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# Numerical Study of Cone Penetration in Calcareous Sands:

Investigating Cone Tip Resistance Correction Factors for Crushable Soils

by

Stephanie Michelle Hyder

A thesis submitted in partial fulfillment of the requirements for the degree of

Master of Science in Civil and Environmental Engineering

> Thesis Committee: Diane Moug, Chair Arash Khoshravifar Annette Dietz

Portland State University 2024

#### **ABSTRACT**

The cone penetration test (CPT) is used to characterize the behaviour and properties of soils, including the susceptibility to earthquake liquefaction triggering. The cone tip resistance relates to liquefaction susceptibility through relative density, where relative density is closely related to both cone tip resistance and liquefaction susceptibility. Currently, published methods of estimating liquefaction potential (i.e., cyclic resistance ratio) are based on silica sands and do not properly characterize calcareous sands. The measured cone tip resistance in calcareous sands is lower than in silica sands at the same relative density; this difference is generally attributed to the higher compressibility of calcareous sands due to particle crushing during cone penetration. Consequently, application of CPT-based liquefaction triggering evaluations in calcareous sands result in over-conservative analysis. To avoid over-conservative analysis, projects may develop site-specific correction factors to adjust the cone tip resistance in calcareous sand to the equivalent value in silica sand at the equivalent relative density, which is time and cost intensive. This study aims to investigate cone penetration in calcareous sands compared to silica sands by examining the roles of soil compressibility and other fundamental soil parameters. The study is performed with a direct axisymmetric penetration model and the MIT-S1 constitutive model calibrated against published mechanical behaviour for a calcareous sand; the simulated cone penetration results are compared with simulated cone penetration in Ottawa F-65 sand. Compressibility of the calibrations is adjusted to explore the role of compressibility on cone tip resistance. The numerical results show that differences in compressibility only partially account for differences in cone tip resistance between calcareous and silica sands at the same initial state. However, the results support

that critical state line position does strongly relate to differences in cone tip resistance between the two soil types. The study results provide a basis to investigate differences in critical state line position as a basis for site-specific cone tip resistance correction factors for calcareous soils.

# **ACKNOWLEDGEMENTS**

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## 1.0 INTRODUCTION

Calcareous sands are sands that are at least 50% calcium carbonate (CaCO<sub>3</sub>) by weight. They are small grains of skeletal remains of marine organisms, mostly coral. Because of their nature of composition, calcareous sands are also referred to as carbonate or coral sands. These sands are often present in geotechnical engineering applications in near shore, offshore, and dredged fill environments. They are abundant and estimated to be around one third of the world's total land surface area. Fig. 1 shows scanning electron microscopy (SEM) images of M1 calcareous sand. The grains at this scale are angular, porous, and due to the composition, appear brittle.

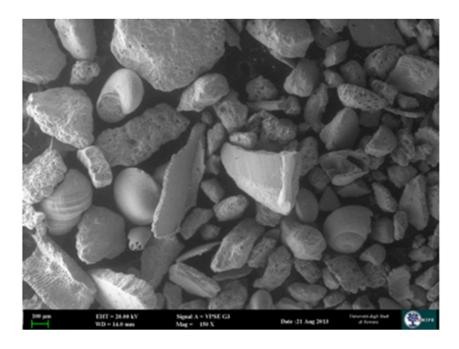


Figure 1: SEM of M1 calcareous sand (from Giretti et al. 2018a).

A typical sand to an engineer would be a silica sand, which is fundamentally different from calcareous sands due to their nature of origin. Silica sands, also referred to as quartz sands, are composed of silicon dioxide (SiO<sub>2</sub>). Fig. 2 shows SEM images of a silica sand, which

are more uniform, rounded, and less porous than the calcareous SEM in Fig. 1. Due to these differences, calcareous sands exhibit different mechanical behaviour than silica sands and thusly classified separately.

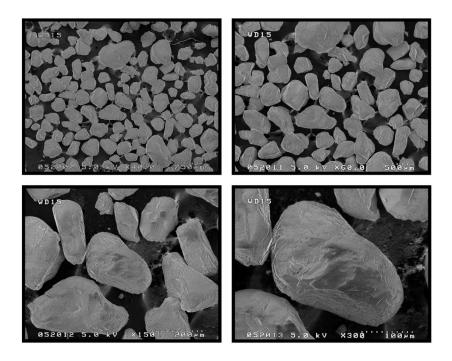


Figure 2: SEM of silica sand (from Bastidas 2016).

