Fluorescent Indicators for Disease Biomarkers

Soojin Lim
Portland State University

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Fluorescent Indicators for Disease Biomarkers

by

Soojin Lim

A dissertation submitted in partial fulfillment of the requirements for the degree of

Doctor of Philosophy
in
Chemistry

Dissertation Committee:
Robert M. Strongin, Chair
Carl C. Wamser
Reuben H. Simoyi
Erik Johansson
Jun Jiao

Portland State University
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ABSTRACT

Xanthene dyes are common fluorophores which have been widely used as molecular probes. The xanthene fluorophores can be used as highly selective optical sensors to detect disease biomarkers. A new fluorogenic dye containing an $\alpha,\beta$-unsaturated aldehyde moiety exhibits selective fluorescent signal enhancement in the presence of cysteine or peptides containing $N$-terminal cysteine residues. The mechanism is based on synergistic covalent and supramolecular interactions.

A unique rhodamine boronic acid indicator is used in an optimized data collection protocol for wavelength- and time-dependent selectivity of various saccharides and nucleosides. One indicator is thereby capable of selectively distinguishing structurally related analytes in mixtures. Moreover, the rhodamine-based boronic acid responds linearly to increasing riboside concentrations in urine samples, potentially enabling the screening for inborn purine metabolism disorders.
DEDICATION

Philippians 4:13| I can do everything through him who gives me strength.

Thank my God.

To my parents, Mun Joo Lim and Hee Sung Choi.

Thank you for all your love and prayers.
ACKNOWLEDGEMENTS

First, I would like to give my sincere thanks to my wonderful advisor, Dr. Robert M. Strongin, for his guidance, support and encouragement. His inspiration and confidence led me to achieve a goal.

My special thanks to Dr. Jorge Escobedo and Dr. Mark Lowry. Thanks for all their timely help and support for my research.

My thanks also go to Dr. Martha Sibrian-Vazquez and Dr. Oleksandr Rusin. Thanks for being a great model as real scientists.

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Finally, to love of my soul, Jeff D. Yoo. Thank you for being with me at the best moment of my life.
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<thead>
<tr>
<th>Abbreviation</th>
<th>Full Form</th>
</tr>
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<tbody>
<tr>
<td>AAAS</td>
<td>The American Association for the Advancement of Science</td>
</tr>
<tr>
<td>Abs</td>
<td>Maximal Absorbance</td>
</tr>
<tr>
<td>ADSL</td>
<td>Adenolosuccinate lyase</td>
</tr>
<tr>
<td>AICAr</td>
<td>5-aminoimidazole-4-carboxamide riboside</td>
</tr>
<tr>
<td>ASDs</td>
<td>The autism spectrum disorders</td>
</tr>
<tr>
<td>ca.</td>
<td>Approximately (Circa)</td>
</tr>
<tr>
<td>CH$_3$CN</td>
<td>Acetonitrile</td>
</tr>
<tr>
<td>CHCl$_3$</td>
<td>Chloroform</td>
</tr>
<tr>
<td>Cys</td>
<td>Cysteine</td>
</tr>
<tr>
<td>$\delta$</td>
<td>Chemical shift</td>
</tr>
<tr>
<td>D$_2$O</td>
<td>Deuterium oxide</td>
</tr>
<tr>
<td>DCM</td>
<td>Dichloromethane</td>
</tr>
<tr>
<td>DMF</td>
<td>Dimethylformamide</td>
</tr>
<tr>
<td>DMSO</td>
<td>Dimethyl sulfoxide</td>
</tr>
<tr>
<td>DNBS</td>
<td>2,4-dinitrobenzenesulfonyl</td>
</tr>
<tr>
<td>$\varepsilon$</td>
<td>Molar absorptivity (epsilon)</td>
</tr>
<tr>
<td>e.g.</td>
<td>For example (exempli gratia)</td>
</tr>
<tr>
<td>EEM</td>
<td>Excitation-emission matrix</td>
</tr>
<tr>
<td>Em</td>
<td>Maximal Emission</td>
</tr>
<tr>
<td>ESI</td>
<td>Electron spin resonance</td>
</tr>
<tr>
<td>et al.</td>
<td>and others (et alii)</td>
</tr>
<tr>
<td>EtOAc</td>
<td>Ethyl acetate</td>
</tr>
</tbody>
</table>
EtOH  Ethanol
\phi  Quantum yield
FTMS  Fourier transform mass spectrometry
GC-MS  Gas chromatography – mass spectrometry
Glu  Glutamyl
Gly  Glycine
GSH  Glutathione
h  hour
H2SO4  Sulfuric acid
Hcy  Homocysteine
HEPES  4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid
HPLC  High performance liquid chromatography
HRMS  High performance mass spectrometry
Hz  Hertz
ICT  Intramolecular charge transfer
J  Coupling constant
\lambda_{ex}  The wavelength of excitation
\lambda_{max}  The wavelength of maximum absorption
LOD  Limit of detection
LTQ  Linear trap quadrupole
M  Moles per liter (molar)
m/z  Mass-to-charge ration
MeOH  Methanol
NaCl Sodium chloride
NaHCO₃ Sodium bicarbonate
NaOH Sodium hydroxide
NH₃ Ammonia
NMR Nuclear magnetic resonance
PARAFAC Parallel factor analysis
PET Photoinduced electron transfer
pKₐ The logarithmic acidity constant
PP Purine and pyrimidine
ppm Parts per million
2-PrOH Isopropanol
R_f Retardation factor
rt Room temperature
S-Ado Succinyladenosine
SAH S-adenosyl homocysteine
SAICAr Succinyl-5-amino-4-imidazolecarboxamide riboside
SAM S-adenosyl methionine
TLC Thin layer chromatography
TOF Time of flight
UV-Vis Ultraviolet-visible
vs. Versus
CHAPTER 1. INTRODUCTION

The development of simple optical indicators to detect small molecule disease biomarkers is of great interest. Current clinical chemistry techniques can benefit from user friendly and simple techniques for the direct analysis of analytes in complex biological matrices. Research described herein focuses on the sensing of biological thiols, peptides, and specific ribose-containing molecules via xanthene-based organic dye indicators.

1.1 Fluorescent Indicators

Organic and bioorganic molecules as analytes pose unique challenges for the design of selective indicators. They include a wide range of structures, dynamics and interacting moieties. The majority of the indicators reported to date were designed for detecting metal cations and inorganic or highly polar anionic molecules. These latter efforts were inspired, for instance, by Tsien’s cation-binding fluorophores.¹ In fact, fluorescent chemical indicators for sodium, potassium and calcium currently are the platforms for a portable blood gas analyzer for point-of-care use in hospitals and ambulances.²

1.2 Indicators for the Specific Detection of Cysteine and N-Terminal Cysteine Peptide Residues

Biological thiols help modulate many redox processes in nature. Altered levels of thiols signify various disease states including cancer and AIDS.³ Thus, the creation of effective probes for biological thiols is an ongoing area of study. Many fluorescent reagents have been reported by several research groups worldwide. The chemical
mechanisms for signal transduction are diverse. They include cleavage by thiol attack, ring opening reactions, Michael additions, or cyclizations with aldehydes.

Aldehyde-functionalized fluorophores and chromophores can be used for the simple and highly selective detection of cysteine (Cys) and/or homocysteine (Hcy) upon thiazinane or thiazolidine formation. Additionally, Cys vs. Hcy selectivity is also achieved via the use of $\alpha,\beta$-unsaturated aldehydes under mild conditions involving related mechanisms.\textsuperscript{4, 5}

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{image.png}
\caption{Figure 1.1 Chapter 2 describes the selective detection of Cys via an $\alpha,\beta$-unsaturated aldehyde-appended fluorescein derivative.}
\end{figure}

1.3 Multidimensional Multiple Analyte Detection via a Single Indicator

Generally, fluorescence detection is analyzed by the fluorescence response from the interaction between the analyte and indicator or receptor. However, fluorophores used in complex natural media can form unexpected multi-component complexes that may hinder selective detection and challenge the determination of optimal spectroscopic properties. An improved data collection protocol, such as multidimensional data analysis which measures fluorescence of sample at several different excitation wavelengths for several emission wavelengths, can solve the problems from the presence of a variety of indicator-bound species. Also, this wavelength-tunable detection enables multiple analyte
detection via a single optical indicator based on the different dynamics of each reaction complex, simultaneously with saving time and materials.

![Figure 1.2](image)

**Figure 1.2** Chapter 3 describes multidimensional data analysis and its application to multiple analyte detection via a single indicator.

### 1.4 Direct Detection of ADSL Deficiency in Human Urine

Although rare (approximately 1 in 200,000 births), adenolosuccinate lyase (ADSL) deficiency is a serious inborn error of purine metabolism. It is one of the autism spectrum disorders. ADSL deficiency is associated with mental retardation, congenital blindness, autism and seizure. It can be diagnosed via massive levels (mM) of succinyl-5-amino-4-imidazolecarboxamide riboside (SAICA-riboside) and succinyladenosine (S-Ado) in urine of these patients.

Existing screening tests for ADSL deficiency, for example the Bratton-Marshall test, are non-specific and exhibit high rates of false positives. TLC screening with Pauly reagent requires fresh reagent preparation prior to use, and analyte separations. Developing stable and selective reagents for SAICA and S-Ado directly in urine without separations is necessary for convenient screening for this devastating disease of infants. Such tests would also guide the development of other nucleoside and nucleotide indicators for the detection of various cancers.
Figure 1.3 Chapter 4 describes the selective detection of AICAr directly in human urine without sample processing, towards the development of a practical assay for ADSL deficiency.

