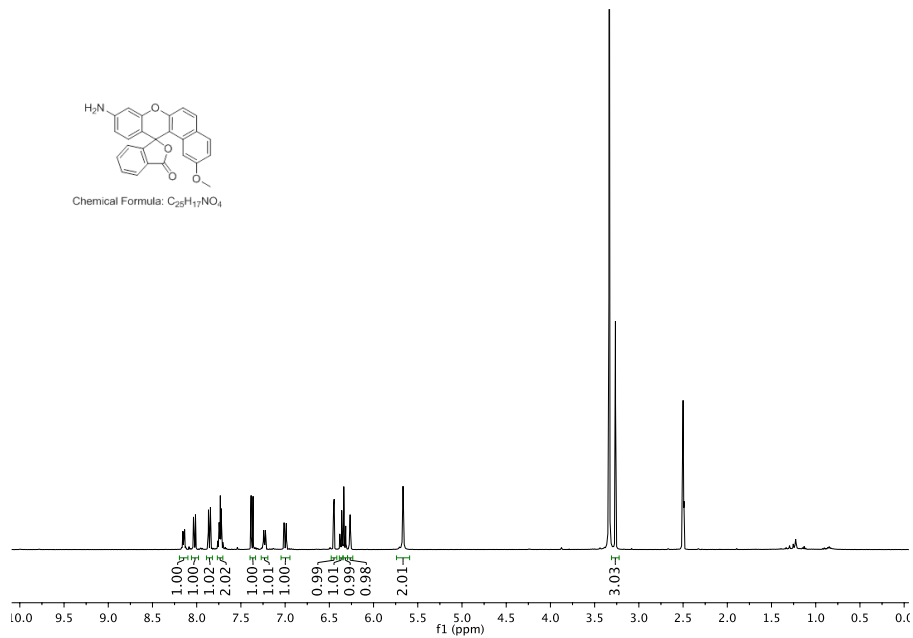


Figure A28. ^1H NMR spectrum of compound **16**.

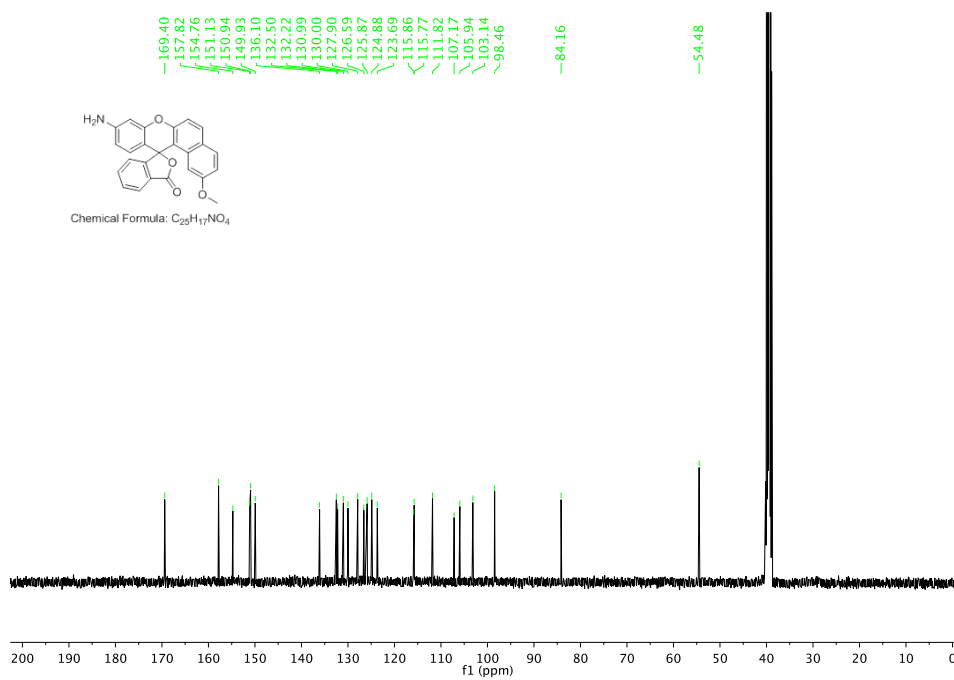


Figure A29. ¹³C NMR spectrum of compound 16.

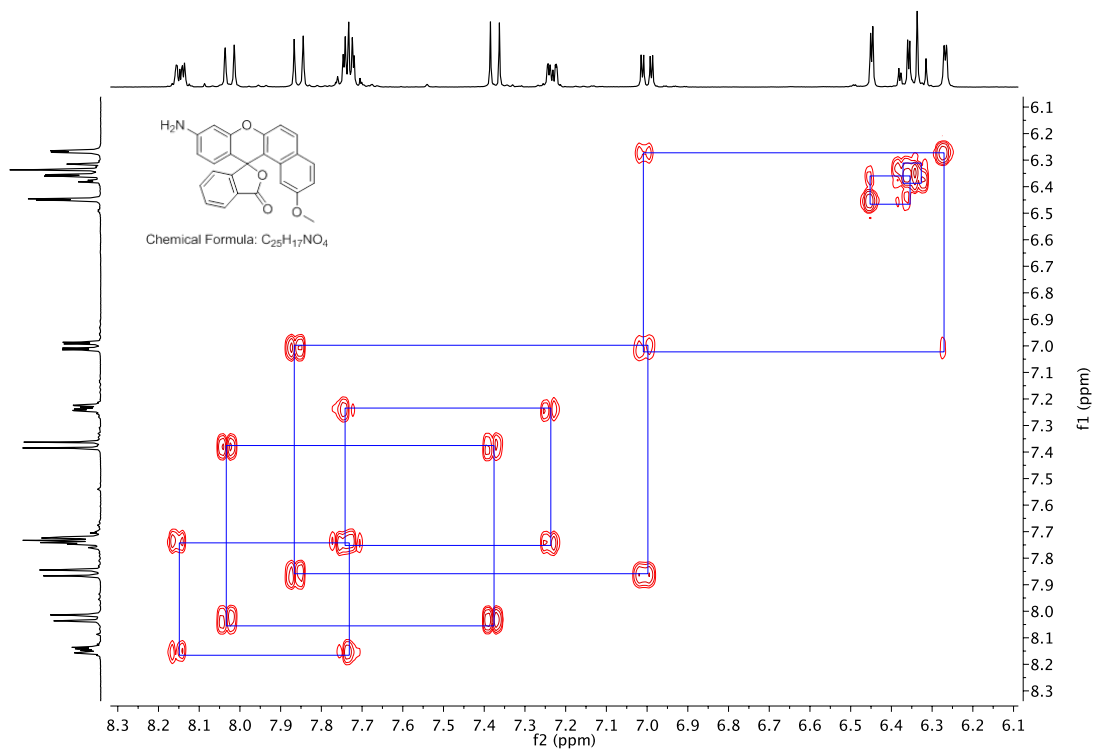


Figure A30. 2D COSY NMR spectrum for compound **16**.

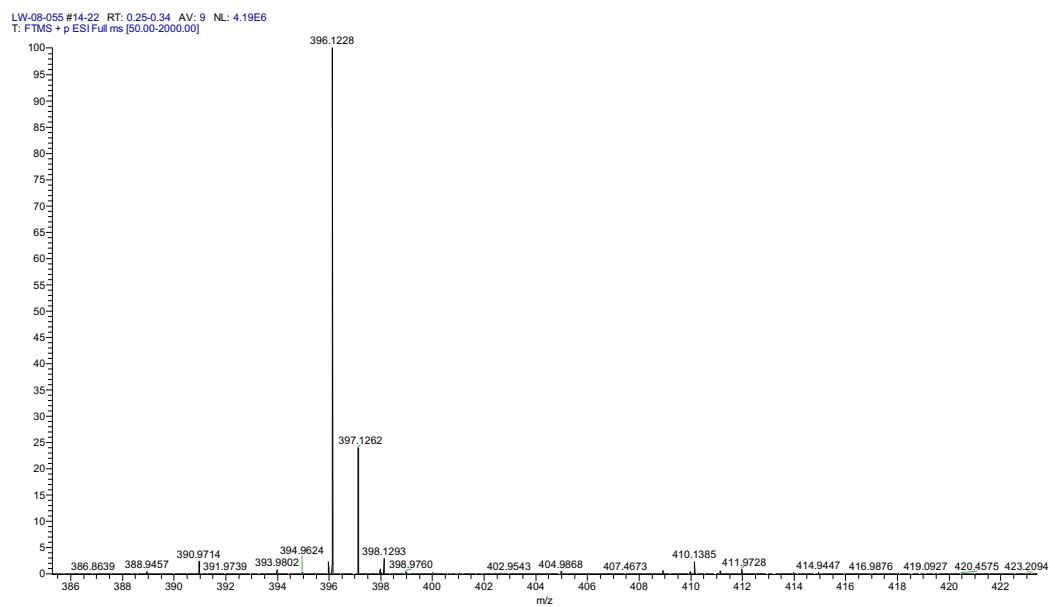


Figure A31. HR ESI positive mode spectrum of compound **16**.

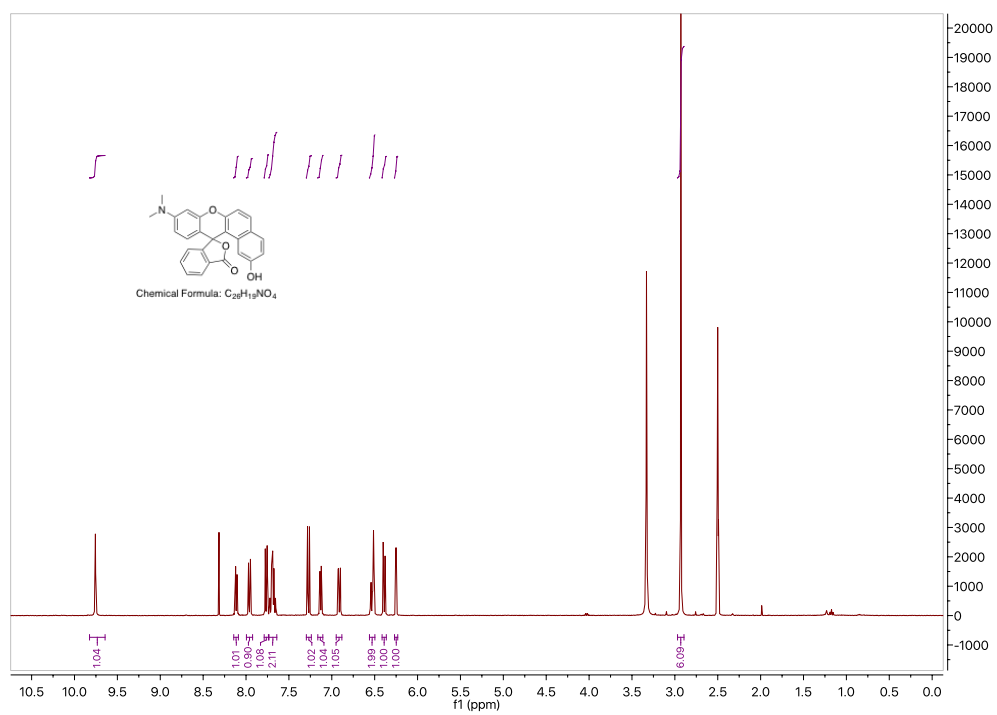


Figure A32. ^1H NMR spectrum of compound **21**.

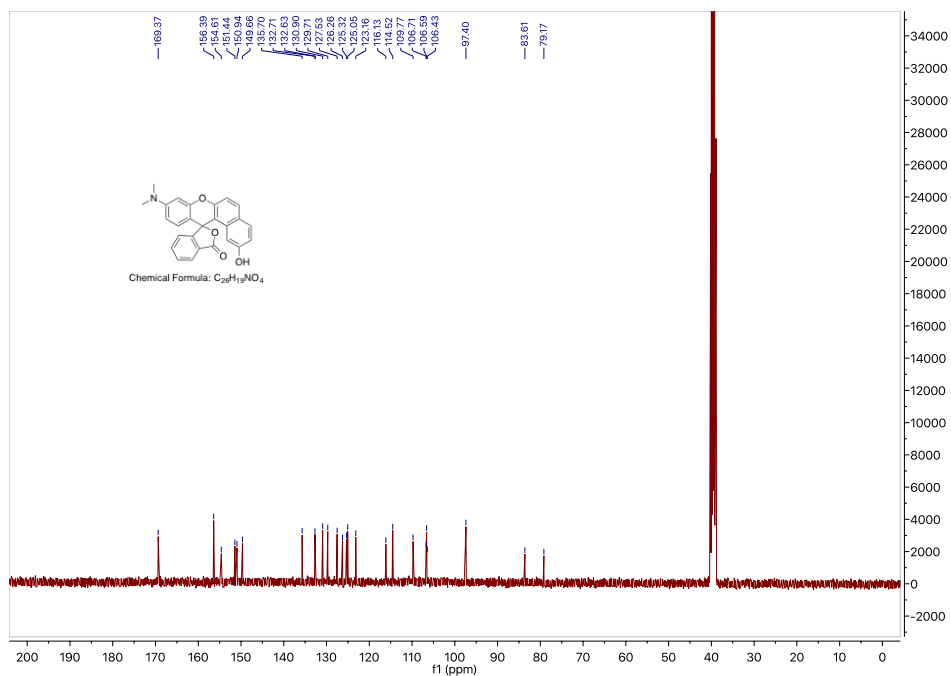


Figure A33. ^{13}C NMR spectrum of compound **21**.

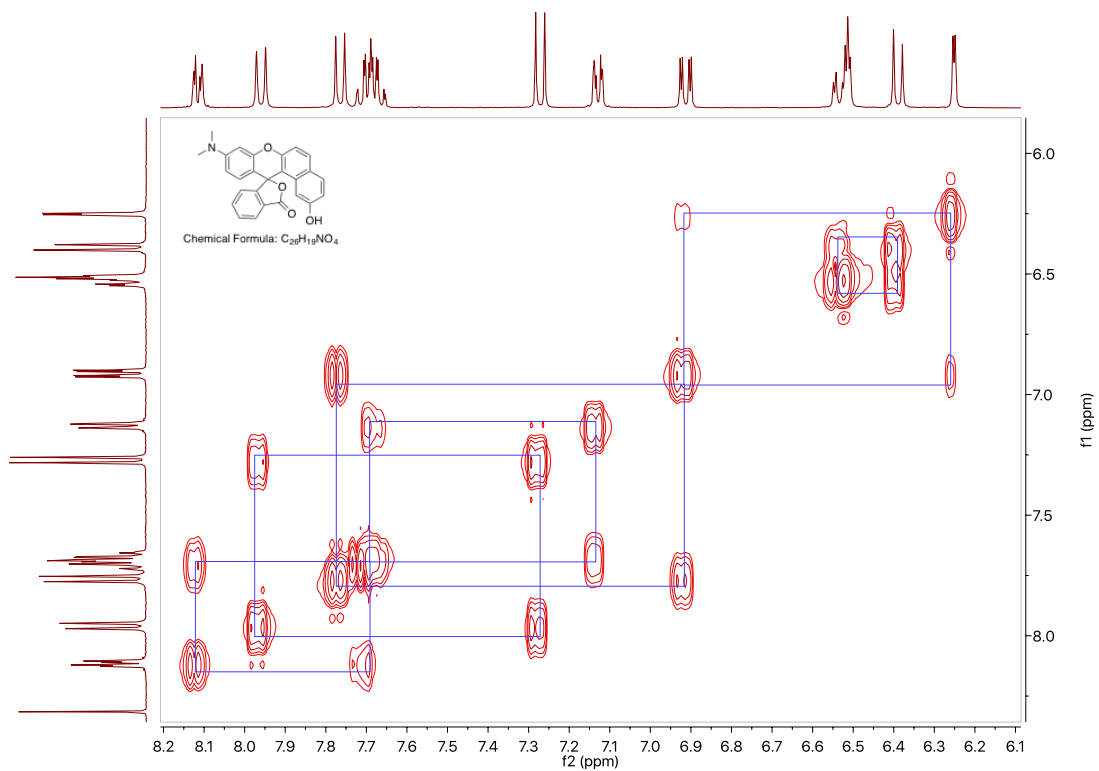


Figure A34. 2D COSY NMR spectrum for compound **21**.

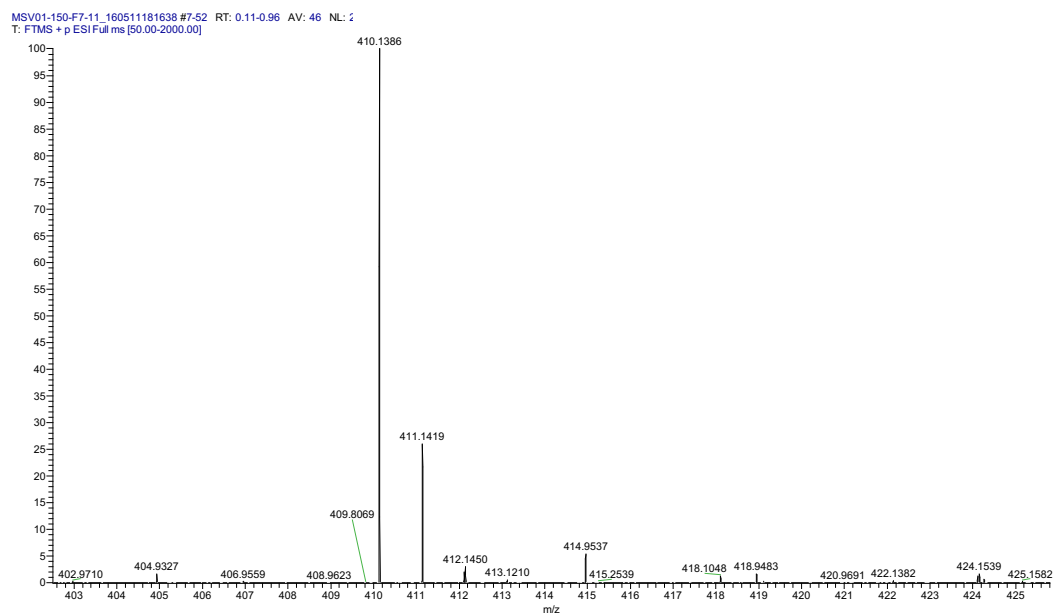


Figure A35. HR ESI positive mode spectrum of compound **21**.