A common method for characterizing sand profiles is the cone penetration test (CPT). CPT is useful for soil state characterization (e.g., relative density) and state-dependent property characterization (e.g., peak friction angle, cyclic resistance ratio) of granular soils that are otherwise difficult to sample intact. Samples are not collected in CPT; instead, a small cone is driven through the soil and the resistance felt be the cone tip during penetration is measured and reported as tip resistance ( $q_t$ ).

Carbonate sand tip resistances are typically about 25% lower than silica sands at the same relative density (Dr) (Debats et al. 2015). For most sand parameter interpretation from

CPT, published correlations and charts are based on empirical data from silica sands (e.g., Salgado et al. 1997, Mayne 2007). This can lead an engineer to classify a calcareous sand as having a high liquefaction hazard or low shear strength due to underestimation of its *Dr* with CPT-based methods.

A correction factor for calcareous sands, called the shell correction factor (SCF), is often applied to  $q_t$  for calcareous sands to estimate the equivalent  $q_t$  for a silica sand at the same Dr:

$$SCF = q_{t,silica} \div q_{t,calcareous}$$

Al-Homoud et al. (2006) developed SCF as a function of Dr; the larger the Dr, the larger of a correction factor must be applied:

$$SCF = 0.0046 \times Dr \, [\%] + 1.3629$$

Current practice is to develop a site-specific SCF, which is a burdensome task largely due to the equipment needed to characterize the relationship between  $q_t$  and Dr, which typically involved either a calibration chamber or geotechnical centrifuge. Calibration chambers present several expensive problems: they require around one ton of sand per tested Dr, few densities can be tested in a reasonable amount of time, and measurement corrections on the chamber introduce uncertainty (Debats et al. 2018). Geotechnical centrifuges are specialized equipment available to a limited number of labs. Motivated by the expense of site-specific SCF, this study examines fundamental differences between calcareous sand silica sands that may account for differences in  $q_t$  at the same Dr.

The higher compressibility of calcareous sands compared to silica sands is often used to explain the need for SCFs (e.g., Al-Homoud et al. 2006, Debats et al. 2015). Other studies (e.g., Moug et al. 2019a) show that differences of  $q_t$  can often be attributed to critical state line (CSL) position, which is partially related to soil compressibility by being the limit at which soils could continually shear without changes in volume or effective stress. This study tests two hypotheses regarding calcareous and silica sands: differences in compressibility are strongly related to differences in  $q_t$ , and differences in CSL position strongly relate to differences in  $q_t$ .

To better understand SCFs by analyzing  $q_t$  in calcareous sands, cone penetration was simulated using a direct axisymmetric cone penetration model in FLAC 8.0 (FLAC, Itasca 2016) with the MIT-S1 constitutive model (Pestana & Whittle 1999) calibrated for the calcareous sand behaviour in Giretti et al. (2018a,b). This paper presents calibration of the MIT-S1 model, cone penetration simulations of the model, plus discussion, analysis, and comparison of the current SCF and our suggested modified approach.

#### 2.0 CONE PENETRATION MODEL & SOIL MODEL CALIBRATION

# 2.1 Direct axisymmetric penetration model

Drained cone penetration is simulated with a direct axisymmetric penetration model in FLAC 8.0. Large deformations around the penetrating cone are accommodated by a user defined Arbitrary Lagrangian Eulerian rezoning and remapping algorithm. This cone penetration model and ALE algorithm is presented and validated in Moug et al. (2019b).

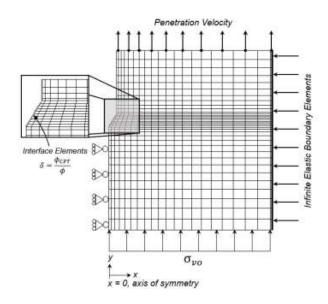


Figure 3: FLAC direct axisymmetric model (from Moug et al. 2019b).