1.5 Research Goal

A goal of the work described herein is developing fluorogenic dyes for detecting specific amino acids and saccharides in challenging natural media. This includes (i) understanding synergistic covalent and non-covalent interactions between the fluorophore and bound analyte, (ii) site-specific peptide and protein residue detection, (iii) the advantage of time and wavelength dependent detection for multiple analyte sensing via one indicator, (iv) simple and direct analyses of ADSL deficiency biomarkers in urine, (v) monitoring the concentration of analytes of interests in real clinical samples via quantitative means.
CHAPTER 2. SELECTIVE FLUORESCENCE DETECTION OF CYSTEINE AND N-TERMINAL CYSTEINE PEPTIDE RESIDUES

An α,β-unsaturated aldehyde-functionalized fluorescein produces a significant fluorescent signal enhancement in solutions containing cysteine and peptides containing N-terminal cysteine residues. A unique combination of covalent and supramolecular interactions between fluorophore and analyte promotes the selectivity among the aminothiols.

2.1 Background

2.1.1 Biological Thiols

Biological thiols play key roles in the regulation of numerous biochemical redox processes. A deficiency or excess of specific biological thiols is related to various syndromes and the alteration in these thiols serves as evidence of disease states, such as cancer and AIDS.³

Cysteine (Cys) is a biosynthesized nonessential amino which is an important catalytic center of many thiol-containing enzymes, and involved in human neuronal cell-lines.⁷ An excess of Cys in vitro, in the presence of bicarbonate ions, has been reported to
cause neuronal death by an excitotoxic mechanism in a calcium dependent manner.\textsuperscript{8} Elevation of cysteine concentrations in particular neurons may contribute to neurodegenerative brain disorders, such as Parkinson’s, Alzheimer’s, or motor neuron disease.\textsuperscript{9} On the other hand, abnormally low levels of plasma cystine and cysteine, and intracellular glutathione were found in patients with HIV infection.\textsuperscript{10}

### 2.1.2 General Thiol Detection Methods

The investigation of fluorescent probes for thiol detection is an active area of study.\textsuperscript{3,11} Derivatives of fluorescent reagents have been reported by many groups and the mechanisms for fluorescence modulation are diverse. They include cleavage of electron withdrawing groups by thiols,\textsuperscript{12-15} Michael additions,\textsuperscript{16-23} or cyclizations with aldehydes.\textsuperscript{24-30}

Typically the cleavage type turn-on probes are non- or weakly fluorescent when they contain strong electron-withdrawing groups such as 2,4-dinitrobenzenesulfonyl (DNBS).\textsuperscript{12-14} However, they show intensity enhancement upon cleavage of the electron-withdrawing group in the presence of thiols. The DNBS functionalized fluorescein derivative displayed high intensity and short reaction times, but was sensitive to hydrolysis in aqueous solutions.\textsuperscript{12} Abe \textit{et al.} used a DNBS rhodamine 110 (Fig. 2.2, left bottom), that was used for the monitoring of thiols in living human cells.\textsuperscript{13}

Sensors based on Michael addition are also being developed for thiol detection. Various maleimide-base probes have been reported,\textsuperscript{18-20} but often the reaction conditions are limited to pH < 7 to avoid hydrolysis of the maleimide.\textsuperscript{19} Keillor \textit{et al.} synthesized a series of dimaleimide containing fluorophores (Fig. 2.3, top) that react with 2 equiv monothiols or 1 equiv of dithiols.\textsuperscript{20} These fluorogens efficiently labeled a recombinant $\alpha$-
helical protein *in vitro*, which demonstrated potential utility for the labeling of specific proteins.

**Figure 2.2** DNBS cleavage type fluorescent probes.\(^{13, 14}\)

**Figure 2.3** Some dimaleimide derivative probes (top)\(^{20}\), and a ketocoumarin derivative (bottom)\(^{21}\).

Lin *et al.* designed an \(\alpha,\beta\)-unsaturated fluorescent probe (Fig. 2.3, bottom) for the conjugate addition of thiols.\(^{21}\) The coumarin based probe is highly sensitive (211-fold enhancements for Cys) for thiols with a low limit of detection (LOD of \(9.25 \times 10^{-7}\) M) at
physiological pH, but the relatively small quantum yield of the fluorophore in water limits its use in bioimaging.

![Figure 2.4 Squaraine derivative probes.](image)

Martínez-Máñez *et al.* reported simple, water-soluble, easy synthesized squaraine derivative thiol probes (Fig. 2.4, top). The squaraine probes showed an intense band at relatively long wavelength (*ca.* 640 nm). Selective fluorescence quenching was observed in the presence of cysteine among various amino acids. However, squaraines are sensitive to the pH of the surrounding media. An improved π-extended squaraine dye (Fig. 2.4, bottom) exhibits absorption shifts and emission changes in the near infrared region in the presence of amino thiols in human blood plasma.

### 2.1.3 Thiol Detection Probes based on Cyclizations with Aldehydes

Aldehyde-functionalized fluorophores and chromophores have been used for highly selective detection of cysteine and/or homocysteine over all other sulfhydryls due to characteristic thiazolidine or thiazinane formation, respectively (Table 2.1).

In 2004, the Strongin group reported a dialdehyde functionalized fluorescein dye for colorimetric and fluorimetric detection of Cys and Hcy. The probe showed visible
color changes with added Cys and Hcy (25 nm red shift), and fluorescence quenching in a pH 9.5 buffer solution. These results stimulated the further development of fluorogenic aldehydes for the detection of Cys and Hcy.

Tanaka and Barbas, III et al. introduced a fluorescence enhancement method for the detection of Cys at neutral pH. The probe reacted with Cys and resulted in a fluorescence increase at 380 nm, and allowed one to determine the concentration of Cys in a range of 100 μM – 5 mM.

A ratiometric phenanthroline-based probe was designed by Lin et al. The probe in the presence of Cys/Hcy showed a large emission blue shift (125 nm) via an intramolecular charge transfer (ICT). While the phenanthroimidazole moiety works as both a fluorophore and electron donor in the ICT system, the aldehyde group functions as an electron acceptor and reacts with Cys and Hcy, respectively. Upon addition of Cys/Hcy, the probe displayed an intensity decrease at 519 nm with the growth of a locally excited band at 394 nm.

Two coumarin-based fluorescent probes exhibiting photoinduced electron transfer (PET) effects were reported for the detection of amino thiols. A salicylaldehyde functionalized turn-on probe showed a more than 100-fold fluorescence enhancement in the presence of homocysteine. This fluorescence intensity enhancement was also monitored in human blood plasma with excess GSH.
<table>
<thead>
<tr>
<th>Structure</th>
<th>Properties</th>
<th>Ref.</th>
</tr>
</thead>
</table>
| ![Structure](image1). | a) Targeting analytes: Cysteine, Homocysteine  
b) Solution: carbonate buffer pH 9.5  
c) Em 510 nm, Abs 480 nm | 25 |
| ![Structure](image2). | A fluorescent increase observed  
a) Cysteine  
b) CH$_3$CN: 2-PrOH: buffer pH 7.0  
c) Em 380 nm | 26 |
| ![Structure](image3). | A ratiometric fluorescent probe  
a) Cysteine, Homocysteine  
b) DMF: HEPES buffer pH 7.4  
c) Em 519 nm, Abs 376 nm | 27 |
| ![Structure](image4). | PET quenching  
a) Cysteine, Homocysteine  
b) Ethanol  
c) Em 488 nm | 28 |
| ![Structure](image5). | Fluorescent “turn-on” probe  
a) Homocysteine over Cysteine  
b) HEPES buffer pH 7.4  
c) Em 450 nm | 29 |
| ![Structure](image6). | 25 nm red shift in emission band  
a) Cysteine  
b) EtOH: HEPES buffer pH 7.2  
c) Em 480 nm, Abs 375 nm | 30 |

**Table 2.1** Selected aldehyde functionalized fluorescent probes based on thiol cyclization.
Qian et al. designed a 1,8-naphthylamide-based fluorescent probe for the determination of cysteine.\textsuperscript{30} The probe showed an increase in fluorescence intensity with a 25 nm red shift in emission (from 455 to 480 nm) along with a fluorescence color change from blue to cyan. The probe was proposed as potential bioimaging indicator for cellular cysteine, and showed an intense intracellular fluorescence after 2 h incubation in cell culture.

2.1.4 $\alpha,\beta$-unsaturated Aldehydes for Cysteine Detection

In 1976 Scholz and co-workers successfully investigated the reaction of cysteine with $\alpha,\beta$-unsaturated aldehydes based on the knowledge of well-known reaction between thiols and saturated aldehydes or ketones.\textsuperscript{31} A decade later, the concept was turned into first colorimetric assay that cysteine reacts with cinnamaldehyde (Fig. 2.5) at 60\textdegree C in methanol, in presence of catalytic amounts of sulfuric acid.\textsuperscript{32} The absorption max of reaction product was observed at 587 nm. This method has been widely used as the detection method for free cysteine in solutions and TLC tests.