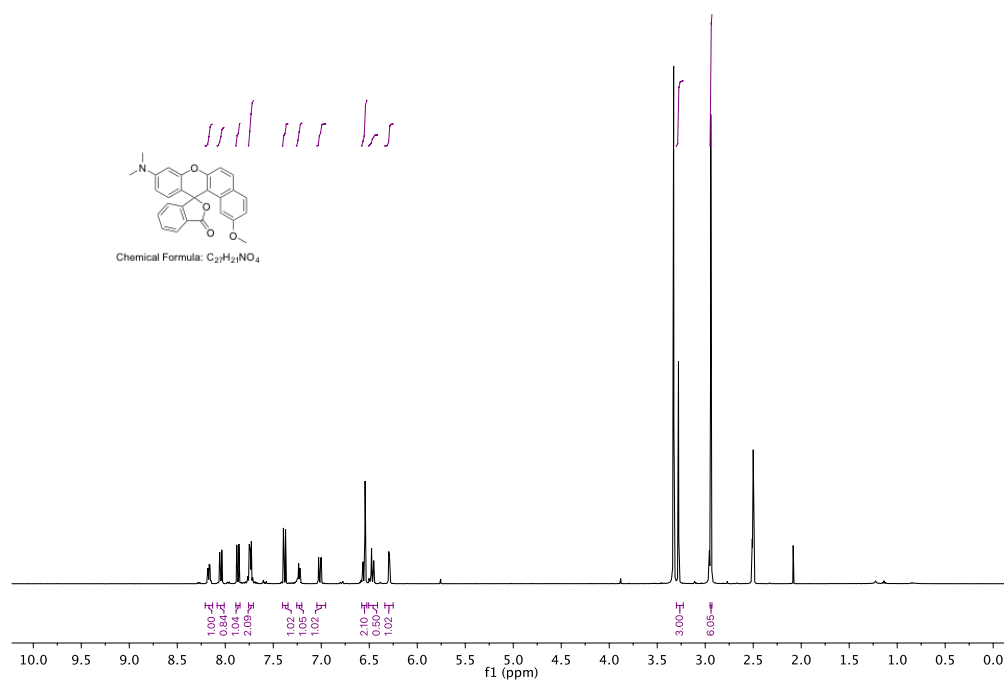


Figure A36. 1H NMR spectrum of compound **22**.

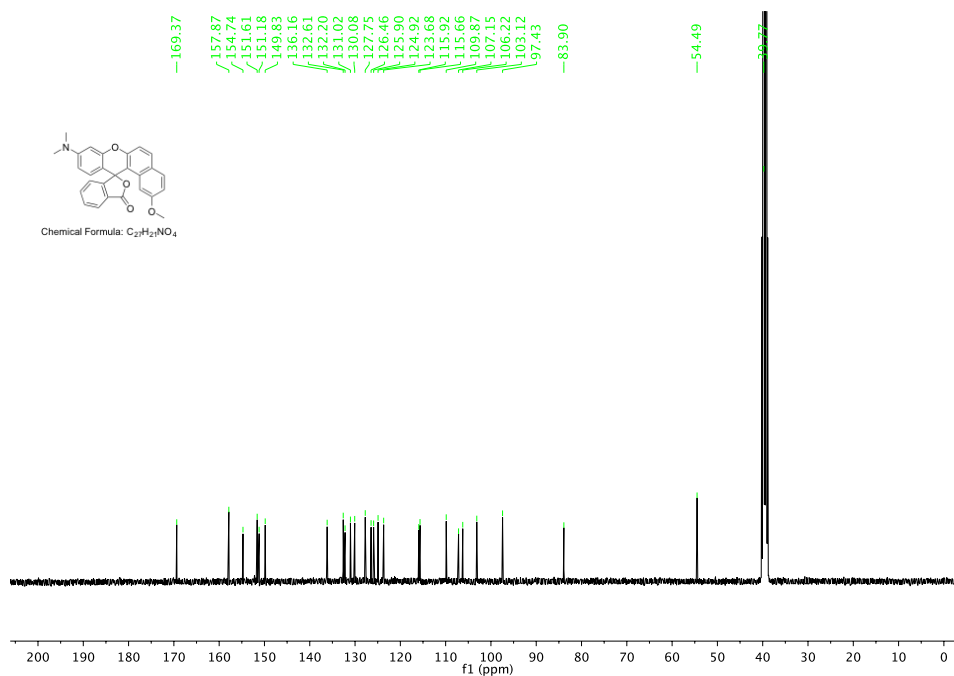


Figure A37. ¹³C NMR spectrum of compound **22**.

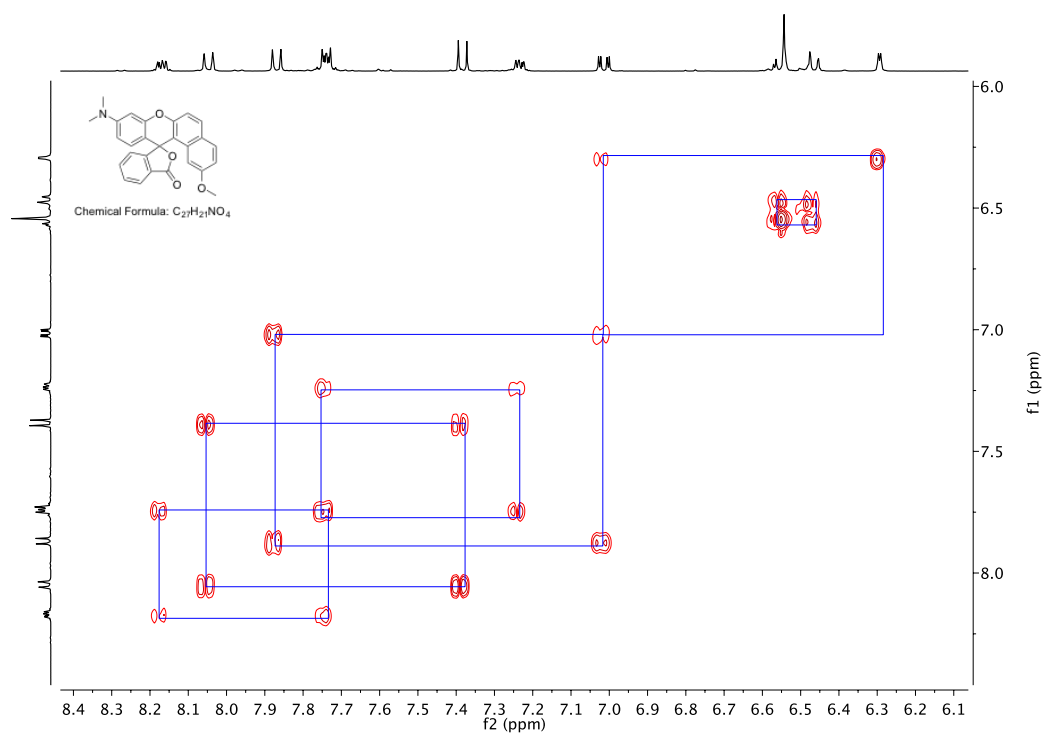


Figure A38. 2D COSY NMR spectrum for compound **22**.

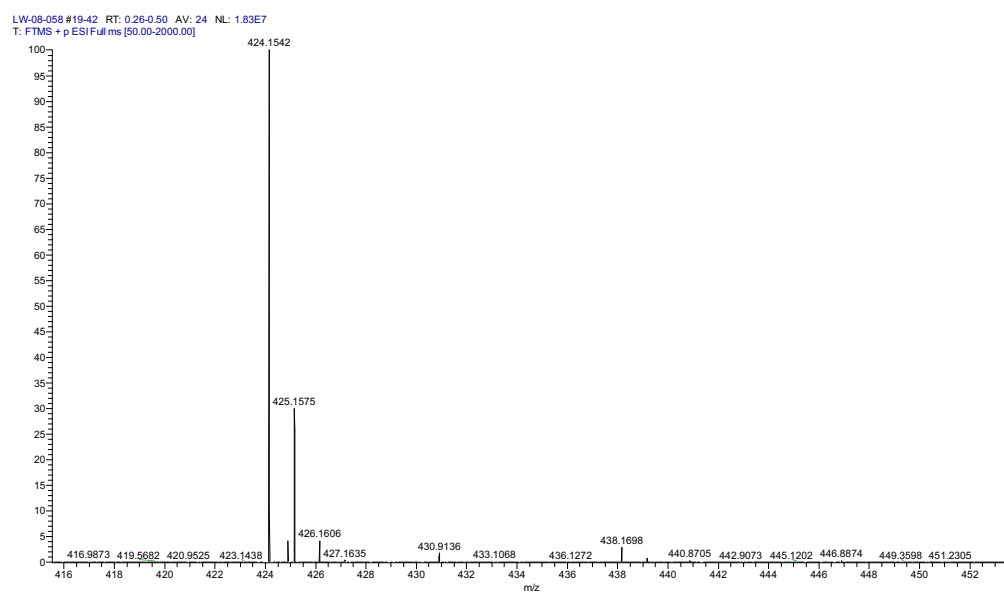


Figure A39. HR ESI positive mode spectrum of compound **22**.

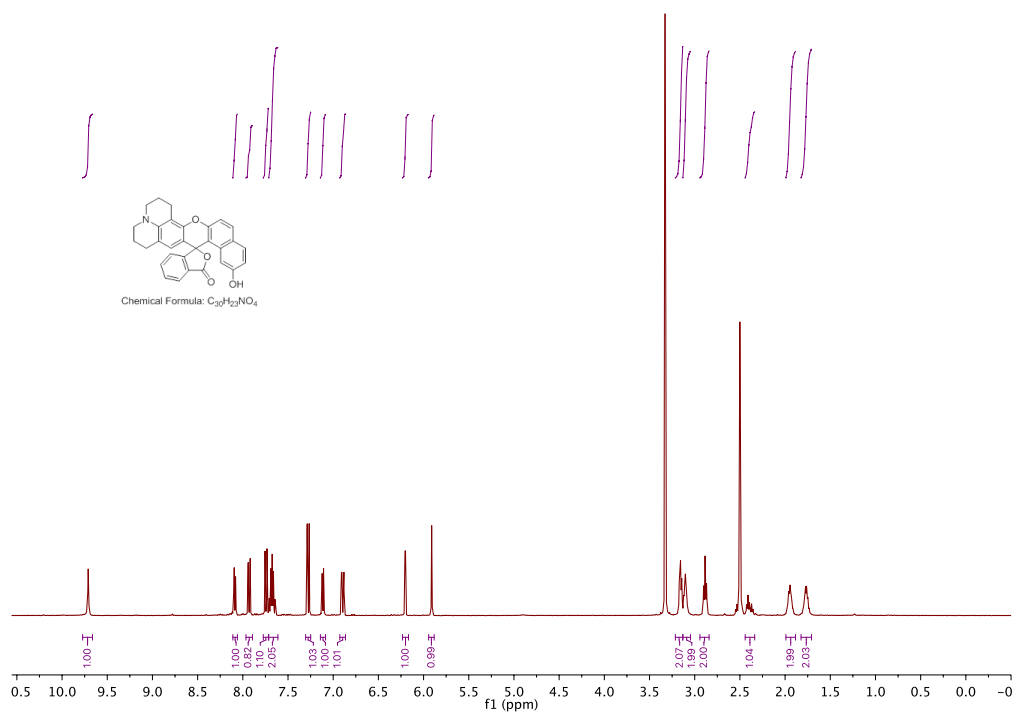


Figure A40. 1H NMR spectrum of compound **26**.

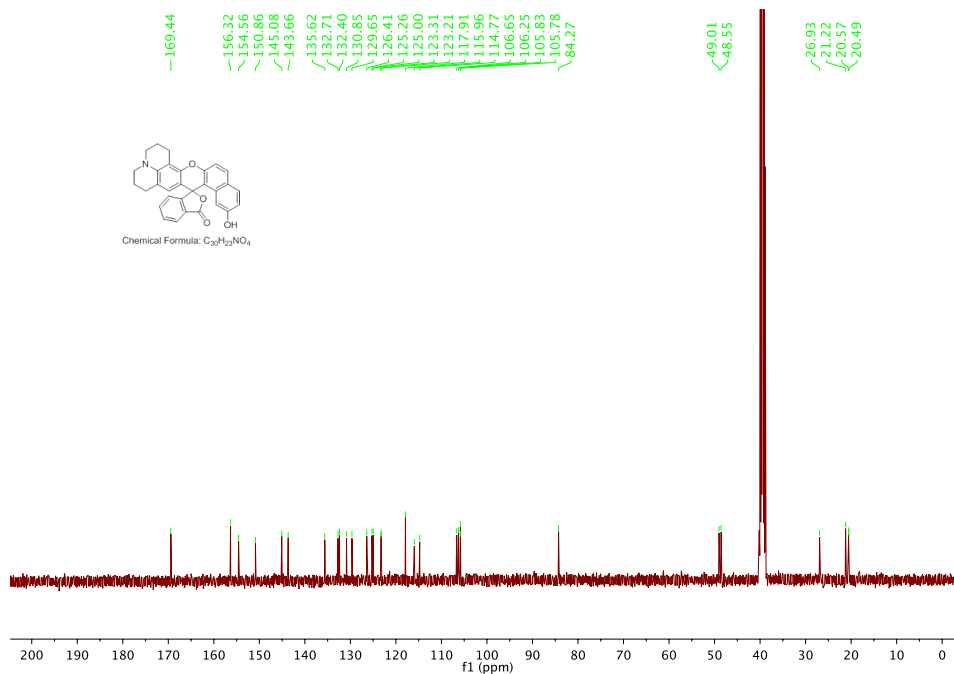


Figure A41. ^{13}C NMR spectrum of compound **26**.

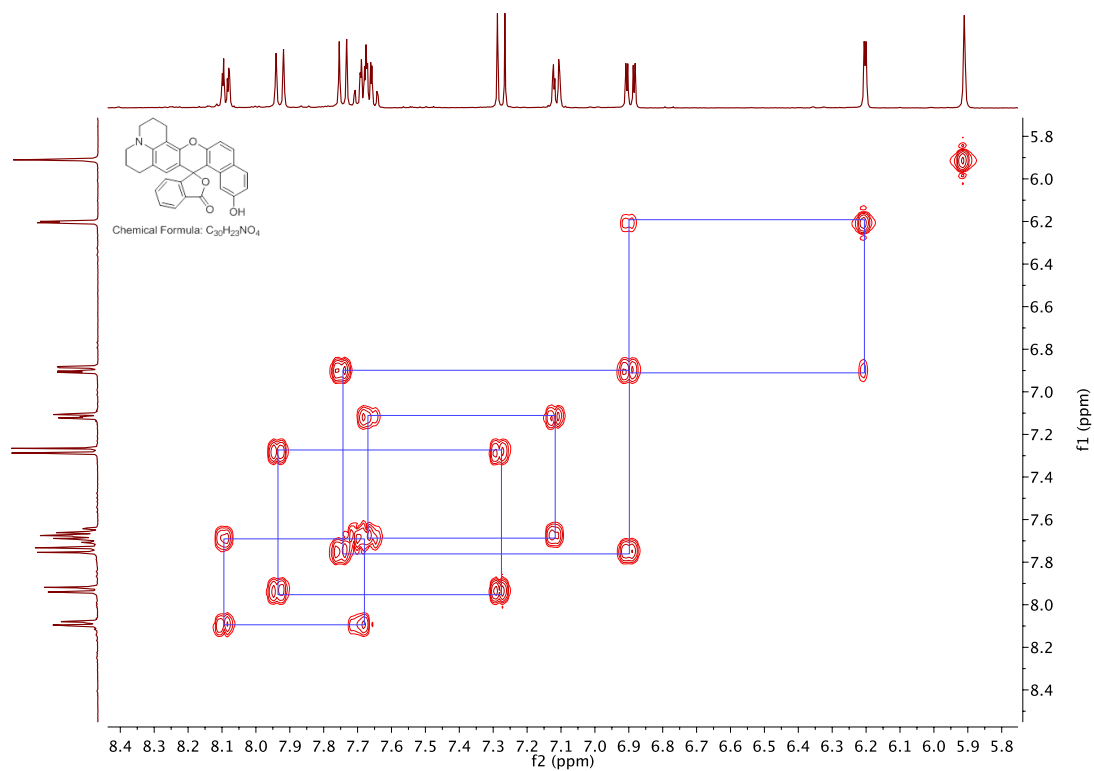


Figure A42. 2D COSY NMR spectrum for compound **26**.

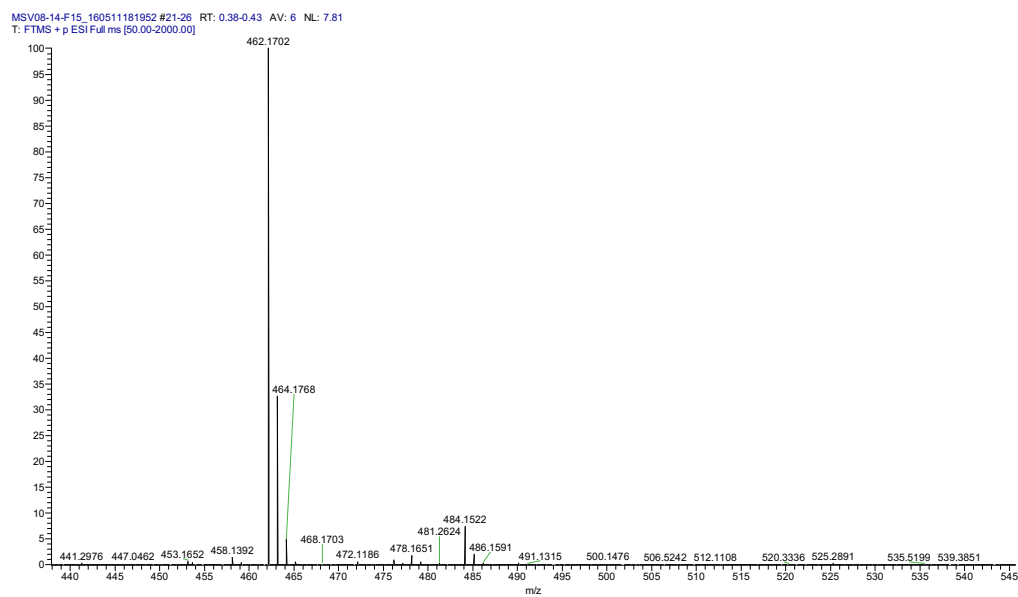


Figure A43. HR ESI positive mode spectrum of compound **26**.