The model captures penetration for a 3.57 cm diameter cone (standard 10 cm<sup>2</sup> cone). A simplified illustration of the model boundary conditions is shown in Fig. 3. The model dimensions are 30 cone diameters in the x-direction, 22.5 cone diameters in the y-direction below the cone tip, and 5 cone diameters in the y-direction above the cone shoulder. The in-situ vertical stress condition is applied at the bottom boundary; penetration velocity is applied to gridpoints at the top boundary; and an infinite elastic boundary condition is applied to gridpoints at the far radial boundary. Interface conditions between the soil and

cone are captured with Mohr-Coulomb interface elements with a coefficient of friction of 0.6 to capture similar conditions as used for Ottawa F-65 sand simulations in Moug et al. (2019b).

Cone penetration is simulated from a wished-in-place condition at the depth of interest. Then, cone penetration is simulated as soil moving upward relative to a stationary cone until steady-state stress conditions around the cone tip are reached. For this study, all simulations were run, at minimum, for the equivalent of 20 cone diameters of penetration to reach steady-state conditions.

## 2.2 MIT-S1 model calibration

MIT-S1 is a bounding surface plasticity model developed by Pestana and Whittle (1999) that can capture a wide range of soil behaviours from clays to sands. The model was implemented in FLAC by Jaeger (2012) with additional modifications as described in Moug (2017).

Existing Sand Data Used for Calibration

The MIT-S1 model was calibrated for the M1 sand presented in Giretti et al. (2018a,b). The sand in their studies was obtained from dredged artificial islands in the United Arab Emirates that was to be used for petroleum production islands. M1 was reported to be 97% carbonate with a fines content of 5%, sand content of 91%, and 4% gravel content. The M1 data used for parameter calibration included data from compression tests, drained triaxial compression tests, and cone penetration in a geotechnical centrifuge and calibration chamber.

The Ottawa F-65 sand (OS) presented in Moug et al. (2019a) was used to represent a silica sand for comparison. This sand was advantageous because an MIT-S1 calibration of this sand already existed; calibration and validation of OS are presented in Moug et al. (2019a). OS was mined from sandstone deposits near Ottawa, Illinois. OS was reported to be 99.5% silica with a fines content of 0.17%. The OS data used for comparison included simulated compression tests, simulated drained triaxial compression tests, and simulated cone penetration.

## Model Calibration Process

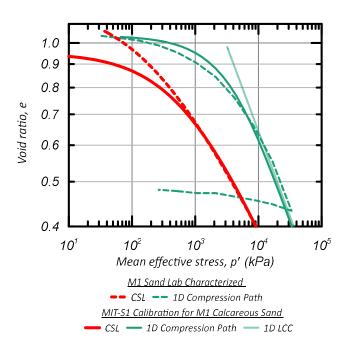
Calibration of the MIT-S1 model for cone penetration followed the approach in Moug et al. (2019a), prioritizing calibration of compression behaviour and CSL position to capture reasonable  $q_t$  values. The calibrated MIT-S1 parameter values for M1 and OS sand, along with a brief description of the model parameters, are shown in Table 1.

**Table 1:** MIT-S1 calibration input parameters for M1 calcareous sand and Ottawa sand.

		Calib	orated
	Description	Para	meters
		M1	os
$\rho_c$	LCC slope shown in $log(e)$ - $log(p')$	0.37	0.49
φ' <sub>cs</sub>	Critical state friction angle	37	30
$\varphi'_{mr}$	Peak friction angle at $e = 1$	36.5	18.2
$\sigma'_{vref}$	Reference $\sigma'_{v}$ at $e = 1$ on the 1-D LCC.	45	129
$p_{\varphi}$	Controls variation of peak friction angle with e	0.6	2.6
m	Controls shape of yield and bounding surfaces	0.75	0.67
$K_{0NC}$	Lateral earth pressure coefficient	0.5	0.5
Cb	Controls small strain elastic moduli	750	750
$\mu'_0$	Poisson's ratio (small strain, load reversal)	0.23	0.23
ω	Controls nonlinearity in Poisson's ratio	1.0	1.0
$\omega_s$	Controls nonlinearity of elastic moduli in shear	2.5	2.5
Ψ	Controls rate of evolution of yield surface anisotropy	50	50
θ	Transition to LCC for compression behaviour	0.6	0.6

Compression behaviour of MIT-S1 is modelled with the limiting compression curve (LCC). The LCC represents a linear line in  $\log e - \log$  effective stress space that the sand compression path follows at high stresses. The LCC of M1 sand was fit to the compression behaviour at high stresses (i.e., for  $\sigma'_{v} > 10$  MPa) with the slope parameter,  $\rho_{c}$ , and y-intercept parameter,  $\sigma'_{vref}$ , while  $\Theta$  was adjusted to approximate the soil's transition to the LCC. Compression parameters  $\sigma'_{vref}$ ,  $\rho_{c}$ , and  $\Theta$  were calibrated from compression test data, as shown in Fig. 4.