![Figure 2.5 p-dimethylaminocinnamaldehyde.](image)

Moreover, selectivity for Cys using $\alpha,\beta$-unsaturated aldehydes has been directly achieved at room temperature via visible detection.\textsuperscript{5} Non-fluorescent $p$-dimethylaminocinnamaldehyde (Fig. 2.5) exhibited high selectivity for cysteine in the presence of homocysteine with color bleaching (from yellow to colorless). This result led to the application of $\alpha,\beta$-unsaturated aldehydes appended to fluorescein, a common fluorophore.
Unlike previous work in which the response toward thiols resulted in fluorescence quenching,\textsuperscript{25} a new fluorogenic fluorescein derivative 2.1 exhibits a combination of covalent and non-covalent interactions between the fluorophore and bound analyte. This generates a turn-on fluorescence response. These unique interactions result in heightened selectivity, emission, site-specific peptide and protein residue detection and amplified selectivity as a function of bound analyte complexity.

2.2 **Synthesis of an \(\alpha,\beta\)-unsaturated Fluorescein Monoaldehyde**

Compound 2.1 is synthesized via the Reimer-Tiemann formylation of fluorescein to afford the intermediate aldehyde followed by heating with triphenylphosphoranylidene acetaldehyde in CHCl\(_3\).

![Scheme 2.1 Synthesis of Mono-\(\alpha,\beta\)-unsaturated Fluorescein Aldehyde 2.1.](image)

2.3 **Results and Discussion**

2.3.1 **Conjugate Addition and Thiazolidine Formation of Cysteine**

The reaction mechanism proposed is nucleophilic attack by the Cys sulfhydryl on the \(\beta\)-carbon of 2.1 followed by thiazolidine formation with a second Cys molecule via the aldehyde carbonyl (Scheme 2.2). The disappearance of the corresponding aldehyde resonances at 9.29 ppm (d, \(J= 8.4\) Hz, 1H), and olefin resonances at 7.97 ppm (d, \(J= 15.8\) Hz, 1H) and 7.24 ppm (dd, \(J= 8.4, 15.8\) Hz, 1H) of 2.1, with the simultaneous appearance
of thiazolidine resonances in the $^1$H NMR centered at $ca. \ 6.72$ ppm confirms 2 equiv Cys reacted with 2.1 (Fig. 2.6). This assignment is confirmed with ESI-TOF HRMS evidence (Fig. A4 in Appendix), showing a prominent peak at $m/z \ 609.3$ (the calculated exact mass of Cys- 2.1 complex is 609.0992) representing the reaction as 2 equiv Cys with 2.1. The signal of 1:1 adduct at $m/z \ 488.3$ is only 10 % of that of the bis-adduct.

Scheme 2.2 The reaction of 2.1 with Cys (excess) in 0.1 M phosphate buffer pH 7.4 at rt.

Figure 2.6 $^1$H-NMR spectra vs. times in 0.1M pH 7.4 phosphate buffer/D$_2$O 9:1; (A) compound 2.1 only; (B) 2.1 and Cys after 5 min; (C) 2.1 and Cys after 1 h; (D) 2.1 and Cys after 4 h.
2.3.2 The Absorption and Fluorescence Properties of Mono-α,β-unsaturated Fluorescein Aldehyde

The absorption properties are similar to those of typical fluoresceins. The fluorescein concentration is adjusted so that it has an equal absorbance to that of 2.1 at 463nm (abs=0.042). It is clear that epsilon values are similar with 2.1 (ε_{501}=46981 cm^{-1} M^{-1} in 0.1 M phosphate buffer pH 7.4, Fig. 2.7) being slightly red shifted as compared to fluorescein (abs max ~502nm). The absorption spectra of 2.1 as a function of pH reveal an isosbestic point at 463 nm (Fig. 2.8).

Figure 2.7 Absorption of 2.1 (ε_{501}=46981 cm^{-1} M^{-1} in 0.1 M phosphate buffer pH 7.4).

Figure 2.8 Absorption of 2.1 as a function of pH (2 μM 2.1 in 0.1 M phosphate buffer pH 5.5 - 9.0). Arrows indicate direction of change with increasing pH. * marks the location of well defined isosbestic points at 340 nm, 396 nm, 426 nm, 463 nm.
In the absence of analyte, the emission of \( \textbf{2.1} \) is suppressed as compared to fluorescein as a result of the extended conjugation and electron withdrawing aldehyde, however, the spectral shape is similar (slight red shift of <5 nm). Based on the \( \lambda_{\text{max}} \) intensities, fluorescein is 23.09 times brighter than \( \textbf{2.1} \) (based on integrated intensities fluorescein is 16.35 times brighter). The addition of a large excess of Cys (10 mM) to a 2 \( \mu \text{M} \) solution of \( \textbf{2.1} \) resulted in a negligible change in absorbance at the 463 nm excitation wavelength (with a relatively small 20% increase in absorbance and slight 3 nm blue shift at the peak max) but restores much the fluorescence (Fig. 2.9). Therefore, the emission intensities are directly proportional to the relative quantum yields of the system. The quantum yield of \( \textbf{2.1} \) is calculated to be 0.054 and that of Cys with \( \textbf{2.1} \) reaction product is calculated to be 0.289, as compared to fluorescein (\( \phi_{\text{fluorescein pH 7.4}} = 0.876 \)) under the same conditions (\( \lambda_{\text{ex}} = 463 \text{ nm}, 0.1 \text{M phosphate buffer pH 7.4} \)).

We optimized the fluorogenic response of compound \( \textbf{2.1} \) to Cys through investigation of the absorption and excitation properties of \( \textbf{2.1} \) and of its reaction product with Cys as it formed over time. In the absence of Cys, the excitation spectrum of \( \textbf{2.1} \) is blue shifted as compared to the corresponding absorption spectrum (Inset Fig. 2.10 A, \( \lambda_{\text{max abs}} = 501 \text{ nm vs. } \lambda_{\text{max ex}} = 485 \text{ nm} \) ) with a shape similar to that of the absorption spectrum of \( \textbf{2.1} \) in acidic buffer (see pH titration in Fig. 2.7). Interestingly, under these conditions, excitation near the absorption maximum resulted in little emission from \( \textbf{2.1} \) indicating that the major component, \( \textbf{2.1} \) with the phenol ionized (\( pK_a = 6.22 \)), is strongly absorbing but with limited or no emission. The weak emission of \( \textbf{2.1} \) at these conditions is largely from the minor species.
Figure 2.9 Spectral properties of fluorescein and compound 2.1 (2.31 × 10^{-6} M in 0.1 M phosphate buffer pH 7.4) in absence and presence of Cys (10.0 mM). (A) Absorption spectra. (B) Emission spectra excited at 463 nm. The vertical line in (A) represents the wavelength chosen for excitation in (B). All spectra were collected at room temperature. Excitation and emission bandpass = 5 nm.

The fluorescence intensity of the reaction product monitored over time at its 525 nm emission maximum increased by ~41-fold as the excitation spectra shifted to more closely resemble the corresponding absorption spectra (reaction product $\lambda_{\text{max abs}}$ and $\lambda_{\text{max ex}}$ from Fig. 2.10 are both 499 nm). This indicates that emission of the dianion (carboxylate and phenolate both ionized) of the reaction product is not suppressed as it was for 2.1 in the absence of thiol. Differences between the absorption and excitation spectra of 2.1, combined with opposite shifts in the absorption and excitation spectra
(blue vs. red, respectively) as the Cys reaction product formed afforded a window of excitation wavelengths wherein the fluorogenic response could be maximized. The enhancement factor increased from as little as a few fold when excited near 400 nm to >40-fold when excited near 510 nm (Inset, Fig. 2.10 B). The optimized enhancement is much greater than the \(~5\)-fold difference between the quantum yield of 2.1 (0.054) and the reaction product (0.289).

\[ \text{Enhancement factor} \]

\[ \text{Wavelength (nm)} \]

\[ \text{Intensity (arbitrary units)} \]

**Figure 2.10** Spectral properties of compound 2.1 \((9.38 \times 10^{-7} \text{ M})\) in the presence of Cys \((10.0 \text{ mM})\). A) absorption spectra as a function of time (60 min). Inset: normalized absorption and excitation spectra of 2.1. B) excitation spectra monitored at the 525 nm emission peak of the reaction product as a function of time (60 min). Inset: fluorescence enhancement factor of compound 2.1 as a function of excitation wavelength. Vertical lines represent the optimized wavelength of 508 nm chosen for excitation of all subsequent emission spectra. All spectra were collected at rt. Excitation and emission bandpass = 5 nm.
Interestingly, excitation wavelengths at (i) the $\lambda_{\text{max ex}}$ of 2.1, (ii) the $\lambda_{\text{max abs}}$ of 2.1, or (iii) within the spectral region which displayed the greatest increase in absorbance in response to Cys (~495 nm) did not result in the greatest fluorescence intensity enhancements. Therefore, these are not the best choices for excitation wavelengths as might initially be assumed. A well-defined isosbestic point in the absorption spectra was observed at 508 nm. Constant absorbance combined with this wavelength being (i) near the maximum in the excitation spectrum of the reaction product and (ii) in the region where the fluorescence enhancement was the largest was chosen as the excitation wavelength for all further experiments.