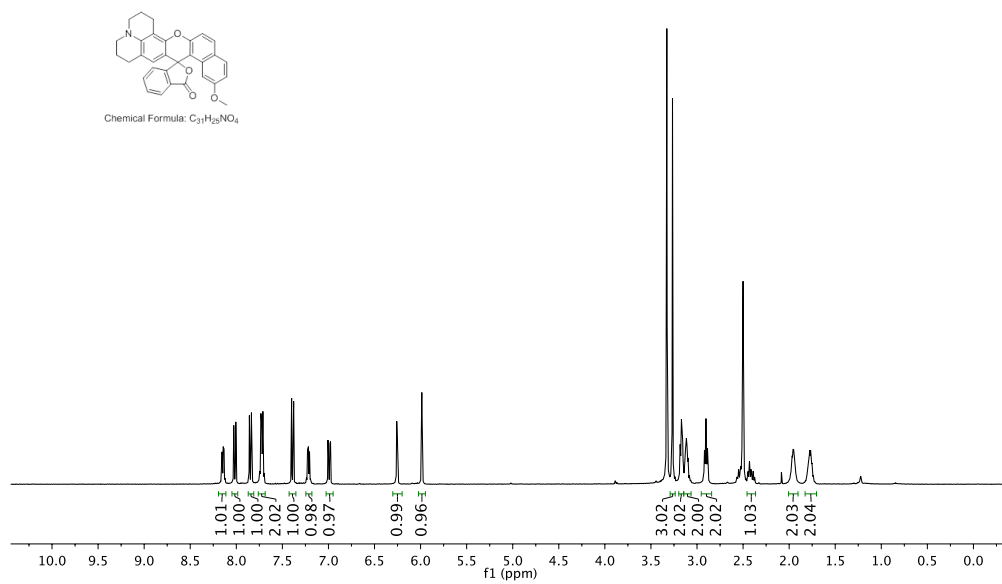


Figure A44. 1H NMR spectrum of compound **27**.

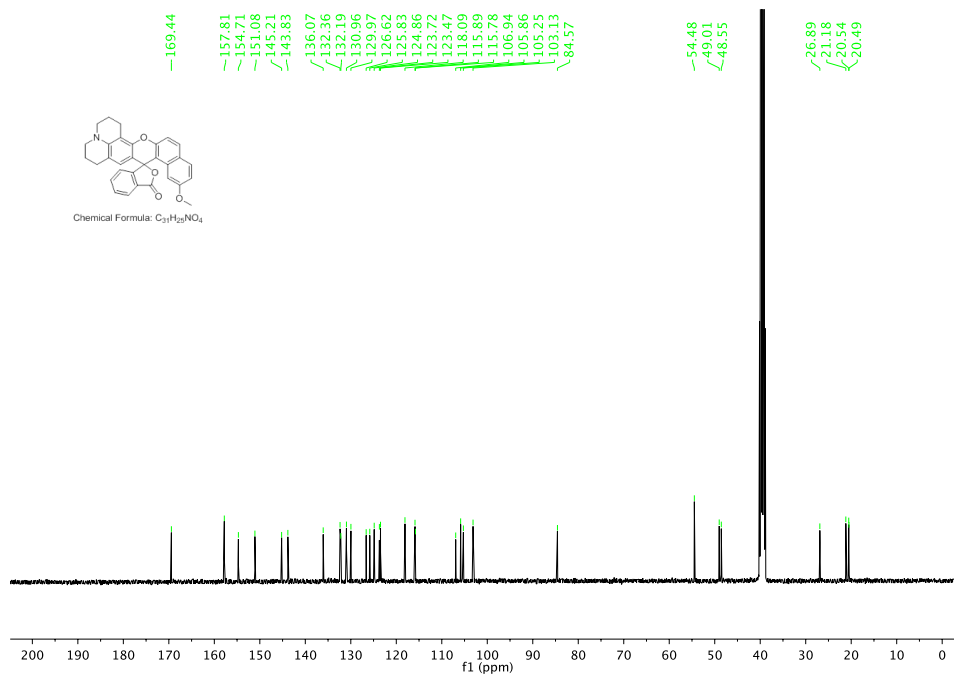


Figure A45. ¹³C NMR spectrum of compound **27**.

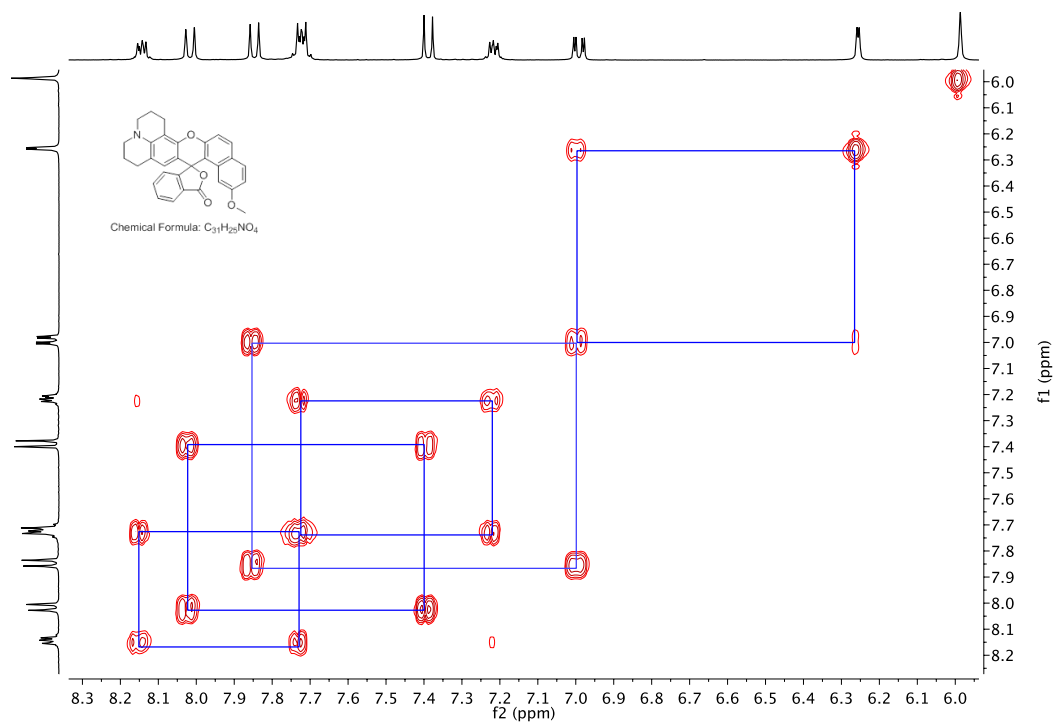


Figure A46. 2D COSY NMR spectrum for compound **27**.

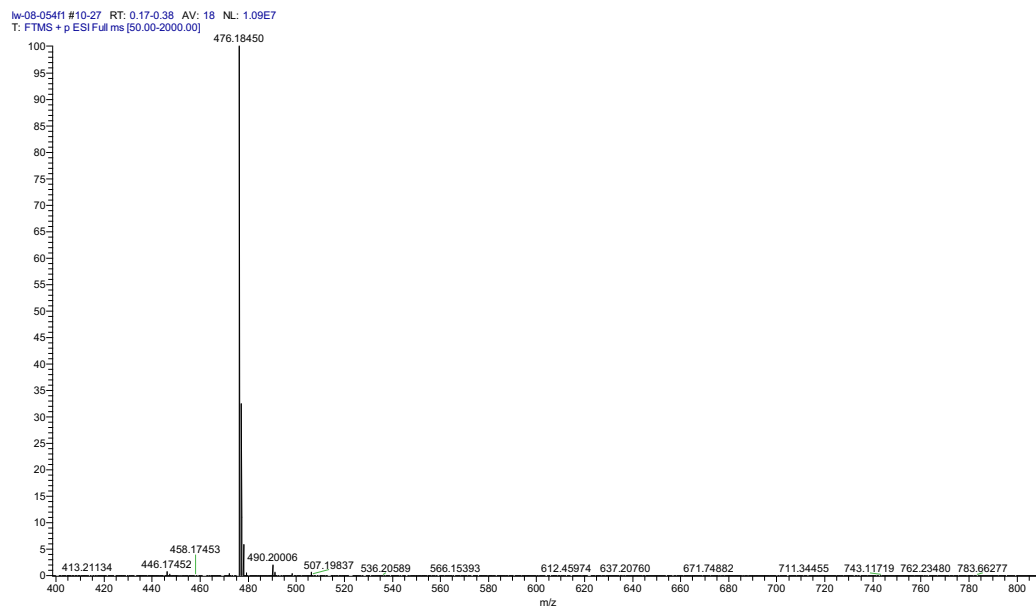


Figure A47. HR ESI positive mode spectrum of compound **27**.

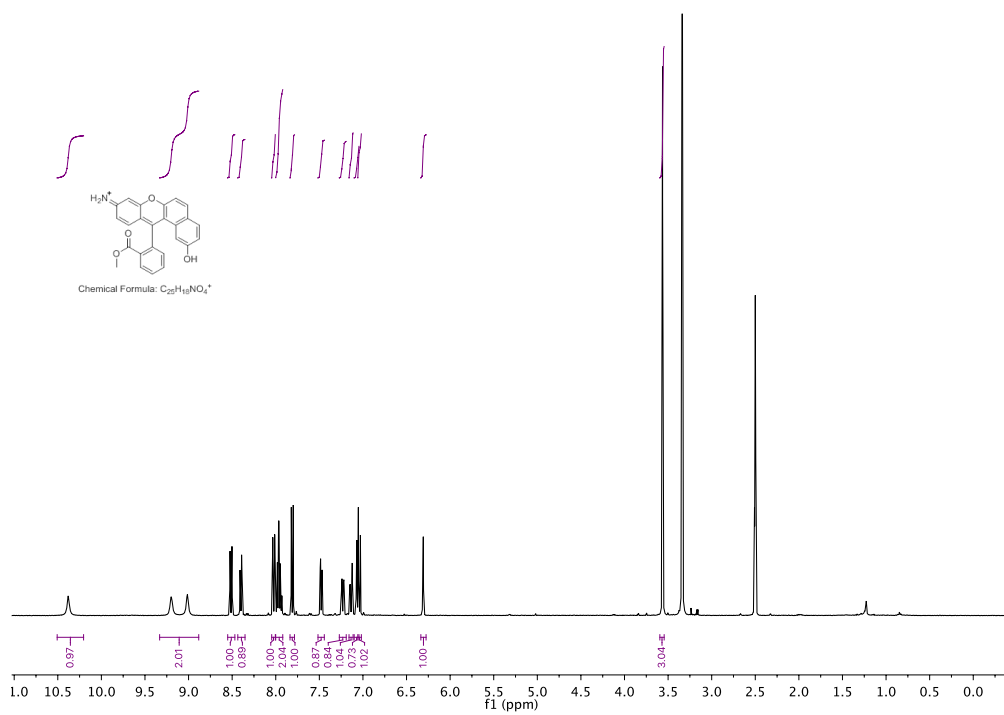


Figure A48. 1H NMR spectrum of compound 1.

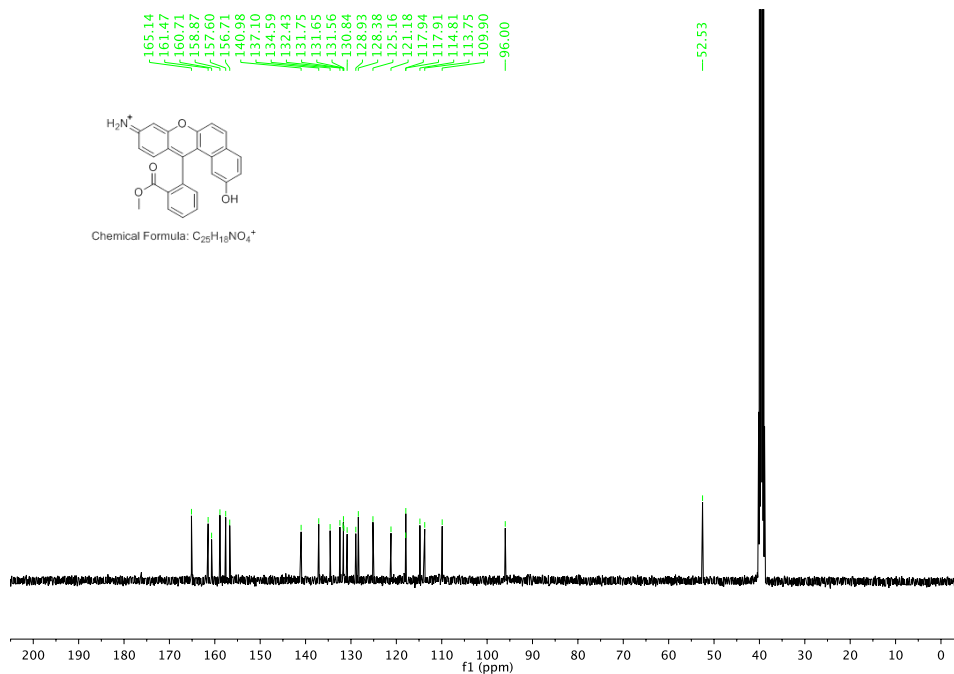


Figure A49. ^{13}C NMR spectrum of compound **1**.

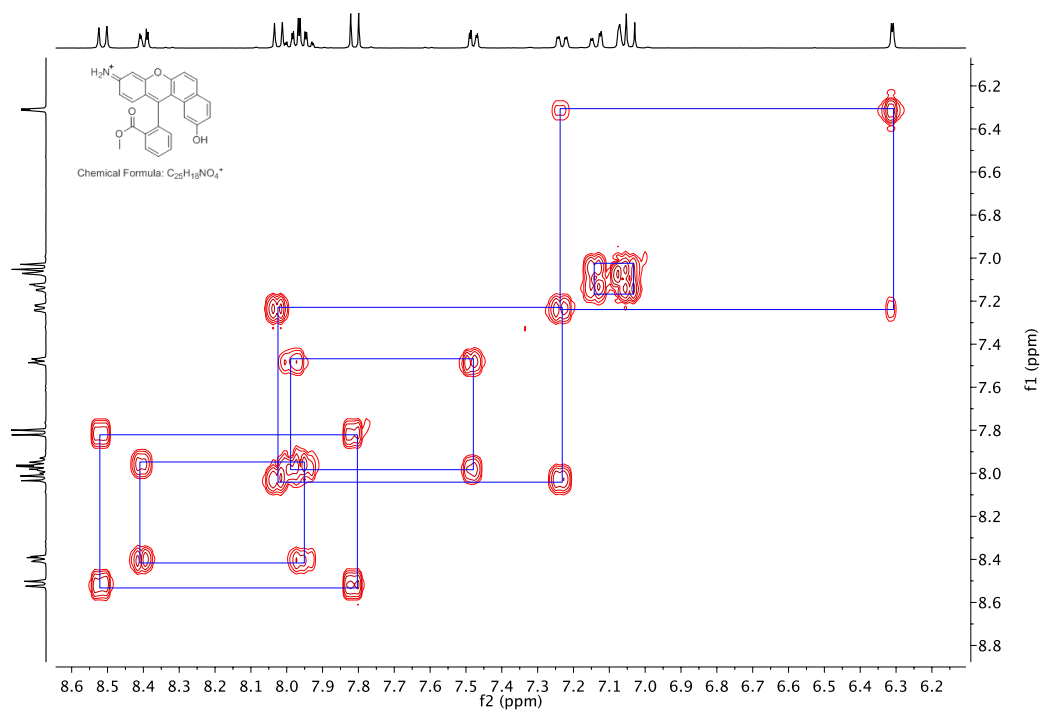


Figure A50. 2D COSY NMR spectrum for compound **1**.

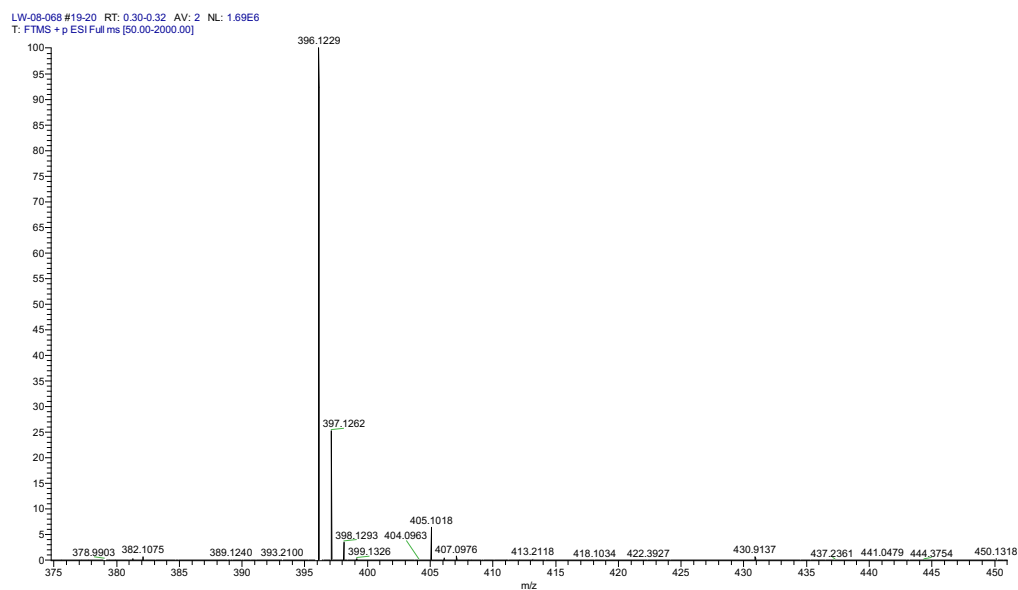


Figure A51. HR ESI positive mode spectrum of compound **1**.