The CSL was explicitly fit to drained triaxial compression (TX) lab data using parameters  $\varphi'_{cs}$ ,  $\varphi'_{mr}$ , m, and  $p_{\varphi}$ . The LCC parameters also affect the CSL position in void ratio – mean effective stress (e-p') space. As shown in previous studies, soil around the penetrating cone is loaded to critical state conditions, therefore, the CSL position strongly relates to the stress condition around the penetrating cone, and therefore also strongly relates to  $q_t$  (e.g., Moug et al. 2019b, Moug & Price 2023). Therefore, calibrating the MIT-S1 CSL to be comparable to the laboratory characterized CSL was a priority for calibration, with less of a priority placed on capturing other soil behaviours when compromise was necessary. Fig. 4 compares the MIT-S1 calibrated CSL with the CSL interpreted in Giretti et al. (2018a) from laboratory triaxial compression testing. Other model parameters, including  $\psi$ , Cb,  $\mu'_{\theta}$ ,  $\omega$ , and  $\omega_s$  were based on the OS calibration and standard soil values.



**Figure 4:** CSL, LCC, and 1D compression behaviour of M1 sand. Lab-characterized CSL and lab-measured 1D compression behaviour (Giretti et al. 2018a).

## Calibration Parameter Selection

Selecting model parameters was an iterative process and several calibrations were developed. Three example calibrations and their model parameters are shown in Table 2 with the final selected calibration shown as "M1 Calibration." The process for selecting the parameters was as follows: develop the CSL based on model parameters and fit to the M1 TX lab data, run drained TX single element simulations to ensure model stability, and then validate the parameters using the cone penetration model and compare against the M1 centrifuge data.

**Table 2:** MIT-S1 calibration input parameters for preliminary M1 calibrations.

	Model Parameters		
	Calibration 1	Calibration 2	M1 Calibration
$\rho_c$	0.37	0.37	0.37
$\varphi$ ' $_{cs}$	37	37	37
$\varphi$ ' $_{mr}$	36.5	36.5	36.5
$\sigma'_{\mathit{vref}}$	45	45	45
$p_{arphi}$	0.3	0.35	0.6
m	0.9	1.0	0.75
K <sub>0NC</sub>	0.5	0.5	0.5
Cb	750	750	750
μ′ο	0.23	0.23	0.23
ω	1.0	1.0	1.0
$\omega_s$	2.5	2.5	2.5
Ψ	50	50	50
θ	0.6	0.6	0.6

The CSLs of the preliminary calibrations along with the CSL from the lab data are shown in Fig. 5. Calibration 1 proved to be a weak fit of the CSL, so Calibration 2 was developed attempting a better fit to the lab data. However, the  $q_t$  of Calibration 2 still did not reach a sufficient fit with the centrifuge data, as is shown in Fig. 6, and therefore the final M1 calibration was developed. Fig. 6 shows CPT simulations for the example and final calibrations compared to the centrifuge data. Calibrations 1 and 2 show poor agreement with the centrifuge data at  $\sigma'_{\nu}$ =100 kPa, with the M1 calibration showing a reasonable fit to the data. The differences in fit of the data at e=0.70 at higher stresses is further discussed later.

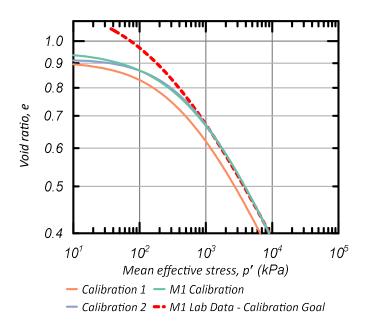
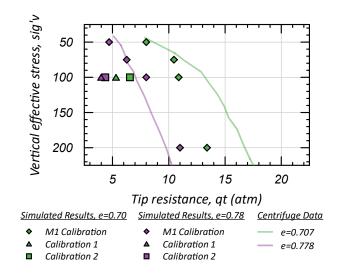


Figure 5: CSLs of M1 sand preliminary calibrations with lab-characterized CSL (Giretti et al. 2018a).