### 2.3.3 Selective Detection of Cysteine and Homocysteine

The fluorogenic response of 2.1 towards other thiols was investigated following optimization using Cys. Emission of 2.1 in the presence of various thiols ($\lambda_{\text{em}} = 524$ nm upon excitation at 508 nm) demonstrated that compound 2.1 displayed selectivity towards specific biothiols of interest including Cys and Hcy (Fig. 2.11, see also complete emission and absorption spectra in Appendix Fig. B1). After the completed reaction, 2.1 displayed 1.43-fold selectivity for Cys over Hcy as a result of different quantum yields of the reaction products ($\phi_{2.1-\text{Cys}} = 0.289$ vs. $\phi_{2.1-\text{Hcy}} = 0.202$). The Cys reaction product forms at a faster rate resulting in selectivity over Hcy as great as ~2.9-fold early in the course of the reaction (Fig. 2.11 A, Fig. 2.12, Fig. B2 in Appendix). Importantly, the response of 2.1 to Cys and Hcy was found to be linear with concentration (100 $\mu$M – 1.0 mM). Selectivity towards Cys of greater than 2-fold was observed for concentrations as low as 100 $\mu$M (Fig. 2.12) and although not yet optimized, the limit of detection for Cys was
found to be ~3-fold lower than that of Hcy (39 µM vs. 114 µM following 20 min of reaction, see Appendix Fig. B3).

![Fluorescence and Absorbance Chart]

**Figure 2.11** Response of compound 2.1 to thiols (10.0 mM) of interest and their structural analogs. A) fluorescence emission at 524 nm. B) absorbance at the 508 nm excitation wavelength.* Signal still increasing after 2 h. C) Structures of targeting thiols and analogs for the mechanism study. Abbreviations: pen = penicillamine, cystea = cysteamine, L-ca = L-cysteic acid, 3-mpa = 3-mercaptopropionic acid, 2-me = 2-mercaptoethanol.

Close inspection of Fig. 2.11 reveals that a significant fluorogenic response results only from compounds containing both a free thiol and amine in which a thiazinane or thiazolidine heterocycle could form. The mechanism behind this selectivity was
investigated and confirmed through a series of structurally related analogs containing various functional groups and combinations thereof and through computer-assisted molecular modeling (*vide infra*).

**Figure 2.12** Selectivity towards Cys over Hcy. Ratio of fluorescence emission ($\lambda_{em} = 524$ nm upon 508 nm excitation) of Cys and Hcy reaction products with 2.1 as they formed over time.

**Figure 2.13** Energy-minimized structures of the reaction products of 2.1 with Cys (left) and Hcy (right) showing the distance between the NH$_3^+$ and the phenolate groups. The electrostatic interactions between NH$_3^+$ and the carboxylate and/or phenolate groups of 2.1 are influenced by increased ionic strength (addition of 1 M NaCl) resulting in a corresponding decrease in selectivity toward Cys (see Appendix Figures B4-B6).
Thiols attack the β carbon of aldehyde 2.1, thereby restoring xanthene emission. Once formed, the covalent complexes can undergo further interaction with the chromophore thereby affording increased selectivity by affecting the ionization state of the phenolic hydroxyl. Energy-minimized simulations (Sybyl 8.0, Tripos Inc.) indicate that for amino thiols in general at neutral pH, depending on the length of the alkyl chain, electrostatic interactions between the NH$_3^+$ group with both the phenolate and the carboxylate moieties of 2.1 occur (Fig. 2.13).

In the case of Cys and Hcy there is a greater fluorescence response for the Cys-adduct (Fig. 2.11, also see Appendix Fig. B1). The Cys side chain is shorter than that of Hcy, allowing the NH$_3^+$ group to more tightly bind the xanthene phenolate oxygen rather than the carboxylate, thereby directly enhancing fluorophore ionization and emission. In contrast, the alkyl chain in Hcy is longer allowing the NH$_3^+$ group to instead favor formation of a salt bridge with the carboxylate moiety of 2.1, which has a relatively minor effect on fluorophore moiety ionization (Fig. 2.13). In the case of thiols without cationic character, such as mercaptoethanol and mercaptopropionate, emission is relatively lower as electrostatic interactions with the phenolate oxygen are relatively diminished. The observed trend in emission enhancement of 2.1 is thus Cys (40.98-fold) > Hcy (28.73-fold) > mercaptoethanol (6.04-fold) > mercaptopropionate (1.66-fold) after complete reaction with excess thiol (1 μM compound 2.1 : 10.0 mM thiol).

2.3.4 Site-specific Peptide and Protein Residue Detection

The reaction of N-terminal cysteine residues with aldehydes has been applied to the site-specific modification, ligation, and labeling of peptides and proteins.$^{34}$ The
selectivity of 2.1 for N-terminal cysteine-containing peptides is evidenced by observing the fluorescence emission in the presence of N- and C-terminal dipeptides (Fig. 2.14).

In solutions containing 2.1 and Cys-Gly (an N-terminal cysteine dipeptide) or Glu-Cys (a C-terminal cysteine dipeptide), only the solution containing Cys-Gly exhibits significant fluorescence emission enhancement. A similar result is obtained for a solution of 2.1 and laminin-11 (a synthetic nonapeptide containing an N-terminal cysteine residue, also known as peptide 11). Laminin-11 has been used for the development of anti-metastatic drugs.\textsuperscript{35} Interestingly, the reaction of 2.1 with N-terminal Cys-containing peptides affords greater signal enhancement compared to simple thiols. The greatest signal enhancement of all analytes resulted from the nonapeptide (Fig. 2.14, see also complete emission and absorption spectra in Appendix Fig. B7). Previously reported functional xanthenes\textsuperscript{36} that exhibit analyte-dependent emission based on an analogous combination of supramolecular and covalent interactions between sugar-derived boronate ester complexes and a rhodamine fluorophore also exhibit significantly enhanced emission in the presence of polysaccharides as compared to mono- and disaccharides.\textsuperscript{37}

We propose that this increased fluorescence from larger analytes is due to more complex non-covalent interactions and/or better shielding from solvent molecules, other fluorophores, or other potential sources of non-radiative decay including dynamic quenching by dissolved oxygen as compared to smaller analyte complexes. Further studies of the impact of probe-bound analytes on the spectral properties of fluorophores are currently underway in our laboratory.
Figure 2.14  Response of compound 2.1 to thiols (0.5 mM) and peptides (0.5 mM) of interest. A) fluorescence emission at 524 nm. B) absorbance at the 508 nm excitation wavelength.* Signal still increasing after 2 h. C) Structures of studying peptides.

2.4 Experimental

- Instrumentation

All chemicals were purchased from Sigma-Aldrich and Cambridge Isotope Labs and used without further purification. NMR spectra were acquired in DMSO-\(d_6\) or 0.1M pH 7.4 phosphate buffer/D\(_2\)O (9:1) on a Bruker AMX-400 NMR spectrometer. ESI-HRMS (high-resolution mass spectrometry) spectra were obtained at a Thermo Electron LTQ Orbitrap hybrid mass spectrometer and a Waters Micromass Q-TOF micro (ESI-Q-
TOF). UV-visible measurements were collected on a UV-Vis Cary 50 and Fluorescence spectra were collected on Cary Eclipse (Varian, Inc.).

- **Synthesis of Fluorescein Monoaldehyde**

Fluorescein (2.5 g, 7.75 mmol) and 3 mL of MeOH were placed in a 100 mL three-neck round-bottom flask and 10 g of a 50% NaOH solution along with 0.03 mL 15-crown-5 were added. 2.42 mL (30 mmol) CHCl₃ was added dropwise while maintaining at 55 °C temperature. The mixture was stirred at this temperature for 5 h. After cooling, the mixture was acidified with 10 M H₂SO₄ and the product precipitated. The solid was filtered and dried *in vacuo* overnight. Chromatography on silica gel (15:85 EtOAc:DCM) yielded a light yellow solid, 910 mg (32.6%). R_f=0.28 (15:85 EtOAc:DCM). ¹H NMR (400 MHz, DMSO-\textit{d}_6) δ (ppm): 11.87 (s, 1H), 10.63 (s, 1H), 10.24 (s, 1H), 8.01 (d, J = 7.4 Hz, 1H), 7.77 (dt, J = 30.1, 7.4, 1.1 Hz, 2H), 7.31 (d, J = 7.6 Hz, 1H), 6.94 (d, J = 8.9 Hz, 1H), 6.84 (d, J = 1.9 Hz, 1H), 6.70 (d, J = 8.9 Hz, 1H), 6.61 (d, J = 2.2 Hz, 2H).

- **Synthesis of Compound 2.1 (α,β-unsaturated Monoaldehyde Fluorescein)**

To a solution of monoaldehyde (144 mg, 0.4 mmol) in CHCl₃ (25 mL), triphenylphosphoranylidene acetaldehyde (152 mg, 0.5 mmol) was added resulting in a red solution. The mixture was stirred at 50 °C under N₂ for 24 h, cooled to rt and the solvent removed *in vacuo*. Chromatography on silica gel (10:90 MeOH:DCM) yielded compound 2.1 (94.7 mg, 61.3%) as a red solid. ¹H NMR (400 MHz, 0.1M pH 7.4 phosphate buffer/D₂O 9:1) δ (ppm): 9.29 (d, J = 8.4 Hz, 1H), 7.97 (d, J = 15.8 Hz, 1H), 7.78 (dd, J = 5.7, 3.3 Hz, 1H), 7.63 (dd, J = 5.7, 3.3 Hz, 2H), 7.30 (dd, J = 5.7, 3.3 Hz, 1H), 7.24 (dd, J = 15.8, 8.4 Hz, 1H), 7.09 (dd, J = 19.1, 9.5 Hz, 2H), 6.65 (d, J = 2.1 Hz, 1H), 6.59 (dd, J = 9.2, 2.1 Hz, 1H), 6.44 (d, J = 9.5 Hz, 1H). ¹³C NMR (101 MHz, 0.1M
pH 7.4 phosphate buffer/D$_2$O 9:1) δ (ppm): 199.69, 180.57, 179.09, 175.00, 158.86, 157.77, 157.58, 147.77, 139.48, 132.71, 131.20, 131.07, 130.01, 129.70, 129.44, 128.37, 127.11, 123.93, 123.17, 112.61, 111.77, 109.68, 103.51. ESI-FTMS m/z $= 385.0706 \text{ [M-H]}^-$, calc. 385.0718 for C$_{23}$H$_{14}$O$_6$.