LW-08-069-20160612
 Name LW-08-069
 PROTON DMSO (C:\Bruker\TOPSPIN) Strongin-Lei 9

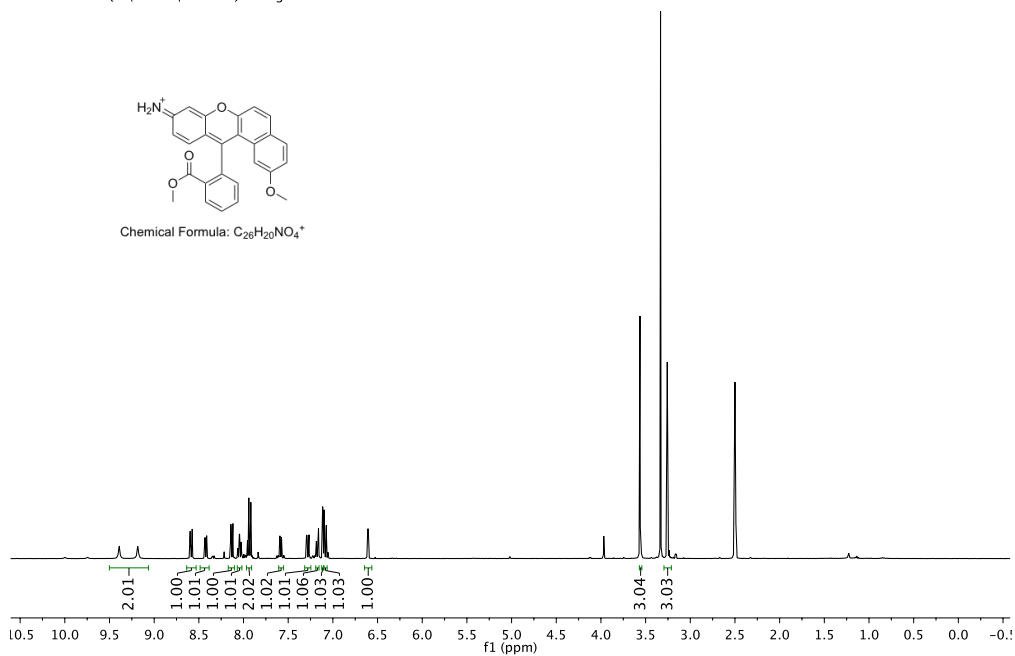


Figure A52. 1H NMR spectrum of compound 2.

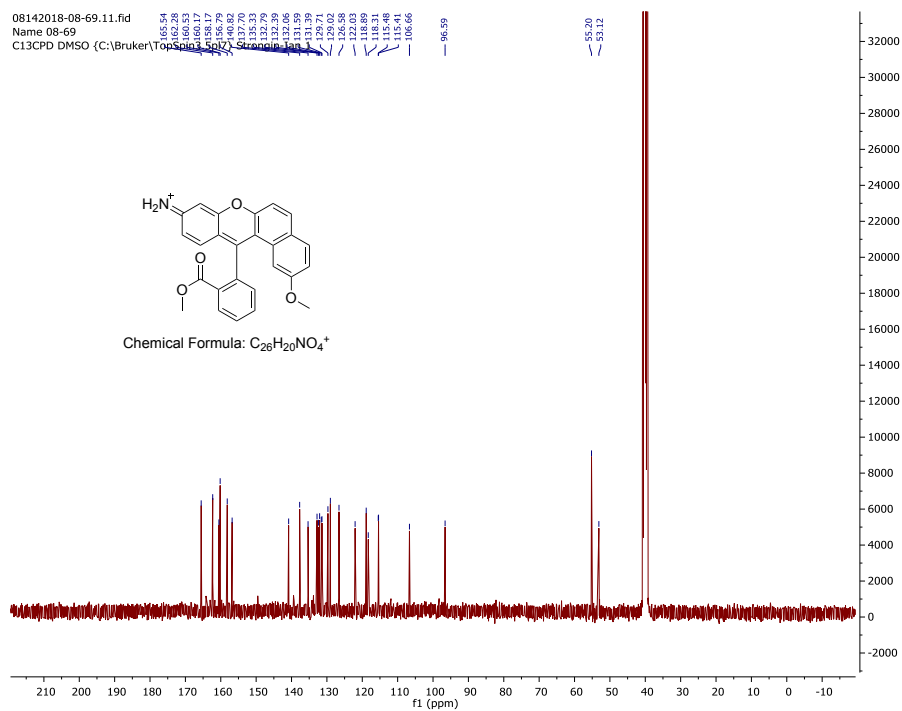


Figure A53. ^{13}C NMR spectrum of compound 2.

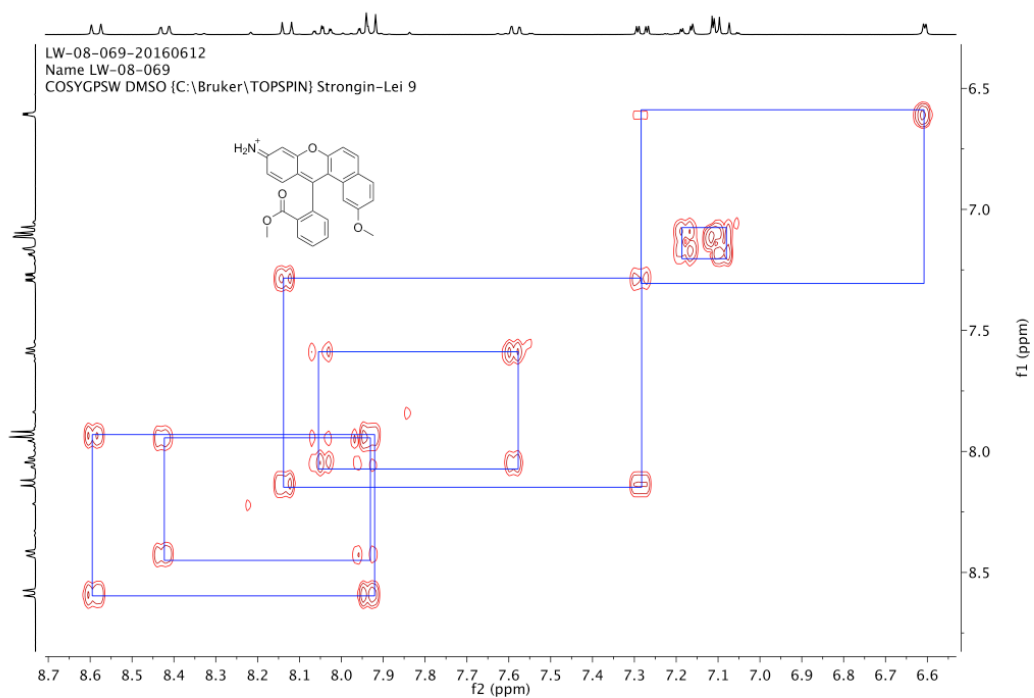


Figure A54. 2D COSY NMR spectrum for compound **2**.

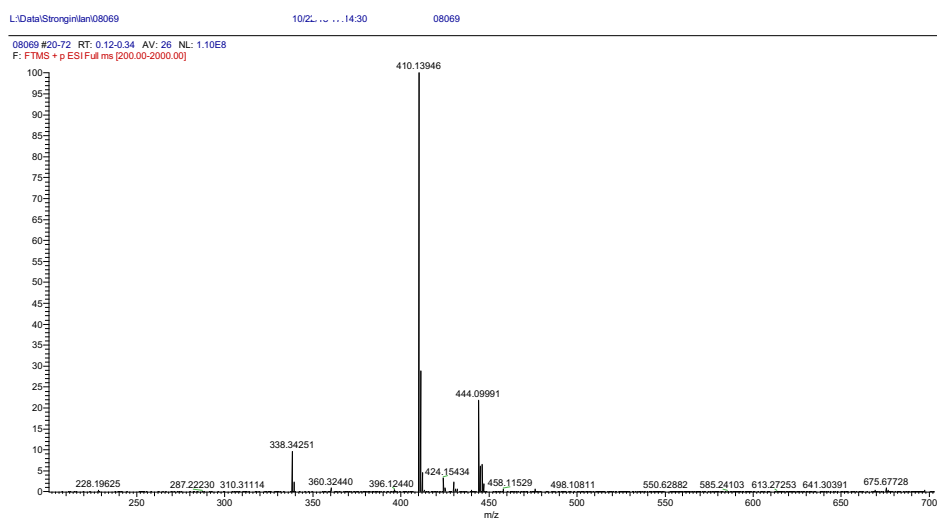


Figure A55. HR ESI positive mode spectrum of compound **2**.

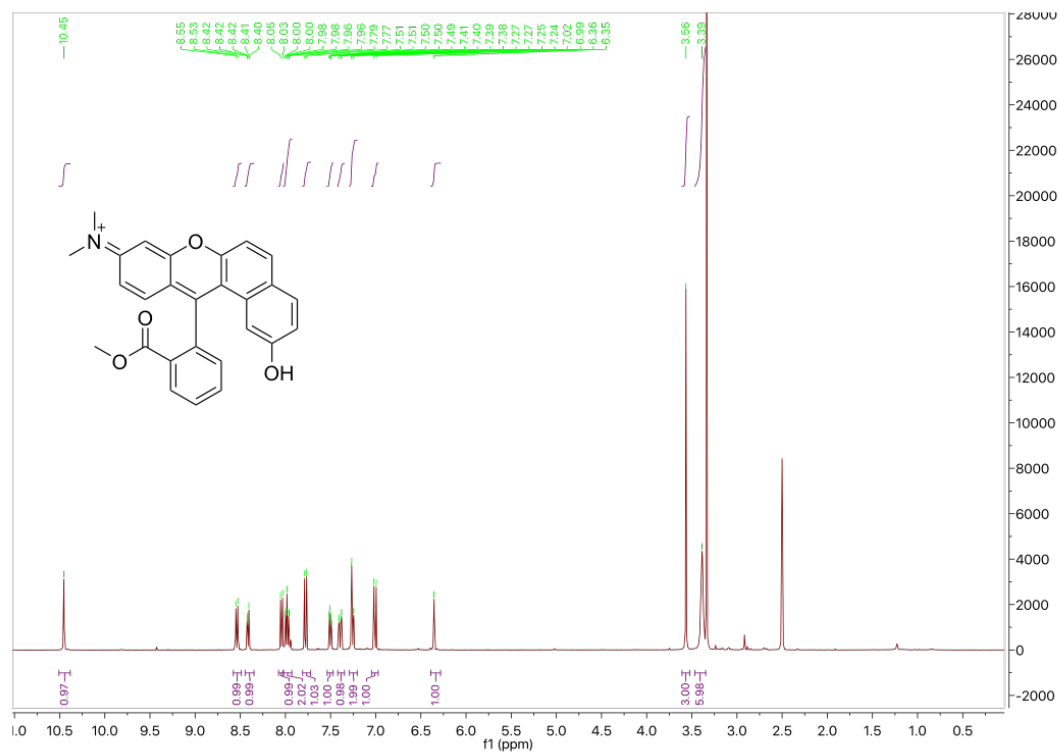


Figure A56. ^1H NMR spectrum of compound 4.

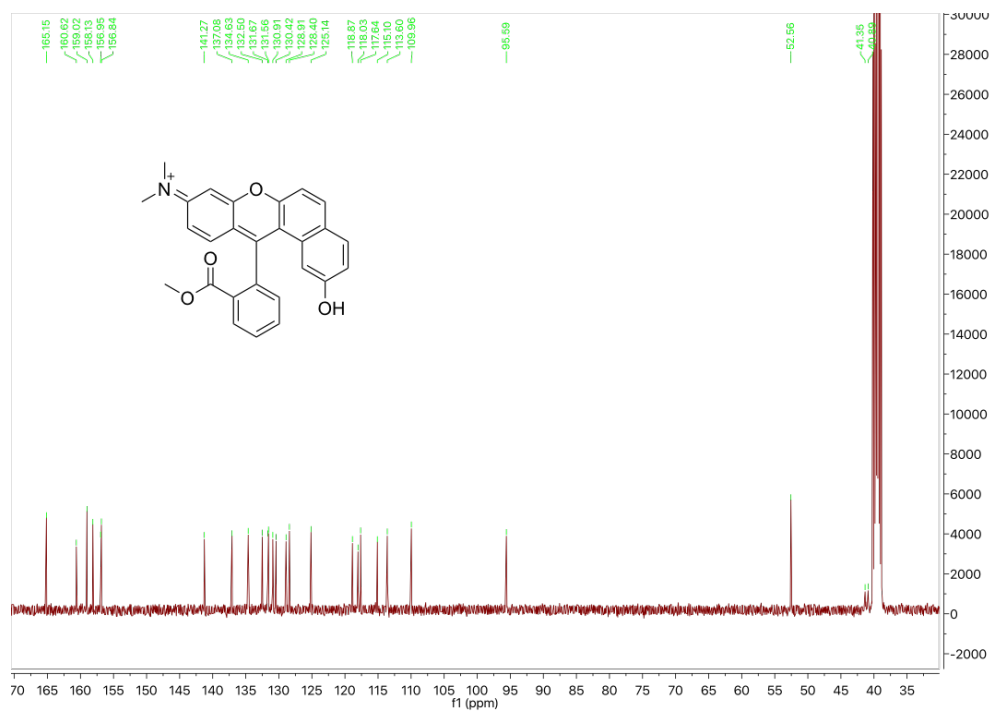


Figure A57. ¹³C NMR spectrum of compound 4.

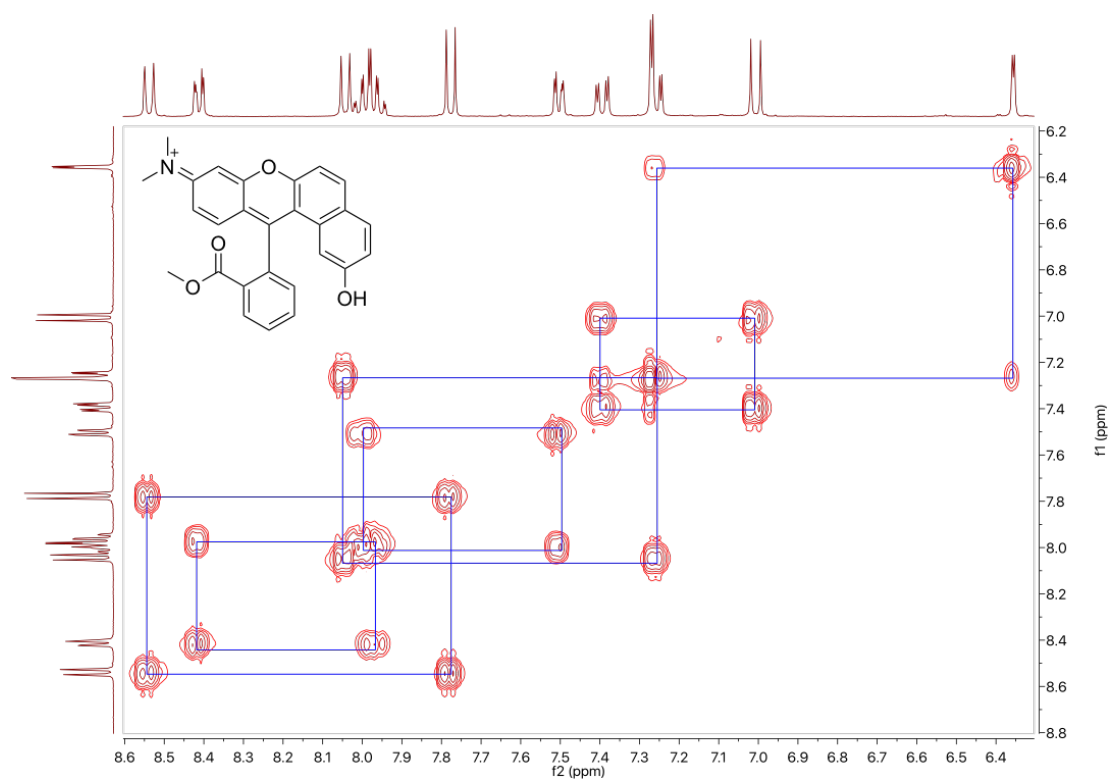


Figure A58. 2D COSY NMR spectrum for compound **4**.

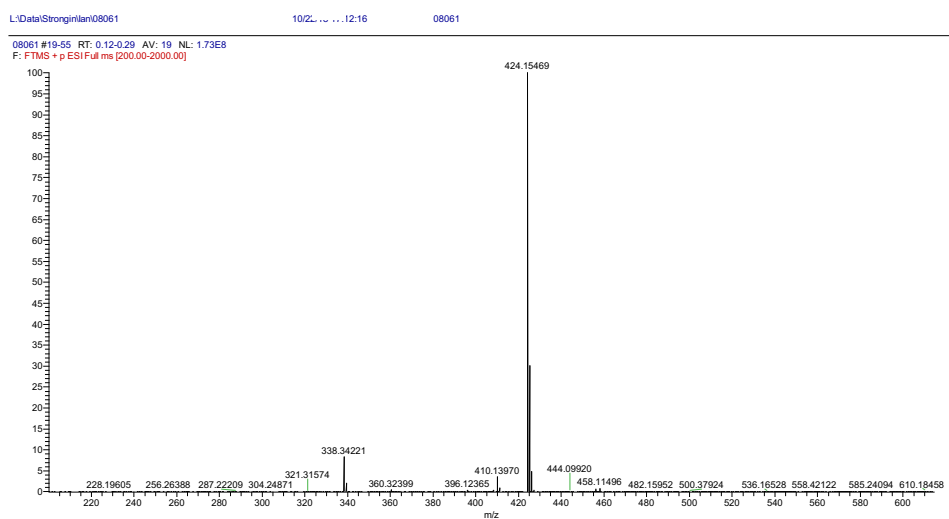


Figure A59. HR ESI positive mode spectrum of compound **4**.