**Figure 6:**  $q_t$  from direct penetration simulation calibrations in M1 sand compared to M1 centrifuge data (Giretti et al. 2018b).

The soil shear behaviour of the M1 sand calibration is compared against the laboratory drained TX data (2018a) in Fig. 7 and Fig. 8 using single element drained TX simulations in FLAC. Fig. 7 shows the TX paths in *e-p'* space for both the lab data and the MIT-S1 calibration. For the same initial conditions, the paths generally have similar contractive and

dilative tendencies and reach a similar p' at critical state. However, for soils that are initially dense of the critical state line (i.e., below the CSL), the calibrated model does not have as strong of an initial contraction as the laboratory-measured behaviour.

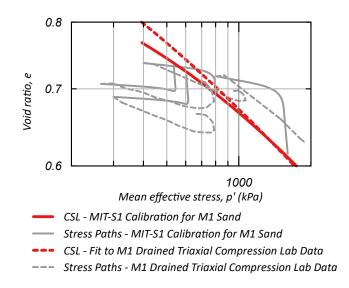


Figure 7: Drained TX stress paths and CSL. MIT-S1 calibration and M1 lab data (Giretti et al. 2018a).

Fig. 8 compares the simulated and lab-measured stress ratio during TX from the same initial conditions; the figure plots the stress ratio ( $\eta$ ) versus axial strain ( $\varepsilon_a$ ), where  $\eta$  is the ratio of the difference between the major and minor principal stresses, also known as deviatoric stress (q), to p. While the simulations converge at a similar  $\eta$  to the lab data, there is a lack of peak in  $\eta$  and generally a softer response compared to the lab data. In Giretti et al. (2018a), the peak response is attributed to greater dilativity of crushed particles; this behaviour was difficult to capture in the MIT-S1 model while still prioritizing the shape and position of the CSL and LCCs, possibly due to limitations of the model capturing the changes in soil behaviour during particle crushing.

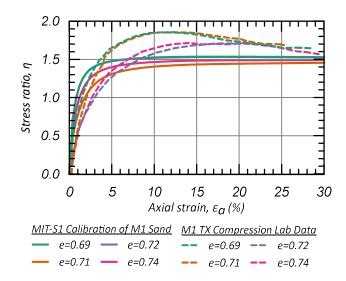
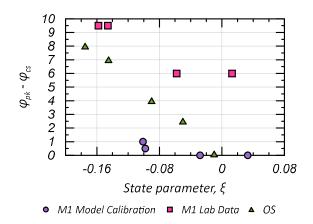


Figure 8:  $\eta$  versus  $\varepsilon_a$  from the same initial conditions. Simulated drained TX and lab-measured drained TX (Giretti et al. 2018a)

Typically, calcareous sands are more dilative in shear loading than silica sands; this is consistent between the M1 lab data and OS sand calibration as shown in Fig. 9 by the difference between peak friction angle  $(\varphi_{pk})$  and  $\varphi_{cs}$  versus the state parameter  $(\xi)$ , where the M1 lab data consistently plots higher than the OS data. Although the OS sand data in Fig. 9 is a simulated behaviour, this behaviour was calibrated to be consistent with the  $\varphi_{pk}$ - $\varphi_{cs}$  versus  $\xi$  relationship by Jeffries and Been (1985).  $\xi$ , as defined by Jeffries and Been, is the difference between the current e and critical state void ratio  $(e_{cs})$ .

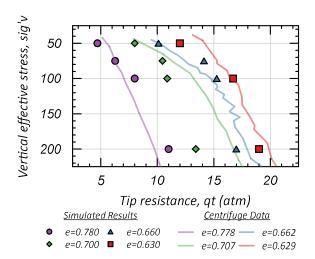
The simulated M1 behaviour consistently plots below the simulated OS and lab M1 behaviour in Fig. 9, illustrating a limitation in the model to capture dilativity in calcareous sands. Despite these limitations, cone penetration behaviour was generally well-captured and informative to the objectives of the study, as will be discussed in the following sections.