- **Preparation of the Reaction Product of Compound 2.1 with Thiol/Analogs**

Freshly prepared solutions of cysteine or homocysteine (200 μM – 20 mM in 0.1M pH 7.4 phosphate buffer) were mixed with solutions of compound 2.1 (2 or 4 μM in 0.1M pH 7.4 phosphate buffer) in a 1:1 volume ratio at room temperature. Other thiols and analogs (20 mM in 0.1M pH 7.4 phosphate buffer) and peptides (1 mM in 0.1M pH 7.4 phosphate buffer) were mixed with solutions of compound 2.1 (2 μM in 0.1M pH 7.4 phosphate buffer) in a 1:1 volume ratio at room temperature.

### 2.5 Conclusions

We have synthesized a new fluorogenic dye that displays signal amplification in the presence of biothiols. Fluorescence signaling in the presence of analytes of interest including Cys, Hcy, and N-terminal cysteine peptides was observed to be in excess of 40 times that of compound 2.1 alone. The fluorescence intensity was directly proportional to the analyte concentration and a greater than 2-fold selectivity towards cysteine over homocysteine was achieved. Importantly, compound 2.1 did not respond to structurally similar analogs. Additionally, fluorescence resulting from the reaction of 2.1 and N-terminal cysteine dipeptides and larger peptides was observed to be greater than upon reaction with simple thiols. The design of new dyes to attain specific signal transduction in the presence of bioactive analytes is ongoing.

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CHAPTER 3. DETECTING SPECIFIC SACCHARIDES VIA A SINGLE INDICATOR

3.1 Introduction: Excitation-Emission Matrices (EEM)

Fluorescence is generally measured at one excitation wavelength, and analyzed by the signal response to an analyte of interest at the corresponding emission wavelength (see Chapter 2). Typically, experimental conditions including excitation wavelengths and emission ranges are somewhat arbitrarily chosen when developing a sensor system. Excitation near the absorption maximum of a dye in buffer solution without interferences or the analyte of interest is often used. However, relying on the absorption maximum of an indicator in a simple buffer may not be optimal for attaining selectivity towards an analyte of interest. For example, the optimum excitation wavelength for fluorogenic α,β-unsaturated monoaldehyde was found to be different than the maximum absorption (Chapter 2).

Changing the excitation wavelength or analyzing the data in a different emission region may improve the selectivity of the signal generation. When measuring the fluorescence of a sample at several different excitation wavelengths for several emission wavelengths, an excitation-emission matrix (EEM) is obtained. An EEM contains detailed information about samples in two-dimensional data matrices. EEMs are also used for bioprocess samples monitored both qualitatively and quantitatively. Recent and ongoing work uses this principle.

3.2 Background

3.2.1 Simple Optical Indicators for Chemosensing
The development of simple optical indicators is of great current interest for the detection of small molecule disease biomarkers. Selective detection is usually attributed to the distinctive interaction between the analyte and indicator or receptor. However, several indicator-biomolecule complexes may co-exist in a dynamic equilibrium. This is particularly relevant in complex natural sample matrices and wherein supramolecular or reversible covalent interactions are the basis of the analyte-selective signaling.

Although the presence of a variety of indicator-bound species may hinder selective detection, the structural differences between the various complexes may afford distinctive absorption and emission profiles, thereby potentially allowing for multiple analyte detection via a single optical indicator. Herein we describe how judicious selection of specific excitation and emission wavelengths allows one to use a single indicator dye for the tunable detection of specific saccharides. The use of wavelength switching, rather than indicator or receptor structure changes, embodies a relatively streamlined approach to chemosensing.

### 3.2.2 Development of Rhodmine Boronic Acid Dye

Xanthene dyes such as fluorescein and rhodamine derivatives are the most commonly-used fluorescent dyes due to their bright fluorescence and compatibility with common laser line excitations. Fluorescein emission generally falls in the green or yellow spectral region while some rhodamines exhibit red emission (> 600 nm).

In 2006 the Strongin group published the first report of a rhodamine boronic acid (reversibly-covalent) indicator 3.1 (Fig. 3.1) that was more selective for ribose, adenosine, nucleosides and nucleotides (including AICAr, the commercially available
model succinylpurine metabolite for the rare autism spectrum disorder ADSL deficiency) as compared to fructose, the compound for which typical boronic acid compounds are inherently selective.\textsuperscript{36}

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure3.1.png}
\caption{Rhodamine boronic acid 3.1 and ribose and ribose-containing target molecules.}
\end{figure}

Probing the mechanism for attaining unique selectivity towards ribose and congeners using 3.1 as a platform has been of ongoing interest in our labs. This effort is to aid the design of indicators for specific nucleosides as well as thiol-derived biomarkers\textsuperscript{39} of methylation status and oxidative stress (e.g., SAM and SAH).\textsuperscript{40}

According to very strong preliminary results, as well as more recent mechanistic studies, rhodamine modified with a phenyl boronic acid can exhibit unprecedented
affinity for ribose and similar compounds as compared to fructose. Computer assisted molecular simulations suggest that apart from the preference of the boronic acid to react with the 2,3-cis diol of the furanose form of ribose and the strong electrostatic interaction $\text{B}--\text{O}--\text{H}--\text{N}^+,$ non-covalent secondary interactions play an important role in modifying the ionization state of the chromophore. Charged H-bonding can occur between the nucleosides and the dye carboxylate. This is a critical feature in determining selectivity; when the carboxylate is esterified in the rhodamine boronic acid dye, it reverts to fructose selectivity (Fig. 3.2).

![Energy-minimized model of the boronate ester formed after condensation of one equivalent of a nucleoside (AICAr) to 3.1.](image)

**Figure 3.2** Energy-minimized model of the boronate ester formed after condensation of one equivalent of a nucleoside (AICAr) to 3.1. Color atom codes: $\text{B} =$ yellow, $\text{C} =$ white, $\text{N} =$ blue, $\text{O} =$ red, $\text{H} =$ cyan. Only hydrogen atoms involved in H-bond formation are displayed for simplicity. Dashed lines represent distance in angstroms.

### 3.3 Results and Discussion

#### 3.3.1 Microwave Assisted Synthesis of Rhodamine Boronic Acid

An improved synthesis of a rhodamine boronic acid indicator was developed. A one-pot microwave-assisted synthesis was used for the preparation of 3.1, in a reductive amination procedure. Conversion to 3.1 occurs with better yield (70 %) and reaction time
(20 min compared to > 24 h previously). The one-pot microwave-assisted reaction product is purified via simple filtration rather than via the previously reported chromatographic methods (Scheme 3.1).

![Scheme 3.1](image)

**Scheme 3.1** Microwave-assisted reaction of compound 3.1.

### 3.3.2 Time and Wavelength Dependent Detection of Multiple Analytes

Previously the selectivity of rhodamine boronic acid 3.1 for ribose was measured via fluorescence excitation at 565 nm. We have shown that the small non-specific interference from fructose signal in this wavelength region can be removed via the addition of non-fluorescent boronic acid derivatives.36 However, we surprisingly found wavelength-dependent selectivity of 3.1 for different sugars and ribosides through EEMs obtained in the excitation range from 470 to 620 nm and in the emission range from 520 to 690 nm. Fructose promotes a selectively high intensity at 510 nm, whereas AICA-riboside promotes relatively low signals (Fig. 3.3). These data are also time-dependent and display the different selectivities of 3.1 when monitored over time. For example, compound 3.1 responds to fructose in a different spectral region than ribose-derivatives, i.e. near 550 nm when excited at shorter wavelength near 510 nm in a time dependent manner with the peak increasing over time. When plotted as a function of time at an excitation wavelength of 510 nm, ribose
derivative-3.1 solutions do not exhibit significant emission responses in this region (Fig. 3.4).

Figure 3.3 Emission spectra demonstrating selective response to various analytes. (top) Control, AICAr and fructose emission spectra at given excitation wavelengths (510 or 570 nm) and times (31 or 58 min) after mixing. (bottom) Control, SAM, and SAH emission spectra upon excitation at 560 nm and 11 min after mixing. Final concentration of 3.1 was 20 μM with each analyte at a concentration of 100 μM in 90% DMSO : 10% 25 mM pH 7.5 phosphate buffer. One part aqueous analyte was added to 9 parts 3.1 in DMSO followed by 15 sec vortexing. EEMs were collected sequentially every 4 min for 1 h.