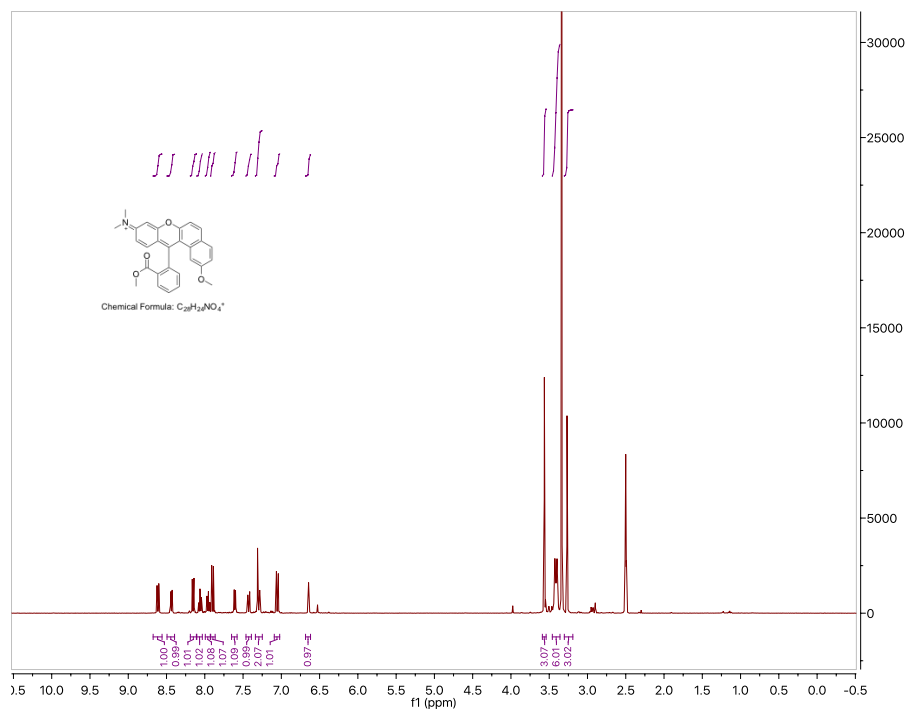


Figure A60. ^1H NMR spectrum of compound 5.

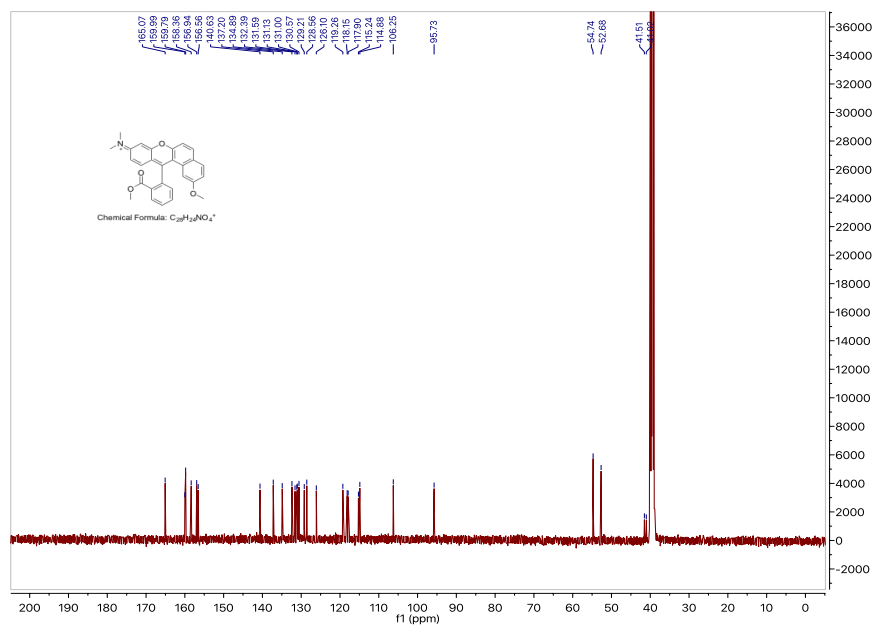


Figure A61. ^{13}C NMR spectrum of compound 5.

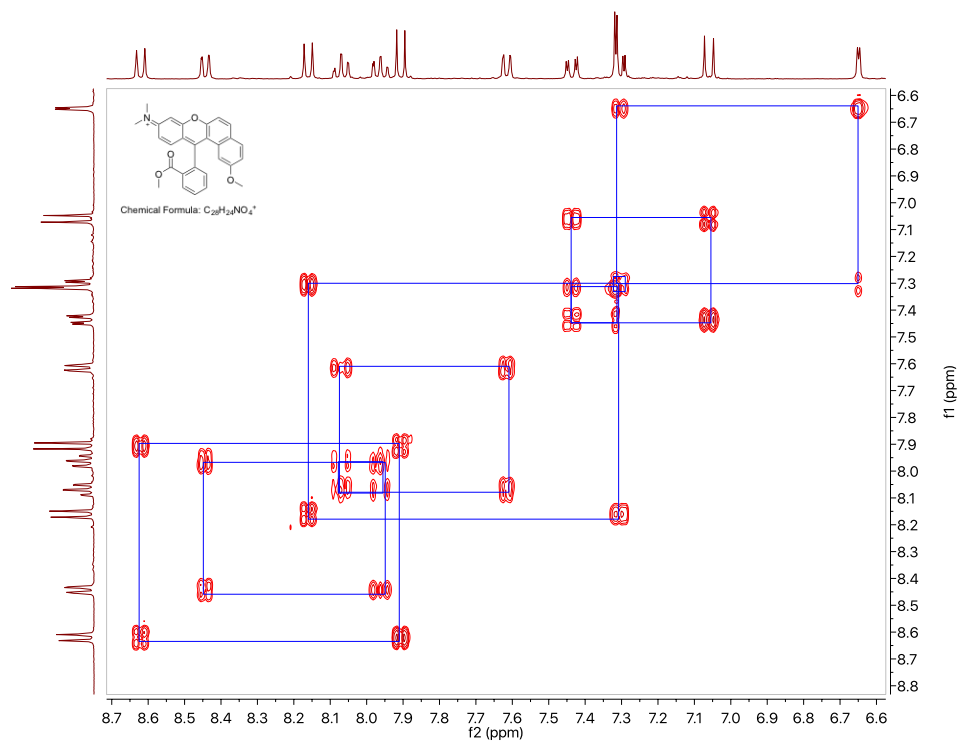


Figure A62. 2D COSY NMR spectrum for compound **5**.

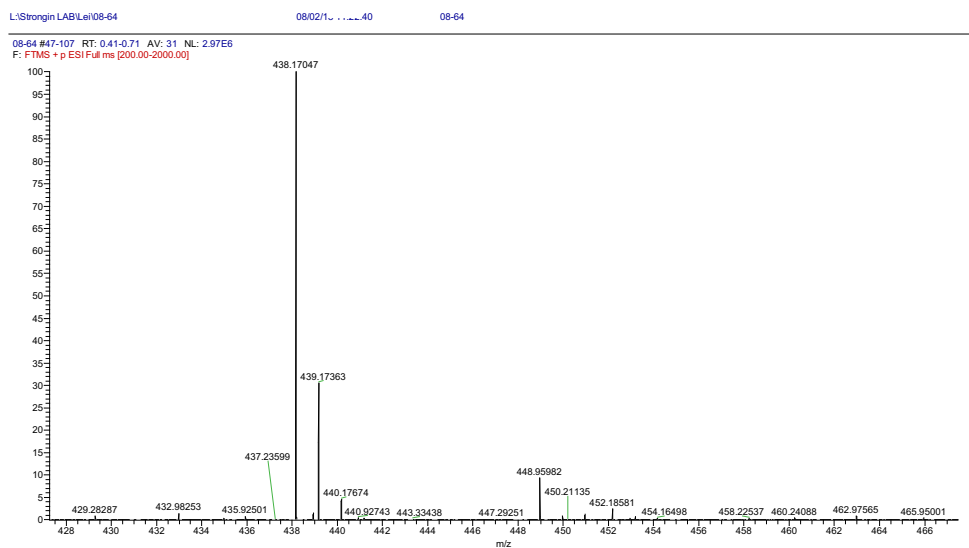


Figure A63. HR ESI positive mode spectrum of compound **5**.

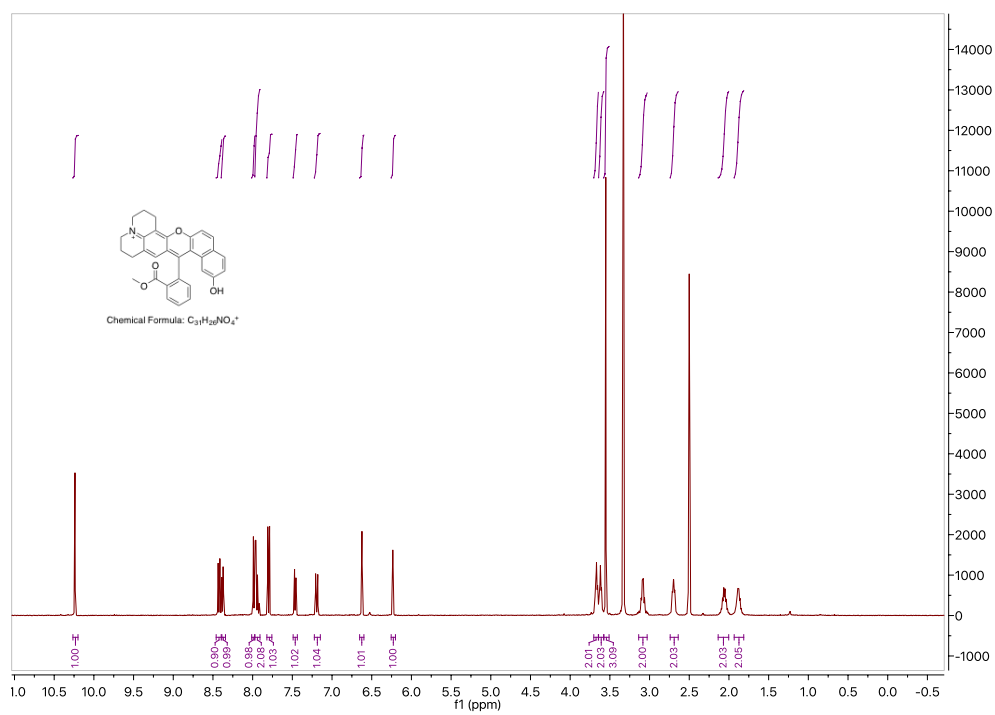


Figure A64. 1H NMR spectrum of compound 7.

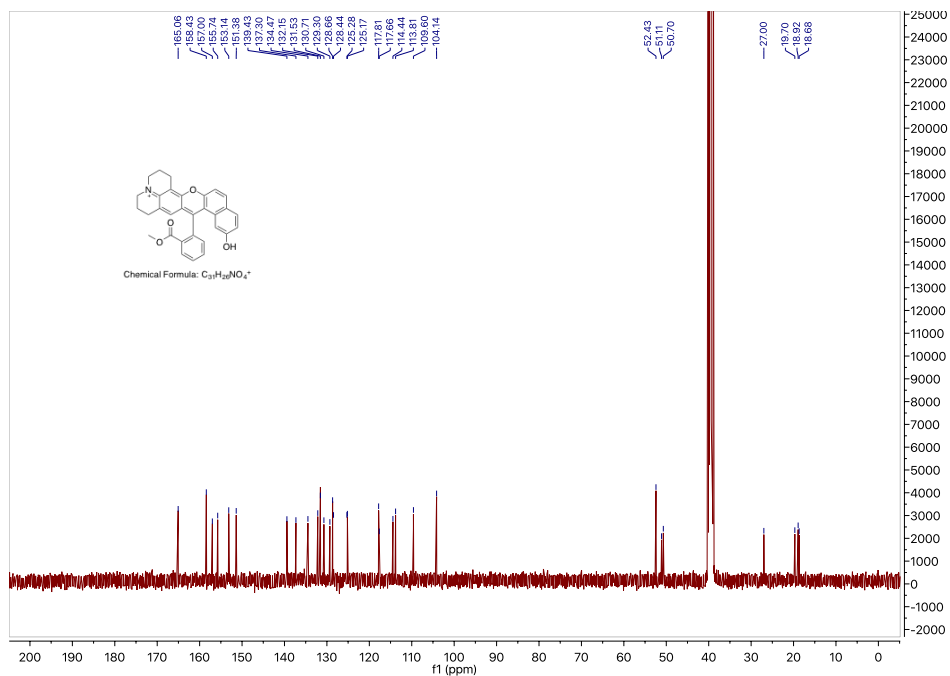


Figure A65. ^{13}C NMR spectrum of compound 7.

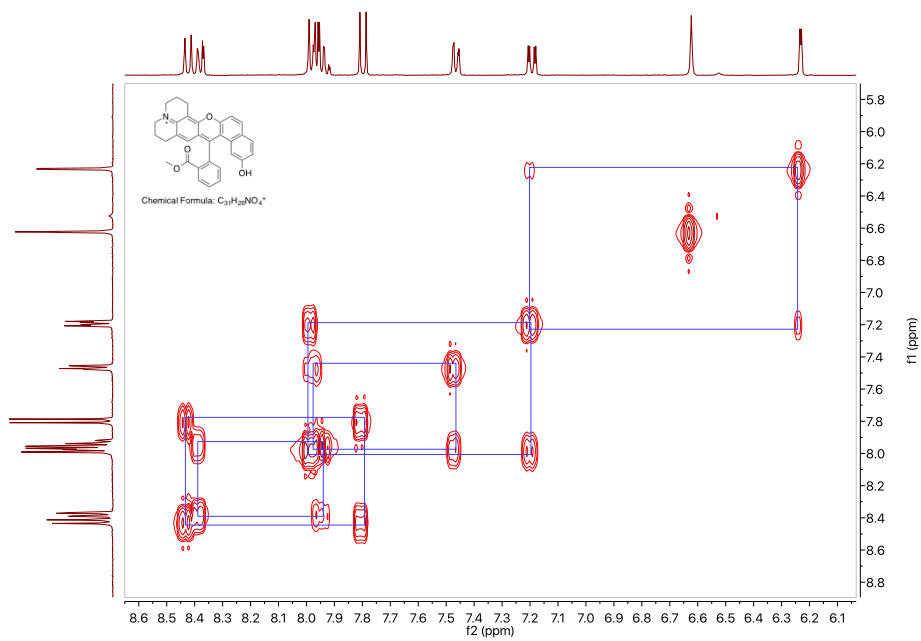


Figure A66. 2D COSY NMR spectrum for compound 7.

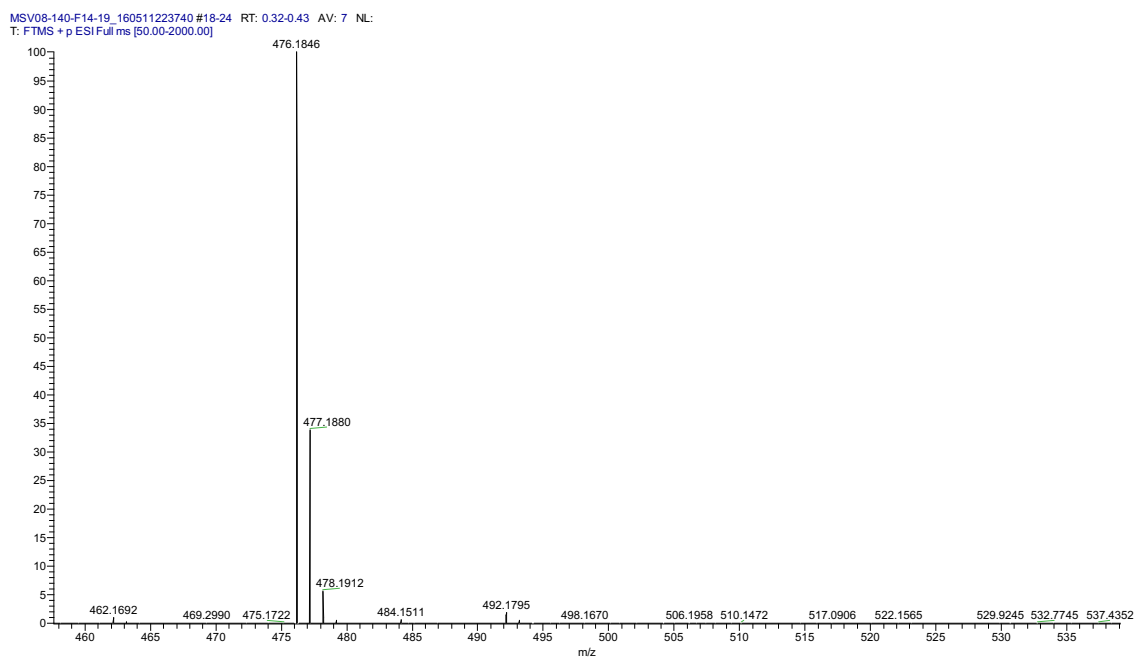


Figure A67. HR ESI positive mode spectrum of compound 7.

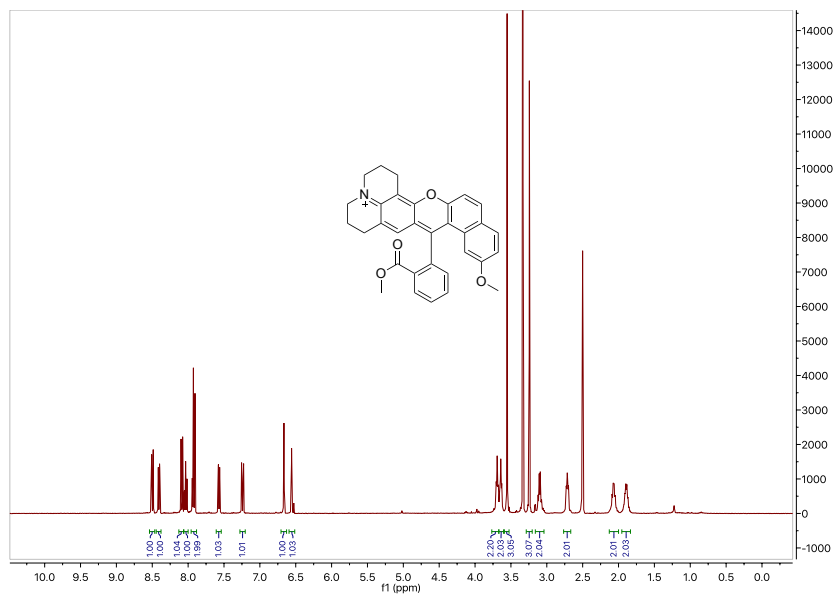


Figure A68. ¹H NMR spectrum of compound 8.