**Figure 9:**  $\varphi_{pk}$ – $\varphi_{cs}$  versus  $\xi$ . MIT-S1 M1 calibration, M1 lab data (Giretti et al. 2018a), and MIT-S1 OS calibration (Moug et al. 2019a).

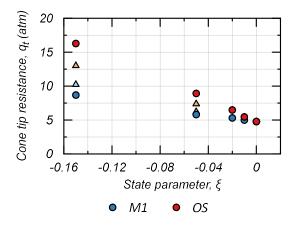
# 2.3 Validation of simulated cone penetration in calcareous sand

Cone penetration simulations were performed using FLAC at e=0.63, 0.66, 0.70, and 0.78 with the M1 parameters shown in Table 1 and a range of vertical effective stress ( $\sigma'_v$ ) to represent different depths of a soil profile.  $q_t$  versus  $\sigma'_v$  of M1 simulations compared against M1 centrifuge data from Giretti et al. (2018b) is shown in Fig. 10. The cone penetration model captures  $q_t$  well with some limitations at e=0.70 for higher  $\sigma'_v$ . These differences are attributed to variability in the calibration chamber, soil preparation, and other testing variability. Generally, the trend of  $q_t$  with decreasing e and increasing  $\sigma'_v$  measured from cone penetration in a geotechnical centrifuge is approximated by the simulations.



**Figure 10:**  $q_t$  from direct penetration simulations in M1 sand compared to M1 centrifuge data (Giretti et al. 2018b).

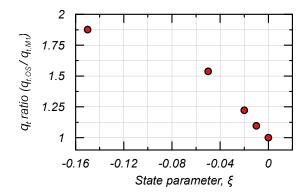
Fig. 11 shows simulated M1 (blue circles) and OS (red circles) qt from  $\xi$ =-0.15 to critical state ( $\xi$ =0). These simulations were performed at  $\sigma$ 'v=100 kPa. As expected, qt increases with decreasing  $\xi$ . Overall, the qt of M1 (qtM1) are lower than the simulated OS (qtOS).



**Figure 11:**  $\xi$  versus  $q_t$ . M1 and OS with "B" calibrations.

Validation of the M1 calibration to capture calcareous sand behaviour during cone penetration is also performed by comparing the equivalent SCF with published values. The ratio between  $q_{tOS}$  and  $q_{tM1}$  versus  $\xi$  are plotted in Fig. 12, which would be the SCF for

these two soils. The trend of SCF from the simulated results are consistent with reported values. Jamiolkowski and Pasqualini (1992) report that SCF increases as Dr increases with SCF $\approx$ 1.5 for Dr=50-70% and SCF $\approx$ 2.0 for Dr=90%. This trend is reproduced by the results in Fig. 8;  $q_{tOS}/q_{tMI}=1.6$  for  $\zeta=-0.05$  and  $q_{tOS}/q_{tMI}=1.9$ . for  $\zeta=-0.15$ . The differences of simulated  $q_t$  between M1 and OS are similar to published differences, therefore supporting that the primary soil parameters affecting  $q_t$  in calcareous sand are captured with the MIT-S1 M1 calibration.



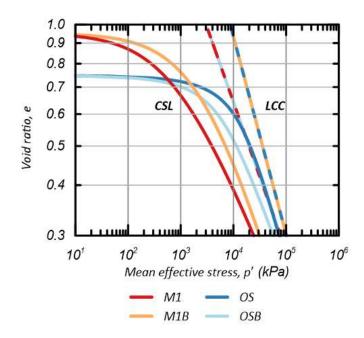
**Figure 12:**  $\xi$  versus ratio of OS and M1  $q_t$ .

## 3.0 ANALYSIS AND RESULTS

## 3.1 Role of compressibility on $q_t$

The relationship between soil compressibility and  $q_t$  was examined by simulating cone penetration in the calibrated OS and M1 sands as presented above, and with the compressibility parameters interchanged for the soils. This resulted in a calibration for M1 sand with the compressibility of OS (called "M1B") and a calibration for OS with the compressibility of M1 (called "OSB"). Both simulations kept all model parameters the same as their original calibration while changing parameters  $\rho_c$  and  $\sigma_{vref}$  to the other soil type.