Fig. 3.3 demonstrates that 3.1 displays an increased response toward both AICAr or fructose as a function of time and wavelength. It also shows the selectivity of 3.1 for SAM versus SAH. The ratio of SAM:SAH is frequently used as an indicator of cellular methylation potential. Interestingly, SAM and SAH can be distinguished from AICAr by a wavelength shift of approximately 10 nm in both excitation and emission (λ_{ex}=560 nm, λ_{em}=587.5 nm) as can be seen in the contour plots of these analytes in Fig. 3.5.
Figure 3.4 Intensity as a function of time. (top) Control, AICAr and fructose emission at 597.5 nm upon excitation at 570 nm. (center) Control, AICAr and fructose emission at 552.5 nm upon excitation at 510 nm. (bottom) Control, SAM, and SAH emission at 587.5 nm upon excitation at 560 nm.
3.3.3 Multiple Analyte Detection via a Single Indicator

Solutions of 3.1 containing various analytes, when monitored over time at several different excitation and emission wavelengths, afford us large multidimensional data sets specific for various analytes. Accordingly, the observation makes the specific detection of fructose, in addition to ribose derivatives, possible with this probe. Data were collected as EEMs as a function of time. From this large multidimensional data set, spectra can be extracted at a specific times or the intensity at a specific wavelength monitored as a function of time. These data provide specific analyte dependent features such as the excitation and emission wavelengths resulting in the greatest signal and the time at which this signal occurs.

A subset of this data is presented in Fig. 3.5. According to the contour plots of excitation-emission matrices (EEMs) obtained in the excitation range 470 to 620 nm and emission range from 520 to 690 nm, solutions of 3.1, and 3.1 with various analytes, display patterns which are distinguishable for each analyte. There are differences in fluorescence intensity; however, there are also clearly different spectral signatures. Fig. 3.5 reveals a relatively higher emission response for the AICA-riboside and SAM as compared to fructose in the emission region near 600 nm when excited near 565 nm.

The most dramatic example of time and wavelength dependent detection in using a single indicator is shown in Fig. 3.6. It is constructed simply by scanning the EEM of each solution every 4 min for 1 h. One can visually distinguish each of the analyte-3.1 solutions qualitatively (Fig. 3.6 A).
Figure 3.5 EEMs demonstrating the response of 3.1 towards various analytes. (A) 3.1 at 31 min, (B) 3.1 plus AICAr at 31 min, (C) 3.1 plus fructose at 58 min, and (D) 3.1 plus SAM at 11 min. The x-axis represents emission wavelength while the y-axis is excitation wavelength such that vertical and horizontal slices represent typical excitation and emission spectra respectively. Excitation and emission step sizes of 10 nm and 5 nm, respectively with a bandpass of 5 nm for each. Fluorescence intensity is represented on the z-axis as various colors.

Initial results also indicate that the system will function in a more complex setting, i.e. when two analytes are present at the same time. As proof of concept, mixtures of two analytes (fructose and AICAr) were investigated. Sample mixtures enriched in either AICAr or fructose display signatures of both individual analytes but with features of the major analyte more dominant. Although the response is complicated, advanced data processing (i.e. chemometrics) should simplify interpretation. Efforts to quantify
mixtures are ongoing. Work is also ongoing to better understand the dynamic processes including supramolecular and reversible covalent interaction involved in these unique analyte dependent signals and their changes over time. Our previous studies attributed the selectivity of the compound 3.1 emission upon excitation at 565 nm to the fact that its ribose complex can exhibit tighter binding to the fluorophore as compared to the corresponding fructose and glucose complexes.\textsuperscript{36} Simulations showed that this can occur via a charged hydrogen bond to the carboxylate moiety of 3.1. Favorable ion pairing interactions are thus likely responsible for the differences in the response of 3.1 toward positively charged SAM vs. neutral SAH. Energy minimization studies afford an analogous explanation for the selectivity for AICAr over fructose (570 nm excitation, Fig. 3.3) that involves AICAr-3.1 extended intramolecular interactions of the aminoimidazole carboxamide moiety with both, the carboxylate oxygen and the remaining free boronic acid (Fig. 3.2).
Figure 3.6 Time and wavelength dependent detection. (A) EEMs of control and 3.1 plus 100 μM of analyte. (B) EEMs of 3.1 plus fructose and AICAr mixtures. Total concentration of each analyte mixture is 100 μM. The ratio of fructose to AICAr is increased toward the right as indicated. The first EEM in time is plotted at the bottom with time increasing toward the top.
3.4 Experimental

- **Instrumentation**

  All chemicals were purchased from Sigma-Aldrich and Cambridge Isotope Labs and used without further purification. Microwave synthetic procedures were performed in an Initiator™ microwave synthesizer (Biotage). NMR spectra were acquired on a Bruker AMX-400 NMR spectrometer. High-resolution mass spectrometry spectra were obtained from the Georgia State University Mass Spectrometry Facility. Absorbance spectra were collected on a Cary 50 UV-Vis spectrophotometer and Fluorescence spectra were collected on Cary Eclipse fluorescence spectrophotometer (Agilent Technologies). HPLC was carried out using a Nova Pak C18 300 Å, 4 µm, 3.9 × 150 mm (Waters, USA) column on a Waters 1525 Binary pump equipped with a Waters 2996 Photodiode Array Detector.

- **Synthesis of Compound 3.1, Rhodamine (bis) Boronic Acid ((E)-2-(6-((2-boronobenzyl)amino)-3-((2-boronobenzyl)imino)-3H-xanthen-9-yl)benzoic acid).**

  Rhodamine 110 (45 mg, 0.136 mmol), 2-formylphenyl boronic acid (100 mg, 0.667 mmol), sodium triacetoxyborohydride (90 mg, 0.425 mmol) and CH₃CN (1.7 mL) were mixed in a microwave vial (max. capacity: 2.0 mL, Biotage) containing a magnetic stir bar. Concentrated acetic acid (50 µL, 52 mg, 0.873 mmol) was then added and the vial sealed with a microwave proof cap (Biotage). The vial was stirred and irradiated while keeping the temperature at 130 ºC for 20 min. The vial then cooled to rt and the cap removed. The reaction mixture was quenched with saturated NaHCO₃ aqueous solution and extracted in EtOAc, dried with anhydrous Na₂SO₄ and filtered. The solvent was removed in vacuum to yield a dark red solid. Yield: 57 mg (70%). ¹H NMR (400 MHz,
CD$_3$OD) $\delta$ (ppm): 7.52-7.65 (m, 3H), 7.36-7.48 (m, 3H), 7.06-7.35 (m, 10H), 6.69 (m, 1H), 5.90 (s, 1H), 5.02 (s, 4H). $^{13}$C NMR (101 MHz, CD$_3$OD) $\delta$ (ppm) 173.02, 155.33, 134.72, 133.31, 132.25, 131.82, 131.39, 131.25, 130.99, 130.28, 129.61, 128.88, 128.07, 125.18, 123.96, 122.19, 117.40, 72.33. HRMS ESI-FTMS $m/z = 599.2087$ [M+H]$^+$, calcd. 599.2161 for C$_{34}$H$_{29}$B$_2$N$_2$O$_7$.

### 3.5 Conclusions

We have developed an improved synthesis of 3.1 via a microwave-assisted reductive amination and an optimal data collection method for wavelength-time dependent selectivity via EEMs. The results herein show that it is possible to use such data sets to develop strategies for allowing one indicator to be used to selectively distinguish structurally related sugar analytes. The dramatic advantage of time and wavelength dependent detection is using one sensor for selectivity of multiple analytes simultaneously with saving time and materials. Further understanding the equilibria involved which lead to this wavelength dependent selectivity and better taking advantage of the tremendous amount of information contained in these large data sets are ongoing in our group.

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CHAPTER 4. PROGRESS TOWARDS SIMPLE AND DIRECT DETECTION OF ADENYLOSUCCINATE LYASE DEFICIENCY IN HUMAN URINE

The rhodamine boronic acid fluorophore described in the previous chapter linearly responds to increasing 5-aminoimidazole-4-carboxamide riboside (AICAr) concentrations in buffer solution. In this chapter an advance in detecting adenylosuccinate lyase deficiency in natural media, using AICAr is a model for the ADSL substrates succinyladenosine (S-Ado) and succinylaminoimidazolecarboxamide riboside (SAICAr) is described.

Adenylosuccinate lyase (ADSL) deficiency is a rare but devastating disease of de novo purine synthesis in infants. Its diagnosis is also significant as it is one of the autism spectrum disorders (ASDs). Our experience in the selective detection of sugars and ribosides has resulted in progress towards a simple test for these infants who excrete massive (mM levels), of SAICAr and S-Ado in their urine. These tests would also serve to guide development of other nucleoside and nucleotide indicators for the detection of other diseases such as cancer.

4.1 Background

4.1.1 Global Demands of Simple Diagnostic Tests

There is a global need for more simple, reliable and inexpensive diagnostic tests in patient samples. In the US and other developed nations, there is growing interest in point-of-care and personalized medicine. At a 2008 AAAS symposium entitled “High-Tech, Low-Cost Medicine: A New Paradigm for Global Health,” medical practitioners and researchers emphasized that significant effort is still needed towards the creation of practical diagnostic devices. According to Whitesides, “the first-world health care system
is too expensive. We need better ways of anticipating and forestalling disease to address the problem before it becomes something that consumes enormous resources”. A major expected outcome of my research is new inexpensive and reliable diagnostics via creating a new class of fluorophores that function in challenging media and functionalizing the new dyes appropriately to achieve simple prototype indicators.

The current clinical chemistry technologies require significant sample preparation and handling for the analysis of complex biological samples. Sample preparation remains a big issue in simplified diagnostics. One approach receiving a great deal of current attention uses highly integrated miniaturized diagnostic devices that incorporate sample fractionation and purification components into microfluidic paper.\(^\text{42}\) However, recognition and signaling elements are often comprised of relatively fragile and expensive biological materials such as antibodies and enzymes.