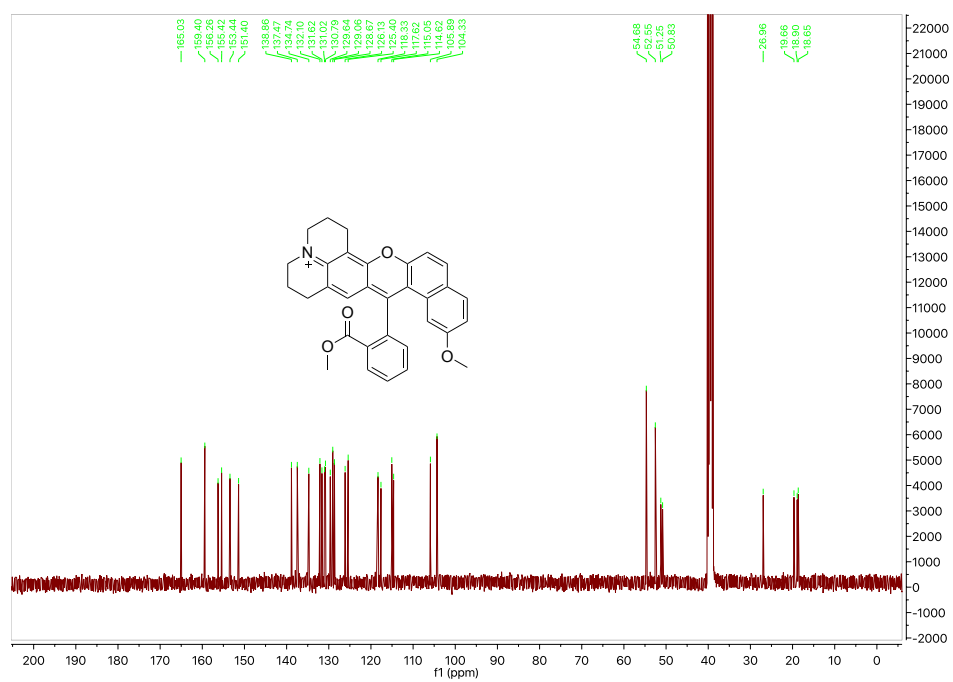


Figure A69. ¹³C NMR spectrum of compound **8**.

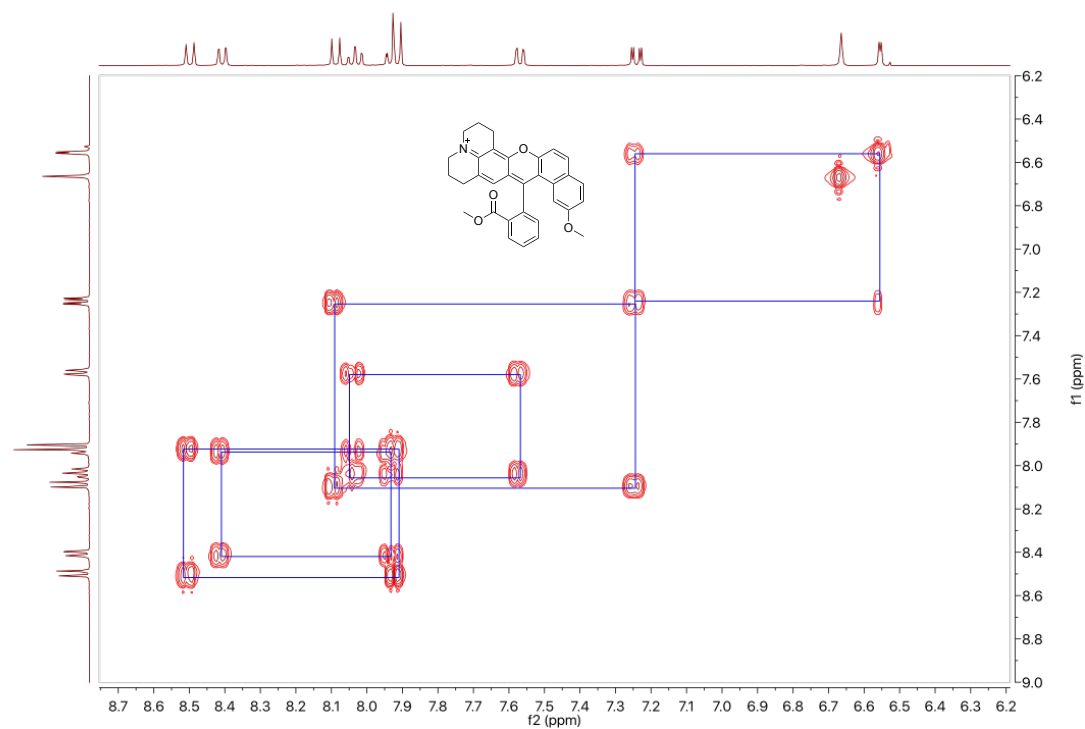


Figure A70. 2D COSY NMR spectrum for compound **8**.

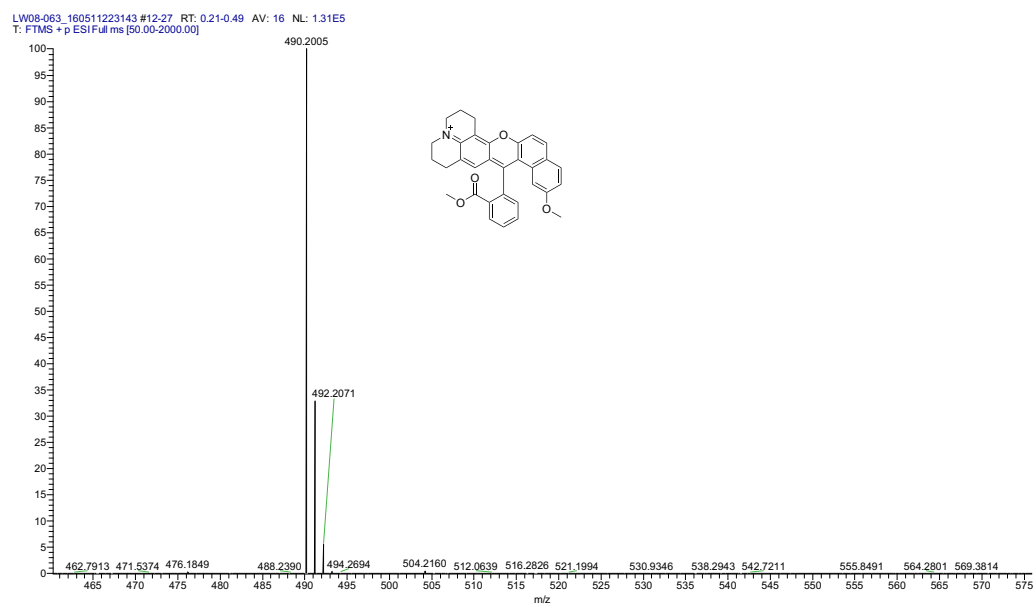


Figure A71. HR ESI positive mode spectrum of compound **8**.

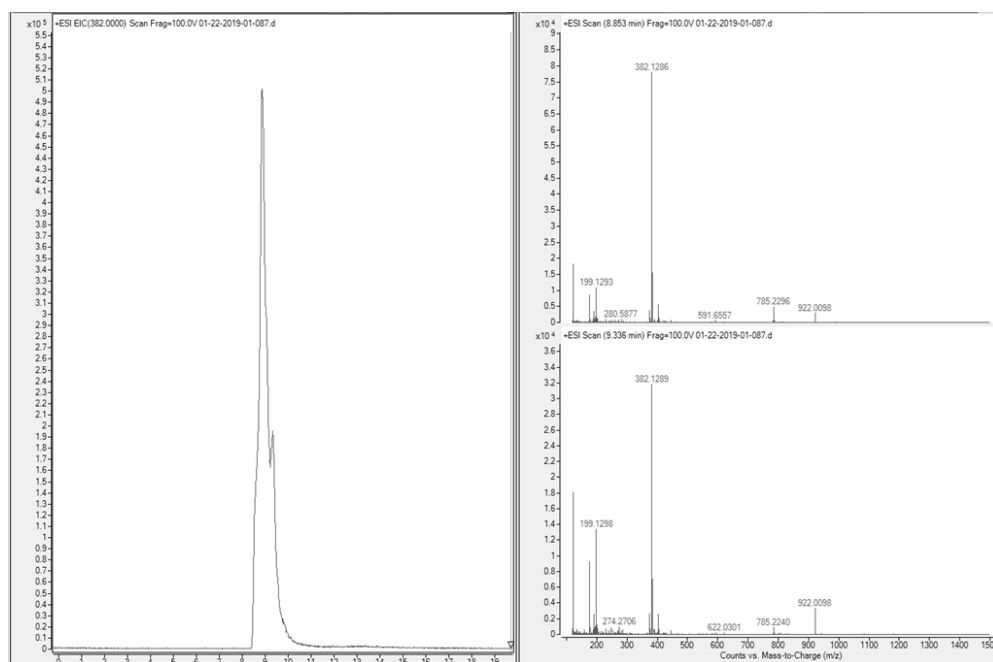


Figure A74. HR ESI positive mode spectrum of compound **29**.

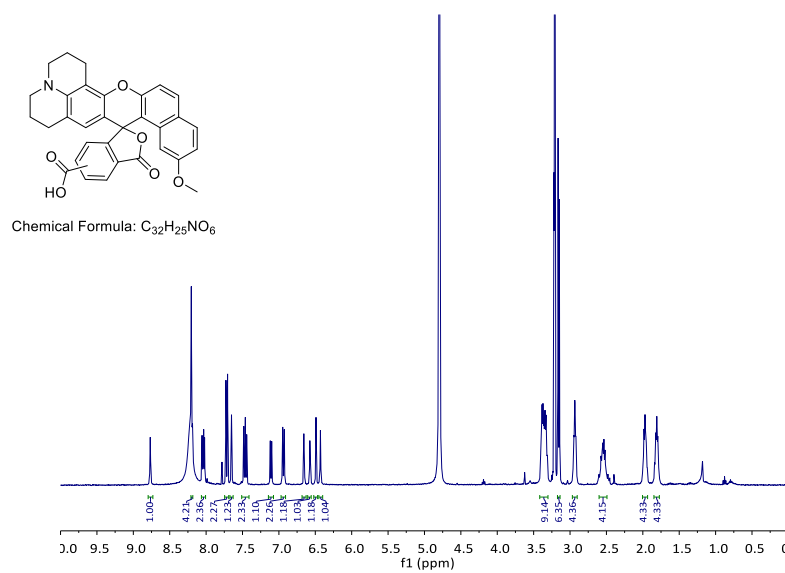


Figure A75. ^1H NMR spectrum of compound **30**.

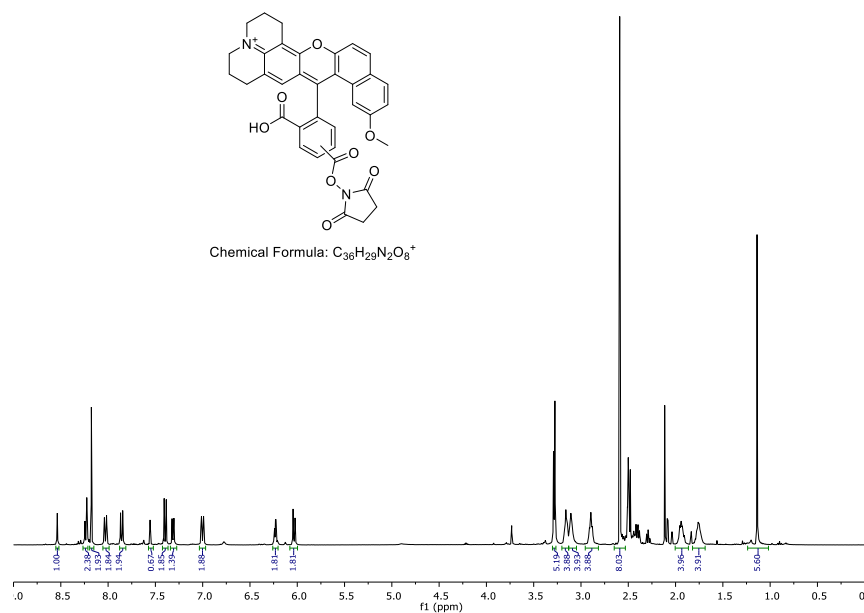


Figure A78. 1H NMR spectrum of compound **8-NHS**.

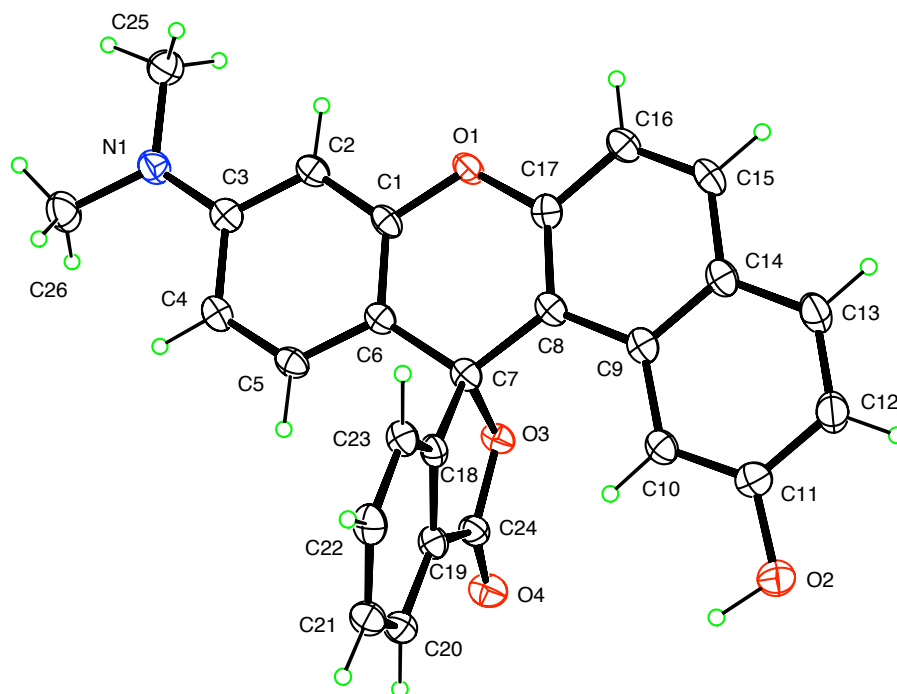


Figure A81. Crystal structure of compound **21**. Ellipsoid contours are at the 50% probability level.

X-ray single-crystal experimental data for compound **21**.

Pink crystals of **21** were grown by solvent diffusion from chloroform, methanol and hexanes. The crystal structure was determined at $T=90\text{K}$ from data collected to $\theta_{\text{max}}=68.4^\circ$ with Cu $K\alpha$ radiation on a Bruker Kappa Apex-II diffractometer. 13,611 measured reflections yielded 3585 unique data ($R_{\text{int}}=0.049$), of which 2737 had $I>2\sigma(I)$. $\text{C}_{26}\text{H}_{19}\text{NO}_4$, $\text{FW}=409.42$, monoclinic space group $\text{P}2_1/\text{c}$, $a=13.6561(14)$, $b=7.5404(10)$, $c=20.070(2)\text{\AA}$, $\beta=106.046(5)^\circ$, $V=1986.1(4)\text{\AA}^3$, $Z=4$. Absorption corrections were by the multi-scan method. Nonhydrogen atoms were treated with anisotropic displacement parameters. All H atoms were visible in difference maps, but were placed in idealized positions except for that of the OH group, for which coordinates were refined. Refinement yielded $R=0.039$ for the $I>2\sigma(I)$ data, $wR(F^2)=0.102$ for all data and 286 parameters. $\text{GOF}=1.02$,

$\Delta\rho_{\max}=0.25\text{\AA}^{-3}$, $\Delta\rho_{\min}=-0.21\text{\AA}^{-3}$. The CIF has been deposited at the Cambridge Crystallographic Data Centre, CCDC 1417685.

Appendix B: Supporting Information for Chapter 4

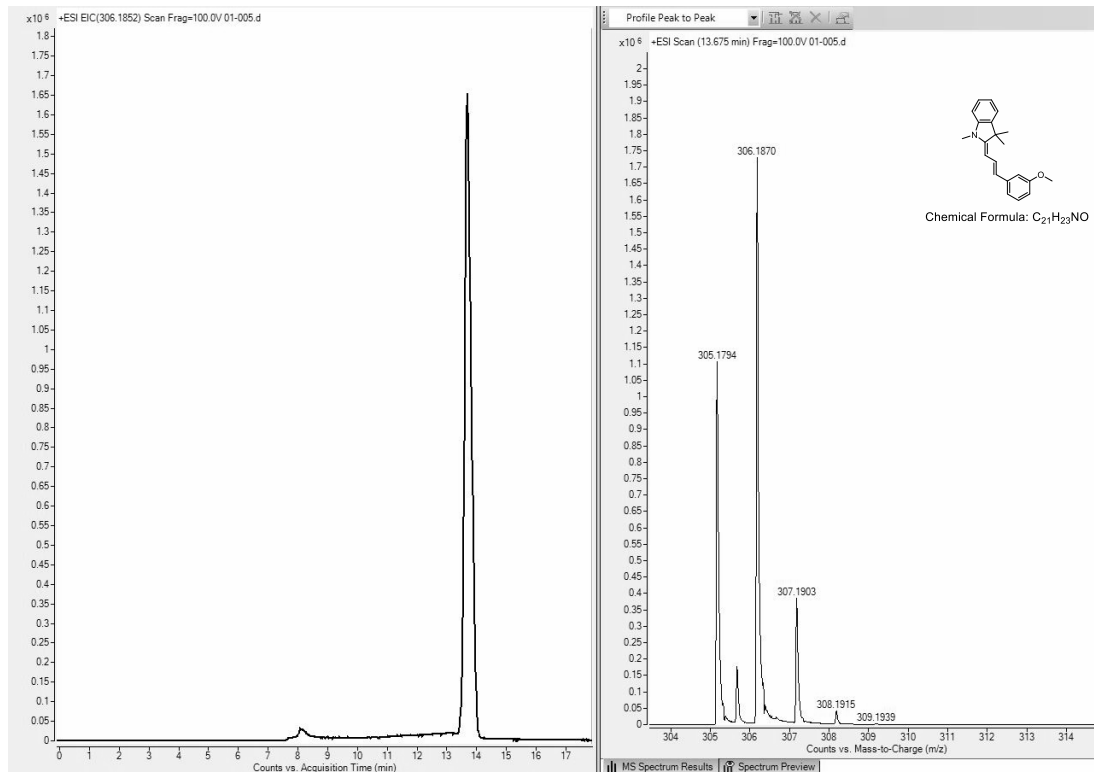


Figure B1. HR ESI positive mode spectrum of compound 14.