Changing the compressibility parameters affected the position of the CSL, as seen Fig. 13. The position of the LCCs reflected the change in compressibility – the less-compressible OS is to the right of the more-compressible M1 sand as expected, and the "B" calibrations interchange LCCs when they are assigned the other's compressibility. This relationship is not as strong in the CSL, as seen in the figure. The CSLs of the "B" calibrations are positioned between the CSLs of the original calibrations.



**Figure 13:** LCC and CSL of M1 and OS calibrations, M1 sand with OS ("M1B"), and OS with M1 compressibility ("OSB").

The simulated  $q_t$  values for the M1B and OSB calibrations are included in Fig. 11. Changes in compressibility did result in some change to  $q_t$ ; this is shown in Table 3 for a selected  $\xi$  of -0.05. The simulated  $q_t$  values of OSB were lower than the  $q_t$  values of OS for the same  $\xi$ , reflecting that OSB is more compressible than OS; however, they are only 15-25% lower than  $q_{tOS}$ , whereas  $q_{tM1}$  values are up to 60% lower. Similarly,  $q_{tM1B}$  values were larger than  $q_{tM1}$ , reflecting that M1B is less compressible than M1, however the values are only 10-20% larger. If compressibility indeed was the major factor in the  $q_t$  differences of OS and M1 sands, the change in  $q_t$  would be expected to capture the soil behaviour more closely with the same compressibility. These results indicate that compressibility differences do not fully account for differences in  $q_t$  for the same  $\xi$  between calcareous and silica sands.

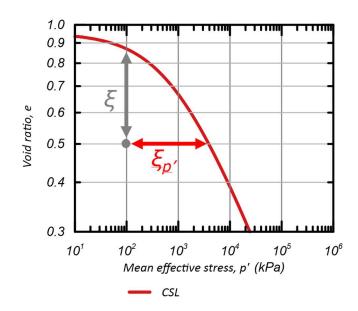
**Table 3:**  $q_t$  of M1 and OS with "B" calibrations at  $\xi = -0.05$ .

Calibration	$q_t$ [MPa]
M1	5.8
M1B	6.4
OS	7.5
OSB	8.9

It is likely and expected that changing compressibility parameters also changed the shear behaviour of the calibrations. This work does not examine these effects at this time but will be investigated in future studies.

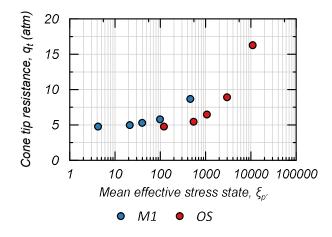
# 3.2 Role of CSL position on $q_t$

In this section, the second hypothesis that differences in  $q_t$  between M1 and OS relate to differences in CSL position is tested. The CSL position refers to the CSL position relative to p'. Therefore, the CSL position is captured in this study by a new parameter, the mean effective stress state ( $\xi_p$ '), which is the difference of p' between the initial p' and the p' on the CSL for the same initial e. Fig. 14 is shown below to illustrate the differences between  $\xi$  and  $\xi_p$  and their relationship to the CSL in e-p' space. Where  $\xi$  refers to the vertical distance between e and  $e_{cs}$  and the same p',  $\xi_p$  refers to the horizontal distance between p' and p' cs at the same e.



**Figure 14:** Defining  $\xi_{p'}$  in terms of p' and  $p'_{cs}$  and  $\xi$  in terms of e and  $e_{cs}$  using the CSL.

The  $q_t$  values in Fig. 11 are related to  $\zeta_{p'}$  in Fig. 15 for M1 and OS. As shown in Fig. 10, there is a positive relationship between  $q_t$  and  $\zeta_{p'}$  for both soils, supporting that when the CSL is positioned at higher p',  $q_t$  will be higher. The data in Fig. 10 for OS and M1 show similar trends but different relationships between the two soils since other soil properties and behaviours will also affect  $q_t$  in addition to  $\zeta_{p'}$ .