The “gold standard” in user friendly diagnostics is often considered to be the home pregnancy test, which consists of lateral flow technology and a biological recognition element. There are formats wherein using biotic recognition elements are of great utility and impact. However, in general, synthetic materials are less expensive and more robust. It has been noted by Lakowicz that indicator fluorophores for specific biomarkers which may function directly in the analyte’s medium without sample handling or separation steps require “fewer manipulations, resulting in fewer sources of error, shorter times to results, and reduced health hazards due to the handling of samples”\(^\text{43}\). However, surprisingly little progress has been made in this area. A goal of this project is to create useful new prototype disease indicators which function in
complicated sample matrices with minimal sample preparation for use in settings that do not require highly trained personnel or elaborate equipment.

4.1.2 Adenolosuccinate Lyase (ADSL) Deficiency

Devastating inborn errors of purine metabolism such as adenolosuccinate lyase (ADSL) deficiency are very serious disorders that are generally under-diagnosed. According to a very recent (2009) review, genetic defects in purine and pyrimidine (PP) metabolism may often be misdiagnosed or remain undiagnosed. Dysfunction in PP metabolism may affect any system in a variety of manners, and often mimic other, more recognizable disorders. If undiagnosed, these disorders can be devastating to patients and their families, resulting in early death or institutionalization for the rest of the patient’s life.

ADLS deficiency is a rare (approximately 1 in 200,000) inborn error of purine metabolism first reported in 1984 in a group of children patients with hereditary psychomotor retardation, autism and seizure. Manifestations include mental retardation, congenital blindness, epilepsy, and dysmorphism. It is recommended that routine screening for ADSL deficiency be done in all cases of psychomotor retardation, and in neurologic disease with convulsions and hypertonia. ADSL deficiency can be an under-diagnosed metabolic disorder which should be included in the screening program of metabolic investigations, in all infants with unexplained neonatal seizures, severe infantile epileptic encephalopathy, developmental delay, hypotonia, and/or autistic features. This disorder is characterized by urinary excretion of succinyl-5-amino-4-imidazolecarboxamide riboside (SAICAr) and succinyladenosine (S-Ado), the nucleosides corresponding to SAICA-ribotide (SAICAR) and adenylosuccinate.
Initially testing for ADSL deficiency via SAICAr and S-Ado identification relied on separations since the Bratton-Marshall test is a non-specific reaction leading to a high rate of false positives. TLC has most recently been used and recommended as a simple screening method for these metabolites; however, it relies on the Pauly reagent for spray visualization. The Pauly reagent is diazotized sulfanilic acid. It has been noted as explosive, and needs fresh preparation prior to use. It is thus not amenable to point-of-care or personalized medicine, and necessitates analyte separation. We propose developing stable and selective reagents for SAICAr and S-Ado that will function in urine and not require separations. The hypothesis is benzoxanthene dyes functionalized with covalent and supramolecular binding sites targeted for specific nucleosides can serve as simple indicators for adenylosuccinate lyase deficiency.

4.2 An Application of Multidimensional Measurements to Biological Media

Multidimensional fluorescence measurements have been widely used in clinical analysis, food science, and environmental analysis. Excitation-emission matrices (EEMs) in which the fluorescence response of a sample is monitored at multiple emission wavelengths upon excitation at multiple different wavelengths have a greater information content as compared to more conventional emission spectra collected upon excitation at a
single wavelength. This greater information content allows for characterization or determination of substances in complex multi-component mixtures or samples in biological media with a complex background, *i.e.* methotrexate in urine,\(^4\) or salicylate in plasma samples.\(^5\)

Previously we employed the multidimensional nature of fluorescence in the detection of multiple disease biomarkers using a single indicator via an optimized data collection protocol which scanned EEMs monitoring the indicator's time- and wavelength dependent response every 4 min for 1 h (Chapter 3). Upon further investigation it was discovered different biomarker analytes displayed distinct time- and wavelength-dependent spectral features. For example, the indicator was shown to simultaneously respond to both fructose and a ribose nucleoside (5-aminoimidazole-4-carboxamide riboside) in mixtures with the response of each occurring in characteristically different spectral regions (Chapter 3). This raises the possibility of detecting an important ribose-containing biomarker in more complex biological media containing many potential interferences.

### 4.3 Results and Discussion

Herein we investigate the feasibility of using \(3.1\) for the detection of 5-aminoimidazole-4-carboxamide riboside (AICAr) in human urine. AICAr is a dephosphorylated form of AICAR (AICA-ribotide, also known as ZMP) that is biomarker of purine biosynthetic pathway disorder, AICA-ribosiduria.\(^5\) It is also a commercially available surrogate of succinyladenosine (S-Ado) and SAICAr which accumulate at extremely high mM levels in urine of adenylosuccinate lyase (ADSL) deficient patients.\(^5\) Some chromatographic techniques such as TLC, GC-MS or HPLC...
are currently used for detection, but simple and improved methods to detect elevated level of S-Ado and SAICAr at physiologically relevant concentrations (mM in ADSL deficient as compared to undetectable levels in that of healthy groups) directly in urine of potentially ill patients without the need for separations or sample processing are desired.

![Figure 4.2 Rhodamine boronic acid 3.1 and AICAr.](image)

Rhodamine boronic acid 3.1 responds to increasing AICAr concentrations with increased fluorescence emission near 590 nm upon excitation in the region of 560-570 nm (Fig. 4.3). Increasing concentrations of buffered aqueous AICAr solutions were added to DMSO solutions of 3.1 such that the final solvent composition was 90% DMSO: 10% 25 mM pH 7.5 phosphate buffer with a final dye concentration of 40 μM and AICAr ranging from 0-200 μM. It is clear the fluorescence intensity increased linearly as the concentration of AICAr increased. Regression analysis (inset Fig. 4.3 D) of Intensity vs. AICAr concentration yielded a straight line (y = 5.1822x + 66.453; R² = 0.983) with a limit of detection of ~20 μM, three orders of magnitude below SAICAr and S-Ado concentrations in the urine of ADSL deficient patients.
Figure 4.3 EEMs and emission spectra demonstrating the responses of 3.1 to AICAr at 8 min after mixing in a simple 10% buffer: 90% DMSO solvent system. Clockwise from top-left: (A) 40 μM 3.1, (B) 3.1 plus 200 μM AICAr, (C) ΔIntensity, B minus A, (D) emission spectra as a function of AICAr concentrations when excited at 560 nm and linear regression (inset). White lines in A and B represent the excitation wavelength and emission range for spectra plotted in D. White circle in C represent the data point used for the regression analysis in D.

3.1 also responds to increasing AICAr in a biological matrix, i.e. human urine (Fig. 4.4). Because (i) the limit of detection for AICAr in an ideal solvent system containing no interferences is much lower than required, and (ii) urine contains many potential interferences and possible quenchers of the fluorescence signal which makes it a
difficult matrix to work in, diluted samples containing 5% human urine were chosen as a starting point for investigation in this proof of concept. It is clear from Fig. 3a that the indicator responds strongly in the short wavelength region (emission near 540 nm upon excitation near 510 nm) to unidentified interferences present in the urine sample. This region, however, is far removed from the spectral region where the indicator responds to AICAr (emission near 590 nm upon excitations in the region of 560-570 nm). It is interesting to note that response in the short wavelength region is similar to that which was previously reported for fructose.\(^4\) Comparison of Fig. 4.4 A and 4.4B demonstrates increased in long wavelength emission for an AICAr-spiked urine sample. Intensity plots (Fig. 4.3 C and 4.4 C) are very similar in shape for the system containing only buffer and that containing urine and its associated interferences.

When increasing concentrations of buffered aqueous AICAr solutions were combined with healthy human urine and added to DMSO solutions of 3.1 such that the final solvent composition was 90% DMSO: 5% 25 mM pH 7.5 phosphate buffer: 5% urine with a final dye concentration of 40 \(\mu\)M and AICAr ranging from 0-200 \(\mu\)M, the longer wavelength fluorescence signal was observed to increase. Regression analysis (inset Fig. 4.4 D) of \(\Delta\)Intensity vs. AICAr concentration in the long wavelength region yielded a straight line (\(y = 0.5557x + 8.8252; R^2 = 0.982\)). The short wavelength region was not sensitive to the addition of AICAr (Fig. 4.4 E). Concentrations noted in Fig. 4.4 correspond to AICAr levels above undiluted healthy urine ranging from 0.25 – 4.0 mM. A similar detection limit of \(~20\) \(\mu\)M was observed which corresponds to detecting 0.4 mM AICAr above that of healthy human urine.
Figure 4.4 EEMs and emission spectra demonstrating the responses of 3.1 to AICAr at 20 min after mixing in 5% healthy human urine: 5% buffer: 90% DMSO. Clockwise from top-left: (A) 40 μM of 3.1 in presence of 5% urine, (B) 3.1 in presence of 5% urine plus 200 μM AICAr, (C) ΔIntensities, B minus A, (D) emission spectra as a function of AICAr concentrations when excited at 560 nm and linear regression (inset). (E) emission spectra as a function of AICAr concentrations when excited at 520 nm. White lines in A and B represent the excitation wavelength and emission range for spectra plotted in D. White circle in C represent the data point used for the regression analysis in D.