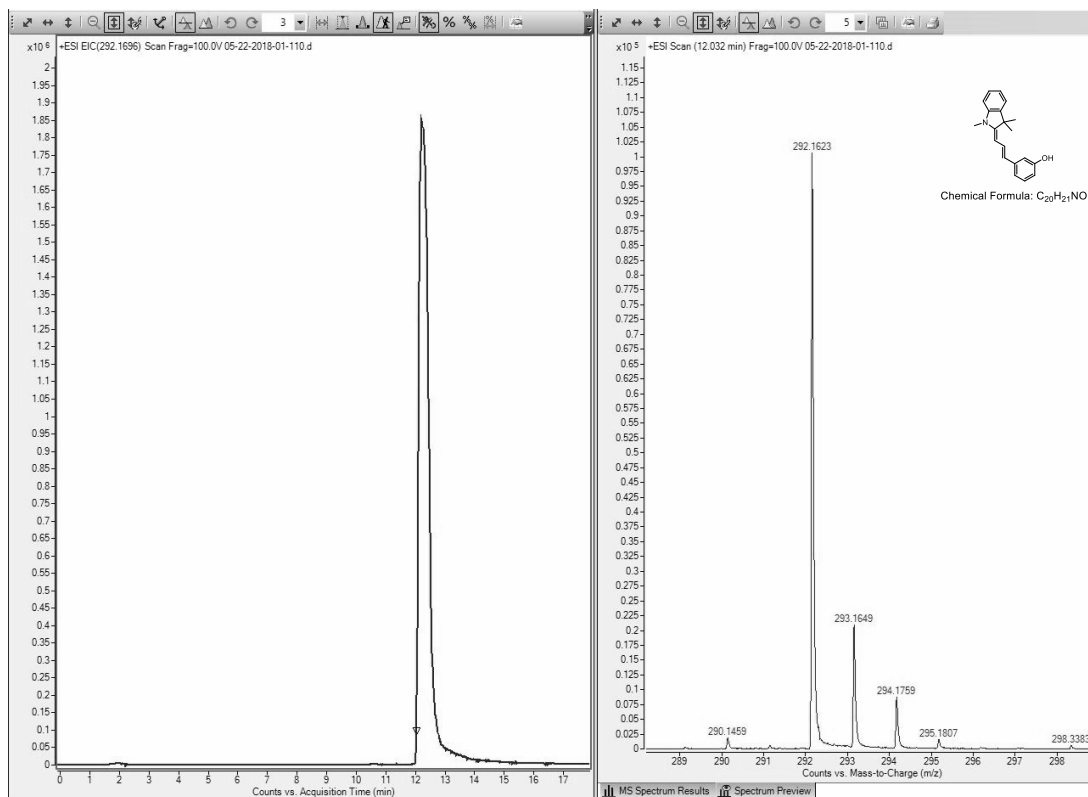


Figure B2. HR ESI positive mode spectrum of compound 15.

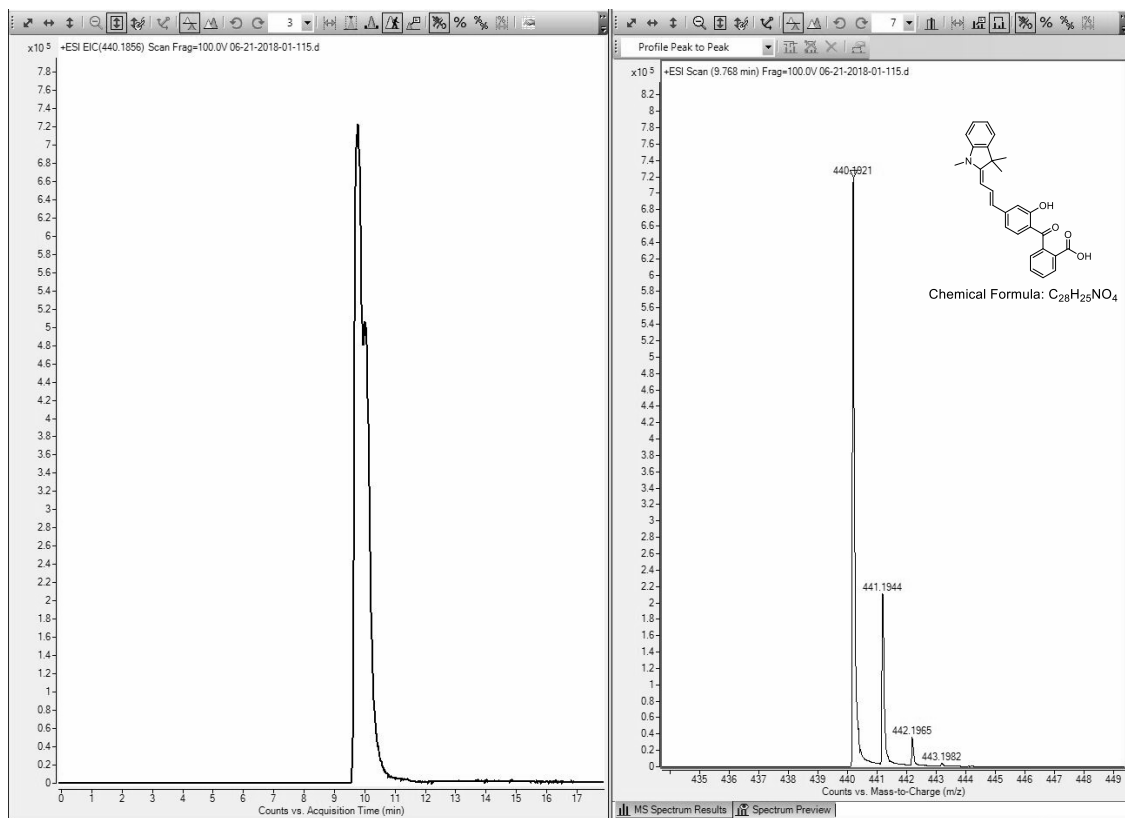


Figure B3. HR ESI positive mode spectrum of compound 17.

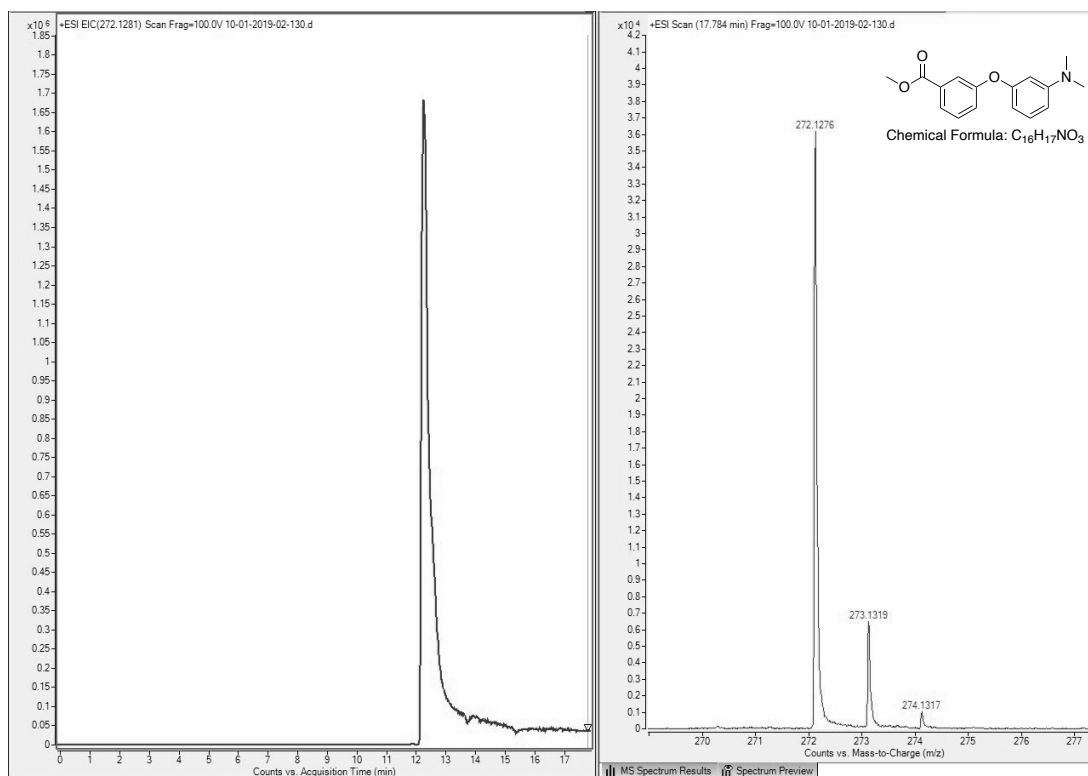


Figure B4. HR ESI positive mode spectrum of compound **22**.

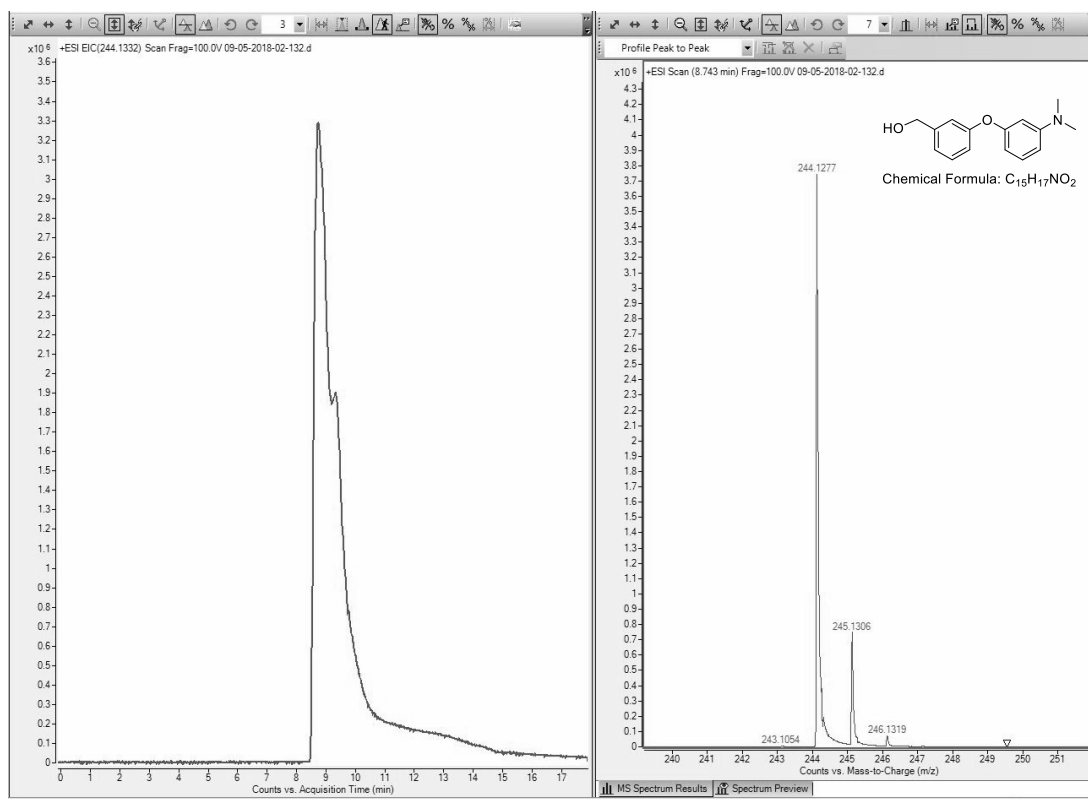


Figure B5. HR ESI positive mode spectrum of compound **23**.

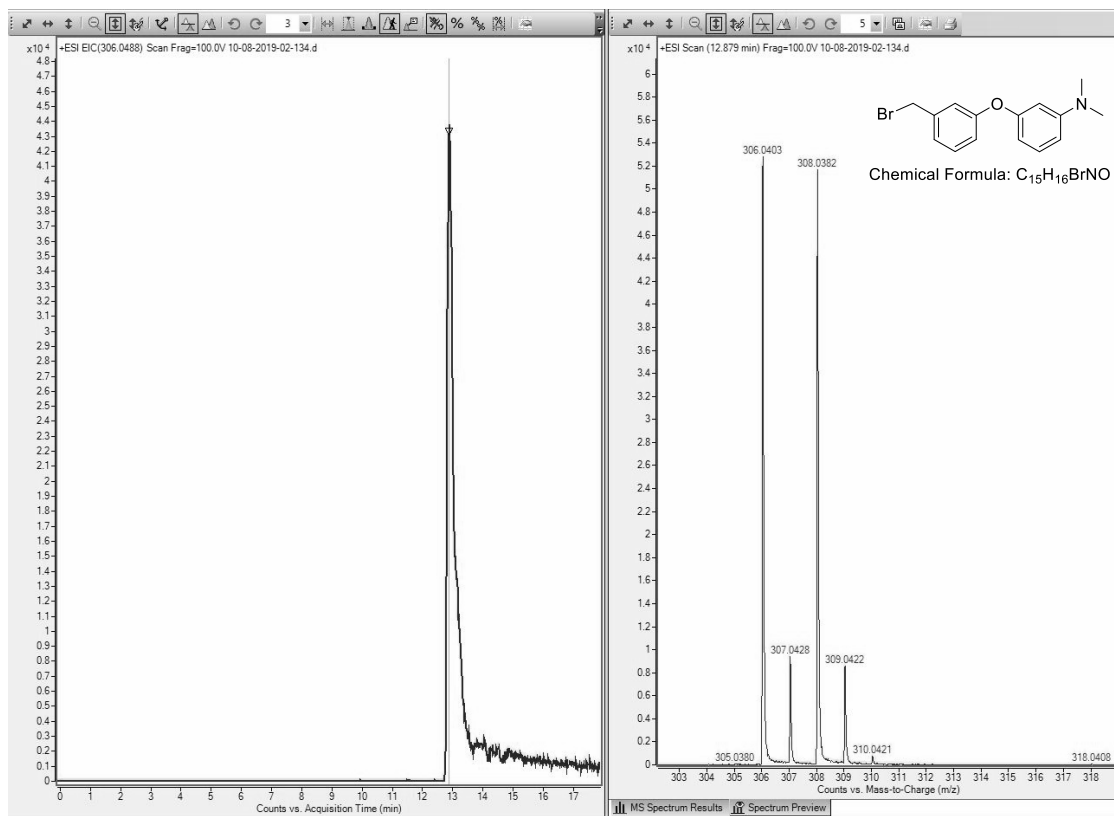


Figure B6. HR ESI positive mode spectrum of compound 24.

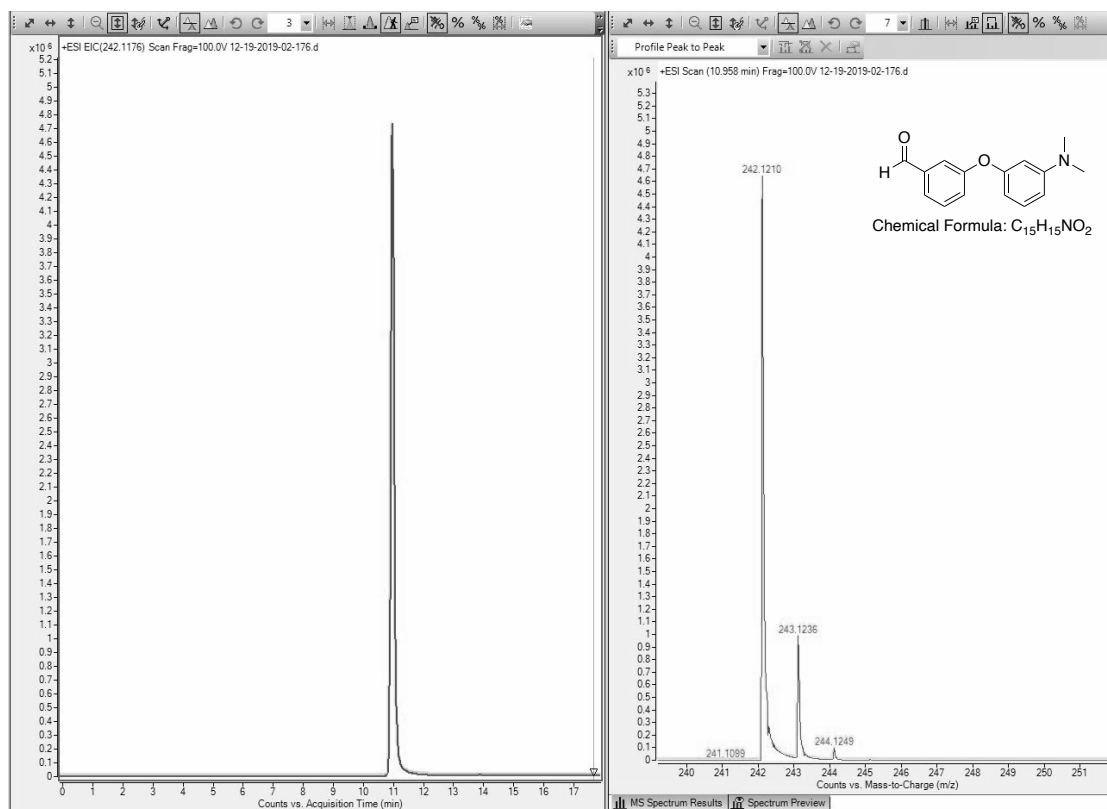


Figure B7. HR ESI positive mode spectrum of compound **25**.