**Figure 15:** Relationship between  $q_t$  and  $\zeta_{p'}$  for M1 and OS.

The relationship shown in Fig. 15 is consistent with the understanding that soils at the cone tip are loaded to the CSL during cone penetration (Moug et al. 2019b). To illustrate this in terms of CSL positioning, the CPT stress paths and CSLs for M1 sand and OS are shown in Fig. 16. The simulated loading paths show the e-p' response from initial conditions to the cone shoulder for soil along the cone's penetration path and then adjacent to the cone. Two simulations are shown for each sand starting at the same  $\xi$ . All four simulations reach the CSL by the cone tip. Because the CSL of M1 is positioned to the left of OS (i.e., at lower p'), M1 soil at the cone tip is at a lower p' than OS soils, and consequently there is a lower  $q_t$  for M1.

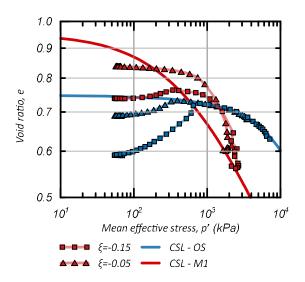
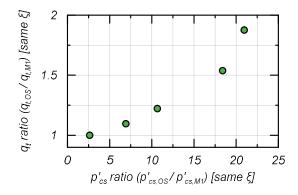


Figure 16: Stress paths during cone penetration and CSLs of M1 and OS.

The relationship between  $q_{tOS}$ ,  $q_{tMI}$  and CSL positions are further examined in Fig. 17. In this figure, the SCF for the simulated M1 soil is related to the ratio of p' on the CSL for OS and M1 for the same  $\xi$ . Each point in Fig. 17 has the same initial stress conditions  $(\sigma'_{\nu} = 100 \text{ kPa})$  and same  $\xi$ . The  $p'_{cs}$  values represent the p' values on the CSL for the same e that achieves the initial  $\xi$  at  $\sigma'_{\nu} = 100 \text{ kPa}$ . As the differences in the horizontal position

of the two CSLs become greater the ratio between  $q_t$  (the SCF) also increases. This relationship demonstrates that CSL position is a potential fundamental basis for a SCF.



**Figure 17:**  $q_t$  ratio versus p' ratio of M1 and Ottawa sand simulations at same  $\xi$  at  $\sigma'_v = 100$  kPa.

#### 4.0 DISCUSSION

Numerical simulations of direct cone penetration were used to investigate differences in  $q_t$  between calcareous and silica sands. A consistently lower  $q_t$  in calcareous sands compared to silica sands at the same Dr or  $\xi$  is not fully attributable to differences in compressibility. However, there does seem to be a strong relationship between CSL position and  $q_t$ , and the differences in  $q_t$  can be explained by differences in CSL position in terms of p'. Relating these may provide a fundamental basis for SCFs that more reliably predict  $q_t$  differences between calcareous and silica sands.

Current approaches to developing SCFs are site-specific and based on a series of calibration chamber or centrifuge testing to estimate the differences in  $q_t$  for calcareous sands, requiring significant testing and time resources. The analysis presented herein shows that the differences in  $q_t$  between calcareous and silica sands are strongly related to the differences in their CSL positions with respect to p'. This may provide a basis for examining SCF in terms of the CSL position of the calcareous sand compared against a CSL representative of typical silica sands (i.e., the sands used to develop  $q_t$ -Dr relationships). Essentially, a SCF could be estimated by characterizing the calcareous sand CSL.

Such an approach could be an alternative to calibration chamber or centrifuge testing to develop SCF. However, a CSL-based approach to developing SCFs requires further investigation accompanied by experimental validation.

## 5.0 CONCLUSIONS

A direct axisymmetric penetration model calibration for calcareous sands was used to examine the role of compressibility on  $q_t$  and the relationship between the CSL and  $q_t$ . The study compared penetration simulations with the MIT-S1 model calibrated for a calcareous sand and a silica sand. The simulated  $q_t$  between the two calibrations indicates that the differences in  $q_t$  are only partially attributed to differences in compressibility, although differences in compressibility have been previously used to explain differences in  $q_t$  between calcareous and silica sands. A stronger explanation for the difference in simulated  $q_t$  between the calcareous sand and silica sand is the CSL positions. Further examination of the role of CSL position on  $q_t$  could provide a path forward for development of SCF based on CSL characterization for calcareous sands.

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