These results provide a method to screen for elevated AICAr in a urine sample without the need for separation or sample processing. Work is ongoing to improve the quantification of AICAr with calibration tools such as PARAFAC. Data processing
combined with normal standard addition protocols should allow efficient extraction of the analyte response distinct from interferences and indicator background signals.

4.4 Experimental

- Instrumentation

All chemicals were purchased from Sigma-Aldrich and used without further purification. Normal human urine was purchased from Innovative research. Compound 3.1 was prepared via microwave–assisted synthesis according to procedures reported earlier.54 An Initiator™ microwave synthesizer (Biotage) was used. Fluorescence spectra were measured on Varian Cary Eclipse fluorescence spectrophotometer (Agilent Technologies). EEMs were collected every 4 min for 1 hr; excitation at 470 – 620 nm each 10 nm, emission at 520 – 690 nm each 2.5 nm, both bandpass = 5 nm. EEM data were processed in MATLAB Version 7.9.0 (R2009b).

- Preparation of the Reaction Product

Dye was dissolved in DMSO and either buffered AICAr solutions or healthy human urine spiked with buffered AICAr was added. One part buffer or aqueous buffer/urine was added to 9 parts dye in DMSO. All samples were vortexed for 15 sec before fluorescence scanning.

4.5 Conclusions

We have demonstrated the ability to detect elevated levels of AICAr, a commercially available surrogate of S-Ado and SAICAr, in healthy human urine. This is a step towards a simple screen for elevated S-Ado and SAICAr.
REFERENCES


(b) Jiang, W.; Cao, Y. T.; Liu, Y. A.; Wang, W., "Rational design of a highly selective and sensitive fluorescent PET probe for discrimination of thiophenols and aliphatic thiols". Chem. Commun. 2010, 46, (11), 1944.


APPENDIX A. CHARACTERIZATION DATA FOR COMPOUND 2.1

Figure A1. $^1$H NMR of compound 2.1
(400 MHz, 0.1 M pH 7.4 phosphate buffer/D$_2$O 9:1)
Figure A2. $^{13}$C NMR of compound 2.1
(101 MHz, 0.1 M pH 7.4 phosphate buffer/D$_2$O 9:1)
Figure A3. ESI-FTMS of compound 2.1
Figure A4. ESI-TOF HRMS of complex of 2.1 with Cys. m/z = 609.0992 [M-H]−, calc. 609.1007 for C29H26N2O9S2.

LeuEnk as IntStd 557.2661 in 50%MeOH +0.5%NH3(1:1)
APPENDIX B. SELECTIVE FLUORESCENCE DETECTION OF CYSTEINE AND N-TERMINAL CYSTEINE PEPTIDE RESIDUES

Figure B1. Response of compound 2.1 to thiols of interest and structural analogs (10.0 mM). (top) emission spectra excited at 508 nm (60 min). (bottom) absorbance spectra (60 min). The vertical line represents the 508 nm excitation wavelength.
Figure B2. Evolution of fluorogenic response. Emission at 524 nm upon 508 nm excitation of 1 μM 2.1 in the presence of excess thiols of interest and their structural analogs (10.0 mM).
Figure B3. Fluorescence response of 2.1 to Cys and Hcy as a function of time and concentration. (top) Evolution of fluorogenic response. 1 μM 2.1 in the presence of excess Cys and Hcy (λ<sub>em</sub> = 524 nm upon excitation at 508 nm). Filled symbols and solid lines = Cys. Open symbols and dashed lines = Hcy. (bottom) Linear response of the fluorescence intensity as a function of thiol concentration (0 - 1000 μM) after 22 min of reaction time. The limit of detection for Cys was ~3-fold lower than that of Hcy (39 μM vs. 114 μM).
Figure B4. Effect of salt on the response of compound 2.1 to excess (10.0 mM) Cys and Hcy in buffered solution (0.1 M phosphate pH 7.4) with and without additional NaCl (1 M). (top) emission spectra excited at 508 nm (60 min). (bottom) absorbance spectra (60 min). The vertical line represents the 508 nm excitation wavelength.
**Figure B5.** Effect of salt on the evolution of fluorogenic response. Emission at 524 nm upon 508 nm excitation of 1 μM 2.1 in the presence of excess (10.0 mM) Cys and Hcy in buffered solution (0.1 M phosphate pH 7.4) with and without additional NaCl (1 M).

**Figure B6.** Effect of salt on the selectivity towards Cys over Hcy. Ratio of fluorescence emission (λ<sub>em</sub> = 524 nm upon 508 nm excitation) of Cys and Hcy reaction products with 2.1 (1 μM 2.1 : 10 mM thiols) as they formed over time in buffered solution (0.1 M phosphate pH 7.4) with and without additional NaCl (1 M).
Figure B7. Response of compound 2.1 to thiols (0.5 mM) and peptides (0.5 mM) of interest. (top) emission spectra excited at 508 nm (60 min). (bottom) absorbance spectra (60 min). The vertical line represents the 508 nm excitation wavelength.
APPENDIX C. COMPUTATIONAL PROCEDURES OF ENERGY-MINIMIZED STRUCTURES OF THE REACTION PRODUCTS OF 2.1 WITH CYS (TOP) AND HCY (BOTTOM)

Molecular models were built using the SYBYL™ software package version X-1.1 (Tripos Inc.) and then submitted for geometry optimization and energy minimization via MOPAC2009.\(^1\)

The processed file which corresponds to Fig. 2.13 in the text is presented below in mol2 file format. To read the file, copy the text between "start of mol2 file" and "end of mol2 file" and paste it in a text editor (e.g. MS notepad) and save the file with any name with the extension ".mol2"; this file can then be opened with almost any molecular viewer program (e.g. RASMOL, UCSF Chimera, Cambridgesoft Chem3D™, SYBYL™, etc.).

![Figure C1. Reaction product of 2.1-Cys](image)

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Molecular graphics images were produced using the UCSF Chimera\textsuperscript{2} package from the Resource for Biocomputing, Visualization, and Informatics at the University of California, San Francisco (supported by NIH P41 RR001081).

References


Figure D1. $^1$H NMR of 3.1 in CD$_3$OD (400 MHz)
Figure D2. $^{13}$C NMR of 3.1 (in CD$_3$OD 101 MHz)
Figure D3. MALDI-TOF of 3.1

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Figure D4. HPLC chromatograms from the preparation of 3.1 at various conditions. Peak 1 corresponds to rhodamine 110 and peak 3 to compound 3.1. A) using 2 equivalents of 2-formylphenylboronic acid and irradiated to keep the reaction at 90 °C for 8 min. B) same as above but increasing the temperature to 110 °C and time to 10 min. C) using 5 equivalents of 2-formylphenylboronic acid and keeping the temperature at 130 °C for 20 min. HPLC conditions: sample size: 20 µL; flow rate: 1 mL/min; mobile phase: gradient 50% MeOH/H₂O to 100% MeOH in 10 min); detection wavelength: 520 nm.
APPENDIX E. COMPUTATIONAL PROCEDURES OF ENERGY-MINIMIZED MODEL OF THE BORONATE ESTER FORMED AFTER CONDENSATION OF ONE EQUIVALENT OF AN AICAR TO 3.1.

Molecular models were built using the SYBYL™ software package version X-1.1 (Tripos Inc.) and then submitted for geometry optimization and energy minimization via MOPAC20091 with the following command keywords: PM6 CHARGE=-2 EF GEO-OK GNORM=0.100 MMOK SHIFT=80.

The processed file which corresponds to Fig. 3.2 in the text is presented below in mol2 file format. To read the file, copy the text between "start of mol2 file" and "end of mol2 file" and paste it in a text editor (e.g. MS notepad) and save the file with any name with the extension ".mol2"; this file can then be opened with almost any molecular viewer program (e.g. RASMOL, UCSF Chimera, Cambridgesoft Chem3D™, SYBYL™, etc.).

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Molecular graphics images were produced using the UCSF Chimera\textsuperscript{2} package from the Resource for Biocomputing, Visualization, and Informatics at the University of California, San Francisco (supported by NIH P41 RR001081).

References


APPENDIX F. LETTER OF PERMISSION

Applicant: Portland State

Soojin Lim <slim@pdx.edu>

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To: Contracts

This Email was sent from the following RSC.ORG page:

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"Selective fluorescence detection of cysteine and N-terminal cysteine peptide residues"
DOI: 10.1039/c0cc01398f

"Detecting specific saccharides via a single indicator"
DOI: 10.1039/c1cc11343g

Thank you so much for your concern.
Dear Soojin Lim,

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“Progress Towards Simple and Direct Detection of Adenylosuccinate Lyase Deficiency in Human Urine”

http://dx.doi.org/10.1071/CH11328

Thank you so much for your concern.

Soojin Lim
APPENDIX G. VITA

Soojin Lim was born in Seoul, the republic of Korea, on March 11, 1980.

In 1999, she entered Sookmyung Women’s University, where she received her bachelor’s degree in chemistry. After graduation in 2004, she entered the English Language and Orientation Program at Louisiana State University, and next year enrolled in the doctoral program in the Department of Chemistry and joined Professor Robert M. Strongin’s group. While at LSU, she worked as a Graduate Teaching Assistant.

In 2007, Strongin group moved to Oregon and she transferred to Portland State University. Since then, she worked as a Graduate Research Assistant and had publications involved in the research of organic sensing probes for disease biomarkers. She is a current member of the American Chemical Society and a candidate for the Doctor of Philosophy in chemistry.