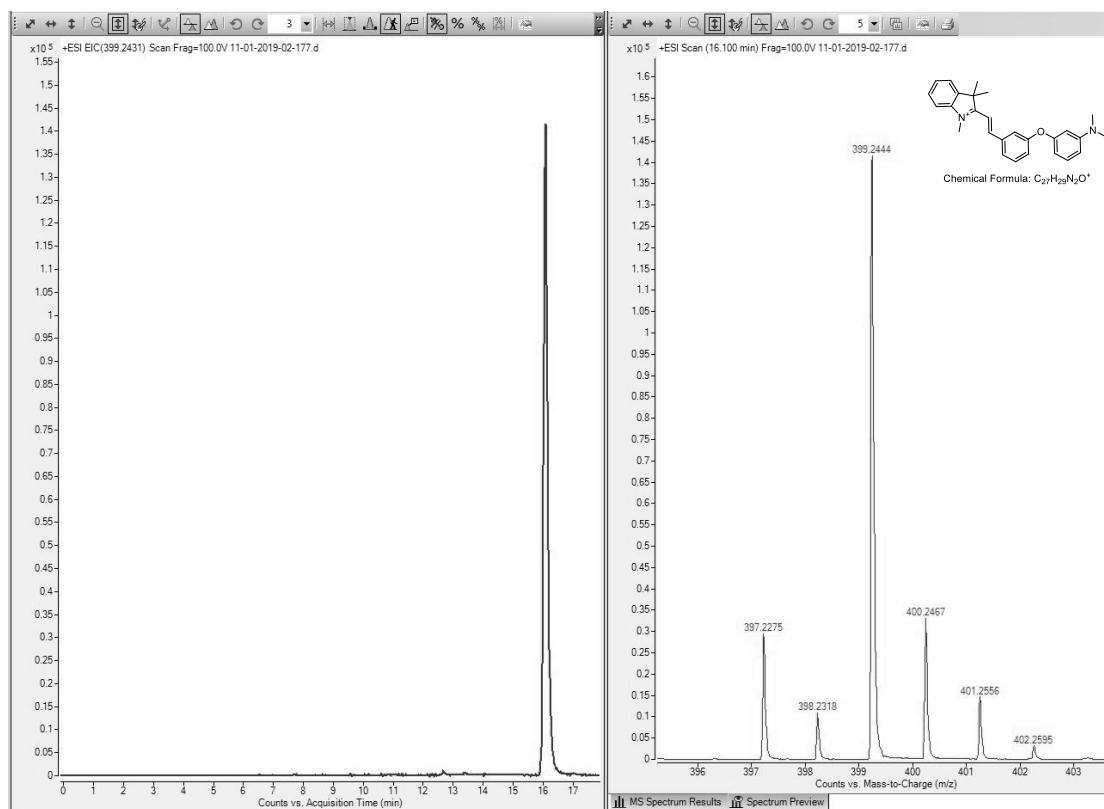


Figure B8. HR ESI positive mode spectrum of compound **27**.

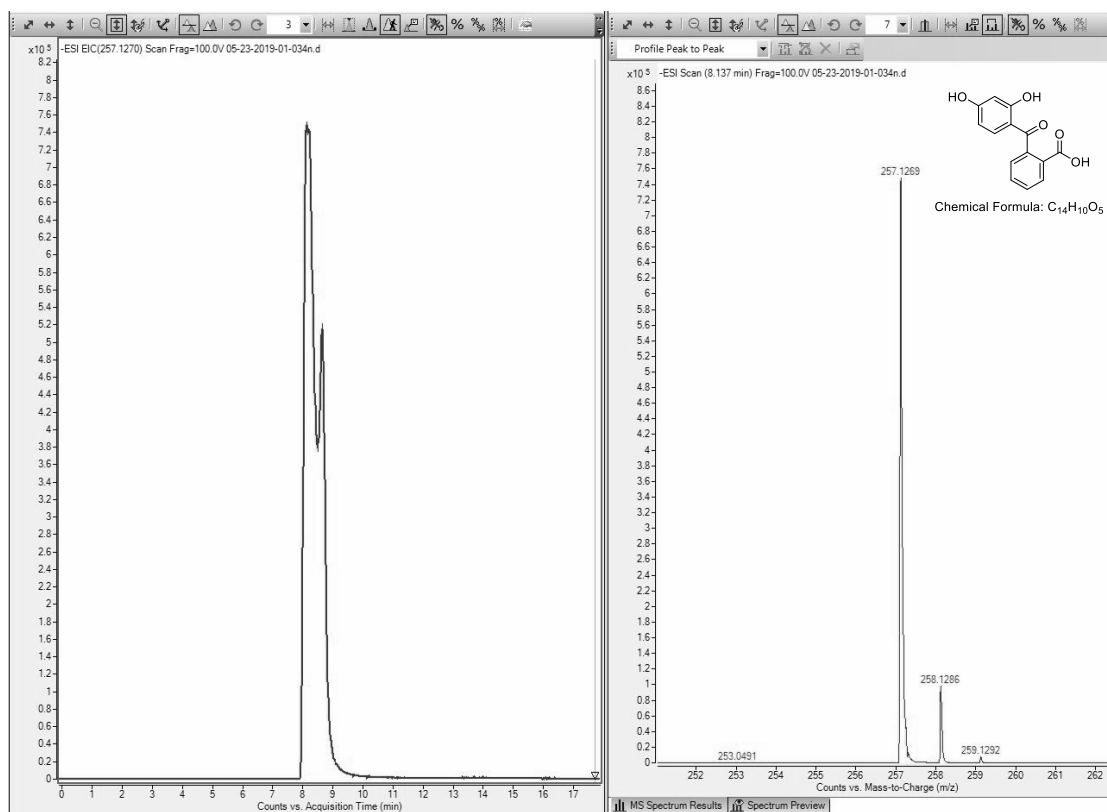


Figure B9. HR ESI positive mode spectrum of compound **30**.

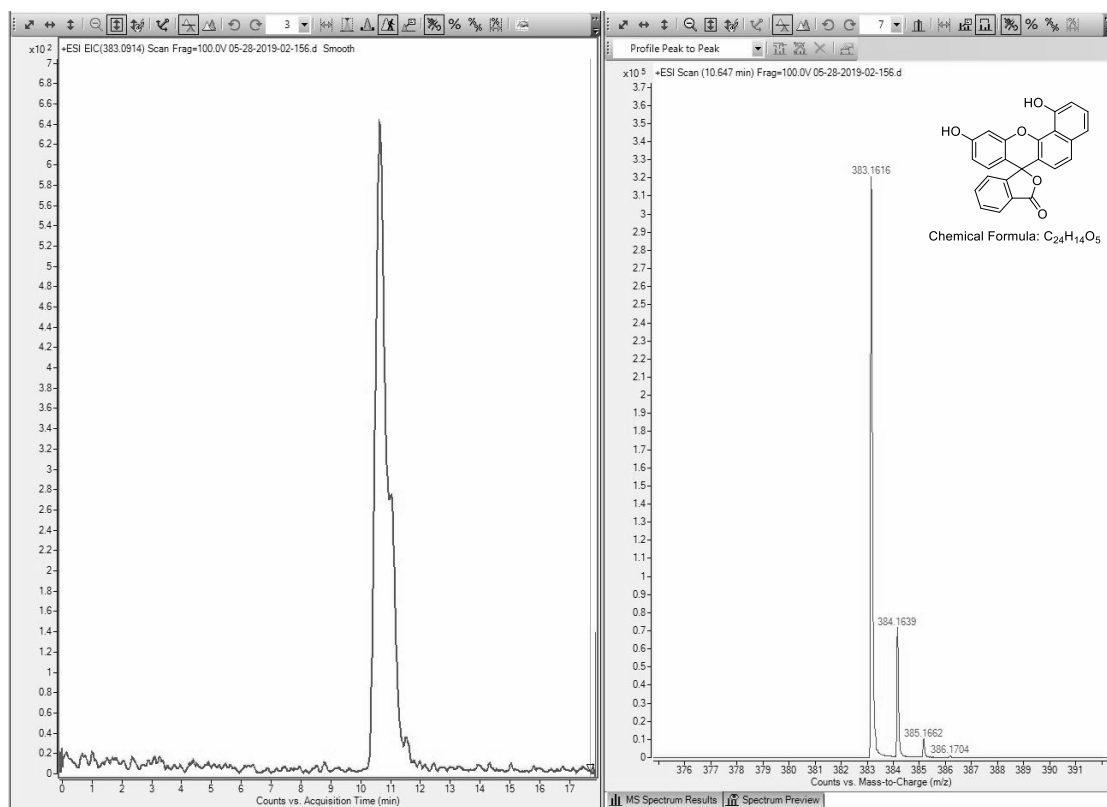


Figure B10. HR ESI positive mode spectrum of compound 31.

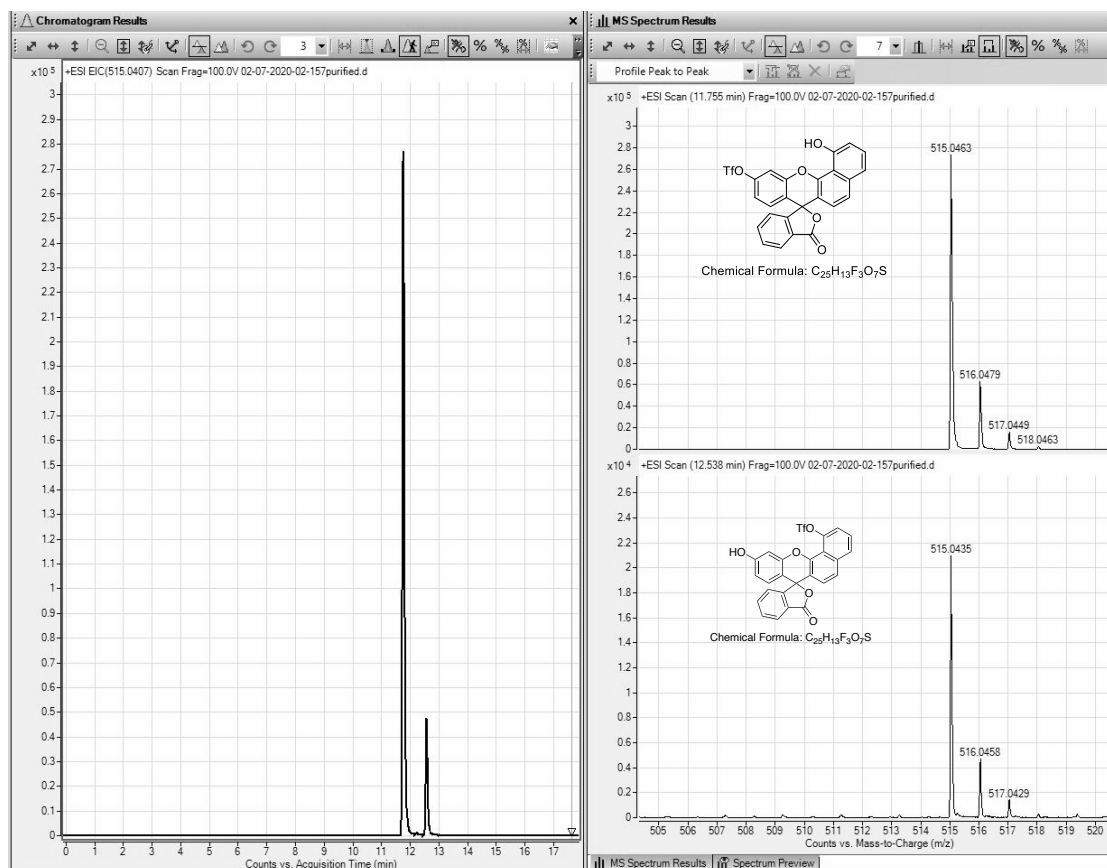


Figure B11. HR ESI positive mode spectrum of compound **32**.

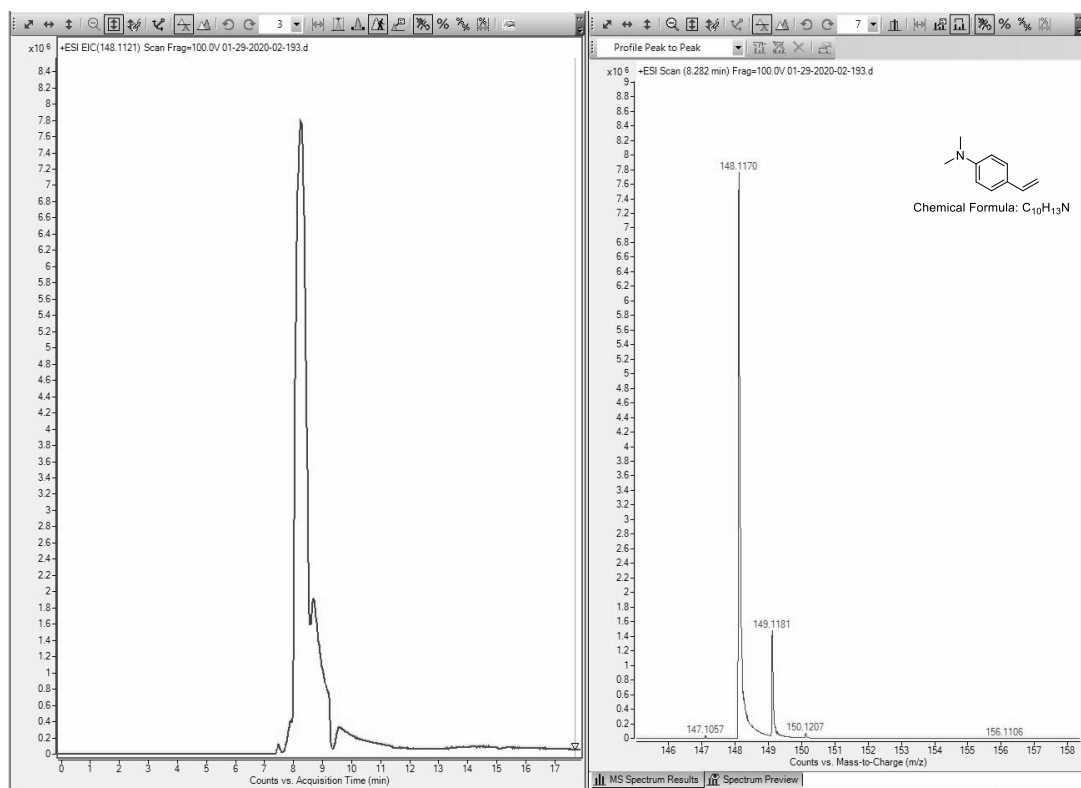


Figure B12. HR ESI positive mode spectrum of compound **33**.

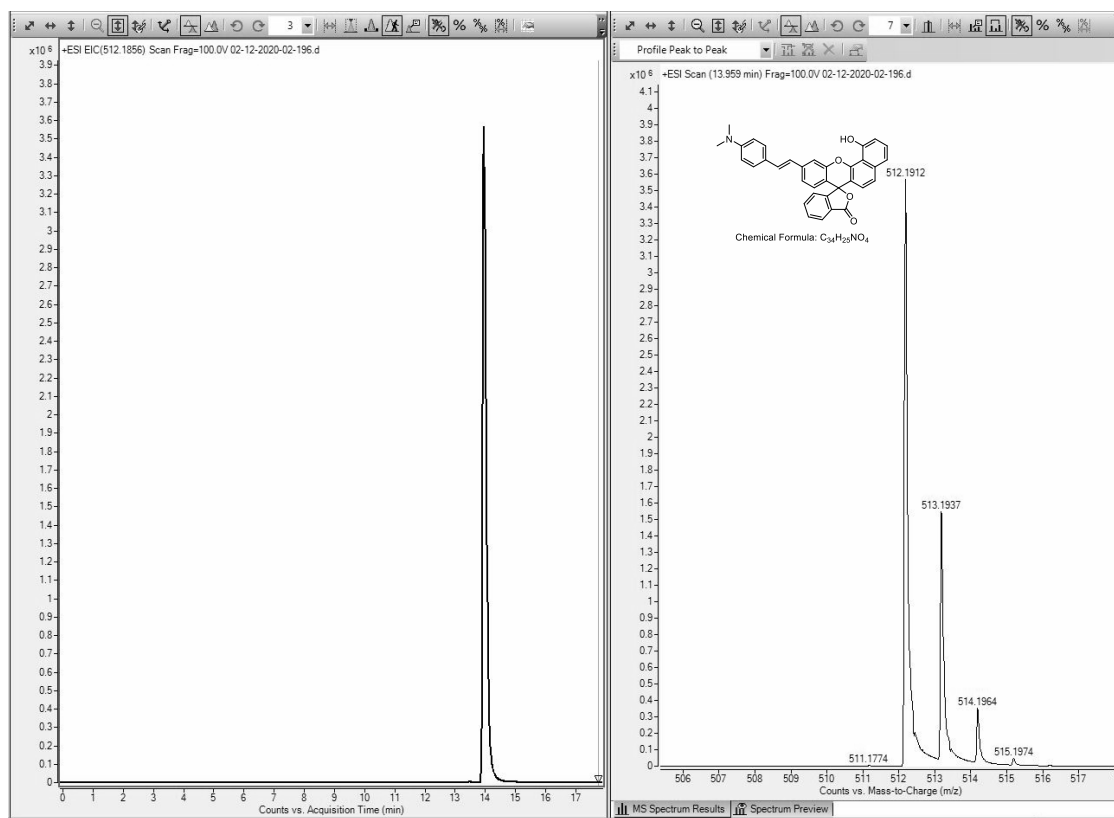


Figure B13. HR ESI positive mode spectrum of compound **34**.